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 Lead Counsel for Plaintiffs

12 UNITED STATES DISTRICT COURT
 13
 14 NORTHERN DISTRICT OF CALIFORNIA
 15 OAKLAND DIVISION

16 LOGAN HESSEFORT, Individually and on)	Lead Case No. 4:18-cv-00838-JST
Behalf of All Others Similarly Situated,)	
)	<u>CLASS ACTION</u>
17 Plaintiff,)	
)	DECLARATION OF DANIEL J.
18 vs.)	PFEFFERBAUM FILED ON BEHALF OF
)	ROBBINS GELLER RUDMAN & DOWD
19 SUPER MICRO COMPUTER, INC., et al.,)	LLP IN SUPPORT OF APPLICATION FOR
)	AWARD OF ATTORNEYS' FEES AND
20 Defendants.)	EXPENSES

21 JUDGE: Hon. Jon S. Tigar
 22 DATE: March 2, 2023
 23 TIME: 2:00 p.m.
 (via videoconference)

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1 I, DANIEL J. PFEFFERBAUM, declare as follows:

2 1. I am a partner of the law firm of Robbins Geller Rudman & Dowd LLP (“Robbins
3 Geller” or the “Firm”). I submit this declaration in support of Lead Counsel’s application for an
4 award of attorneys’ fees in connection with services rendered in the above-captioned class action
5 (the “Action”), as well as for payment of litigation expenses/charges (“expenses”) to the Firm in
6 connection with the Action. I have personal knowledge of the matters set forth herein, and if called
7 upon, could and would testify thereto.¹

8 **Introduction**

9 2. This Firm has served as lead counsel for New York Hotel Trades Council & Hotel
10 Association of New York City, Inc. Pension Fund.

11 3. The information in this declaration and its exhibits regarding the time spent on the
12 Action by the Firm’s attorneys and other professional support staff is based on contemporaneous
13 daily time records regularly prepared and maintained by the Firm. The information in this
14 declaration and its exhibits regarding expenses is based on the records of the Firm, which are
15 regularly prepared and maintained in the ordinary course of business. These records are prepared
16 from expense vouchers, check records, and other source materials and are an accurate record of
17 the expenses. I am the partner who oversaw and/or conducted the day-to-day activities in the
18 Action and I reviewed these time and expense records (and backup documentation) in connection
19 with the preparation of this declaration.

20 4. The purpose of this review was to confirm both the accuracy of the time entries and
21 expenses as well as the necessity for, and reasonableness of, the time and expenses committed to
22 the Action. As a result of this review, reductions were made to both time and expenses in the
23 exercise of billing judgment. In addition, (a) all time expended in preparing this application for
24 fees and expenses and (b) all time spent on travel (unless the attorney was actively working on the
25 case during the travel, for example, reviewing documents while on a plane) has been excluded.

26
27 ¹ Unless otherwise defined herein, capitalized terms shall have the meanings ascribed to them
28 in the Stipulation of Settlement dated as of April 8, 2022 (ECF No. 154).

1 Further, all time billed by any timekeeper who spent fewer than 5 hours working on this Action
2 has been excluded.

3 5. As a result of this review and the adjustments made, I believe that the time reflected
4 in the Firm's lodestar calculation and the expenses for which payment is sought as set forth in this
5 declaration are reasonable in amount and were necessary for the effective and efficient prosecution
6 and resolution of the Action. In addition, I believe that the expenses are all of a type that would
7 normally be charged to a fee-paying client in the private legal marketplace.

8 6. The hourly rates for the attorneys and professional support staff of the Firm
9 included in the exhibits to this declaration are the usual and customary rates set by the Firm for
10 each individual. These hourly rates are the same as, or comparable to, the rates accepted by courts
11 in other securities class action litigation or shareholder litigation, including courts in this Circuit.
12 The Firm's rates are set based on periodic analysis of rates charged by firms performing
13 comparable work and that have been approved by courts. Different timekeepers within the same
14 employment category (*e.g.*, partners, associates, paralegals, etc.) may have different rates based
15 on a variety of factors, including years of practice, years at the Firm, year in the current position
16 (*e.g.*, years as a partner), relevant experience, relative expertise, and the rates of similarly
17 experienced peers at this Firm or other firms. For personnel who are no longer employed by the
18 Firm, the "current rate" used for the lodestar calculation is based upon the rate for that person in
19 his or her final year of employment with the Firm.

20 7. In addition to the Firm employee timekeepers addressed above, the Firm also
21 engaged a project attorney who, as part of Robbins Geller's litigation team, performed various
22 legal tasks which advanced the prosecution of the case. While this attorney is not a salaried
23 employee directly employed by the Firm, they were in every instance directly overseen, and his
24 work product reviewed by, the Firm's members and associates. Additionally, Robbins Geller
25 provided the necessary resources for this individual to perform the legal tasks assigned to him in
26 connection with this Action, including access to, and use of, Robbins Geller's electronic database
27 platform and its available tools and malpractice insurance coverage for his supervised work on the
28 Action. The firm compensated this attorney at an average hourly rate of \$49.37.

1 during the entire case by each timekeeper in each of the 18 categories, and also reflects each
2 timekeeper's individual hours and lodestar at their historic rates and current rate (or most recent
3 rate for former employees).

4 **Expense Information**

5 15. The Firm's lodestar figures are based upon the Firm's hourly rates, which do not
6 include charges for expense items. Expense items are billed separately and such charges are not
7 duplicated in the Firm's hourly rates.

8 16. The Firm seeks an award of \$292,239.70 for expenses and charges in connection
9 with the prosecution of the Action from its inception through December 15, 2022. Exhibit 7 is a
10 chart summarizing these expenses and charges by category. Exhibit 8 is a detailed listing of all of
11 the Firm's individual expenses and charges through December 15, 2022, organized by category.

12 17. Consistent with this Court's order in *Rodman v. Safeway Inc.*, No. 11-cv-03003-
13 JST (N.D. Cal. Mar. 21, 2018), we have attached copies of invoices and receipts for: (a) all
14 expenses of experts, consultants and other professionals; (b) all travel and lodging expenses; and
15 (c) all other expenses or charges that exceed \$500.

16 18. **Experts, Consultants & Investigators:** The Firm paid a total of \$202,027.55 to
17 outside experts, consultants, and investigators.

18 (a) Crowninshield Financial Research, Inc. ("Crowninshield"):
19 \$135,635.00. Lead Plaintiff retained the services of the economic consulting firm,
20 Crowninshield and its founder, Dr. Steven P. Feinstein ("Dr. Feinstein") to analyze data
21 and provide reports and testimony concerning materiality, market efficiency causation, and
22 damages in connection with Lead Plaintiff's Securities Exchange Act of 1934 claims. Dr.
23 Feinstein provided an expert opinion in connection with Lead Plaintiff's motions for class
24 certification. Analysis from Crowninshield were critical to key stages of the Action,
25 including class certification, evaluation of potential damages and recovery, and the overall
26 settlement discussions.

27 (b) Tasta Group, Inc. (dba Caliber Advisors, Inc.) ("Tasta"):
28 \$17,850.00. Lead Plaintiff retained the services of Tasta, a valuation and economic

1 consulting firm and its Managing Director, Bjorn Steinholt, CFA, to assist in the initial
2 analysis of materiality, loss causation, market efficiency, and damages. Tasta specializes
3 in financial analyses and related economic consulting services on issues that typically arise
4 in securities class actions, including materiality, loss causation, and damages. Tasta
5 provided Lead Plaintiff with substantial assistance in their economic analysis in the initial
6 investigation and the loss causation allegations in the amended pleadings.

7 (c) L.R. Hodges & Associates, Ltd. (“LRH&A”): \$48,542.55. LRH&A
8 is a private investigation firm specializing in investigative research. Over 12-months (May
9 through December 2018, January 2019, and March, April and September 2020) LRH&A
10 provided investigative services to Lead Counsel. LRH&A expended 205.8 hours for
11 combined fees of \$43,826.50, and incurred related expenses of \$4,716.05 for a total of
12 \$48,542.55. LRH&A’s research staff expended 48.2 hours to research, identify, and
13 confirm the employment status of prospective witnesses, locating all key targets, as well
14 as maintaining and updating an evolving witness list to support other investigative team
15 members. This also involved research, retrieval and analysis of relevant documents,
16 including SEC filings, media articles, court filings, as well as other materials related to the
17 case issues. The case manager and interviewing investigators expended a combined 157.6
18 hours to research, review, and analyze materials in preparation for the investigation;
19 contacting and conducting interviews with targeted third-party witnesses; and thereafter,
20 preparing comprehensive interview summaries and conferring with Lead Counsel.

21 Exhibit 9 includes copies of all invoices or receipts paid from these experts, consultants, and
22 investigators paid by the Firm, organized by vendor, and then chronologically for each vendor.

23 19. **Transportation, Hotels & Meals:** \$1,037.20. In connection with the prosecution
24 of this case, the Firm expended a total of \$1,037.20 on transportation, hotels, and meals. Exhibit
25 10 includes copies of all underlying invoices or receipts relating to transportation, hotels and
26 meals, in chronological order. These expenses have been reviewed for reasonableness and
27 accuracy. In addition, the expenses for which payment is sought reflect the lesser of the actual
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1 expenses or the following “caps”: (a) airfare is capped at coach rates; and (b) the hotel charge per
2 night was capped at \$350.00.

3 20. **Other Expenses:** The following is additional information regarding certain of the
4 other categories of expenses:

5 (a) Filing, Witness and Other Fees: \$1,715.25. These expenses were paid to an
6 attorney service for service of process of Subpoenas to various entities, and to deliver courtesy
7 copies of documents to Judge’s chambers.

8 (b) Deposition Transcripts: \$3,102.10. This amount represents the costs of
9 certified transcripts of witness T. Buuhoan, S. Feinstein and D. Clegg (non-appearance).

10 (c) Online Legal and Factual Research: \$6,604.68. This category includes
11 payments to vendors such as LexisNexis products, Revinitiv, Thomson Financial, and Westlaw.
12 These resources were used to obtain access to SEC filings, factual databases, legal research, and
13 for cite-checking of briefs. This expense represents the actual expenses incurred by Robbins Geller
14 for use of these services in connection with this Action. The charges for these vendors vary
15 depending upon the type of services requested. For example, Robbins Geller has flat-rate contracts
16 with some of these providers for use of their services. When Robbins Geller utilizes online
17 services provided by a vendor with a flat-rate contract, access to the service is by a billing code
18 entered for the specific case being litigated. At the end of each billing period in which such service
19 is used, Robbins Geller’s costs for such services are allocated to specific cases based on the
20 percentage of use in connection with that specific case in the billing period. As a result of the
21 contracts negotiated by Robbins Geller with certain providers, the Class enjoys substantial savings
22 in comparison with the “market-rate” for *a la carte* use of such services which some law firms
23 pass on to their clients. For example, the “market rate” charged to others by LexisNexis for the
24 types of services used by Robbins Geller is more expensive than the rates negotiated by Robbins
25 Geller.

26 (d) eDiscovery Database Hosting: \$66,139.96. Robbins Geller requests
27 \$66,139.96 for hosting eDiscovery related to this Action. To provide such hosting services,
28 Robbins Geller has installed top-tier database software, infrastructure, and security, all of which

1 are customized and designed to support Relativity, an eDiscovery platform offered by over 100
2 vendors and currently used by 198 of the AmLaw200 firms. Over 30 servers are dedicated to
3 Robbins Geller's Relativity hosting environment, with all data stored in a secure SSAE 18 Type
4 II data center and replicated to a data center located in a different geographic location. By hosting
5 in-house, Robbins Geller is able to charge a reduced, all-in rate that includes many services which
6 are usually charged as extra fees when hosted by a third-party vendor. For example, while Robbins
7 Geller's per-gigabyte fee is similar to these other vendors, it includes user logins, ingestion,
8 processing, OCRing, TIFFing, bates stamping, productions, and archiving – all at no additional
9 per-unit cost. Also included is unlimited structured and conceptual analytics (*i.e.*, email threading,
10 inclusive detection, near-dupe detection, concept searching, active learning, clustering, and more).
11 When compared to typical third-party vendor rates combined with their extra per-unit fees, the
12 requested Robbins Geller charges for this case are approximately 35-41% less. Implementing a
13 secure, advanced platform in-house has allowed Robbins Geller to prosecute actions more
14 efficiently, utilize advanced AI technology, and has reduced the expense associated with
15 maintaining and searching electronic discovery databases. The amount requested reflects charges
16 for the hosting of over 1 million pages of documents produced by parties and non-parties in this
17 Action. Since this category is an internal charge set by the Firm and not a direct out-of-pocket
18 expense, there are no invoices to provide to the Court.

19 (e) Mediation Fees (Phillips ADR Enterprises, P.C.): \$11,020.00. The parties
20 retained Phillips ADR and Michelle Yoshida to provide mediation services in the Action, which
21 was held on December 2, 2021. The December 2, 2021 mediation concluded after a full day
22 without an agreement in principle to resolve the Action. Thereafter, Ms. Yoshida continued to
23 separately engage with the parties and oversee settlement negotiations and on March 11, 2022, the
24 parties reached an agreement to settle the Action as reflected in the Stipulation of Settlement.

25 21. Attached as Exhibit 11 are receipts (with the exception of eDiscovery Database
26 Hosting charges referenced in Paragraph 20(d) above) for all of the Firm's other expenses that
27 exceed \$500 individually, organized by category and then chronologically.

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Conclusion

22. The identification and background of my Firm and its partners is attached hereto as Exhibit 12.

23. Electronic copies of the three Excel spreadsheets, Exhibits 4, 5, and 6, will be lodged with the Courtroom deputy. Further documentation or explanation with respect to our lodestar or expenses, including detailed time records, are available upon request by the Court.

I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge, information, and belief, this 26th day of January, 2023, at San Francisco, California.

s/Daniel J. Pfefferbaum
DANIEL J. PFEFFERBAUM

EXHIBIT 1

EXHIBIT 1*Hessefort v. Super Micro Computer, Inc., et al.*, No. 4:18-cv-00838-JST

Robbins Geller Rudman & Dowd LLP
 Summary of Lodestar
 Inception through December 15, 2022

NAME		HOURS	2022 RATE	2022 LODESTAR	HISTORICAL LODESTAR
Alba, Mario	(P)	49.60	890	\$ 44,144.00	\$ 42,452.00
Cochran, Brian E.	(P)	12.75	770	9,817.50	6,307.50
Gusikoff Stewart, Ellen A.	(P)	59.10	1080	63,828.00	63,828.00
Pfefferbaum, Daniel J.	(P)	1,675.35	850	1,424,047.50	1,360,516.00
Robbins, Darren J.	(P)	5.40	1350	7,290.00	5,562.00
Williams, Shawn A.	(P)	116.85	1150	134,377.50	125,527.50
Johnson, Patton L.	(A)	1,177.85	475	559,478.75	523,418.75
Zohrabian, Armen	(A)	1,015.30	630	639,639.00	615,888.00
McCormick, Tricia	(OC)	103.25	955	98,603.75	86,213.75
Boston, Joshua M.	(PA)	1,060.40	420	445,368.00	429,702.00
Sader, Brad C.	(FA)	785.20	625	490,750.00	462,220.00
Barhoum, Anthony J.	(EA)	15.20	430	6,536.00	6,536.00
Cabusao, Reggie F.	(EA)	29.60	335	9,916.00	9,916.00
Uralets, Boris	(EA)	8.00	415	3,320.00	3,320.00
Villalovas, Frank E.	(EA)	8.30	420	3,486.00	3,486.00
Roelen, Scott R.	(RA)	36.40	295	10,738.00	10,738.00
Brandon, Kelley T.	(I)	6.00	290	1,740.00	1,740.00
Magos, Bailey	(LS)	154.00	230	35,420.00	33,770.00
Lee, Brandon N.	(SUA)	57.10	175	9,992.50	9,992.50
Bloyd, Sierra S.	(PL)	225.70	350	78,995.00	65,555.00
Burch, Michelle	(PL)	362.35	350	126,822.50	126,673.75
Johnson, Kristi K.	(PL)	15.60	350	5,460.00	4,290.00
Kuwashima, Morgan B.	(PL)	23.10	350	8,085.00	6,352.50
Martis, Annaliese M.	(PL)	72.60	275	19,965.00	19,965.00
Meyers, Sarah J.	(PL)	16.00	375	6,000.00	5,200.00
Morris, Sarah A.	(PL)	90.40	375	33,900.00	28,715.00
Reis, Cassandra L.	(PL)	48.60	350	17,010.00	13,365.00
Williams, Jaclyn N.	(PL)	29.50	375	11,062.50	11,062.50
Duran Garcia, Kenia	(DC)	40.50	150	6,075.00	6,075.00

<i>NAME</i>		<i>HOURS</i>	<i>2022 RATE</i>	<i>2022 LODESTAR</i>	<i>HISTORICAL LODESTAR</i>
Gaona, Steffani V.	(DC)	19.00	150	2,850.00	2,850.00
Shaffer, Sydney A.	(DC)	7.00	150	1,050.00	1,050.00
<i>TOTAL</i>		<i>7,326.00</i>		<i>\$ 4,315,767.50</i>	<i>\$ 4,092,287.75</i>

(P) Partner

(A) Associate

(OC) Of Counsel

(PA) Project Attorney

(FA) Forensic Accountant

(EA) Economic Analyst

(RA) Research Analyst

(I) Investigator

(SUA) Summer Associate

(LS) Litigation Support

(PL) Paralegal

(DC) Document Clerk

EXHIBIT 2

EXHIBIT 2

Hessefort v. Super Micro Computer, Inc., et al., No. 4:18-cv-00838-JST

Robbins Geller Rudman & Dowd LLP
Summary Descriptions of Work Performed

PARTNERS

Alba, Mario (49.60 hours)

Mario Alba is a partner in the Firm's Melville, New York, office. Mr. Alba primarily worked on client engagement, including the preparation of updates to keep the client apprised of the status of the litigation, factual developments related to Super Micro's investigation and restatement, and the client's duties and responsibilities as lead plaintiff and proposed class representative. Mr. Alba also worked with the client in analyzing and responding to discovery requests, obtaining documents to be produced, and preparing for deposition.

Cochran, Brian E. (12.75 hours)

Brian Cochran is a partner in the Firm's San Diego office. Mr. Cochran primarily worked on the initial investigation of this case by reviewing media coverage, analyst reports, conference call transcripts, and the Company's SEC filings. Mr. Cochran developed a theory of the case and prepared a memo to the client regarding the potential action.

Gusikoff Stewart, Ellen A. (59.10 hours)

Ellen Gusikoff Stewart is a Partner in the Firm's San Diego office. Ms. Stewart primarily worked on the settlement aspects of the case, including drafting term sheets, notice documents, and the motions for preliminary and final approval and supporting documents.

Pfefferbaum, Daniel J. (1,675.35 hours)

Daniel Pfefferbaum is a Partner in the Firm's San Francisco office. Mr. Pfefferbaum conducted an investigation into the claims by reviewing SEC filings, conference call transcripts, analyst reports, and media coverage of Super Micro. He directed a private investigation firm to interview former Super Micro employees and reviewed interview memorandum. Mr. Pfefferbaum worked with in-house financial analysts to develop legal theories related to the Company's accounting violations. Mr. Pfefferbaum used this information in drafting and revising the consolidated complaint and subsequent amended complaints. Mr. Pfefferbaum drafted, revised, and reviewed the opposition to the motion to dismiss briefing with respect to each amended complaint.

During discovery, Mr. Pfefferbaum revised discovery requests and document subpoenas directed to the defendants and third parties, including the SEC, the Company's auditors, and accounting consultants. He met and conferred with counsel for defendants and third parties to address discovery disputes. He revised and reviewed discovery-related communications. Mr. Pfefferbaum analyzed the deposition transcripts and exhibits obtained from the SEC. Mr. Pfefferbaum analyzed evidence produced by defendants and third parties and oversaw the document review process.

Mr. Pfefferbaum reviewed and revised the motion for class certification and supporting documents. Mr. Pfefferbaum defended the deposition of plaintiff's market efficiency expert. Mr. Pfefferbaum engaged in the deposition of Lead Plaintiff's investment advisor and prepared a representative of Lead Plaintiff to be deposed.

Finally, Mr. Pfefferbaum researched, drafted, and revised mediation statements; crafted mediation strategy; participated in settlement negotiations; and reviewed and revised the settlement papers and the stipulation of settlement.

Robbins, Darren J. (5.40 hours)

Darren Robbins is a Partner in the Firm's San Diego office. Mr. Robbins is also a member of the Firm's Executive and Management Committees. Mr. Robbins worked on the initial review and investigation of the facts underlying the action. Mr. Robbins also reviewed and revised drafts of client memoranda, assessed client investment losses, and reviewed and revised lead plaintiff briefing.

Williams, Shawn A. (116.85 hours)

Shawn Williams is a Partner in the Firm's San Francisco office. Mr. Williams is also a member of the Firm's Management Committee. Mr. Williams reviewed and revised the consolidated and amended complaints and motions to dismiss oppositions. He analyzed and assessed disclosures of new information by the Company and the settlements with the SEC and contributed to the litigation strategy and approach to amending the allegations.

During discovery, Mr. Williams reviewed and revised Lead Plaintiff's initial disclosures and reviewed and revised responses to discovery requests served upon Lead Plaintiff. Mr. Williams also reviewed and revised the motion to class certification and supporting documents.

Mr. Williams researched and revised the mediation statements; crafted mediation strategy; participated in settlement negotiations; and reviewed and revised the settlement papers and the stipulation of settlement.

ASSOCIATES

Johnson, Patton L. (1,177.85 hours)

Patton Johnson is an associate in the Firm's San Diego office. Mr. Johnson drafted, revised, and reviewed amended complaints, including developing theories related to materiality and scienter, and incorporating the settlements between the defendants and the SEC. Mr. Johnson analyzed and developed legal theories related to the accounting violations disclosed by the Company. Mr. Johnson performed legal research and analysis in support of each of the motion to dismiss oppositions. Mr. Johnson drafted and revised the motion to dismiss oppositions related to each amendment of the complaint.

During fact discovery, Mr. Johnson drafted and revised discovery requests and document subpoenas directed to the defendants and third parties, including the SEC, the Company's auditors, and accounting consultants. He met and conferred with counsel for defendants and third parties. He conducted legal research and analysis related to discovery disputes and drafted, revised, and

reviewed discovery-related communications and letters to bring such issues to the Court. Mr. Johnson reviewed and analyzed the deposition transcripts and exhibits obtained from the SEC. Mr. Johnson reviewed and analyzed extensive evidence produced by defendants and third parties.

Mr. Johnson drafted and revised the motion for class certification and supporting documents. Mr. Johnson assisted in the deposition preparations for Lead Plaintiff's market efficiency expert, Lead Plaintiff's investment advisor, and a representative of Lead Plaintiff.

Finally, Mr. Johnson researched, drafted, and revised mediation statements and participated in settlement negotiations.

Zohrabian, Armen (1,015.30 hours)

Armen Zohrabian was an associate in the Firm's San Francisco office. Mr. Zohrabian worked on the litigation until his departure from the firm in 2021. Mr. Zohrabian conducted an investigation into the claims by reviewing and analyzing SEC filings, conference call transcripts, analyst reports, and media coverage of Super Micro. He worked with a private investigation firm to interview former Super Micro employees and reviewed interview memorandum. Mr. Zohrabian worked with in-house financial analysts to develop legal theories related to the Company's accounting violations. Mr. Zohrabian used this information in drafting and revising the consolidated complaint and subsequent amended complaints. Mr. Zohrabian reviewed and analyzed defendants' motions to dismiss and conducted legal research and a factual investigation to develop opposing arguments. Mr. Zohrabian drafted, revised, and reviewed the oppositions to the motions to dismiss briefing.

OF COUNSEL

McCormick, Tricia (103.25 hours)

Tricia McCormick is Of Counsel in the Firm's San Diego office. Ms. McCormick's work on this litigation was related to lead plaintiff proceedings. Ms. McCormick researched, analyzed, and drafted the motion for lead plaintiff, the opposition briefing, and the reply briefing. Ms. McCormick prepared for and argued on behalf of lead plaintiff for its appointment before the Court.

PROJECT ATTORNEY

Boston, Joshua M. (1,060.40 hours)

Joshua Boston was a Project Attorney working remotely. Mr. Boston reviewed, coded, and annotated Defendants' and third parties' document productions. Based on ongoing communication with the litigation team, Mr. Boston sought and located evidence that supported the claims alleged, as well as noting production deficiencies and issues related to privilege. Mr. Boston also provided notes and analysis on relevant documents and presented his findings on a weekly basis during which relevant documents were discussed and further objectives for document review were prioritized. Mr. Boston's document review and analysis also focused on specific customers of Super Micro, specific transactions later demonstrated to be improper, and critical time periods at or near quarter-end. The evidence identified and analyzed by Mr. Boston provided support of plaintiff's claims and was incorporated into Lead Plaintiff's mediation statements.

SUMMER ASSOCIATE

Lee, Brandon N. (57.10 hours)

Brandon Lee was a summer associate in the Firm's San Francisco office during 2019. In connection with opposing defendants' motion to dismiss, Mr. Lee prepared a memorandum regarding legal issues related to scienter and control, including the current state of, and recent developments in, the law applicable to this litigation.

SUPPORT STAFF

Economic/Damage Analysts

Barhoum, Anthony J. (15.20 hours)

Anthony Barhoum manages the Firm's Research and Economic Analysis Department. Mr. Barhoum analyzed Super Micro's daily price performance and trading volume statistics on days when new information about the Company entered the market. Mr. Barhoum prepared estimates of aggregate damages attributable to the allegations and researched the capital structure of the Company. Mr. Barhoum researched and collected data on analysts' quarterly revenue estimates for the Company during the Class Period. Mr. Barhoum also researched and drafted a plan of allocation for the settlement fund.

Cabusao, Reggie F. (29.60 hours)

Reggie Cabusao is a Damages and Economic Analyst with the Firm. Mr. Cabusao obtained transaction and holding data for Firm clients pertaining to Super Micro Class Period allegations. Using Bloomberg to gather historical prices in order to run calculations, Mr. Cabusao estimated losses on each client's purchases and sales, calculating them using FIFO and/or LIFO loss analysis and generated a loss summary for clients who sustained losses during the Class Period.

Uralets, Boris (8.00 hours)

Boris Uralets was an Economic Analyst with the Firm. Mr. Uralets researched and drafted a preliminary damage analysis and analyzed insider sales by Company executives.

Villalovas, Frank E. (8.30 hours)

Frank Villalovas is a Senior Damages and Economic Analyst with the Firm. Mr. Villalovas obtained transaction and holding data for Firm clients pertaining to Super Micro Class Period allegations. Using Bloomberg to gather historical prices in order to run loss estimates and calculations, Mr. Villalovas estimated losses on each client's purchases and sales, calculating them using FIFO and/or LIFO loss analysis and generated a loss summary for clients who sustained losses during the Class Period.

Forensic Accountant

Sader, Brad C. (785.20 hours)

Brad Sader is a Forensic Accountant with the Firm. Mr. Sader analyzed Super Micro's financial condition through a review of SEC filings, analyst reports, and other public disclosures to determine the nature and scope of the Company's accounting improprieties. Mr. Sader assisted

with drafting each of the consolidated and amended complaints, in particular with respect to allegations related to accounting improprieties, GAAP violations, and internal control failures. Mr. Sader analyzed each of the Company's later revelations concerning the scope and magnitude of the Company's accounting problems and, eventually, a restatement. Mr. Sader also assisted in drafting each of the oppositions to motions to dismiss in particular with respect to issues relating to accounting violations, GAAP violations, internal control failures, and the Restatement.

During discovery, Mr. Sader assisted the litigation team with crafting document requests to defendants and third parties, including the Company, its auditors, and accounting consultants. Mr. Sader participated in meet and confers with the Company's auditors and accountants. Mr. Sader reviewed and analyzed the deposition transcripts and related exhibits obtained from the SEC. Mr. Sader also reviewed and analyzed documents obtained in discovery from the Company and third parties related to the accounting violations, auditors and accounting consultants, including identifying and analyzing documents related to particular customers and transactions which supported plaintiff's allegations.

Investigator

Brandon, Kelley T. (6.00 hours)

Kelley Brandon is an Investigator with the Firm. Mr. Brandon conducted an investigation to ascertain addresses for service of process and for service of document and deposition subpoenas. Mr. Brandon also located background information on a potential witness.

Research Analyst

Roelen, Scott R. (36.40 hours)

Scott Roelen is a Research Analyst at the Firm. Mr. Roelen provided the litigation team with the initial case workup documentation which involved researching, downloading, and organizing of all publicly available information regarding Super Micro. Mr. Roelen also created files for attorney review containing all media, press releases, conference call transcripts/presentations, analyst reports, and SEC documents issued by the Company. Throughout the duration of the case, Mr. Roelen updated these files to current with new information.

Paralegals

Bloyd, Sierra S. (225.70 hours)

Sierra Bloyd is a Paralegal at the Firm. Ms. Bloyd proofread the amended complaints, compiling source material for each of the allegations in the amended complaints and creating a back-up file of all such materials, checking each quote for accuracy and attribution, and reviewing for grammar and clarity. Ms. Bloyd proofread the oppositions to motions to dismiss, including checking quotations, verifying citations and legal authority, and reviewing for grammar and clarity.

During discovery, Ms. Bloyd proofread document requests and discovery communications. She prepared subpoenas to defendants and third parties. Ms. Bloyd also tracked and logged discovery obtained by plaintiffs.

Burch, Michelle (362.35 hours)

Michelle Burch was a Paralegal with the Firm. Ms. Burch proofread the consolidated and amended complaints by verifying each of the defendants' names and positions, compiling source material for each of the allegations in the amended complaint and creating a back-up file of all such materials, checking each quote for accuracy and attribution, and reviewing for grammar and clarity. Ms. Burch also electronically filed the documents with the court, sent courtesy copies to the assigned judge, downloaded the file-stamped copies for service, created Waivers of Service for the new defendants, and sent the Waivers of Service with the filed consolidated complaint to defense counsel. Ms. Burch proofread the oppositions to the motions to dismiss and supporting papers, including checking quotations, verifying citations and legal authority, and reviewing for grammar and clarity. Ms. Burch also proofread and electronically filed multiple submissions to the court, including notices of appearance, stipulations, and a joint case management statement.

During discovery, Ms. Burch proofread document requests and prepared subpoenas to defendants and third parties. Ms. Burch also tracked and logged discovery obtained by plaintiffs.

Johnson, Kristi K. (15.60 hours)

Kristi Johnson was a Paralegal with the Firm. Ms. Johnson assisted with the proofreading and filing of the amended complaints, including checking quotes for accuracy and attribution, and filing the documents with the Court.

Kuwashima, Morgan B. (23.10 hours)

Morgan Kuwashima was a Paralegal with the Firm. Ms. Kuwashima assisted with the proofreading and filing of the opposition to the motion to dismiss, including checking the accuracy of quoted material and citations. Ms. Kuwashima also assisted in the preparation of the mediation statement, including the compilation of exhibits.

Martis, Annaliese M. (72.60 hours)

Annaliese Martis was a Paralegal with the Firm. Ms. Martis assisted with the proofreading and filing of the amended complaints and an opposition to motion to dismiss by verifying the accuracy of the quoted material, attributions, and citation of legal authorities.

Meyers, Sarah J. (16.00 hours)

Sarah Meyers is a Paralegal at the Firm. Ms. Meyers was responsible for proofreading, verifying citations, and filing plaintiff's lead plaintiff motion, lead plaintiff's opposition briefing, and lead plaintiff's reply.

Morris, Sarah A. (90.40 hours)

Sarah Morris is a Paralegal at the Firm. In connection with the consolidated and amended complaints, Ms. Morris proofread the complaints, including verification of source material for each of the allegations in the complaints and creating a back-up file of all such materials, checking the accuracy of quoted material, and reviewing for grammar and clarity.

Reis, Cassandra L. (48.60 hours)

Cassandra Reis is a Paralegal at the Firm. Ms. Reis assisted with the proofreading of an amended complaint, including the verification of source material for each of the allegations in the complaint

and creating a back-up file of all such materials, checking quoted material for accuracy. Ms. Reis also proofread an opposition to the motion to dismiss, including checking quotes for accuracy, verifying legal citations, and reviewing for grammar and clarity.

Williams, Jaclyn N. (29.50 hours)

Jaclyn Williams is a Paralegal at the Firm. In connection with the settlement of this action, Ms. Williams proofread the motions for preliminary and final approval of settlement and supporting documents, including checking quotations and citations for accuracy and proper attribution and reviewing for grammar and clarity.

Document Clerks

Duran Garcia, Kenia (40.50 hours)

Kenia Duran Garcia was a Document Clerk with the Firm. Ms. Garcia assisted the litigation team by formatting and printing documents, filings, and exhibits. Ms. Garcia also electronically formatted large files for organization and use by the litigation team.

Gaona, Steffani V. (19.00 hours)

Steffani Gaona is a Document Clerk with the Firm. Ms. Gaona assisted the litigation team by organizing documents obtained from third parties, including deposition transcripts and exhibits obtained from the SEC.

Shaffer, Sydney A. (7.00 hours)

Sydney Shaffer was a Document Clerk with the Firm. Ms. Shaffer assisted the litigation team by searching for and collecting key SEC filings, searching for and collecting case law cited in briefings, and preparing binders for the litigation team.

Litigation Support

Magos, Bailey (154.00 hours)

Bailey Magos is a member of the Firm's Litigation Support team. Ms. Magos assisted the litigation team by creating, maintaining, and updating the Relativity database for Defendant and third-party productions. Ms. Magos' work included structuring review analytics, applying email threading, and organizing witness files for depositions. Ms. Magos worked to resolve quality control issues related to defendants and third party productions and troubleshoot errors in productions.

EXHIBIT 3

EXHIBIT 3

Hessefort v. Super Micro Computer, Inc., et al., No. 4:18-cv-00838-JST

Robbins Geller Rudman & Dowd LLP
Timekeeper Biographies

PARTNERS

Mario Alba

Mario Alba is a partner in Robbins Geller Rudman & Dowd LLP's Melville office. He is a member of the Firm's Institutional Outreach Team, which provides advice to the Firm's institutional clients, including numerous public pension systems and Taft-Hartley funds throughout the United States, and consults with them on issues relating to corporate fraud in the U.S. securities markets, as well as corporate governance issues and shareholder litigation. Some of those institutional clients are currently involved in securities cases involving: Acadia Healthcare Company, Inc.; Reckitt Benckiser Group plc; Livent Corporation; Ryanair Holdings plc; Southwest Airlines Co.; Green Dot Corporation; and XPO Logistics, Inc. Some of those institutional clients are/were also involved in other types of class actions, namely: *In re National Prescription Opiate Litigation*, *In re Epipen (Epinephrine Injection, USP) Marketing, Sales Practices and Antitrust Litigation* (\$345 million partial settlement achieved a few months prior to trial; additional \$264 million settlement pending approval), *Forth v. Walgreen Co.*, and *In re Humira (Adalimumab) Antitrust Litigation*.

Mario has served as lead counsel in numerous cases and is responsible for initiating, investigating, researching, and filing securities and consumer fraud class actions. He has recovered hundreds of millions of dollars in numerous actions, including cases against BHP Billiton Limited (\$50 million recovery), BRF S.A. (\$40 million recovery), L3 Technologies, Inc. (\$34.5 million recovery), Impax Laboratories Inc. (\$33 million recovery); Super Micro Computer, Inc. (\$18.25 million recovery); NBTY, Inc. (\$16 million recovery), OSI Pharmaceuticals (\$9 million recovery), Advisory Board Company (\$7.5 million recovery), Iconix Brand Group, Inc. (\$6 million recovery), and PXRe Group, Ltd. (\$5.9 million).

Mario has lectured at numerous institutional investor conferences throughout the United States on various shareholder issues, including at the Opal Public Funds Summit, Koried Plan Sponsor Educational Institute, Georgia Association of Public Pension Trustees (GAPPT) Annual Conference, Illinois Public Pension Fund Association, the New York State Teamsters Conference, the American Alliance Conference, and the TEXPERS/IPPFA Joint Conference at the New York Stock Exchange, among others.

Mario has been named a Super Lawyer and a Rising Star by *Super Lawyers Magazine* numerous times. He graduated from St. John's University with a Bachelor of Science degree in Finance, with distinction. He earned his Juris Doctor degree from Hofstra University School of Law. While in law school he was selected to participate in the Hofstra Moot Court Seminar and was an active member of the Student Bar Association. During his summers between law school, Mario clerked at the Firm, investigating and researching potential securities class actions and antitrust lawsuits.

Education:

Hofstra University School of Law, J.D., 2002; St. John's University, B.S., 1999, Finance, with distinction

Honors/Awards:

Super Lawyer, *Super Lawyers Magazine*, 2022

Rising Star, *Super Lawyers Magazine*, 2012-2013, 2016-2017

Brian E. Cochran

Brian Cochran is a partner in Robbins Geller Rudman & Dowd LLP's San Diego office. He focuses his practice on complex securities, shareholder, consumer protection, and ERISA litigation. Brian is also a member of Robbins Geller's SPAC Task Force. Brian specializes in case investigation and initiation and lead plaintiff issues arising under the Private Securities Litigation Reform Act of 1995. He has developed dozens of cases under the federal securities laws and recovered hundreds of millions of dollars for injured investors and consumers. Several of Brian's cases have pioneered new ground, such as the Dynamic Ledger securities litigation, one of the first cases to challenge a cryptocurrency issuer's failure to register under the federal securities laws, which settled for \$25 million. Brian has spearheaded litigation on behalf of injured investors in blank check companies, developing one of the first securities class actions arising from the latest wave of blank check financing, Alta Mesa Resources.

Brian was also member of the litigation team that achieved a \$1.21 billion settlement in the *Valeant Pharmaceuticals* securities litigation. In addition, Brian was part of the team that secured a historic \$25 million settlement on behalf of Trump University students, which Brian prosecuted on a *pro bono* basis. Other notable recoveries include: *Walgreens* (\$105 million, subject to court approval); *Scotts Miracle-Gro* (up to \$85 million); *Psychiatric Solutions* (\$65 million); *SQM Chemical & Mining Co. of Chile* (\$62.5 million); *Grubhub* (\$42 million, subject to court approval); *Big Lots* (\$38 million); *Credit Suisse* (\$32.5 million, subject to court approval); *DouYu* (\$15 million, subject to court approval); *REV Group* (\$14.25 million); *Fifth Street Finance* (\$14 million); *Third Avenue Management* (\$14 million); *LJM* (\$12.85 million); *Sealed Air* (\$12.5 million, subject to court approval); *Camping World* (\$12.5 million); *FTS International* (\$9.875 million); and *JPMorgan ERISA* (\$9 million).

During law school, Brian externed for the U.S. Securities and Exchange Commission and the Antitrust Division of the U.S. Department of Justice. He also participated in Berkeley Law's International Human Rights Clinic, filing a complaint with the Office of Accountability (OA) of the Overseas Private Investment Corporation on behalf of indigenous Mexican villagers which alleged human rights and environmental harms related to an international energy project. Brian secured the first project suspension ever in the history of the OA, for which he was recognized by the ABA's *Student Lawyer* magazine. He also oversaw the California Asylum Representation Clinic, the nation's only fully student-run refugee and asylum clinic, which won asylum for dozens

of refugees and was awarded the U.C. Berkeley Chancellor's Public Service Award during his tenure.

Brian has been recognized as a Rising Star and a Next Generation Partner by *The Legal 500*, a Rising Star by *Super Lawyers Magazine*, and on *Benchmark Litigation's* 40 & Under Hot List. He earned his Bachelor of Arts degree in Anthropology from Princeton University, with honors, and received his Juris Doctor degree from University of California at Berkeley School of Law. His publications include: *Controlling Litigation Risks for SPACS*, China Bus. L.J. (Apr. 2021); *From Promise to Practice: Towards a Functional Assessment of the Office of Accountability of the Overseas Private Investment Corporation*, 8 Publicist Berkeley J. Int'l L. (2011); and *Reviewing Tom Bingham, Widening Horizons: The Influence of Comparative Law and International Law on Domestic Law*, 29 Berkeley J. Int'l L. 710 (2011).

Education:

University of California at Berkeley School of Law, Boalt Hall, J.D., 2012 (Order of the Coif; American Jurisprudence Award for Business, Social Responsibility and Human Rights); Princeton University, A.B., 2006, Anthropology (With Honors)

Honors/Awards:

40 & Under Hot List, *Benchmark Litigation*, 2021; Next Generation Partner, *The Legal 500*, 2020-2021; Rising Star, *Super Lawyers Magazine*, 2020-2021; Rising Star, *The Legal 500*, 2019

Ellen Gusikoff Stewart

Ellen Gusikoff Stewart is a partner in the Firm's San Diego office and a member of the Firm's Summer Associate Hiring Committee. She currently practices in the Firm's settlement department, negotiating and documenting complex securities, merger, ERISA, and derivative action settlements. Notable settlements include: *In re Facebook Biometric Info. Privacy Litig.* (\$650 million); *KBC Asset Mgmt. v. 3D Sys. Corp.* (\$50 million); *Luna v. Marvell Tech. Grp.* (\$72.5 million); *Garden City Emps.' Ret. Sys. v. Psychiatric Sols., Inc.* (\$65 million); and *City of Sterling Heights Gen. Emps.' Ret. Sys v. Hospira, Inc.* (\$60 million).

Ms. Stewart has served on the Federal Bar Association Ad Hoc Committee for the revisions to the Settlement Guidelines for the Northern District of California and was a contributor to the Guidelines and Best Practices – Implementing 2018 Amendments to Rule 23 Class Action Settlement Provisions manual of the Bolch Judicial Institute at the Duke University School of Law.

Education:

B.A., Muhlenberg College, 1986; J.D., Case Western Reserve University, 1989

Honors/Awards:

Rated Distinguished by Martindale-Hubbell

Daniel J. Pfefferbaum

Daniel Pfefferbaum is a partner in Robbins Geller Rudman & Dowd LLP's San Francisco office, where his practice focuses on complex securities litigation. He has been a member of litigation teams that have recovered more than \$250 million for investors, including: *City of Westland Police & Fire Ret. Sys. v. Metlife, Inc.* (\$84 million recovery); *Garden City Emps.' Ret. Sys. v. Psychiatric Sols., Inc.* (\$65 million recovery); *In re PMI Grp., Inc. Sec. Litig.* (\$31.25 million recovery); *Xiang v. Inovalon Holdings Inc.* (\$17 million recovery); *Cunha v. Hansen Natural Corp.* (\$16.25 million recovery); *In re Accuray Inc. Sec. Litig.* (\$13.5 million recovery); *Twinde v. Threshold Pharms., Inc.* (\$10 million recovery); *In re Impax Laboratories Inc. Securities Litigation* (\$9 million recovery); and *In re Ubiquiti Networks Inc.* (\$6.8 million recovery).

Daniel was a member of the litigation team that secured a historic recovery on behalf of Trump University students in two class actions against President Donald J. Trump. The settlement provides \$25 million to approximately 7,000 consumers. This result means individual class members are eligible for upwards of \$35,000 in restitution. He represented the class on a *pro bono* basis.

Daniel has been named to *Benchmark Litigation's* 40 & Under Hot List and its Future Star list, as well as the *Daily Journal's* Top 40 Under 40 list for 2017, all of which honor exceptional partners aged 40 or younger for the high quality of their litigation work. He has also been selected by Northern California's *Super Lawyers Magazine* as a Rising Star in the area of securities litigation.

Daniel earned his Bachelor of Arts degree in Economics from Pomona College and his Juris Doctor degree from the University of San Francisco School of Law, where he earned an Intellectual Property Certificate, with Honors, with a focus on trademark and copyright law, and served as a member of the *Intellectual Property Law Bulletin*. Daniel also received a Master of Laws in Taxation from the New York University School of Law.

Education:

New York University School of Law, LL.M. in Taxation, 2007; University of San Francisco School of Law, J.D., 2006; Pomona College, B.A., 2002

Honors/Awards:

Future Star, *Benchmark Litigation*, 2018-2020; 40 & Under Hot List, *Benchmark Litigation*, 2016-2020; Top 40 Under 40, *Daily Journal*, 2017; Rising Star, *Super Lawyers Magazine*, 2013-2017

Darren J. Robbins

Darren Robbins is a founding partner of the Firm. Over the last two decades, Mr. Robbins has served as lead counsel in more than 100 securities class actions and has recovered billions of dollars for investors. Mr. Robbins recently served as lead counsel in *In re Am. Realty Cap. Props., Inc. Litig.*, a securities class action arising out of improper accounting practices, recovering more than \$1 billion for class members. The *American Realty* settlement represents the largest recovery

as a percentage of damages of any major class action brought pursuant to the Private Securities Litigation Reform Act of 1995 and resolved prior to trial. The \$1+ billion settlement included the largest personal contributions (\$237.5 million) ever made by individual defendants to a securities class action settlement.

Mr. Robbins also led the Firm's prosecution of wrongdoing related to the sale of residential mortgage-backed securities (RMBS) prior to the global financial crisis, including an RMBS securities class action against Goldman Sachs that yielded a \$272 million recovery for investors. Mr. Robbins served as co-lead counsel in connection with a \$627 million recovery for investors in *In re Wachovia Preferred Sec. & Bond/Notes Litig.*, one of the largest securities class action settlements ever involving claims brought solely under the Securities Act of 1933.

One of the hallmarks of Mr. Robbins' practice has been his focus on corporate governance reform. In *UnitedHealth*, a securities fraud class action arising out of an options backdating scandal, Mr. Robbins represented lead plaintiff CalPERS and obtained the cancellation of more than 3.6 million stock options held by the company's former CEO and secured a record \$925 million cash recovery for shareholders. He also negotiated sweeping corporate governance reforms, including the election of a shareholder-nominated director to the company's board of directors, a mandatory holding period for shares acquired via option exercise, and compensation reforms that tied executive pay to performance. Recently, Mr. Robbins led a shareholder derivative action brought by several pension funds on behalf of Community Health Systems, Inc. that yielded a \$60 million payment to Community Health as well as corporate governance reforms that included two shareholder-nominated directors, the creation and appointment of a Healthcare Law Compliance Coordinator, the implementation of an executive compensation clawback in the event of a restatement, the establishment of an insider trading controls committee, and the adoption of a political expenditure disclosure policy.

Education:

B.S., University of Southern California, 1990; M.A., University of Southern California, 1990; J.D., Vanderbilt Law School, 1993

Honors/Awards:

Lawyer of the Year: Litigation – Securities, *Best Lawyers*®, 2023; Best Lawyer in America, *Best Lawyers*®, 2010-2023; Leading Lawyer, *The Legal 500*, 2020-2022; Leading Lawyer, *Chambers USA*, 2014-2022; California Lawyer of the Year, *Daily Journal*, 2022; Top 50 Lawyers in San Diego, *Super Lawyers Magazine*, 2015, 2021; Litigator of the Week, *The American Lawyer*, 2021; Southern California Best Lawyer, *Best Lawyers*®, 2012-2021; Local Litigation Star, *Benchmark Litigation*, 2013-2018, 2020; Recommended Lawyer, *The Legal 500*, 2011, 2017, 2019; Benchmark California Star, *Benchmark Litigation*, 2019; State Litigation Star, *Benchmark Litigation*, 2019; Lawyer of the Year, *Best Lawyers*®, 2017; Influential Business Leader, *San Diego Business Journal*, 2017; Litigator of the Year, *Our City San Diego*, 2017; One of the Top 100 Lawyers Shaping the Future, *Daily Journal*; One of the “Young Litigators 45 and Under,” *The American Lawyer*; Attorney of the Year, *California Lawyer*; Managing Editor, *Vanderbilt Journal of Transnational Law*, Vanderbilt Law School

Shawn A. Williams

Shawn Williams, a founding partner of the Firm, is the managing partner of the Firm's San Francisco office and a member of the Firm's Management Committee. Shawn specializes in complex commercial litigation focusing on securities litigation, and has served as lead counsel in a range of actions resulting in more than a billion dollars in recoveries. For example, Shawn was among lead counsel in *In re Facebook Biometric Info. Privacy Litig.*, charging Facebook with violations of the Illinois Biometric Information Privacy Act, resulting in a \$650 million recovery for injured Facebook users, the largest ever privacy class action.

Shawn led the team of Robbins Geller attorneys in the investigation and drafting of comprehensive securities fraud claims in *Hefler v. Wells Fargo & Co.*, alleging widespread opening of unauthorized and undisclosed customer accounts. The *Hefler* action resulted in the recovery of \$480 million for Wells Fargo investors. In *City of Westland Police & Fire Ret. Sys. v. Metlife, Inc.*, Shawn led the Firm's team of lawyers alleging MetLife's failure to disclose and account for the scope of its use and non-use of the Social Security Administration Death Master File and its impact on MetLife's financial statements. The *Metlife* action resulted in a recovery of \$84 million. Shawn also served as lead counsel in the following actions resulting in significant recoveries: *Chicago Laborers Pension Fund v. Alibaba Grp. Holding Ltd.* (\$75 million recovery); *In re Krispy Kreme Doughnuts, Inc. Sec. Litig.* (\$75 million recovery); *In re Medtronic, Inc. Sec. Litig.* (\$43 million recovery); *In re Cadence Design Sys., Inc. Sec. Litig.* (\$38 million recovery); and *City of Sterling Heights Gen. Emps'. Ret. Sys. v. Prudential Fin., Inc.* (\$33 million recovery).

Shawn is also a member of the Firm's Shareholder Derivative Practice Group which has secured tens of millions of dollars in cash recoveries and comprehensive corporate governance reforms in a number of high-profile cases including: *In re McAfee, Inc. Derivative Litig.*; *In re Marvell Tech. Grp. Ltd. Derivative Litig.*; *In re KLA-Tencor Corp. S'holder Derivative Litig.*; *The Home Depot, Inc. Derivative Litig.*; and *City of Westland Police & Fire Ret. Sys. v. Stumpf (Wells Fargo & Co.)*.

Shawn led multiple shareholder actions in which the Firm obtained favorable appellate rulings, including: *W. Va. Pipe Trades Health & Welfare Fund v. Medtronic, Inc.*, 845 F.3d 384 (8th Cir. 2016); *Knollenberg v. Harmonic, Inc.*, 152 F. App'x 674 (9th Cir. 2005); *Nursing Home Pension Fund, Local 144 v. Oracle Corp.*, 380 F.3d 1226 (9th Cir. 2004); *Lynch v. Rawls*, 429 F. App'x 641 (9th Cir. 2011); and *Barrie v. Intervoice-Brite, Inc.*, 409 F.3d 653 (5th Cir. 2005).

Before joining the Firm in 2000, Shawn served for 5 years as an Assistant District Attorney in the Manhattan District Attorney's Office, where he tried over 20 cases to New York City juries.

Education:

University of Illinois, J.D., 1995; The State University of New York at Albany, B.A., 1991

Honors/Awards:

Best Lawyer in America, *Best Lawyers*®, 2022-2023; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Top Plaintiff Lawyer, *Daily Journal*, 2022; Most Influential Black

Lawyers, *Savoy*, 2022; Leading Lawyer in America, *Lawdragon*, 2018-2022; Best Lawyer in America, *Best Lawyers*®, 2022; Top 100 Lawyer, *Daily Journal*, 2019, 2021; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2021; Super Lawyer, *Super Lawyers Magazine*, 2014-2017, 2020-2021; California Trailblazer, *The Recorder*, 2019; Titan of the Plaintiffs Bar, *Law360*, 2019; Plaintiffs' Lawyer Trailblazer, *The National Law Journal*, 2019; Board Member, California Bar Foundation, 2012-2014

ASSOCIATES

Patton L. Johnson

Patton Johnson is an associate in Robbins Geller Rudman & Dowd LLP's San Diego office, where he focuses on securities class actions on behalf of investors seeking redress for financial fraud.

Patton has substantial experience in commercial transactions, securities, investment products, and financial markets. He previously represented institutional clients in connection with complex financing transactions, and before practicing law he worked at an investment bank in New York and Hong Kong.

Patton graduated with a Juris Doctor degree from the University of California, Hastings College of the Law, and interned with Justice Ming W. Chin at the Supreme Court of California. He holds a Bachelor of Science degree in finance from the University of Colorado, Boulder.

Education:

University of California, Hastings College of the Law, J.D., 2017, *magna cum laude* (Articles Editor, *Hastings Law Journal*); University of Colorado, Boulder, B.S., 2005, Finance

Armen Zohrabian

Armen Zohrabian was an associate in the Firm's San Francisco office, where his practice focused on class action securities litigation. Prior to joining the Firm, Mr. Zohrabian was an associate in the San Francisco office of a large international law firm, where his practice focused on commercial litigation. Mr. Zohrabian earned a B.A. with honors in Politics and Economics from Wake Forest University in 1999. Mr. Zohrabian earned his Juris Doctor degree from the University of California at Berkeley School of Law, Boalt Hall, with a Certificate in Law and Technology in 2003.

OF COUNSEL

Tricia McCormick

Tricia McCormick is Of Counsel in Robbins Geller Rudman & Dowd LLP's San Diego office. Her practice focuses primarily on the prosecution of securities class actions.

Tricia has litigated numerous cases against public companies in the state and federal courts which resulted in hundreds of millions of dollars in recoveries to investors. She is also a member of a

team that is in constant contact with clients who wish to become actively involved in the litigation of securities fraud. In addition, Tricia is active in all phases of the Firm's lead plaintiff motion practice.

Tricia has been instrumental in securing appointment of the Firm's clients as lead plaintiff in dozens of cases across the country which have resulted in significant recoveries for the classes, including: *Hsu v. Puma Biotechnology*, No. SACV15-0865 (C.D. Cal.); *Macomb Cty. Emps.' Ret. Sys. v. Massey Energy Co.*, No. 5:10-cv-00689 (S.D. W.Va.); *Garden City Emps.' Ret. Sys. v. Psychiatric Sols., Inc.*, No. 3:09-cv-00882 (M.D. Tenn.); *In re Doral Fin. Corp. Sec. Litig.*, No. 1:05-md-01706 (S.D.N.Y.); *Johnson v. Wireless Facilities, Inc.*, No. 3:07-cv-00482 (S.D. Cal.); *Molycorp S'holder Grp. v. Molycorp, Inc.*, No. 1:12-cv-00292 (D. Colo.); *Staehr v. Hartford Fin. Servs. Grp., Inc.*, No. 3:04-cv-01740 (D. Conn.); *Maiden v. Merge Techs., Inc.*, No. 2:06-cv-00349 (E.D. Wis.); *W. Palm Beach Firefighters' Pension Fund v. Startek, Inc.*, No. 1:05-cv-01265 (D. Colo.); *In re: United Rentals, Inc. Sec. Litig.*, No. 3:04-cv-01615 (D. Conn.); and *Pius v. Watchguard Technologies Inc.*, No. 2:05-cv-00678 (W.D. Wash.).

Tricia has also secured appointment of the Firm's clients as lead plaintiff in numerous recently filed or pending actions, including *In re Banc of Cal. Sec. Litig.*, No. 8:17-cv-00118 (C.D. Cal.); *Micholle v. Ophthotech Corp.*, No. 1:17-cv-00210 (S.D.N.Y.); *Isolde v. Trinity Indus., Inc.*, No. 3:15-cv-02093 (N.D. Tex.); *Hessefort v. Super Micro Computer, Inc.*, No. 3:18-cv-00838 (N.D. Cal.); *Plymouth Cty. Ret. Sys. v. Patterson Cos., Inc.*, No. 0:18-cv-00871 (D. Minn.); *Danker v. Papa John's Int'l, Inc.*, No. 1:18-cv-07927 (S.D.N.Y.); and *Hustig v. Obalon Therapeutics, Inc.*, No. 3:18-cv-00352 (S.D. Cal.).

Tricia graduated with a Bachelor of Arts degree with High Distinction from the University of Michigan and a Juris Doctor degree from the University of San Diego, graduating *cum laude*.

Education:

University of San Diego, J.D., 1998, *cum laude*; University of Michigan, B.A., 1995

PROJECT ATTORNEYS

Joshua M. Boston

Agency: Special Counsel, now Consilio, July 2021 to February 2022

Joshua Boston was a project attorney working remotely for seven months.

Education:

B.A., History, Carnegie Mellon University, December 2010

J.D., University of Pittsburgh School of Law, May 2014

SUMMER ASSOCIATES

Brandon N. Lee

Brandon Lee was a Summer Associate in the Firm's San Francisco office during the summer of 2019.

Education:

B.A., History, California State University, Fresno, 2013

J.D., University of California, Hastings College of the Law, 2020

SUPPORT STAFF

Paralegals

Sierra S. Bloyd

Sierra Bloyd has been a Paralegal in the Firm's San Francisco office for 4 years. Ms. Bloyd earned her B.A. in Sociocultural Anthropology and Communications from University of California, Davis in 2010, received a Multiple-Subject Teaching Credential from California Polytechnic State University, San Luis Obispo in 2013, and obtained a Paralegal Certificate from University of California, San Diego in 2018.

Michelle Burch

Michelle Burch was a paralegal in the Firm's San Francisco office between September 2018 and August 2021. Ms. Burch received her B.A. in English from the University of San Diego in 2006 and obtained a Paralegal Certificate from University of San Diego in 2002.

Kristi Johnson

Kristi Johnson was a paralegal in the Firm's San Diego office for three years. Ms. Johnson earned a B.A. in Political Science from Gonzaga University in 2018, and obtained a Paralegal Certificate from the University of San Diego in 2019.

Morgan Kuwashima

Morgan Kuwashima was a paralegal in the Firm's San Francisco office for two years. Ms. Kuwashima earned a B.A. in Communications from the University of California, San Diego in 2018, and obtained a Paralegal Certificate from the University of California, San Diego Extension in 2018.

Annaliese Martis

Annaliese Martis was a Paralegal in the Firm's San Francisco office from May 2019 to August 2020. Ms. Martis received her B.S. in Economics from University of California, Davis in 2018. She obtained a Paralegal Certificate from University of California, Berkeley in 2019.

Sarah J. Meyers

Sarah Meyers is and has been a Paralegal in the Firm's San Diego office since 2000. Meyers received her B.A. in History from San Diego State University in 1999 and obtained a Paralegal Certificate from University of San Diego in 1999.

Sarah A. Morris

Sarah Morris is and has been a paralegal in the Firm's San Francisco office since February 2014. Ms. Morris earned a BA in English Literature, University of California, Los Angeles (UCLA), in 1996 and a ABA-approved Paralegal Studies Certificate, from San Francisco State University (SFSU) in 2014.

Cassandra Reis

Cassandra Reis is and has been a paralegal in the Firm's San Diego office for four years. Ms. Reis earned a B.S. in Psychology from Nevada State College in 2008, and obtained a Paralegal Certificate from the University of San Diego in 2017.

Jaclyn Williams

Jaclyn Williams has been a paralegal in the Firm's San Diego office for 18 years. Ms. Williams earned a B.A. in Political Science from the University of California, San Diego in 2001, and obtained a paralegal certificate from the University of California, San Diego in 2004.

Document Clerks

Kenia Duran Garcia

Kenia Duran-Garcia was a Document Clerk in the Firm's San Francisco office between August 2021 and March 2022. Ms. Duran-Garcia earned a B.A. in Social Welfare and an A.A. in Ethnic Studies from the University of California, Berkeley in 2020.

Steffani V. Gaona

Steffani Gaona is and has been a Document Clerk in the Firm's San Francisco office since September 2021. Ms. Gaona earned a B.A., Philosophy, San Francisco State University in May 2020.

Sydney A. Shaffer

Sydney Shaffer was a Document Clerk in the Firm's San Francisco office from October 2017 to July 2019. Ms. Shaffer received a B.A. in Communication and Technology Management from the University of California, Santa Barbara in 2017.

Forensic Accountant

Brad C. Sader

Mr. Sader is a Forensic Accountant in the Firm's San Diego office. Mr. Sader is a Certified Public Accountant ("CPA"), a Certified Fraud Examiner ("CFE") and also holds the Certified Financial Forensics credential. Mr. Sader earned a B.Acc. of Accountancy from the University of San Diego in 2003. Prior to joining the firm Mr. Sader was Sr. Auditor at a big five accounting firm from 2003 to 2006.

Investigator

Kelley Brandon

Kelley Brandon has been an investigator in the Firm's San Diego office for 22 years. Mr. Brandon earned a B.S. in Accounting/Finance from California Polytechnic State University, San Luis Obispo in 1988, and a J.D. from Pepperdine University School of Law in 1994.

Economic/Damage Analysts

Anthony Barhoum

Anthony Barhoum has been an Economic Analyst in the Firm's San Diego office for 18 years. Mr. Barhoum earned a B.S. in Finance from San Diego State University in 1991.

Reggie Cabusao

Reggie Cabusao has been a Damages Analyst in the Firm's San Diego office for 12 years. Mr. Cabusao earned a B.A. in Economics from the University of San Diego in 2007.

Boris Uralets

Boris Uralets was an Economic Analyst in the Firm's San Diego office between May 2005 and December 2017. Mr. Uralets received his B.Acc. from the University of San Diego in 2002 and became a Certified Public Accountant in 2003.

Frank E. Villalovas

Frank Villalovas is the Firm's lead Damages Analyst responsible for calculating the losses for the Firm's clients during the lead plaintiff process. Mr. Villalovas also supervises the professionals and day-to-day operations of the Firm's Portfolio Monitoring Program® and the Firm's claims filing services. Mr. Villalovas earned a B.A. from San Diego State University May 1987 and a paralegal certificate from the University of San Diego in December 1987.

Research Analyst

Scott Roelen

Scott Roelen has been a Research Analyst in the Firm's San Diego office for 18 years. Mr. Roelen earned a B.A. in Organizational Studies from Pitzer College in 1993.

Litigation Support

Bailey Magos

Bailey Magos has been a Document Clerk and a member of the Firm's Litigation Support staff in the San Francisco office since July 2017. Ms. Magos earned a B.A. in Criminal Justice studies from San Francisco State in 2016.

EXHIBIT 4

EXHIBIT 4

Hessefort v. Super Micro Computer, Inc., et al., No. 4:18-cv-00838-JST
 Category Lodestar Chart by Timekeeper by Month

Firm Name: Robbins Geller Rudman & Dowd LLP
 Reporting Period: Inception through December 15, 2022

Categories:

- | | | |
|--|---|---|
| (1) Factual Investigation | (7) Motion to Dismiss | (13) Fact Depositions |
| (2) Legal Research | (8) Class Certification & Notice | (14) Experts, Consultants & Investigators |
| (3) Financial Research | (9) Discovery | (15) Mediation/Settlement Negotiations and Documentation, Approval and Administration |
| (4) Litigation Strategy & Analysis | (10) Discovery Motion Practice | (16) Court Appearance & Preparation |
| (5) Draft Initial or Amended Complaint | (11) Document Review | (17) Client/Shareholder Communication |
| (6) Lead Plaintiff Motion | (12) Other Pleadings, Briefs and Pretrial Motions | (18) Case/File Organization, Litigation File Support Tasks |

Name	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	Hours	Historical Rate	Historical Lodestar	2022 Rate	2022 Lodestar
Alba, Mario (Partner)	1.20	0.00	0.00	9.00	2.70	0.00	2.80	0.00	3.70	0.00	0.00	0.00	6.00	0.00	2.50	0.00	21.70	0.00	49.60		\$ 42,452.00		\$ 44,144.00
February 2018				5.00													1.50		6.50	790	\$ 5,135.00	890	\$ 5,785.00
March 2018																	1.50		1.50	790	\$ 1,185.00	890	\$ 1,335.00
May 2018																	1.50		1.50	790	\$ 1,185.00	890	\$ 1,335.00
August 2018																	0.30		0.30	790	\$ 237.00	890	\$ 267.00
August 2019																	2.10		2.10	830	\$ 1,743.00	890	\$ 1,869.00
October 2019							0.20										0.20		0.40	830	\$ 332.00	890	\$ 356.00
November 2019				0.20													0.30		0.50	830	\$ 415.00	890	\$ 445.00
February 2020				0.50													0.30		0.80	870	\$ 696.00	890	\$ 712.00
March 2020				1.30													1.30		1.30	870	\$ 1,131.00	890	\$ 1,157.00
April 2020					1.50												0.50		2.00	870	\$ 1,740.00	890	\$ 1,780.00
July 2020																	0.30		0.30	870	\$ 261.00	890	\$ 267.00
August 2020	1.20																		1.20	870	\$ 1,044.00	890	\$ 1,068.00
September 2020				0.30													0.20		0.50	870	\$ 435.00	890	\$ 445.00
October 2020					1.20			1.30									0.50		3.00	870	\$ 2,610.00	890	\$ 2,670.00
November 2020								1.30									0.20		1.50	870	\$ 1,305.00	890	\$ 1,335.00
January 2021																	5.00		5.00	870	\$ 4,350.00	890	\$ 4,450.00
February 2021																	0.60		0.60	870	\$ 522.00	890	\$ 534.00
April 2021				0.50													0.50		1.00	870	\$ 870.00	890	\$ 890.00
June 2021										1.20							0.50		1.70	870	\$ 1,479.00	890	\$ 1,513.00
July 2021									2.50								0.50		3.00	870	\$ 2,610.00	890	\$ 2,670.00
August 2021				1.20													0.50		1.70	870	\$ 1,479.00	890	\$ 1,513.00
October 2021																	0.50		0.50	870	\$ 435.00	890	\$ 445.00
November 2021																	2.50		2.50	870	\$ 2,175.00	890	\$ 2,225.00
January 2022															2.50		0.50		6.50	890	\$ 5,785.00	890	\$ 5,785.00
February 2022																	0.80		0.80	890	\$ 712.00	890	\$ 712.00
March 2022																	1.40		1.40	890	\$ 1,246.00	890	\$ 1,246.00
April 2022																	0.50		0.50	890	\$ 445.00	890	\$ 445.00
July 2022																	0.50		0.50	890	\$ 445.00	890	\$ 445.00
October 2022																	0.50		0.50	890	\$ 445.00	890	\$ 445.00
Cochran, Brian E. (Partner)	6.25	0.00	2.00	1.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	3.50	0.00	12.75		\$ 6,307.50		\$ 9,817.50
October 2017	1.00																		1.00	485	\$ 485.00	770	\$ 770.00
November 2017	1.50		2.00																3.50	485	\$ 1,697.50	770	\$ 2,695.00
February 2018	3.75			0.50															7.75	500	\$ 3,875.00	770	\$ 5,967.50
March 2018				0.50															0.50	500	\$ 250.00	770	\$ 385.00
Gusikoff Stewart, Ellen A. (Partner)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	59.10	0.00	0.00	0.00	59.10		\$ 63,828.00		\$ 63,828.00
January 2022															1.00				1.00	1,080	\$ 1,080.00	1,080	\$ 1,080.00
February 2022															2.00				2.00	1,080	\$ 2,160.00	1,080	\$ 2,160.00
March 2022															43.00				43.00	1,080	\$ 46,440.00	1,080	\$ 46,440.00
April 2022															11.80				11.80	1,080	\$ 12,744.00	1,080	\$ 12,744.00
November 2022															1.30				1.30	1,080	\$ 1,404.00	1,080	\$ 1,404.00
Pfefferbaum, Daniel J. (Partner)	53.95	34.80	0.00	93.60	375.85	1.80	377.75	32.10	300.10	0.00	64.10	40.20	50.10	12.90	121.30	110.70	6.10	0.00	1,675.35		\$ 1,360,516.00		\$ 1,424,047.50
May 2018	11.10			5.80	17.90	1.80						1.50				6.30			44.40	740	\$ 32,856.00	850	\$ 37,740.00
June 2018	1.00			6.80	2.50							0.20							10.50	740	\$ 7,770.00	850	\$ 8,925.00
August 2018	2.80				11.80							3.50							18.10	740	\$ 13,394.00	850	\$ 15,385.00
September 2018					79.95														79.95	740	\$ 59,163.00	850	\$ 67,957.50
October 2018	3.20			4.00															7.20	740	\$ 5,328.00	850	\$ 6,120.00
November 2018	1.80			1.50								2.40							5.70	740	\$ 4,218.00	850	\$ 4,845.00
December 2018	2.00																		2.00	740	\$ 1,480.00	850	\$ 1,700.00
January 2019					39.60														39.60	790	\$ 31,284.00	850	\$ 33,660.00
February 2019	2.60			2.50															5.10	790	\$ 4,029.00	850	\$ 4,335.00
March 2019		7.40										22.75							30.15	790	\$ 23,818.50	850	\$ 25,627.50
April 2019		2.50		0.80								75.55							78.85	790	\$ 62,291.50	850	\$ 67,022.50
May 2019	9.05			7.35															23.00	790	\$ 18,170.00	850	\$ 19,550.00
June 2019					76.70							0.80							77.50	790	\$ 61,225.00	850	\$ 65,875.00

Name	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	Hours	Historical Rate	Historical Lodestar	2022 Rate	2022 Lodestar
July 2019		10.40					11.75					3.50							25.65	790	\$ 20,263.50	850	\$ 21,802.50
August 2019				2.15			55.80												57.95	790	\$ 45,780.50	850	\$ 49,257.50
September 2019		3.50					3.20												6.70	790	\$ 5,293.00	850	\$ 5,695.00
October 2019							38.70					1.30							40.00	790	\$ 31,600.00	850	\$ 34,000.00
November 2019	2.70	3.70																	6.40	790	\$ 5,056.00	850	\$ 5,440.00
December 2019																			26.60	790	\$ 21,014.00	850	\$ 22,610.00
January 2020																			48.40	830	\$ 40,172.00	850	\$ 41,140.00
February 2020		2.40		7.50					10.90						1.70	8.50			31.00	830	\$ 25,730.00	850	\$ 26,350.00
March 2020				19.30	11.90		7.70		1.10						1.50				41.50	830	\$ 34,445.00	850	\$ 35,275.00
April 2020	11.00				104.80							1.70							117.50	830	\$ 97,525.00	850	\$ 99,875.00
June 2020	1.20						7.10												8.30	830	\$ 6,889.00	850	\$ 7,055.00
July 2020		4.90					84.30												89.20	830	\$ 74,036.00	850	\$ 75,820.00
August 2020	5.50						9.80					5.30							20.60	830	\$ 17,098.00	850	\$ 17,510.00
September 2020				28.20	1.40		7.80					5.30				18.70			61.40	830	\$ 50,962.00	850	\$ 52,190.00
October 2020					29.30		12.40												41.70	830	\$ 34,611.00	850	\$ 35,445.00
November 2020							40.90												40.90	830	\$ 33,947.00	850	\$ 34,765.00
February 2021												1.20							1.20	830	\$ 996.00	850	\$ 1,020.00
March 2021									5.50								0.30		5.80	830	\$ 4,814.00	850	\$ 4,930.00
April 2021			0.40				15.90				1.20					2.20			19.70	830	\$ 16,351.00	850	\$ 16,745.00
May 2021									35.90										35.90	830	\$ 29,797.00	850	\$ 30,515.00
June 2021							22.00										0.30		22.30	830	\$ 18,509.00	850	\$ 18,955.00
July 2021				1.20					32.50		9.60			0.60					43.90	830	\$ 36,437.00	850	\$ 37,315.00
August 2021				1.30			23.70	39.10		23.70				1.10	3.20				94.00	830	\$ 78,020.00	850	\$ 79,900.00
September 2021				2.70			7.60	38.90		21.30					2.10				72.80	830	\$ 60,424.00	850	\$ 61,880.00
October 2021				1.30				19.30		5.40	5.20								31.20	830	\$ 25,896.00	850	\$ 26,520.00
November 2021				0.80				13.40								69.50			83.70	830	\$ 69,471.00	850	\$ 71,145.00
December 2021							26.10				0.50	42.60			20.50				89.90	830	\$ 74,617.00	850	\$ 76,415.00
January 2022							0.80	33.00		3.10		6.70	11.20		2.60				60.60	850	\$ 51,510.00	850	\$ 51,510.00
February 2022								6.50		1.00		0.80			3.60				11.90	850	\$ 10,115.00	850	\$ 10,115.00
March 2022															7.20				7.20	850	\$ 6,120.00	850	\$ 6,120.00
April 2022																1.60			1.60	850	\$ 1,360.00	850	\$ 1,360.00
November 2022																2.00			2.00	850	\$ 1,700.00	850	\$ 1,700.00
December 2022																5.80			5.80	850	\$ 4,930.00	850	\$ 4,930.00
Robbins, Darren J. (Partner)	0.00	0.00	0.00	1.25	0.00	4.15	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	5.40		\$ 5,562.00		\$ 7,290.00
March 2018				1.25			0.50												1.75	1,030	\$ 1,802.50	1,350	\$ 2,362.50
April 2018							3.65												3.65	1,030	\$ 3,759.50	1,350	\$ 4,927.50
Williams, Shawn A. (Partner)	9.70	1.50	2.80	21.50	5.85	0.00	7.60	6.60	17.90	0.00	2.80	1.50	2.60	3.20	32.30	0.00	1.00	0.00	116.85		\$ 125,527.50		\$ 134,377.50
June 2018				2.00															2.00	950	\$ 1,900.00	1,150	\$ 2,300.00
August 2018	3.00				0.45														3.45	950	\$ 3,277.50	1,150	\$ 3,967.50
September 2018			0.30	5.50	2.40														8.20	950	\$ 7,790.00	1,150	\$ 9,430.00
October 2018				7.00															7.00	950	\$ 6,650.00	1,150	\$ 8,050.00
March 2019							1.50												1.50	1,050	\$ 1,575.00	1,150	\$ 1,725.00
May 2019	2.00																		2.00	1,050	\$ 2,100.00	1,150	\$ 2,300.00
June 2019			0.20																0.20	1,050	\$ 210.00	1,150	\$ 230.00
July 2019				0.30			1.00												1.30	1,050	\$ 1,365.00	1,150	\$ 1,495.00
August 2019							1.10												1.10	1,050	\$ 1,155.00	1,150	\$ 1,265.00
February 2020															1.00				1.00	1,100	\$ 1,100.00	1,150	\$ 1,150.00
March 2020				1.00			1.50							0.10					2.60	1,100	\$ 2,860.00	1,150	\$ 2,990.00
April 2020	1.40	1.50			2.00														4.90	1,100	\$ 5,390.00	1,150	\$ 5,635.00
August 2020	1.50						0.50												2.00	1,100	\$ 2,200.00	1,150	\$ 2,300.00
October 2020					1.00														1.00	1,100	\$ 1,100.00	1,150	\$ 1,150.00
November 2020							0.50												0.50	1,100	\$ 550.00	1,150	\$ 575.00
March 2021							1.50												1.50	1,100	\$ 1,650.00	1,150	\$ 1,725.00
April 2021	1.80		0.30							1.70									3.80	1,100	\$ 4,180.00	1,150	\$ 4,370.00
May 2021										5.60									5.60	1,100	\$ 6,160.00	1,150	\$ 6,440.00
June 2021				1.40						2.00									3.40	1,100	\$ 3,740.00	1,150	\$ 3,910.00
July 2021				1.30						1.00									2.30	1,100	\$ 2,530.00	1,150	\$ 2,645.00
August 2021				1.00			6.00	1.00						1.80	5.00				15.80	1,100	\$ 17,380.00	1,150	\$ 18,170.00
September 2021			2.00				0.60	1.40		1.70				1.20					6.90	1,100	\$ 7,590.00	1,150	\$ 7,935.00
October 2021				2.00				3.50			0.50				0.10				6.10	1,100	\$ 6,710.00	1,150	\$ 7,015.00
November 2021								0.60							8.80				9.40	1,100	\$ 10,340.00	1,150	\$ 10,810.00
December 2021								1.10				1.00	2.60		10.70				15.40	1,100	\$ 16,940.00	1,150	\$ 17,710.00
January 2022										1.10					0.10	1.50			2.70	1,150	\$ 3,105.00	1,150	\$ 3,105.00
February 2022															1.40				1.40	1,150	\$ 1,610.00	1,150	\$ 1,610.00
March 2022															3.30				3.30	1,150	\$ 3,795.00	1,150	\$ 3,795.00
November 2022															0.50				0.50	1,150	\$ 575.00	1,150	\$ 575.00
Johnson, Patton L. (Associate)	5.40	20.05	3.40	14.70	175.65	0.00	427.85	24.90	257.20	11.90	79.90	19.00	52.50	7.00	77.40	1.00	0.00	0.00	1,177.85		\$ 523,418.75		\$ 559,478.75
February 2019	3.80			8.70															12.50	425	\$ 5,312.50	475	\$ 5,937.50
March 2019		3.50					110.70												114.20	425	\$ 48,535.00	475	\$ 54,245.00
April 2019		16.55					107.65												124.20	425	\$ 52,785.00	475	\$ 58,995.00
May 2019	1.60																		1.60	425	\$ 680.00	475	\$ 760.00

Name	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	Hours	Historical Rate	Historical Lodestar	2022 Rate	2022 Lodestar
August 2019							1.00												1.00	150	\$ 150.00	230	\$ 230.00
May 2021											2.00								2.00	220	\$ 440.00	230	\$ 460.00
July 2021											7.00								7.00	220	\$ 1,540.00	230	\$ 1,610.00
August 2021										1.00									18.00	220	\$ 3,960.00	230	\$ 4,140.00
September 2021											10.00							11.00	21.00	220	\$ 4,620.00	230	\$ 4,830.00
October 2021											18.00								23.00	220	\$ 5,060.00	230	\$ 5,290.00
November 2021									33.00		4.00								45.00	220	\$ 9,900.00	230	\$ 10,350.00
December 2021									7.00		1.00								9.00	220	\$ 1,980.00	230	\$ 2,070.00
January 2022											4.00								13.00	17.00	\$ 3,910.00	230	\$ 3,910.00
February 2022											1.00		4.00						7.00	230	\$ 1,610.00	230	\$ 1,610.00
Lee, Brandon N. (Summer Associate)	6.30	47.60	0.00	3.20	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	57.10		\$ 9,992.50		\$ 9,992.50
June 2019	6.30	0.70		1.20															8.20	175	\$ 1,435.00	175	\$ 1,435.00
August 2019		46.90		2.00															48.90	175	\$ 8,557.50	175	\$ 8,557.50
Bloyd, Sierra S. (Paralegal)	3.00	1.60	0.00	0.00	7.90	0.00	36.30	34.50	106.10	2.80	1.20	0.50	3.30	1.00	23.90	0.00	0.00	3.60	225.70		\$ 65,555.00		\$ 78,995.00
January 2019					1.40														1.40	275	\$ 385.00	350	\$ 490.00
April 2019									21.00										21.00	275	\$ 5,775.00	350	\$ 7,350.00
June 2019					6.50														6.50	275	\$ 1,787.50	350	\$ 2,275.00
July 2019												0.50							0.50	275	\$ 137.50	350	\$ 175.00
August 2019										15.30									15.30	275	\$ 4,207.50	350	\$ 5,355.00
June 2020																		0.40	0.40	275	\$ 110.00	350	\$ 140.00
August 2021								26.50											26.50	275	\$ 7,287.50	350	\$ 9,275.00
September 2021								8.00	27.10					1.00					36.10	275	\$ 9,927.50	350	\$ 12,635.00
October 2021									12.70		1.20								13.90	275	\$ 3,822.50	350	\$ 4,865.00
November 2021									20.90						22.50				43.80	275	\$ 12,045.00	350	\$ 15,330.00
December 2021									13.80										13.80	275	\$ 3,795.00	350	\$ 4,830.00
January 2022	3.00	1.60							21.20	2.80									30.10	350	\$ 10,535.00	350	\$ 10,535.00
February 2022									10.40					3.30					15.00	350	\$ 5,250.00	350	\$ 5,250.00
March 2022															1.40				1.40	350	\$ 490.00	350	\$ 490.00
Burch, Michelle (Paralegal)	0.00	2.80	0.00	0.00	107.20	0.00	99.90	0.00	116.90	0.00	0.00	16.05	0.00	0.00	1.50	0.00	0.00	18.00	362.35		\$ 126,673.75		\$ 126,822.50
September 2018					2.30														2.40	325	\$ 1,527.50	350	\$ 1,645.00
October 2018												0.50							0.50	325	\$ 162.50	350	\$ 175.00
November 2018												0.75							0.75	325	\$ 243.75	350	\$ 262.50
January 2019					40.50														40.50	350	\$ 14,175.00	350	\$ 14,175.00
February 2019												1.30							5.40	350	\$ 1,890.00	350	\$ 1,890.00
March 2019												1.00							1.00	350	\$ 350.00	350	\$ 350.00
April 2019		0.50						37.65					1.50						41.15	350	\$ 14,402.50	350	\$ 14,402.50
June 2019					31.90														31.90	350	\$ 11,165.00	350	\$ 11,165.00
August 2019		0.20																	0.20	350	\$ 70.00	350	\$ 70.00
October 2019							0.75					2.00							2.75	350	\$ 962.50	350	\$ 962.50
January 2020		2.10																	2.10	350	\$ 735.00	350	\$ 735.00
February 2020									0.70										0.70	350	\$ 245.00	350	\$ 245.00
April 2020					32.50														33.70	350	\$ 11,795.00	350	\$ 11,795.00
June 2020																			0.10	350	\$ 35.00	350	\$ 35.00
July 2020									31.00										31.00	350	\$ 10,850.00	350	\$ 10,850.00
August 2020												2.00							2.00	350	\$ 700.00	350	\$ 700.00
September 2020												1.00							1.00	350	\$ 350.00	350	\$ 350.00
November 2020								30.50											30.50	350	\$ 10,675.00	350	\$ 10,675.00
February 2021												6.00							6.20	350	\$ 2,170.00	350	\$ 2,170.00
April 2021											33.50								34.00	350	\$ 11,900.00	350	\$ 11,900.00
May 2021											45.40								48.40	350	\$ 16,940.00	350	\$ 16,940.00
June 2021											25.30								30.30	350	\$ 10,605.00	350	\$ 10,605.00
July 2021											9.50								9.50	350	\$ 3,325.00	350	\$ 3,325.00
August 2021											2.50				1.50				4.00	350	\$ 1,400.00	350	\$ 1,400.00
Johnson, Kristi K. (Paralegal)	0.00	0.00	0.00	0.00	15.60	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	15.60		\$ 4,290.00		\$ 5,460.00
October 2020					15.60														15.60	275	\$ 4,290.00	350	\$ 5,460.00
Kuwashima, Morgan B. (Paralegal)	0.00	0.00	0.00	0.00	0.00	0.00	16.20	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	6.90	0.00	0.00	23.10		\$ 6,352.50		\$ 8,085.00
July 2020							5.20												5.20	275	\$ 1,430.00	350	\$ 1,820.00
November 2020							11.00												11.00	275	\$ 3,025.00	350	\$ 3,850.00
November 2021															6.90				6.90	275	\$ 1,897.50	350	\$ 2,415.00
Martis, Annaliese M. (Paralegal)	0.00	0.00	0.00	0.00	27.50	0.00	45.10	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	72.60		\$ 19,965.00		\$ 19,965.00
August 2019							19.50												19.50	275	\$ 5,362.50	275	\$ 5,362.50
April 2020					27.50														27.50	275	\$ 7,562.50	275	\$ 7,562.50
July 2020									25.60										25.60	275	\$ 7,040.00	275	\$ 7,040.00
Meyers, Sarah J. (Paralegal)	0.00	0.00	0.00	0.00	0.																		

Name	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	Hours	Historical Rate	Historical Lodestar	2022 Rate	2022 Lodestar
Morris, Sarah A. (Paralegal)	0.00	0.00	0.00	0.00	67.90	0.00	0.00	0.00	9.30	0.00	0.00	13.20	0.00	0.00	0.00	0.00	0.00	0.00	90.40		\$ 28,715.00		\$ 33,900.00
May 2018												4.30							4.30	300	\$ 1,290.00	375	\$ 1,612.50
September 2018					47.90														47.90	300	\$ 14,370.00	375	\$ 17,962.50
October 2018												6.30							6.30	300	\$ 1,890.00	375	\$ 2,362.50
January 2019					14.00														14.00	350	\$ 4,900.00	375	\$ 5,250.00
May 2019												2.60							2.60	350	\$ 910.00	375	\$ 975.00
June 2019					6.00														6.00	350	\$ 2,100.00	375	\$ 2,250.00
August 2021									3.00										3.00	350	\$ 1,050.00	375	\$ 1,125.00
December 2021									6.30										6.30	350	\$ 2,205.00	375	\$ 2,362.50
Reis, Cassandra L. (Paralegal)	0.00	0.00	0.00	0.00	23.40	0.00	25.20	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	48.60		\$ 13,365.00		\$ 17,010.00
April 2019							1.50												1.50	275	\$ 412.50	350	\$ 525.00
June 2019					23.40														23.40	275	\$ 6,435.00	350	\$ 8,190.00
August 2019							23.70												23.70	275	\$ 6,517.50	350	\$ 8,295.00
Williams, Jaclyn N. (Paralegal)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	29.50	0.00	0.00	0.00	29.50		\$ 11,062.50		\$ 11,062.50
March 2022															4.00				4.00	375	\$ 1,500.00	375	\$ 1,500.00
April 2022															18.00				18.00	375	\$ 6,750.00	375	\$ 6,750.00
May 2022															0.50				0.50	375	\$ 187.50	375	\$ 187.50
November 2022															7.00				7.00	375	\$ 2,625.00	375	\$ 2,625.00
Duran Garcia, Kenia (Document Clerk)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8.50	0.00	0.00	0.00	0.00	0.00	32.00		\$ 6,075.00		\$ 6,075.00
September 2021													8.50						10.00	150	\$ 1,500.00	150	\$ 1,500.00
November 2021																			30.50	150	\$ 4,575.00	150	\$ 4,575.00
Gaona, Steffani V. (Document Clerk)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	12.00	0.00	1.50	0.00	5.50	0.00	0.00	0.00	0.00	0.00	19.00		\$ 2,850.00		\$ 2,850.00
September 2021											1.50		5.50						7.00	150	\$ 1,050.00	150	\$ 1,050.00
November 2021									12.00										12.00	150	\$ 1,800.00	150	\$ 1,800.00
Shaffer, Sydney A. (Document Clerk)	2.00	5.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	7.00		\$ 1,050.00		\$ 1,050.00
August 2018	2.00																		2.00	150	\$ 300.00	150	\$ 300.00
March 2019		5.00																	5.00	150	\$ 750.00	150	\$ 750.00
TOTAL:	178.00	143.35	124.60	226.25	1,503.05	70.20	1,605.70	98.10	927.80	15.90	1,442.40	110.95	137.00	26.30	409.80	178.40	34.60	93.60	7,326.00		\$ 4,092,287.75		\$ 4,315,767.50

EXHIBIT 5

EXHIBIT 5

Hessefort v. Super Micro Computer, Inc., et al., No. 4:18-cv-00838-JST
Category Lodestar Chart by Month

Firm Name: Robbins Geller Rudman & Dowd LLP
Reporting Period: Inception through December 15, 2022

Categories:

- | | | |
|--|---|---|
| (1) Factual Investigation | (7) Motion to Dismiss | (13) Fact Depositions |
| (2) Legal Research | (8) Class Certification & Notice | (14) Experts, Consultants & Investigators |
| (3) Financial Research | (9) Discovery | (15) Mediation/Settlement Negotiations and Documentation, Approval and Administration |
| (4) Litigation Strategy & Analysis | (10) Discovery Motion Practice | (16) Court Appearance & Preparation |
| (5) Draft Initial or Amended Complaint | (11) Document Review | (17) Client/Shareholder Communication |
| (6) Lead Plaintiff Motion | (12) Other Pleadings, Briefs and Pretrial Motions | (18) Case/File Organization, Litigation File Support Tasks |

Name	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	Hours	Historical Lodestar	2022 Lodestar
October 2017	2.80																		2.80	\$ 1,016.00	\$ 1,301.00
November 2017	2.80		15.50																18.30	\$ 7,349.75	\$ 8,347.25
February 2018	3.75		8.00	5.50														5.00	22.25	\$ 11,690.00	\$ 14,432.50
March 2018			11.25	1.75		0.50												1.50	15.00	\$ 7,325.00	\$ 8,170.00
April 2018			4.75			63.40													68.15	\$ 49,462.00	\$ 56,995.00
May 2018	11.10			5.80	17.90	6.30						6.10				61.00	1.50		109.70	\$ 82,718.50	\$ 94,900.00
June 2018	1.60	0.80		17.90	15.90							6.70							42.90	\$ 26,998.00	\$ 30,377.00
July 2018	0.10																	0.80	0.90	\$ 513.00	\$ 567.00
August 2018	7.80			5.00	54.45							3.50						0.30	71.05	\$ 44,112.50	\$ 49,655.50
September 2018	15.80		8.00	6.70	309.15													2.40	342.05	\$ 193,148.50	\$ 219,542.00
October 2018	6.10		2.40	11.00								6.80							26.30	\$ 16,487.50	\$ 19,338.50
November 2018	8.60		2.50	6.70								3.75							21.55	\$ 12,988.75	\$ 14,600.50
December 2018	5.00																		5.00	\$ 3,160.00	\$ 3,582.50
January 2019				0.70	180.90														181.60	\$ 101,096.50	\$ 107,556.50
February 2019	7.60			11.20								1.30						4.10	24.20	\$ 11,951.50	\$ 12,918.50
March 2019	1.50	19.90										1.00							169.25	\$ 83,173.50	\$ 91,549.50
April 2019		27.65		0.80								1.50						1.50	428.90	\$ 232,324.00	\$ 250,348.50
May 2019	20.45		13.20	7.35	22.90							9.20							73.10	\$ 46,931.50	\$ 50,659.50
June 2019	9.90	0.70	5.30	5.40	383.45							0.80							405.55	\$ 216,747.25	\$ 234,513.25
July 2019			10.40	0.30								4.00							33.25	\$ 25,191.00	\$ 27,115.50
August 2019			47.10	11.95															334.25	\$ 161,913.50	\$ 175,326.50
September 2019			3.50																6.70	\$ 5,293.00	\$ 5,695.00
October 2019												6.80							52.85	\$ 38,619.50	\$ 41,410.50
November 2019	2.70	3.70		0.20														0.30	6.90	\$ 5,471.00	\$ 5,885.00
December 2019																			26.60	\$ 21,014.00	\$ 22,610.00
January 2020			4.30	7.10															61.90	\$ 48,026.00	\$ 49,046.50
February 2020			2.40	7.10	8.00						5.20					4.20	15.00	0.30	66.70	\$ 47,072.00	\$ 47,920.50
March 2020	1.80		5.10	34.10	17.80						12.70				2.30	1.50			76.40	\$ 57,030.00	\$ 58,193.50
April 2020	23.00	7.10	39.20		326.40							1.10							399.10	\$ 244,799.50	\$ 250,887.00
June 2020	2.60																		129.50	\$ 65,863.00	\$ 68,806.50
July 2020	1.50	4.90		9.10								311.90							0.70	\$ 203,955.50	\$ 206,798.00
August 2020	12.70											11.50							1.10	\$ 26,382.00	\$ 27,140.50
September 2020	1.80	7.20		53.00	39.10						10.20						22.10	0.20	34.70	\$ 96,949.50	\$ 99,325.00
October 2020	1.30	1.20			135.10						37.90								176.00	\$ 109,312.50	\$ 112,821.50
November 2020											199.20								0.40	\$ 114,257.00	\$ 117,115.00
January 2021																			5.00	\$ 4,350.00	\$ 4,450.00
February 2021																			16.00	\$ 7,288.00	\$ 7,524.00
March 2021											2.60								0.60	\$ 8,774.00	\$ 9,080.00
April 2021	1.80		0.30	0.90								86.80						0.30	11.90	\$ 50,341.00	\$ 51,862.50
May 2021	1.00											107.80						3.20	95.20	\$ 64,067.00	\$ 65,607.50
June 2021				1.40								69.00							0.80	\$ 47,338.00	\$ 48,688.00
July 2021				2.50								56.10							0.50	\$ 56,552.00	\$ 58,162.50
August 2021	12.40	0.90		5.10							76.10	72.90			239.70		2.90	9.70	85.20	\$ 223,370.50	\$ 233,925.50
September 2021	0.50		2.00	2.70							21.20	127.60	1.20		331.10			0.20	517.30	\$ 257,171.50	\$ 270,120.50
October 2021	3.60			3.30							56.50	223.60			7.20			0.50	300.80	\$ 140,150.50	\$ 147,161.50
November 2021				0.80							96.50	178.80							199.00	\$ 239,158.50	\$ 251,825.00
December 2021											96.40	140.50	1.50		59.70				48.50	\$ 190,827.00	\$ 199,352.50
January 2022	5.90	1.60									0.80	100.70	7.80		170.20				347.80	\$ 179,277.50	\$ 179,277.50
February 2022	0.50										22.90	6.90			131.00				214.70	\$ 98,839.50	\$ 98,839.50
March 2022																			64.60	\$ 62,042.00	\$ 62,042.00

Name	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	Hours	Historical Lodestar	2022 Lodestar
April 2022															31.40		0.50		31.90	\$ 21,299.00	\$ 21,299.00
May 2022															0.50				0.50	\$ 187.50	\$ 187.50
July 2022																			0.50	\$ 445.00	\$ 445.00
October 2022																			0.50	\$ 445.00	\$ 445.00
November 2022															22.30				22.30	\$ 11,766.50	\$ 11,766.50
December 2022															12.80				12.80	\$ 8,255.00	\$ 8,255.00
TOTAL:	178.00	143.35	124.60	226.25	1,503.05	70.20	1,605.70	98.10	927.80	15.90	1,442.40	110.95	137.00	26.30	409.80	178.40	34.60	93.60	7,326.00	\$ 4,092,287.75	\$ 4,315,767.50

EXHIBIT 6

EXHIBIT 6

Hessefort v. Super Micro Computer, Inc., et al., No. 4:18-cv-00838-JST
 Category Lodestar Chart by Timekeeper

Firm Name: Robbins Geller Rudman & Dowd LLP
Reporting Period: Inception through December 15, 2022

Categories:

- | | | |
|--|---|---|
| (1) Factual Investigation | (7) Motion to Dismiss | (13) Fact Depositions |
| (2) Legal Research | (8) Class Certification & Notice | (14) Experts, Consultants & Investigators |
| (3) Financial Research | (9) Discovery | (15) Mediation/Settlement Negotiations and Documentation, Approval and Administration |
| (4) Litigation Strategy & Analysis | (10) Discovery Motion Practice | (16) Court Appearance & Preparation |
| (5) Draft Initial or Amended Complaint | (11) Document Review | (17) Client/Shareholder Communication |
| (6) Lead Plaintiff Motion | (12) Other Pleadings, Briefs and Pretrial Motions | (18) Case/File Organization, Litigation File Support Tasks |

Name		1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	Hours	2022 Rate	2022 Lodestar	Historical Lodestar
Alba, Mario	(P)	1.20			9.00	2.70		2.80		3.70				6.00		2.50		21.70		49.60	890	\$ 44,144.00	\$ 42,452.00
Cochran, Brian E.	(P)	6.25		2.00	1.00													3.50		12.75	770	\$ 9,817.50	\$ 6,307.50
Gusikoff Stewart, Ellen A.	(P)															59.10				59.10	1,080	\$ 63,828.00	\$ 63,828.00
Pfefferbaum, Daniel J.	(P)	53.95	34.80		93.60	375.85	1.80	377.75	32.10	300.10		64.10	40.20	50.10	12.90	121.30	110.70	6.10		1,675.35	850	\$ 1,424,047.50	\$ 1,360,516.00
Robbins, Darren J.	(P)				1.25		4.15													5.40	1,350	\$ 7,290.00	\$ 5,562.00
Williams, Shawn A.	(P)	9.70	1.50	2.80	21.50	5.85		7.60	6.60	17.90		2.80	1.50	2.60	3.20	32.30		1.00		116.85	1,150	\$ 134,377.50	\$ 125,527.50
Johnson, Patton L.	(A)	5.40	20.05	3.40	14.70	175.65		427.85	24.90	257.20	11.90	79.90	19.00	52.50	7.00	77.40	1.00			1,177.85	475	\$ 559,478.75	\$ 523,418.75
Zohrabian, Armen	(A)	28.20	25.10		73.90	392.90		455.90		11.10			17.00		2.20		6.70	2.30		1,015.30	630	\$ 639,639.00	\$ 615,888.00
McCormick, Tricia	(OC)						48.25						0.30				54.70			103.25	955	\$ 98,603.75	\$ 86,213.75
Boston, Joshua M.	(PA)	11.20			0.60							1048.60								1,060.40	420	\$ 445,368.00	\$ 429,702.00
Sader, Brad C.	(FA)	11.50	0.90	57.90	7.50	300.60		110.10		52.50	1.20	180.30	3.20	4.50		49.70	5.30			785.20	625	\$ 490,750.00	\$ 462,220.00
Barhoum, Anthony J.	(EA)			9.50												5.70				15.20	430	\$ 6,536.00	\$ 6,536.00
Cabusao, Reggie F.	(EA)			29.60																29.60	335	\$ 9,916.00	\$ 9,916.00
Uralets, Boris	(EA)			8.00																8.00	415	\$ 3,320.00	\$ 3,320.00
Villalovas, Frank E.	(EA)			8.30																8.30	420	\$ 3,486.00	\$ 3,486.00
Roelen, Scott R.	(RA)	33.30		3.10																36.40	295	\$ 10,738.00	\$ 10,738.00
Brandon, Kelley T.	(I)	6.00																		6.00	290	\$ 1,740.00	\$ 1,740.00
Magos, Bailey	(LS)		4.00					1.00		41.00		64.00		4.00					40.00	154.00	230	\$ 35,420.00	\$ 33,770.00
Lee, Brandon N.	(SUA)	6.30	47.60		3.20															57.10	175	\$ 9,992.50	\$ 9,992.50
Bloyd, Sierra S.	(PL)	3.00	1.60			7.90		36.30	34.50	106.10	2.80	1.20	0.50	3.30	1.00	23.90			3.60	225.70	350	\$ 78,995.00	\$ 65,555.00
Burch, Michelle	(PL)		2.80			107.20		99.90		116.90			16.05			1.50			18.00	362.35	350	\$ 126,822.50	\$ 126,673.75
Johnson, Kristi K.	(PL)					15.60														15.60	350	\$ 5,460.00	\$ 4,290.00
Kuwashima, Morgan B.	(PL)							16.20								6.90				23.10	350	\$ 8,085.00	\$ 6,352.50
Martis, Annaliese M.	(PL)					27.50		45.10												72.60	275	\$ 19,965.00	\$ 19,965.00
Meyers, Sarah J.	(PL)						16.00													16.00	375	\$ 6,000.00	\$ 5,200.00
Morris, Sarah A.	(PL)					67.90				9.30			13.20							90.40	375	\$ 33,900.00	\$ 28,715.00
Reis, Cassandra L.	(PL)					23.40		25.20												48.60	350	\$ 17,010.00	\$ 13,365.00
Williams, Jaclyn N.	(PL)															29.50				29.50	375	\$ 11,062.50	\$ 11,062.50
Duran Garcia, Kenia	(DC)													8.50					32.00	40.50	150	\$ 6,075.00	\$ 6,075.00
Gaona, Steffani V.	(DC)									12.00		1.50		5.50						19.00	150	\$ 2,850.00	\$ 2,850.00
Shaffer, Sydney A.	(DC)	2.00	5.00																	7.00	150	\$ 1,050.00	\$ 1,050.00
TOTAL:		178.00	143.35	124.60	226.25	1,503.05	70.20	1,605.70	98.10	927.80	15.90	1,442.40	110.95	137.00	26.30	409.80	178.40	34.60	93.60	7,326.00		\$ 4,315,767.50	\$ 4,092,287.75

- (P) Partner
- (A) Associate
- (OC) Of Counsel
- (PA) Project Attorney
- (FA) Forensic Accountant
- (EA) Economic Analyst
- (RA) Research Analyst
- (I) Investigator
- (LS) Litigation Support
- (SUA) Summer Associate
- (PL) Paralegal
- (DC) Document Clerk

EXHIBIT 7

EXHIBIT 7*Hessefort v. Super Micro Computer, Inc., et al.*, No. 4:18-cv-00838-JST

Robbins Geller Rudman & Dowd LLP
Expense Summary
Inception through December 15, 2022

<i>CATEGORY</i>		<i>AMOUNT</i>
Experts/Consultants/Investigators		\$ 202,027.55
Crowninshield Financial Research, Inc.	\$ 135,635.00	
L.R. Hodges & Associates, Ltd.	48,542.55	
Tasta Group, Inc. (dba Caliber Advisors, Inc.)	17,850.00	
Transportation, Hotels & Meals		1,037.20
Filing, Witness and Other Fees		1,715.25
Telephone		113.06
Messenger, Overnight Delivery		479.90
Deposition Transcripts		3,102.10
Online Legal and Financial Research		6,604.68
eDiscovery Database Hosting		66,139.96
Mediation Fees (Phillips ADR Enterprises, P.C.)		11,020.00
<i>TOTAL</i>		<i>\$ 292,239.70</i>

EXHIBIT 8

EXHIBIT 8*Hessefort v. Super Micro Computer, Inc., et al.*, No. 4:18-cv-00838-JST

Robbins Geller Rudman & Dowd LLP
 Detail of Expenses
 Inception – December 15, 2022

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
05/23/18	TRICIA MCCORMICK	AGENT FEES-OUT OF TOWN TRANSPORTATION	\$30.00	AGENT FEES-OUT OF TOWN TRANSPORTATION
05/29/18	TRICIA MCCORMICK	AGENT FEES-OUT OF TOWN TRANSPORTATION	\$30.00	AGENT FEES-OUT OF TOWN TRANSPORTATION
05/26/21	DANIEL PFEFFERBAUM	ATTORNEY SERVICE FEE	\$145.00	PERSONAL SERVICE: KPMG LLP, SUBPOENA TO PRODUCE DOCUMENTS, INFORMATION, OR OBJECTS OR TO PERMIT INSPECTION OF PREMISES IN A CIVIL ACTION; SCHEDULE A - PAYMENT TO: CLASS ACTION RESEARCH & LITIGATION SUPPORT SERVICES, INC.
05/26/21	DANIEL PFEFFERBAUM	ATTORNEY SERVICE FEE	\$145.00	RETURNED NOT SERVED: DELOITTE & TOUCHE LLP, SUBPOENA TO PRODUCE DOCUMENTS, INFORMATION, OR OBJECTS OR TO PERMIT INSPECTION OF PREMISES IN A CIVIL ACTION; SCHEDULE A - PAYMENT TO: CLASS ACTION RESEARCH & LITIGATION SUPPORT SERVICES, INC.

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
05/28/21	DANIEL PFEFFERBAUM	ATTORNEY SERVICE FEE	\$150.00	PERSONAL SERVICE: DELOITTE & TOUCHE LLP, AMENDED SUBPOENA TO PRODUCE DOCUMENTS, INFORMATION, OR OBJECTS OR TO PERMIT INSPECTION OF PREMISES IN A CIVIL ACTION; SCHEDULE A - PAYMENT TO: CLASS ACTION RESEARCH & LITIGATION SUPPORT SERVICES, INC.
06/08/21	DANIEL PFEFFERBAUM	ATTORNEY SERVICE FEE	\$250.00	PERSONAL SERVICE: U.S. SECURITIES AND EXCHANGE COMMISSION, SUBPOENA TO PRODUCE DOCUMENTS, INFORMATION, OR OBJECTS OR TO PERMIT INSPECTION OF PREMISES IN A CIVIL ACTION; SCHEDULE A - PAYMENT TO: CLASS ACTION RESEARCH & LITIGATION SUPPORT SERVICES, INC.
09/02/21	SIERRA BLOYD	ATTORNEY SERVICE FEE	\$205.25	COURTESY COPY FOR JUDGE'S CHAMBERS; LEAD PLAINTIFF'S NOTICE OF MOTION AND MOTION TO CERTIFY CLASS; DECLARATION OF DANIEL J. PFEFFERBAUM ISO; PROPOSED ORDER - PAYMENT TO: CLASS ACTION RESEARCH & LITIGATION SUPPORT SERVICES, INC.

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
09/28/21	SIERRA BLOYD	ATTORNEY SERVICE FEE	\$145.00	PERSONAL SERVICE: SOAPROJECTS, INC., SUBPOENA TO PRODUCE DOCUMENTS, INFORMATION, OR OBJECTS OR TO PERMIT INSPECTION OF PREMISES IN A CIVIL ACTION; SCHEDULE A - PAYMENT TO: CLASS ACTION RESEARCH & LITIGATION SUPPORT SERVICES, INC.
10/08/21	SIERRA BLOYD	ATTORNEY SERVICE FEE	\$125.00	RETURNED NOT SERVED: ACILON CONSULTING, LLC, SUBPOENA TO PRODUCE DOCUMENTS, INFORMATION, OR OBJECTS OR TO PERMIT INSPECTION OF PREMISES IN A CIVIL ACTION; SCHEDULE A - PAYMENT TO: CLASS ACTION RESEARCH & LITIGATION SUPPORT SERVICES, INC.
10/15/21	SIERRA BLOYD	ATTORNEY SERVICE FEE	\$150.00	PERSONAL SERVICE: WELLS FARGO SECURITIES LLC, SUBPOENA TO PRODUCE DOCUMENTS, INFORMATION, OR OBJECTS OR TO PERMIT INSPECTION OF PREMISES IN A CIVIL ACTION; SCHEDULE A - PAYMENT TO: CLASS ACTION RESEARCH & LITIGATION SUPPORT SERVICES, INC.
10/18/21	SIERRA BLOYD	ATTORNEY SERVICE FEE	\$400.00	PERSONAL SERVICE: MAXIM GROUP, LLC, SUBPOENA TO

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
				PRODUCE DOCUMENTS, INFORMATION, OR OBJECTS OR TO PERMIT INSPECTION OF PREMISES IN A CIVIL ACTION; SCHEDULE A - PAYMENT TO: CLASS ACTION RESEARCH & LITIGATION SUPPORT SERVICES, INC.
05/22/20	DANIEL PFEFFERBAUM	CONSULTANTS	\$17,850.00	PROF. SERVICE CHARGES 03/05/20-03/31/20 - PAYMENT TO: TASTA GROUP (DBA CALIBER ADVISORS, INC.)
12/08/21	DANIEL PFEFFERBAUM	DEPOSITION TRANSCRIPTS	\$1,387.50	CERTIFIED TRANSCRIPT OF WITNESS THAO N. BUUHOAN - PAYMENT TO: VERITEXT CORP.
01/21/22	DANIEL PFEFFERBAUM	DEPOSITION TRANSCRIPTS	\$1,319.60	CERTIFIED TRANSCRIPT OF WITNESS STEVEN P. FEINSTEIN PH.D - PAYMENT TO: VERITEXT CORP.
02/16/22	PATTON JOHNSON	DEPOSITION TRANSCRIPTS	\$395.00	ORIGINAL AND 1 CERTIFIED COPY OF TRANSCRIPT OF NON-APPEARANCE OF DON CLEGG 30(B)(6) DEPOSITION - PAYMENT TO: APTUS COURT REPORTING, LLC
05/31/21	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$2.60	RELATIVITY CHARGES 05/2021 0.26 GB
06/30/21	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$2.60	RELATIVITY CHARGES 06/2021 0.26 GB
07/31/21	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$1,243.00	RELATIVITY CHARGES 07/2021 124.30 GB

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
08/31/21	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$1,260.30	RELATIVITY CHARGES 08/2021 126.03 GB
09/30/21	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$1,387.40	RELATIVITY CHARGES 09/2021 138.74 GB
10/31/21	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$1,431.40	RELATIVITY CHARGES 10/2021 143.14 GB
11/30/21	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$2,070.60	RELATIVITY CHARGES 11/2021 207.06 GB
12/31/21	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$6,740.25	RELATIVITY CHARGES 12/2021 792.97 GB
01/31/22	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$7,417.61	RELATIVITY CHARGES 01/2022 872.66 GB
02/28/22	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$7,430.70	RELATIVITY CHARGES 02/2022 874.2 GB
03/31/22	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$7,430.70	RELATIVITY CHARGES 03/2022 874.2 GB
04/30/22	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$7,430.70	RELATIVITY CHARGES 04/2022 874.2 GB
05/31/22	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$7,430.70	RELATIVITY CHARGES 05/2022 874.2 GB
06/30/22	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$7,430.70	RELATIVITY CHARGES 06/2022 874.2 GB
07/31/22	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$7,430.70	RELATIVITY CHARGES 07/2022 874.2 GB
08/18/21	DANIEL PFEFFERBAUM	EXPERTS	\$4,335.00	PROF SERVICE CHARGES 07/01/21-07/31/21 - PAYMENT TO: CROWNINSHIELD FINANCIAL RESEARCH, INC.
09/13/21	DANIEL PFEFFERBAUM	EXPERTS	\$72,722.00	PROF SERVICE CHARGES 08/01/21-08/31/21 - PAYMENT TO: CROWNINSHIELD FINANCIAL RESEARCH, INC.

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
10/07/21	DANIEL PFEFFERBAUM	EXPERTS	\$15,892.00	PROF SERVICE CHARGES 09/01/21-09/30/21 - PAYMENT TO: CROWNINSHIELD FINANCIAL RESEARCH, INC.
12/09/21	DANIEL PFEFFERBAUM	EXPERTS	\$1,235.00	PROF SERVICE CHARGES 11/01/21-11/30/21 - PAYMENT TO: CROWNINSHIELD FINANCIAL RESEARCH, INC.
01/12/22	DANIEL PFEFFERBAUM	EXPERTS	\$9,082.00	PROF SERVICE CHARGES 12/01/21-12/31/21 - PAYMENT TO: CROWNINSHIELD FINANCIAL RESEARCH, INC.
02/11/22	DANIEL PFEFFERBAUM	EXPERTS	\$32,369.00	PROF SERVICE CHARGES 01/01/22-01/31/22 - PAYMENT TO: CROWNINSHIELD FINANCIAL RESEARCH, INC.
05/25/18	TRICIA MCCORMICK	HOTEL ACCOMMODATIONS	\$438.39	PARK CENTRAL HOTEL SAN FRANCISCO CA 05/23/18-05/24/18 - LEAD PLAINTIFF HEARING
09/17/18	DANIEL PFEFFERBAUM	IN HOUSE TELEPHONE	\$5.78	LEVEL 3 CONFERENCING SVC CHARGE THRU 09/24/18
09/20/18	BRAD SADER	IN HOUSE TELEPHONE	\$1.70	LEVEL 3 CONFERENCING SVC CHARGE THRU 09/24/18
03/25/20	ARMEN ZOHRABIAN	IN HOUSE TELEPHONE	\$10.85	LEVEL 3 CONFERENCING SVC CHARGES THRU 04/24/20
09/17/20	DANIEL PFEFFERBAUM	IN HOUSE TELEPHONE	\$5.41	LEVEL 3 CONFERENCING SVC CHARGES THRU 09/24/20
04/06/21	DANIEL PFEFFERBAUM	IN HOUSE TELEPHONE	\$10.80	LEVEL 3 CONFERENCING SVC CHARGES THRU 04/24/21
06/03/21	DANIEL PFEFFERBAUM	IN HOUSE TELEPHONE	\$23.56	LEVEL 3 CONFERENCING SVC CHARGES THRU 06/24/21

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
06/15/21	DANIEL PFEFFERBAUM	IN HOUSE TELEPHONE	\$7.64	LEVEL 3 CONFERENCING SVC CHARGES THRU 06/24/21
07/14/21	DANIEL PFEFFERBAUM	IN HOUSE TELEPHONE	\$7.46	LEVEL 3 CONFERENCING SVC CHARGES THRU 07/24/21
07/30/21	DANIEL PFEFFERBAUM	IN HOUSE TELEPHONE	\$6.04	LEVEL 3 CONFERENCING SVC CHARGES THRU 08/24/21
08/09/21	DANIEL PFEFFERBAUM	IN HOUSE TELEPHONE	\$13.88	LEVEL 3 CONFERENCING SVC CHARGES THRU 08/24/21
09/17/21	DANIEL PFEFFERBAUM	IN HOUSE TELEPHONE	\$8.39	LEVEL 3 CONFERENCING SVC CHARGES THRU 09/24/21
09/21/21	DANIEL PFEFFERBAUM	IN HOUSE TELEPHONE	\$6.48	LEVEL 3 CONFERENCING SVC CHARGES THRU 09/24/21
10/07/21	DANIEL PFEFFERBAUM	IN HOUSE TELEPHONE	\$5.07	LEVEL 3 CONFERENCING SVC CHARGES THRU 10/24/21
10/12/17	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$92.20	PREMIUM NEWS SERVICE - DOCUMENT PRINTING, QTY: 62
10/12/17	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$20.92	PREMIUM NEWS SERVICE - SEARCHES, QTY: 1
04/17/18	TRICIA MCCORMICK	IN-HOUSE LEGAL RESEARCH	\$3.16	LEXIS LEGAL SERVICES - LA DOCUMENT ACCESS, QTY: 8
04/21/18	TRICIA MCCORMICK	IN-HOUSE LEGAL RESEARCH	\$2.76	LEXIS LEGAL SERVICES - LA DOCUMENT ACCESS, QTY: 7
04/21/18	TRICIA MCCORMICK	IN-HOUSE LEGAL RESEARCH	\$31.16	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 2
04/26/18	TRICIA MCCORMICK	IN-HOUSE LEGAL RESEARCH	\$15.77	LEXIS LEGAL SERVICES - LA DOCUMENT ACCESS, QTY: 40
04/26/18	TRICIA MCCORMICK	IN-HOUSE LEGAL RESEARCH	\$186.94	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 12
04/27/18	TRICIA MCCORMICK	IN-HOUSE LEGAL RESEARCH	\$3.94	LEXIS LEGAL SERVICES - LA DOCUMENT ACCESS, QTY: 10
04/27/18	TRICIA MCCORMICK	IN-HOUSE LEGAL RESEARCH	\$93.47	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 6

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
04/28/18	TRICIA MCCORMICK	IN-HOUSE LEGAL RESEARCH	\$2.37	LEXIS LEGAL SERVICES - LA DOCUMENT ACCESS, QTY: 6
04/28/18	TRICIA MCCORMICK	IN-HOUSE LEGAL RESEARCH	\$124.63	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 8
04/30/18	CHRISTINA WATERS	IN-HOUSE LEGAL RESEARCH	\$10.88	WESTLAW
04/30/18	CHRISTINA WATERS	IN-HOUSE LEGAL RESEARCH	\$13.01	LEXIS LEGAL SERVICES - LA DOCUMENT ACCESS, QTY: 33
04/30/18	CHRISTINA WATERS	IN-HOUSE LEGAL RESEARCH	\$31.16	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 2
05/04/18	SARAH MEYERS	IN-HOUSE LEGAL RESEARCH	\$5.64	LEXIS LEGAL SERVICES - LA DOCUMENT ACCESS, QTY: 11
05/04/18	SARAH MEYERS	IN-HOUSE LEGAL RESEARCH	\$20.23	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 1
05/20/18	TRICIA MCCORMICK	IN-HOUSE LEGAL RESEARCH	\$20.23	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 1
05/22/18	TRICIA MCCORMICK	IN-HOUSE LEGAL RESEARCH	\$20.23	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 1
05/31/18	SARAH MORRIS	IN-HOUSE LEGAL RESEARCH	\$14.38	WESTLAW
06/12/18	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$82.74	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 4
06/13/18	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$3.81	WESTLAW
06/17/18	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$3.67	LEXIS LEGAL SERVICES - LA DOCUMENT ACCESS, QTY: 7
06/17/18	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$41.37	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 2
06/18/18	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$2.62	LEXIS LEGAL SERVICES - LA DOCUMENT ACCESS, QTY: 5
06/18/18	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$103.42	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 5

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
08/22/18	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$5.75	LEXIS LEGAL SERVICES - LA DOCUMENT ACCESS, QTY: 11
08/27/18	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$7.84	NEXIS SERVICE - LA DOCUMENT ACCESS, QTY: 10
08/27/18	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$20.66	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 1
08/28/18	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$78.40	PREMIUM NEWS SERVICE - LA DOCUMENT ACCESS, QTY: 100
08/28/18	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$12.52	COUNTRY INFORMATION SERVICE - LA DOCUMENT ACCESS, QTY: 16
08/31/18	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$157.20	THOMSON FINANCIAL CHARGES THRU 08/31/18
08/31/18	ANTHONY BARHOUM	IN-HOUSE LEGAL RESEARCH	\$13.92	THOMSON FINANCIAL CHARGES THRU 08/31/18
09/14/18	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$5.15	LEXIS LEGAL SERVICES - LA DOCUMENT ACCESS, QTY: 16
09/14/18	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$37.01	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 3
09/17/18	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$18.36	WESTLAW
09/17/18	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$37.01	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 3
09/18/18	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$18.25	COUNTRY INFORMATION SERVICE - LA DOCUMENT ACCESS, QTY: 39
09/18/18	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$49.36	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 4
09/18/18	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$7.05	PREMIUM NEWS SERVICE - LA DOCUMENT ACCESS, QTY: 15
09/18/18	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$271.27	LEXIS NEXIS SERVICE - LA DOCUMENT ACCESS, QTY: 579

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
09/19/18	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$12.34	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 1
09/19/18	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$37.01	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 3
09/20/18	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$12.34	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 1
09/21/18	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$3.02	WESTLAW
09/21/18	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$3.12	LEXIS LEGAL SERVICES - LA DOCUMENT ACCESS, QTY: 10
09/21/18	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$61.69	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 5
09/22/18	SARAH MORRIS	IN-HOUSE LEGAL RESEARCH	\$7.61	WESTLAW
09/22/18	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$6.80	WESTLAW
09/22/18	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$24.68	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 2
09/23/18	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$9.05	WESTLAW
09/23/18	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$29.68	MATTHEW BENDER SERVICE - LA DOCUMENT ACCESS, QTY: 2
09/23/18	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$12.34	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 1
09/24/18	SARAH MORRIS	IN-HOUSE LEGAL RESEARCH	\$3.88	WESTLAW
09/24/18	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$12.33	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 1
09/25/18	SARAH MORRIS	IN-HOUSE LEGAL RESEARCH	\$3.78	WESTLAW
09/25/18	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$24.68	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 2
09/26/18	SARAH MORRIS	IN-HOUSE LEGAL RESEARCH	\$9.05	WESTLAW
09/30/18	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$14.61	THOMSON FINANCIAL CHARGES THRU 09/30/18

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
10/16/18	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$6.78	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 1
12/01/18	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$13.90	THOMSON FINANCIAL CHARGES THRU 12/31/18
12/19/18	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$21.18	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 2
12/19/18	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$3.64	COUNTRY INFORMATION SERVICE - LA DOCUMENT ACCESS, QTY: 9
01/16/19	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$5.57	WESTLAW
03/11/19	BAILEY MAGOS	IN-HOUSE LEGAL RESEARCH	\$14.73	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 2
03/11/19	BAILEY MAGOS	IN-HOUSE LEGAL RESEARCH	\$94.01	WESTLAW
03/11/19	SYDNEY SHAFFER	IN-HOUSE LEGAL RESEARCH	\$56.36	WESTLAW
03/12/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$8.48	WESTLAW
03/13/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$13.81	WESTLAW
03/14/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$5.20	WESTLAW
03/15/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$30.18	WESTLAW
03/18/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$13.68	WESTLAW
03/19/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$5.20	WESTLAW
03/21/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$27.73	WESTLAW
03/22/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$34.75	WESTLAW

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
03/25/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$8.48	WESTLAW
03/26/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$8.91	WESTLAW
03/27/19	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$14.73	LEXIS ADVANCE - LA ACCESS CHARGE, QTY: 2
03/27/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$9.66	WESTLAW
03/28/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$9.59	WESTLAW
04/01/19	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$4.83	WESTLAW
04/02/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$14.10	WESTLAW
04/03/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$5.64	WESTLAW
04/03/19	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$4.83	WESTLAW
04/05/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$5.64	WESTLAW
04/08/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$28.19	WESTLAW
04/09/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$25.22	WESTLAW
04/10/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$5.80	WESTLAW
04/10/19	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$11.28	WESTLAW
04/10/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$33.02	WESTLAW
04/11/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$28.92	WESTLAW

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
04/11/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$11.28	WESTLAW
04/12/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$11.28	WESTLAW
04/15/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$8.46	WESTLAW
04/15/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$37.03	WESTLAW
04/16/19	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$5.64	WESTLAW
04/18/19	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$8.46	WESTLAW
04/18/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$12.74	WESTLAW
04/18/19	SIERRA BLOYD	IN-HOUSE LEGAL RESEARCH	\$27.03	WESTLAW
04/20/19	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$16.92	WESTLAW
04/21/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$22.55	WESTLAW
04/22/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$11.28	WESTLAW
04/24/19	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$14.10	WESTLAW
04/26/19	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$12.07	WESTLAW
04/27/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$7.05	LEXIS ADVANCE - ACCESS CHARGE, QTY: 1
04/30/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$25.37	WESTLAW
05/20/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$7.22	LEXIS ADVANCE - ACCESS CHARGE, QTY: 1

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
05/22/19	SYDNEY SHAFFER	IN-HOUSE LEGAL RESEARCH	\$10.02	WESTLAW
06/07/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$59.36	WESTLAW
06/12/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$16.10	WESTLAW
06/18/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$8.04	LEXIS ADVANCE - ACCESS CHARGE, QTY: 1
06/18/19	BAILEY MAGOS	IN-HOUSE LEGAL RESEARCH	\$8.04	LEXIS ADVANCE - ACCESS CHARGE, QTY: 1
06/20/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$8.04	LEXIS ADVANCE - ACCESS CHARGE, QTY: 1
06/21/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$8.04	LEXIS ADVANCE - ACCESS CHARGE, QTY: 1
06/25/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$6.05	WESTLAW
06/28/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$2.00	US CASES - DOC ACCESS, QTY: 10
06/28/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$8.04	LEXIS ADVANCE - ACCESS CHARGE, QTY: 1
06/30/19	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$17.12	REFINITIV CHARGES THRU 06/30/19
07/29/19	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$4.44	WESTLAW
07/30/19	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$7.78	WESTLAW
07/31/19	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$11.48	WESTLAW
08/01/19	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$7.51	WESTLAW
08/08/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$28.12	LEXIS ADVANCE - ACCESS CHARGE, QTY: 4

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
08/19/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$10.02	WESTLAW
08/20/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$15.87	WESTLAW
08/20/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$47.91	WESTLAW
08/20/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$10.56	US CASES - DOC ACCESS, QTY: 59
08/20/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$84.35	LEXIS ADVANCE - ACCESS CHARGE, QTY: 12
08/21/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$3.20	US CASES - DOC ACCESS, QTY: 18
08/21/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$7.03	LEXIS ADVANCE - ACCESS CHARGE, QTY: 1
08/22/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$5.36	WESTLAW
08/23/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$2.50	US CASES - DOC ACCESS, QTY: 14
08/23/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$7.03	LEXIS ADVANCE - ACCESS CHARGE, QTY: 1
08/26/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$6.58	US CASES - DOC ACCESS, QTY: 37
08/26/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$35.15	LEXIS ADVANCE - ACCESS CHARGE, QTY: 5
08/27/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$7.03	LEXIS ADVANCE - ACCESS CHARGE, QTY: ,
08/29/19	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$18.81	WESTLAW
08/29/19	SIERRA BLOYD	IN-HOUSE LEGAL RESEARCH	\$31.97	WESTLAW
08/29/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$28.11	LEXIS ADVANCE - ACCESS CHARGE, QTY: 4

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
08/29/19	CASSANDRA REIS	IN-HOUSE LEGAL RESEARCH	\$14.06	LEXIS ADVANCE - ACCESS CHARGE, QTY: 2
08/30/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$10.67	WESTLAW
09/10/19	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$36.80	LEXIS ADVANCE - ACCESS CHARGE, QTY: 3
10/10/19	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$3.06	WESTLAW
10/15/19	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$3.11	WESTLAW
10/23/19	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$4.85	WESTLAW
12/09/19	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$5.45	WESTLAW
01/02/20	SARAH MEYERS	IN-HOUSE LEGAL RESEARCH	\$0.74	WESTLAW
01/17/20	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$13.32	WESTLAW
01/22/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$1.52	SHEPARD'S REPORT, QTY: 1
01/27/20	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$6.09	LEXIS ADVANCE - ACCESS CHARGE, QTY: 1
01/29/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$3.04	SHEPARD'S REPORT, QTY: 2
01/30/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$12.18	LEXIS ADVANCE - ACCESS CHARGE, QTY: 2
01/30/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$1.52	SHEPARD'S REPORT, QTY: 1
02/02/20	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$19.13	WESTLAW
02/03/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$1.62	SHEPARD'S REPORT, QTY: 1

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
02/24/20	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$2.73	WESTLAW
02/25/20	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$3.71	WESTLAW
03/05/20	SARAH MEYERS	IN-HOUSE LEGAL RESEARCH	\$0.90	WESTLAW
03/09/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$17.68	WESTLAW
03/25/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$11.18	LEXIS ADVANCE - ACCESS CHARGE, QTY: 1
03/26/20	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$16.43	US NEWS - DOC ACCESS, QTY: 51
03/26/20	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$10.63	LEXIS ADVANCE - ACCESS CHARGE, QTY: 1
03/26/20	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$2.89	US NEWS - DOC ACCESS, QTY: 9
03/26/20	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$1.61	US NEWS - DOC ACCESS, QTY: 5
03/31/20	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$65.18	REFINITIV CHARGES THRU 03/31/20
04/02/20	SARAH MEYERS	IN-HOUSE LEGAL RESEARCH	\$0.74	WESTLAW
04/14/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$2.08	WESTLAW
04/15/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$6.23	WESTLAW
04/16/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$2.08	WESTLAW
04/20/20	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$4.95	WESTLAW
04/21/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$6.23	WESTLAW
04/21/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$24.46	WESTLAW
04/30/20	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$135.48	REFINITIV CHARGES THRU 04/30/20
05/07/20	SARAH MEYERS	IN-HOUSE LEGAL RESEARCH	\$0.79	WESTLAW

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
06/09/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$8.17	LEXIS ADVANCE - ACCESS CHARGE, QTY: 1
06/12/20	SIERRA BLOYD	IN-HOUSE LEGAL RESEARCH	\$2.20	WESTLAW
06/12/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$2.99	WESTLAW
06/13/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$4.26	WESTLAW
06/14/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$11.52	WESTLAW
06/15/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$11.96	WESTLAW
06/16/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$41.85	WESTLAW
06/17/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$13.45	WESTLAW
06/18/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$4.48	WESTLAW
06/19/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$14.95	WESTLAW
06/20/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$4.48	WESTLAW
06/22/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$29.89	WESTLAW
06/23/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$17.94	WESTLAW
06/24/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$75.50	WESTLAW
06/25/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$28.40	WESTLAW
06/26/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$13.45	WESTLAW

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
06/30/20	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$12.64	REFINITIV CHARGES THRU 06/30/20
07/01/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$5.82	WESTLAW
07/02/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$21.84	WESTLAW
07/02/20	SARAH MEYERS	IN-HOUSE LEGAL RESEARCH	\$0.73	WESTLAW
07/03/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$1.74	WESTLAW
07/06/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$9.19	WESTLAW
07/07/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$7.16	WESTLAW
07/08/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$4.08	WESTLAW
07/09/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$10.93	WESTLAW
07/10/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$17.15	WESTLAW
07/10/20	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$2.04	WESTLAW
07/11/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$10.19	WESTLAW
07/14/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$2.04	WESTLAW
07/14/20	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$18.34	WESTLAW
07/15/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$4.08	WESTLAW
07/15/20	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$6.11	WESTLAW

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
07/16/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$6.11	WESTLAW
07/17/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$14.27	WESTLAW
07/19/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$23.46	WESTLAW
07/20/20	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$31.30	WESTLAW
07/20/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$12.23	WESTLAW
07/21/20	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$9.29	WESTLAW
07/21/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$6.11	WESTLAW
07/22/20	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$2.04	WESTLAW
07/22/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$13.27	WESTLAW
07/22/20	MORGAN KUWASHIMA	IN-HOUSE LEGAL RESEARCH	\$2.04	WESTLAW
07/27/20	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$4.69	WESTLAW
07/30/20	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$8.77	WESTLAW
07/31/20	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$124.76	REFINITIV CHARGES THRU 07/31/20
08/06/20	SARAH MEYERS	IN-HOUSE LEGAL RESEARCH	\$0.77	WESTLAW
08/26/20	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$7.98	WESTLAW
09/03/20	SARAH MEYERS	IN-HOUSE LEGAL RESEARCH	\$0.75	WESTLAW
09/09/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$14.71	WESTLAW

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
09/14/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$6.28	WESTLAW
09/15/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$6.28	WESTLAW
09/15/20	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$6.28	WESTLAW
09/23/20	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$6.97	WESTLAW
09/29/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$2.09	WESTLAW
09/30/20	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$6.00	REFINITIV CHARGES THRU 09/30/20
10/01/20	SARAH MEYERS	IN-HOUSE LEGAL RESEARCH	\$0.75	WESTLAW
10/11/20	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$2.78	WESTLAW
10/13/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$12.54	WESTLAW
10/13/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$116.17	SEARCH - ACCESS CHARGE, QTY: 9
10/21/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$22.99	WESTLAW
10/21/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$12.54	WESTLAW
10/28/20	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$2.84	WESTLAW
10/29/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$4.18	WESTLAW
10/31/20	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$30.83	REFINITIV CHARGES THRU 10/31/20
11/02/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$51.34	WESTLAW

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
11/02/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$18.78	WESTLAW
11/03/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$8.05	WESTLAW
11/03/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$72.42	WESTLAW
11/04/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$16.09	WESTLAW
11/05/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$5.36	WESTLAW
11/05/20	SARAH MEYERS	IN-HOUSE LEGAL RESEARCH	\$0.96	WESTLAW
11/06/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$34.55	US TREATISES - DOC ACCESS, QTY: 4
11/06/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$8.64	US REFERENCE INDICES - DOC ACCESS, QTY: 1
11/06/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$8.64	US LAW REVIEWS AND JOURNALS - DOC ACCESS, QTY: 1
11/06/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$48.40	LEXIS SEARCH - ACCESS CHARGE, QTY: 3
11/06/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$111.23	WESTLAW
11/09/20	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$15.34	WESTLAW
11/09/20	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$47.94	WESTLAW
11/10/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$32.26	LEXIS SEARCH - ACCESS CHARGE, QTY: 2
11/10/20	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$6.25	WESTLAW
11/10/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$2.68	WESTLAW

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
11/11/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$2.68	WESTLAW
11/11/20	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$7.86	WESTLAW
11/11/20	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$2.68	WESTLAW
11/12/20	ARMEN ZOHRABIAN	IN-HOUSE LEGAL RESEARCH	\$8.05	WESTLAW
11/12/20	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$0.81	WESTLAW
12/03/20	SARAH MEYERS	IN-HOUSE LEGAL RESEARCH	\$0.81	WESTLAW
01/07/21	SARAH MEYERS	IN-HOUSE LEGAL RESEARCH	\$0.84	WESTLAW
02/04/21	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$6.04	WESTLAW
02/05/21	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$11.72	WESTLAW
04/05/21	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$8.87	WESTLAW
04/19/21	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$7.75	WESTLAW
04/20/21	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$3.36	WESTLAW
05/18/21	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$4.80	WESTLAW
06/08/21	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$3.43	WESTLAW
06/15/21	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$10.36	WESTLAW
06/22/21	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$3.35	WESTLAW
06/23/21	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$6.86	WESTLAW

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
08/02/21	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$5.37	WESTLAW
08/03/21	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$3.50	WESTLAW
08/10/21	MICHELLE BURCH	IN-HOUSE LEGAL RESEARCH	\$3.57	WESTLAW
08/23/21	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$15.60	WESTLAW
08/26/21	SIERRA BLOYD	IN-HOUSE LEGAL RESEARCH	\$11.55	WESTLAW
08/30/21	SIERRA BLOYD	IN-HOUSE LEGAL RESEARCH	\$17.05	LEXIS SEARCH - ACCESS CHARGE, QTY: 1
08/30/21	SIERRA BLOYD	IN-HOUSE LEGAL RESEARCH	\$2.69	WESTLAW
08/30/21	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$2.69	WESTLAW
08/31/21	SCOTT ROELEN	IN-HOUSE LEGAL RESEARCH	\$453.08	REFINITIV CHARGES THRU 08/31/2021
08/31/21	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$2.69	WESTLAW
08/31/21	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$2.69	WESTLAW
09/01/21	SIERRA BLOYD	IN-HOUSE LEGAL RESEARCH	\$21.66	WESTLAW
09/02/21	SARAH MEYERS	IN-HOUSE LEGAL RESEARCH	\$1.02	WESTLAW
09/14/21	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$8.51	WESTLAW
09/21/21	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$28.38	WESTLAW
09/22/21	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$38.94	WESTLAW
09/23/21	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$53.92	WESTLAW
10/08/21	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$18.56	WESTLAW

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
11/02/21	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$5.85	WESTLAW
11/03/21	SIERRA BLOYD	IN-HOUSE LEGAL RESEARCH	\$2.93	WESTLAW
11/04/21	SARAH MEYERS	IN-HOUSE LEGAL RESEARCH	\$1.05	WESTLAW
11/10/21	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$8.78	WESTLAW
11/12/21	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$2.93	WESTLAW
11/15/21	SIERRA BLOYD	IN-HOUSE LEGAL RESEARCH	\$2.48	WESTLAW
11/16/21	SIERRA BLOYD	IN-HOUSE LEGAL RESEARCH	\$2.93	WESTLAW
11/16/21	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$5.85	WESTLAW
12/01/21	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$13.79	WESTLAW
12/03/21	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$20.68	WESTLAW
12/07/21	DANIEL PFEFFERBAUM	IN-HOUSE LEGAL RESEARCH	\$6.89	WESTLAW
12/23/21	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$2.93	WESTLAW
12/30/21	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$3.45	WESTLAW
01/20/22	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$13.79	WESTLAW
01/24/22	SIERRA BLOYD	IN-HOUSE LEGAL RESEARCH	\$10.39	WESTLAW
01/28/22	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$10.64	WESTLAW
01/29/22	PATTON JOHNSON	IN-HOUSE LEGAL RESEARCH	\$31.91	WESTLAW
02/03/22	SARAH MEYERS	IN-HOUSE LEGAL RESEARCH	\$1.01	WESTLAW
04/12/22	JACLYN WILLIAMS	IN-HOUSE LEGAL RESEARCH	\$9.27	WESTLAW

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
04/14/22	JACLYN WILLIAMS	IN-HOUSE LEGAL RESEARCH	\$10.79	WESTLAW
04/15/22	JACLYN WILLIAMS	IN-HOUSE LEGAL RESEARCH	\$8.25	WESTLAW
07/07/18	DANIEL PFEFFERBAUM	INVESTIGATORS	\$4,618.70	PROF SERVICE CHARGES 05/01/18-06/30/18 - PAYMENT TO: L.R. HODGES & ASSOCIATES, LTD.
08/12/18	DANIEL PFEFFERBAUM	INVESTIGATORS	\$1,339.30	PROF SERVICE CHARGES 07/01/18-07/31/18 - PAYMENT TO: L.R. HODGES & ASSOCIATES, LTD.
09/09/18	DANIEL PFEFFERBAUM	INVESTIGATORS	\$1,978.55	PROF SERVICE CHARGES 08/01/18-08/31/18 - PAYMENT TO: L.R. HODGES & ASSOCIATES, LTD.
10/07/18	DANIEL PFEFFERBAUM	INVESTIGATORS	\$4,544.50	PROF SERVICE CHARGES 09/01/18-09/30/18 - PAYMENT TO: L.R. HODGES & ASSOCIATES, LTD.
12/11/18	DANIEL PFEFFERBAUM	INVESTIGATORS	\$7,678.95	PROF SERVICE CHARGES 10/23/18-11/30/18 - PAYMENT TO: L.R. HODGES & ASSOCIATES, LTD.
01/09/19	DANIEL PFEFFERBAUM	INVESTIGATORS	\$9,089.05	PROF SERVICE CHARGES 12/01/18-12/31/18 - PAYMENT TO: L.R. HODGES & ASSOCIATES, LTD.
02/09/19	DANIEL PFEFFERBAUM	INVESTIGATORS	\$5,337.50	PROF SERVICE CHARGES 01/01/19-01/31/19 - PAYMENT TO: L.R. HODGES & ASSOCIATES, LTD.

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
04/22/20	DANIEL PFEFFERBAUM	INVESTIGATORS	\$7,915.50	PROF. SERVICE CHARGES 03/01/20-03/31/20 - PAYMENT TO: L.R. HODGES & ASSOCIATES, LTD.
06/10/20	DANIEL PFEFFERBAUM	INVESTIGATORS	\$5,547.00	PROF. SERVICE CHARGES 04/01/20-04/30/20 - PAYMENT TO: L.R. HODGES & ASSOCIATES, LTD.
10/11/20	DANIEL PFEFFERBAUM	INVESTIGATORS	\$493.50	PROF SERVICE CHARGES 09/01/20-09/30/20 – PAYMENT TO: L.R. HODGES & ASSOCIATES, LTD.
05/24/18	DANIEL PFEFFERBAUM	LOCAL TRANSP/PARKING	\$5.60	UBER.COM CA - RIDE TO COURT FOR LEAD PLAINTIFF HEARING
05/24/18	DANIEL PFEFFERBAUM	LOCAL TRANSP/PARKING	\$5.00	UBER.COM CA 05/24/18 - RIDE FROM COURT AFTER LEAD PLAINTIFF HEARING
05/25/18	DANIEL PFEFFERBAUM	LOCAL TRANSP/PARKING	\$9.22	LYFT RIDE THU 2PM SAN FRANCISCO, CA 05/25/18 - RIDE TO LEAD PLAINTIFF HEARING
11/02/21	DANIEL PFEFFERBAUM	MEDIATION FEES	\$7,500.00	VIDEO CONFERENCE MEDIATION SERVICE FEES FOR DECEMBER 2, 2021 VIA ZOOM - PAYMENT TO: PHILLIPS ADR ENTERPRISES, P.C.
02/18/22	DANIEL PFEFFERBAUM	MEDIATION FEES	\$1,720.00	MEDIATION SERVICES PROVIDED THROUGH 01/31/22 - PAYMENT TO: PHILLIPS ADR ENTERPRISES, P.C.
03/09/22	DANIEL PFEFFERBAUM	MEDIATION FEES	\$1,800.00	MEDIATION SERVICES PROVIDED THROUGH 02/28/22 - PAYMENT TO: PHILLIPS ADR ENTERPRISES, P.C.

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
04/24/18	TRICIA MCCORMICK	MESSENGER/FED-EX/UPS	\$27.64	COURIER SHIPMENT #1Z06117X0151903061 TO HON. JON S. TIGAR, SAN FRANCISCO COURT HOUSE, SAN FRANCISCO, CA, US, INVOICE #0000006117X178
04/30/18	TRICIA MCCORMICK	MESSENGER/FED-EX/UPS	\$18.30	COURIER SHIPMENT #1Z06117X0152645437 TO JUDGE JON TIGAR, USDC NORTHERN DISTRICT OF C, SAN FRANCISCO, CA, US, INVOICE #0000006117X188
05/04/18	BRIAN O'MARA	MESSENGER/FED-EX/UPS	\$25.18	COURIER SHIPMENT #1Z06117X0151956148 TO TRICIA MCCORMICK, TRICIA MCCORMICK, CARLSBAD, CA, US, INVOICE #0000006117X198
06/01/21	THIRD PARTY SHIPPER	MESSENGER/FED-EX/UPS	\$37.89	COURIER SHIPMENT #773859725078 TO JANIS DINGMAN, CLASS ACTION RESEARCH, PENRYN, CA, US, INVOICE #: 739422819
06/01/21	THIRD PARTY SHIPPER	MESSENGER/FED-EX/UPS	\$37.89	COURIER SHIPMENT #773859710270 TO JANIS DINGMAN, CLASS ACTION RESEARCH, PENRYN, CA, US, INVOICE #: 739422819
06/10/21	THIRD PARTY SHIPPER	MESSENGER/FED-EX/UPS	\$37.99	COURIER SHIPMENT #773955338898 TO JANIS DINGMAN, CLASS ACTION RESEARCH, PENRYN, CA, US, INVOICE #: 740793151
10/20/21	THIRD PARTY SHIPPER	MESSENGER/FED-EX/UPS	\$39.22	COURIER SHIPMENT #285069111030 TO JANIS

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
				DINGMAN, CLASS ACTION RESEARCH, PENRYN, CA, US, INVOICE #: 754831733
10/27/21	THIRD PARTY SHIPPER	MESSENGER/FED-EX/UPS	\$10.31	COURIER SHIPMENT #1Z63R0400797742485 TO ROBBINS GELLER RUDMAN & DOW, SAN FRANCISCO, CA, US, INVOICE #0000006117X441
11/10/21	TERREE DEVRIES	MESSENGER/FED-EX/UPS	\$17.63	COURIER SHIPMENT #775137125703 TO PATTON L. JOHNSON, ROBBINS GELLER RUDMAN & DOWD LLP, SAN DIEGO, CA, US, INVOICE #: 757188003
11/18/21	SIERRA BLOYD	MESSENGER/FED-EX/UPS	\$58.00	COURIER SHIPMENT #473516293193 TO PHILLIPS ADR, MICHELLE YOSHIDA, NAPA, CA, US, INVOICE #: 757914107
12/09/21	JERRY C. DINKINS	MESSENGER/FED-EX/UPS	\$48.18	COURIER SHIPMENT #1Z06117X0158028307 TO JOHN HEIM, NANUET, NY, US, INVOICE #0000006117X501
01/15/22	JERRY C. DINKINS	MESSENGER/FED-EX/UPS	\$49.54	COURIER SHIPMENT #473516294811 TO CAROLINE MCINTYRE, BERGESON LLP, SAN JOSE, CA, US, INVOICE #: 763705495
01/15/22	JERRY C. DINKINS	MESSENGER/FED-EX/UPS	\$49.54	COURIER SHIPMENT #473516294796 TO DENNIS MURPHY, JONES DAY, SAN FRANCISCO, CA, US, INVOICE #: 763705495

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
01/15/22	JERRY C. DINKINS	MESSENGER/FED-EX/UPS	\$22.59	COURIER SHIPMENT #473516294822 TO NICOLAS MORGAN, PAUL HASTINGS LLP, LOS ANGELES, CA, US, INVOICE #: 763705495
05/31/21	KELLEY BRANDON	OTHER ONLINE RESEARCH	\$83.70	MAY 2021 SERVICE CHARGES - PAYMENT TO: LEXISNEXIS RISK SOLUTIONS
10/31/21	KELLEY BRANDON	OTHER ONLINE RESEARCH	\$257.43	OCTOBER 2021 SERVICE CHARGES - PAYMENT TO: LEXISNEXIS RISK SOLUTIONS
11/01/21	KELLEY BRANDON	OTHER ONLINE RESEARCH	\$50.00	OCTOBER 2021 SERVICE CHARGES - PAYMENT TO: TRANSUNION ACQUISITION CORP.
01/31/22	KELLEY BRANDON	OTHER ONLINE RESEARCH	\$37.88	JANUARY 2022 SERVICE CHARGES - PAYMENT TO: LEXISNEXIS RISK SOLUTIONS
02/28/22	KELLEY BRANDON	OTHER ONLINE RESEARCH	\$10.98	FEBRUARY 2022 SERVICE CHARGES - PAYMENT TO: LEXISNEXIS RISK DATA MANAGEMENT, INC.
05/25/18	TRICIA MCCORMICK	OUT OF TOWN MEALS	\$42.47	GO BISTRO BURLINGAME, CA 05/24/18 - LUNCH AT AIRPORT FOR LEAD PLAINTIFF HEARING
05/03/18	TRICIA MCCORMICK	OUT OF TOWN TRANSP/PARKING	\$493.96	SW AIR TICKET #5261441536272 SAN/SFO/SAN 05/23/18 AND 05/24/18 - LEAD PLAINTIFF HEARING
05/24/18	TRICIA MCCORMICK	OUT OF TOWN TRANSP/PARKING	\$42.41	SF ELITE TRANSPORTATION SAN FRANCISCO, CA 05/23/18 - LEAD PLAINTIFF HEARING

<i>DATE</i>	<i>NAME</i>	<i>COST/CHARGE TYPE</i>	<i>AMOUNT</i>	<i>NARRATIVE</i>
05/25/18	TRICIA MCCORMICK	OUT OF TOWN TRANSP/PARKING	\$13.45	YELLOW CAB SAN FRANCISCO, CA 05/24/18 - LEAD PLAINTIFF HEARING
05/25/18	TRICIA MCCORMICK	OUT OF TOWN TRANSP/PARKING	\$26.00	SAN DIEGO COUNTY RAA CA 05/23/18-05/24/18 - PARKING AT AIRPORT - LEAD PLAINTIFF HEARING
05/25/18	TRICIA MCCORMICK	OUT OF TOWN TRANSP/PARKING	\$(246.98)	SW AIR TICKET #5261441536272 SFO/SAN 05/24/18 (PARTIAL CREDIT) - LEAD PLAINTIFF HEARING
05/25/18	TRICIA MCCORMICK	OUT OF TOWN TRANSP/PARKING	\$117.20	UA TICKET #01671490956822 SFO/SAN 05/24/18 - LEAD PLAINTIFF HEARING
05/25/18	DANIEL PFEFFERBAUM	OUT OF TOWN TRANSP/PARKING	\$30.48	UBER.COM CA 05/24/18 - RIDE FOR TRICIA MCCORMICK TO AIRPORT AFTER HEARING
TOTAL			\$292,239.70	

EXHIBIT 9

**EXPERTS
REDACTED INVOICES**

SUPER MICRO COMPUTER
Experts

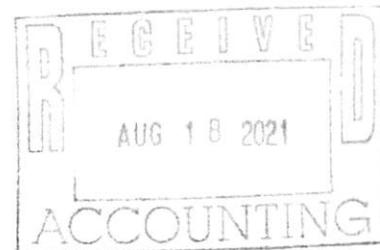
DATE	NAME	COST TYPE	AMOUNT	NARRATIVE
08/18/21	DANIEL PFEFFERBAUM	Experts	\$ 4,335.00	PROF SERVICE CHARGES 07/01/21 - 07/31/21 Experts - Payment to: CROWNINSHIELD FINANCIAL RESEARCH, INC.
09/13/21	DANIEL PFEFFERBAUM	Experts	\$ 72,722.00	PROF SERVICE CHARGES 08/01/21 - 08/31/21 Experts - Payment to: CROWNINSHIELD FINANCIAL RESEARCH, INC.
10/07/21	DANIEL PFEFFERBAUM	Experts	\$ 15,892.00	PROF SERVICE CHARGES 09/01/21 - 09/30/21 Experts - Payment to: CROWNINSHIELD FINANCIAL RESEARCH, INC.
12/09/21	DANIEL PFEFFERBAUM	Experts	\$ 1,235.00	PROF SERVICE CHARGES 11/01/21 - 11/30/21 Experts - Payment to: CROWNINSHIELD FINANCIAL RESEARCH, INC.
01/12/22	DANIEL PFEFFERBAUM	Experts	\$ 9,082.00	PROF SERVICE CHARGES 12/01/21 - 12/31/21 Experts - Payment to: CROWNINSHIELD FINANCIAL RESEARCH, INC.
02/11/22	DANIEL PFEFFERBAUM	Experts	\$ 32,369.00	PROF SERVICE CHARGES 01/01/22 - 01/31/22 Experts - Payment to: CROWNINSHIELD FINANCIAL RESEARCH, INC.
TOTAL			\$ 135,635.00	

SUPER MICRO COMPUTER**180054-00001****Experts, Consultants & Investigators**

DATE	EMP#	NAME	COST TYPE	CODE	AMOUNT	NARRATIVE	VOUCHER	INDEX
08/18/21	01549	DANIEL PFEFFERBAUM	Experts	L1011	\$ 4,335.00	PROF SERVICE CHARGES 07/01/21 - 07/31/21 Experts - Payment to: CROWNINSHIELD FINANCIAL RESEARCH, INC.	1330144	6724488
09/13/21	01549	DANIEL PFEFFERBAUM	Experts	L1011	\$ 72,722.00	PROF SERVICE CHARGES 08/01/21 - 08/31/21 Experts - Payment to: CROWNINSHIELD FINANCIAL RESEARCH, INC.	1330143	6724487
10/07/21	01549	DANIEL PFEFFERBAUM	Experts	L1011	\$ 15,892.00	PROF SERVICE CHARGES 09/01/21 - 09/30/21 Experts - Payment to: CROWNINSHIELD FINANCIAL RESEARCH, INC.	1331372	6751147
12/09/21	01549	DANIEL PFEFFERBAUM	Experts	L1011	\$ 1,235.00	PROF SERVICE CHARGES 11/01/21 - 11/30/21 Experts - Payment to: CROWNINSHIELD FINANCIAL RESEARCH, INC.	1333068	6798287
01/12/22	01549	DANIEL PFEFFERBAUM	Experts	L1011	\$ 9,082.00	PROF SERVICE CHARGES 12/01/21 - 12/31/21 Experts - Payment to: CROWNINSHIELD FINANCIAL RESEARCH, INC.	1334522	6816917
02/11/22	01549	DANIEL PFEFFERBAUM	Experts	L1011	\$ 32,369.00	PROF SERVICE CHARGES 01/01/22 - 01/31/22 Experts - Payment to: CROWNINSHIELD FINANCIAL RESEARCH, INC.	1335859	6860459
Total					\$ 135,635.00			



CROWNINSHIELD FINANCIAL RESEARCH



Invoice
for Professional Services and Expenses
in Connection with the Super Micro Computer, Inc. Securities Litigation

Dates of Services: July 1, 2021 through July 31, 2021
Date of Invoice: August 18, 2021
Invoice Number: 21942

Client:

Robbins Geller Rudman & Dowd LLP
One Montgomery Street, Suite 1800
San Francisco, CA 94104
Attention: Mr. Daniel Pfefferbaum

Services Provided by Daniel Bettencourt, MBA

7/23/2021 Conducted and directed research and analysis. 1.1 hours

Services Provided by Miguel Villanueva, Ph.D.

7/23/2021 Conducted quantitative analysis. 2.1 hours

Services Provided by Dylan McCabe

7/23/2021 Assisted with financial analysis. 1.0 hours

Services Provided by Drew Guadagno

7/22/2021 Assisted with financial analysis. 2.6 hours

7/23/2021 Assisted with financial analysis. 2.3 hours

Services Provided by Mark McKew

7/22/2021 Assisted with financial analysis. 3.3 hours

Pre. Agreement on File

For Accounting Use Only

Date Received: _____

Vendor No: 5740

Matter Name/No: SUPER MICRO COMPUTER

Expense ID: 01549 (1800516.1)

Unit Code: L1011

Voucher No: _____

Approval: *K. Cheung*

56 HARVARD STREET, BROOKLIN

Bar Code Value : 5D4264BE9

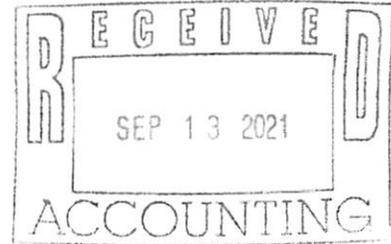


PROFESSIONAL FEES				
Name	Title	Hourly Rate	Hours Billed	Total Fee
Daniel Bettencourt, MBA	Vice President/Senior Analyst	\$475	1.1	\$522.50
Miguel Villanueva, Ph.D.	Senior Quantitative Analyst	\$500	2.1	\$1,050
Dylan McCabe	Senior Associate	\$300	1.0	\$300
Drew Guadagno	Analyst	\$225	4.9	\$1,102.50
Mark McKew	Analyst	\$200	3.3	\$660
TOTAL PROFESSIONAL FEES				\$3,635
EXPENSES				
Data Service Fee: Bloomberg				\$300
Data Service Fee: Thomson Reuters				\$400
TOTAL EXPENSES				\$700
TOTAL NEW CHARGES				\$4,335

Statement of Account		as of August 18, 2021	
Invoices:			
Date of Invoice	Period Covered	Invoice Amount	
8/18/2021	July 2021	\$4,335	
Payments Received:			
Date Received	Period Covered	Amount	
No payments in this period			
Net Outstanding Balance:			\$4,335



CROWNINSHIELD FINANCIAL RESEARCH



Invoice
for Professional Services and Expenses
in Connection with the Super Micro Computer, Inc. Securities Litigation

Dates of Services: August 1, 2021 through August 31, 2021

Date of Invoice: September 13, 2021

Invoice Number: 21966 *Svc. Agreement on file*

Client:
 Robbins Geller Rudman & Dowd LLP
 One Montgomery Street, Suite 1800
 San Francisco, CA 94104
 Attention: Mr. Daniel Pfefferbaum

For Accounting Use Only	
Date Received:	
Vendor No:	5746-1
Matter Name/No:	Super Micro Computer
Employee ID:	01549 (180054.1)
Disb. Code:	L1011
Voucher No:	
Approval:	K. Cheung

Services Provided by Steven Feinstein, Ph.D., CFA

8/3/2021	Reviewed case documents. Conducted and directed financial and quantitative analysis.	0.4 hours
8/9/2021	Reviewed case documents. Conducted and directed financial and quantitative analysis. Drafted report.	0.5 hours
8/10/2021	Conducted and directed financial and quantitative analysis. Drafted report.	0.5 hours
8/13/2021	Reviewed case documents. Conducted and directed financial and quantitative analysis. Drafted report.	0.4 hours
8/16/2021	Reviewed case documents. Conducted and directed financial and quantitative analysis. Drafted report.	0.4 hours
8/20/2021	Reviewed case documents. Conducted and directed financial and quantitative analysis. Drafted report.	0.5 hours
8/26/2021	Conducted and directed financial and quantitative analysis. Telephone call with attorneys. Drafted report.	0.6 hours
8/27/2021	Reviewed case documents. Conducted and directed financial and quantitative analysis. Drafted report.	7.0 hours
8/30/2021	Reviewed case documents. Conducted and directed financial and quantitative analysis. Drafted report.	6.6 hours
8/31/2021	Reviewed case documents. Conducted and directed financial and quantitative analysis. Drafted report.	6.8 hours

66 HARVARD STREET, BROOKLIN



Services Provided by Daniel Bettencourt, MBA

8/2/2021	Assisted with research and financial analysis.	1.4 hours
8/3/2021	Assisted with research and financial analysis.	1.9 hours
8/9/2021	Assisted with research. Assisted with report draft.	2.3 hours
8/13/2021	Assisted with research. Assisted with report draft.	5.6 hours
8/16/2021	Assisted with research and analysis. Assisted with report draft.	2.4 hours
8/20/2021	Assisted with research and analysis. Assisted with report draft.	1.9 hours

Services Provided by Miguel Villanueva, Ph.D.

8/3/2021	Conducted quantitative analysis.	1.1 hours
8/30/2021	Conducted quantitative analysis.	2.0 hours
8/31/2021	Conducted quantitative analysis.	0.7 hours

Services Provided by James Janos, MBA

8/3/2021	Assisted with case analysis.	5.8 hours
8/4/2021	Assisted with case analysis.	7.2 hours
8/9/2021	Assisted with case analysis. Assisted with report draft.	5.0 hours
8/10/2021	Assisted with research and analysis. Assisted with report draft.	3.5 hours
8/11/2021	Assisted with research. Assisted with report draft.	6.0 hours
8/12/2021	Assisted with financial analysis. Assisted with report draft.	3.3 hours
8/27/2021	Assisted with research and analysis.	0.5 hours
8/30/2021	Assisted with case analysis. Assisted with report draft.	3.2 hours
8/31/2021	Assisted with research and analysis. Assisted with report draft.	7.0 hours

Services Provided by Dylan McCabe

8/26/2021	Assisted with case analysis. Telephone call with attorneys.	0.8 hours
8/27/2021	Assisted with report draft.	2.0 hours
8/30/2021	Assisted with research. Assisted with report draft.	3.0 hours
8/31/2021	Assisted with research. Assisted with report draft.	3.0 hours

Services Provided by Tyler Quade

8/2/2021	Assisted with financial and quantitative analysis.	6.3 hours
8/3/2021	Assisted with research and analysis.	4.2 hours
8/4/2021	Assisted with report draft.	7.5 hours
8/9/2021	Assisted with research and analysis. Assisted with report draft.	7.2 hours
8/10/2021	Assisted with research and financial analysis.	7.8 hours
8/11/2021	Assisted with report draft.	6.8 hours
8/12/2021	Assisted with research and analysis. Assisted with report draft.	7.0 hours
8/13/2021	Assisted with research and analysis. Assisted with report draft.	5.3 hours
8/17/2021	Assisted with report draft.	4.0 hours
8/19/2021	Assisted with financial analysis. Assisted with report draft.	7.4 hours
8/20/2021	Assisted with financial analysis. Assisted with report draft.	5.0 hours

8/24/2021	Assisted with report draft. Constructed exhibits.	1.0 hours
8/25/2021	Assisted with financial analysis. Assisted with report draft.	7.0 hours
8/26/2021	Assisted with report draft. Constructed exhibits.	7.0 hours

PROFESSIONAL FEES				
Name	Title	Hourly Rate	Hours Billed	Total Fee
Steven Feinstein, Ph.D., CFA ✓	Senior Expert	\$950 ✓	23.7	\$22,515
Daniel Bettencourt, MBA	Vice President/Senior Analyst ✓	\$475 ✓	15.5	\$7,362.50
Miguel Villanueva, Ph.D.	Senior Quantitative Analyst ✓	\$500 ✓	3.8	\$1,900
James Janos, MBA	Senior Associate ✓	\$300 ✓	41.5	\$12,450
Dylan McCabe	Senior Associate ✓	\$300 ✓	8.8	\$2,640
Tyler Quade	Analyst ✓	\$225 ✓	83.5	\$18,787.50
TOTAL PROFESSIONAL FEES				\$65,654
EXPENSES				
Data Service Fee: Bloomberg				\$2,696
Data Service Fee: Thomson Reuters				\$4,372
TOTAL EXPENSES				\$7,068
TOTAL NEW CHARGES				\$72,722 ✓

Statement of Account		as of September 13, 2021	
Invoices:			
Date of Invoice	Period Covered	Invoice Amount	
8/18/2021	July 2021	\$4,335	
9/13/2021	August 2021	\$72,722	
Payments Received:			
Date Received	Period Covered	Amount	
No payments in this period			
Net Outstanding Balance:			\$77,057



CROWNSHIELD FINANCIAL RESEARCH



Invoice
 for Professional Services and Expenses
 in Connection with the Super Micro Computer, Inc. Securities Litigation

Dates of Services: September 1, 2021 through September 30, 2021

Date of Invoice: October 7, 2021

Invoice Number: 21994

Client:

Robbins Geller Rudman & Dowd LLP
 One Montgomery Street, Suite 1800
 San Francisco, CA 94104
 Attention: Mr. Daniel Pfefferbaum

Inv. agreement on file
 For Accounting Use Only *SB*

Date Received: _____
 Vendor No: 5746-1
 Matter Name/No: Super Micro Computer
 Employee ID: 01549 (180054.1)
 Disb. Code: L1011
 Voucher No: _____
 Approval: K. Cheung

Services Provided by Steven Feinstein, Ph.D., CFA

9/1/2021 Finalized, signed, and submitted report. 6.5 hours

Services Provided by Daniel Bettencourt, MBA

9/16/2021 Document production. 3.6 hours

9/17/2021 Document production. 3.2 hours

Services Provided by Miguel Villanueva, Ph.D.

9/1/2021 Reviewed quantitative analysis. 2.3 hours

9/17/2021 Document production. 1.8 hours

Services Provided by Dylan McCabe

9/1/2021 Assisted with report draft, Constructed exhibits. 7.0 hours

Services Provided by Tyler Quade

9/16/2021 Assisted with document production. 5.5 hours

Services Provided by Mark McKew

9/1/2021 Assisted with report draft. 5.5 hours

Bar Code Value : 85F8CDDF9



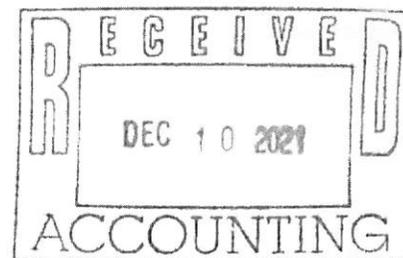
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PROFESSIONAL FEES				
Name	Title	Hourly Rate	Hours Billed	Total Fee
Steven Feinstein, Ph.D., CFA ✓	Senior Expert	\$950 ✓	6.5	\$6,175
Daniel Bettencourt, MBA	Vice President/Senior Analyst ✓	\$475 ✓	6.8	\$3,230
Miguel Villanueva, Ph.D.	Senior Quantitative Analyst ✓	\$500 ✓	4.1	\$2,050
Dylan McCabe	Senior Associate ✓	\$300 ✓	7.0	\$2,100
Tyler Quade	Analyst ✓	\$225 ✓	5.5	\$1,237.50
Mark McKew	Analyst ✓	\$200 ✓	5.5	\$1,100
TOTAL PROFESSIONAL FEES				\$15,892.50
EXPENSES				
TOTAL EXPENSES				\$0
TOTAL NEW CHARGES				\$15,892 ✓

Statement of Account		as of October 7, 2021	
Invoices:			
Date of Invoice	Period Covered	Invoice Amount	
8/18/2021	July 2021	\$4,335	
9/13/2021	August 2021	\$72,723	
10/7/2021	September 2021	\$15,892	
Payments Received:			
Date Received	Period Covered	Amount	
9/30/2021	July 2021	\$4,335	
9/30/2021	August 2021	\$72,723	
Net Outstanding Balance:			\$15,892



CROWNSHIELD FINANCIAL RESEARCH



Invoice
for Professional Services and Expenses
in Connection with the Super Micro Computer, Inc. Securities Litigation

Dates of Services: November 1, 2021 through November 30, 2021

Date of Invoice: December 9, 2021

Invoice Number: 22049

Client:

Robbins Geller Rudman & Dowd LLP
One Montgomery Street, Suite 1800
San Francisco, CA 94104
Attention: Mr. Daniel Pfefferbaum

Services Provided by Daniel Bettencourt, MBA

11/8/2021 Conducted damages analysis.

2.6 hours

Sec. Agreement No. 916
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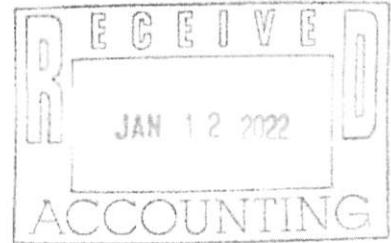
Date Received: _____
Vendor No: 5746
Matter Name/No: Super Micro Computer
Employee ID: 01549 (180054-1)
Disb. Code: 11011
Voucher No: _____
Approval: K. Cheung

PROFESSIONAL FEES				
Name	Title	Hourly Rate	Hours Billed	Total Fee
Daniel Bettencourt, MBA	Vice President/Senior Analyst	\$475	2.6	\$1,235
TOTAL PROFESSIONAL FEES				\$1,235
EXPENSES				
TOTAL EXPENSES				\$0
TOTAL NEW CHARGES				\$1,235 ✓

Statement of Account		as of December 9, 2021	
Invoices:			
Date of Invoice	Period Covered	Invoice Amount	
8/18/2021	July 2021	\$4,335	
9/13/2021	August 2021	\$72,723	
10/7/2021	September 2021	\$15,892	
12/9/2021	November 2021	\$1,235	
Payments Received:			
Date Received	Period Covered	Amount	
9/30/2021	July 2021	\$4,335	
9/30/2021	August 2021	\$72,723	
Net Outstanding Balance:			\$17,127



CROWNSHIELD FINANCIAL RESEARCH



Invoice
for Professional Services and Expenses
in Connection with the Super Micro Computer, Inc. Securities Litigation

Dates of Services: December 1, 2021 through December 31, 2021
Date of Invoice: January 12, 2022
Invoice Number: 22071

Client:

Robbins Geller Rudman & Dowd LLP
One Montgomery Street, Suite 1800
San Francisco, CA 94104
Attention: Mr. Daniel Pfefferbaum

Services Provided by Steven Feinstein, Ph.D., CFA

12/13/2021 Deposition preparation. 6.5 hours

Services Provided by Daniel Bettencourt, MBA

12/13/2021 Assisted with deposition preparation and reviewed financial analysis. 2.9 hours

Services Provided by Tyler Quade

12/13/2021 Assisted with deposition preparation. 6.8 hours

See Agreement On File
For Accounting Use Only

Date Received: _____

Invoice No. 5746

Client: SUPER MICRO COMPUTER (180454.1)

City: LICH

Accounting Use Only: _____

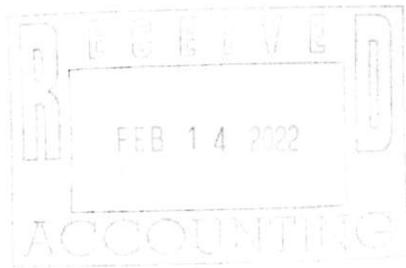
Accounting Use Only: *K. Cheung*

PROFESSIONAL FEES				
Name	Title	Hourly Rate	Hours Billed	Total Fee
Steven Feinstein, Ph.D., CFA	Senior Expert	\$950 ✓	6.5	\$6,175
Daniel Bettencourt, MBA	Vice President/Senior Analyst	\$475 ✓	2.9	\$1,377.50
Tyler Quade	Analyst	\$225 ✓	6.8	\$1,530
TOTAL PROFESSIONAL FEES				\$9,082
EXPENSES				
TOTAL EXPENSES				\$0
TOTAL NEW CHARGES				\$9,082 ✓

Statement of Account		as of January 12, 2022	
Invoices:			
Date of Invoice	Period Covered	Invoice Amount	
8/18/2021	July 2021	\$4,335	
9/13/2021	August 2021	\$72,723	
10/7/2021	September 2021	\$15,892	
12/9/2021	November 2021	\$1,235	
1/12/2022	December 2021	\$9,082	
Payments Received:			
Date Received	Period Covered	Amount	
9/30/2021	July 2021	\$4,335	
9/30/2021	August 2021	\$72,723	
12/23/2021	September 2021	\$15,892	
12/23/2021	November 2021	\$1,235	
Net Outstanding Balance:			\$9,082



CROWNINSHIELD FINANCIAL RESEARCH



Invoice
for Professional Services and Expenses
in Connection with the Super Micro Computer, Inc. Securities Litigation

Dates of Services: January 1, 2022 through January 31, 2022

Date of Invoice: February 11, 2022

Invoice Number: 22098

Sub. Agreement on File

Client:

Robbins Geller Rudman & Dowd LLP
 One Montgomery Street, Suite 1800
 San Francisco, CA 94104
 Attention: Mr. Daniel Pfefferbaum

For Accounting Use Only	
Date Received:	_____
Vendor No:	5740 ✓
Matter Name/No:	SUPER MICRO COMPUTER ✓
Employee ID:	01549 (180054.1) ✓
Disb. Code:	L101 ✓
Voucher No:	_____
Approval:	<i>K. Cheung</i> ✓

Services Provided by Steven Feinstein, Ph.D., CFA

1/10/2022	Reviewed case documents. Deposition preparation.	6.5 hours
1/11/2022	Reviewed case documents. Deposition preparation.	1.2 hours
1/12/2022	Deposition preparation. Telephone call with attorneys.	4.7 hours
1/19/2022	Deposition preparation.	3.0 hours
1/20/2022	Deposition preparation. Telephone call with attorneys.	2.0 hours
1/21/2022	Deposition preparation. Deposition.	6.0 hours

Services Provided by Daniel Bettencourt, MBA

1/11/2022	Assisted with deposition preparation and reviewed financial analysis.	1.2 hours
1/12/2022	Assisted with deposition preparation. Telephone call with attorneys.	2.9 hours
1/20/2022	Assisted with deposition preparation. Telephone call with attorneys.	4.6 hours

Services Provided by Tyler Quade

1/10/2022	Assisted with deposition preparation.	6.5 hours
1/12/2022	Assisted with deposition preparation. Telephone call with attorneys.	6.7 hours
1/19/2022	Assisted with deposition preparation.	3.0 hours
1/20/2022	Assisted with deposition preparation.	7.0 hours
1/21/2022	Assisted with deposition preparation.	3.5 hours

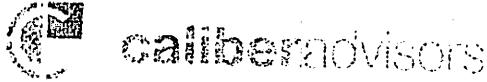
PROFESSIONAL FEES				
Name	Title	Hourly Rate	Hours Billed	Total Fee
Steven Feinstein, Ph.D., CFA	Senior Expert	\$950	23.4	\$22,230
Daniel Bettencourt, MBA	Vice President/Senior Analyst	\$475	8.7	\$4,132.50
Tyler Quade	Analyst	\$225	26.7	\$6,007.50
TOTAL PROFESSIONAL FEES				\$32,369
EXPENSES				
TOTAL EXPENSES				\$0
TOTAL NEW CHARGES				\$32,369

Statement of Account		as of February 11, 2022	
Invoices:			
Date of Invoice	Period Covered	Invoice Amount	
8/18/2021	July 2021	\$4,335	
9/13/2021	August 2021	\$72,723	
10/7/2021	September 2021	\$15,892	
12/9/2021	November 2021	\$1,235	
1/12/2022	December 2021	\$9,082	
2/11/2022	January 2022	\$32,369	
Payments Received:			
Date Received	Period Covered	Amount	
9/30/2021	July 2021	\$4,335	
9/30/2021	August 2021	\$72,723	
12/23/2021	September 2021	\$15,892	
12/23/2021	November 2021	\$1,235	
2/3/2022	December 2021	\$9,082	
Net Outstanding Balance:			\$32,369

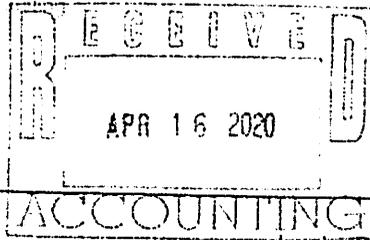
**CONSULTANTS
REDACTED INVOICES**

SUPER MICRO COMPUTER**Consultants**

DATE	NAME	COST TYPE	AMOUNT	NARRATIVE
05/22/20	DANIEL PFEFFERBAUM	Consultants	\$ 17,850.00	PROF. SERVICE CHARGES 03/05/20 - 03/31/20 - Consultants - Payment to: TASTA GROUP dba CALIBER ADVISORS, INC.
TOTAL			\$ 17,850.00	



Caliber Advisors, Inc.
 10000 Santa Monica Blvd, Suite 1000
 Santa Monica, CA 90404
 Tel: 310.310.0000
 Fax: 310.310.0001
 www.caliberadvisors.com



Invoice #: 041020-5817

Invoice Date: April 10, 2020

Ms. Karen Cheung
 Robbins Geller Rudman & Dowd LLP
 655 West Broadway, Suite 1900
 San Diego, CA 92101

Project: Super Micro Computer, Inc.
 Contact Person: Dan Pfefferbaum, Esq.
 Preliminary Damages Analysis

Invoice for professional services performed through March 31, 2020:

Professional Fees:

	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>
Bjorn I. Steinholt, CFA	34.00	\$525.00 ✓	\$17,850.00
Total Professional Fees			\$17,850.00
Balance Due			\$17,850.00

Payment due upon receipt.
 Thank you!



Sve. Agreement on file

For Accounting Use Only	
Date Received:	
Vendor No:	6284
Matter Name/No:	Super Micro Computer
Employee ID:	01549 (180054.1)
Disb. Code:	L1017
Voucher No:	
Approval:	K. Cheung

Project Name: Super Micro Computer, Inc.
Project Code: >> 5817

Date	Analyst	Hours	Billable Rate	Fee	Description
Thursday, March 5, 2020	Bjorn Steinholt	6.00	\$525.00	\$3,150.00	Review case
Monday, March 9, 2020	Bjorn Steinholt	7.50	\$525.00	\$3,937.50	Analyze stock price movements
Tuesday, March 10, 2020	Bjorn Steinholt	5.00	\$525.00	\$2,625.00	Analyze institutional data and trading pattern
Wednesday, March 11, 2020	Bjorn Steinholt	4.00	\$525.00	\$2,100.00	Review media and analyst reports
Thursday, March 12, 2020	Bjorn Steinholt	7.00	\$525.00	\$3,675.00	Perform damages analysis
Friday, March 13, 2020	Bjorn Steinholt	4.50	\$525.00	\$2,362.50	Put together draft report
		34.00		\$17,850.00	

**INVESTIGATORS
REDACTED INVOICES**

SUPER MICRO COMPUTER
Investigators

DATE	NAME	COST TYPE	AMOUNT	NARRATIVE
07/07/18	DANIEL PFEFFERBAUM	Investigators	\$ 4,618.70	PROF SERVICE CHARGES 05/01/18-06/30/18 - Investigators - Payment to: L.R. HODGES & ASSOCIATES, LTD.
08/12/18	DANIEL PFEFFERBAUM	Investigators	\$ 1,339.30	PROF SERVICE CHARGES 07/01/18-07/31/18 - Investigators - Payment to: L.R. HODGES & ASSOCIATES, LTD.
09/09/18	DANIEL PFEFFERBAUM	Investigators	\$ 1,978.55	PROF SERVICE CHARGES 08/01/18-08/31/18 - Investigators - Payment to: L.R. HODGES & ASSOCIATES, LTD.
10/07/18	DANIEL PFEFFERBAUM	Investigators	\$ 4,544.50	PROF SERVICE CHARGES 09/01/18-09/30/18 - Investigators - Payment to: L.R. HODGES & ASSOCIATES, LTD.
12/11/18	DANIEL PFEFFERBAUM	Investigators	\$ 7,678.95	PROF SERVICE CHARGES 10/23/18-11/30/18 - Investigators - Payment to: L.R. HODGES & ASSOCIATES, LTD.
01/09/19	DANIEL PFEFFERBAUM	Investigators	\$ 9,089.05	PROF SERVICE CHARGES 12/01/18-12/31/18 - Investigators - Payment to: L.R. HODGES & ASSOCIATES, LTD.
02/09/19	DANIEL PFEFFERBAUM	Investigators	\$ 5,337.50	PROF SERVICE CHARGES 01/01/19-01/31/19 - Investigators - Payment to: L.R. HODGES & ASSOCIATES, LTD.
04/22/20	DANIEL PFEFFERBAUM	Investigators	\$ 7,915.50	PROF. SERVICE CHARGES 03/01/20 - 03/31/20 - Investigators - Payment to: L.R. HODGES & ASSOCIATES, LTD.
06/10/20	DANIEL PFEFFERBAUM	Investigators	\$ 5,547.00	PROF. SERVICE CHARGES 04/01/20 - 04/30/20 - Investigators - Payment to: L.R. HODGES & ASSOCIATES, LTD.
10/11/20	DANIEL PFEFFERBAUM	Investigators	\$ 493.50	L.R. HODGES & ASSOCIATES, LTD. - PROF SERVICE CHARGES 09/01/20 - 09/30/20 - Investigators
TOTAL			\$ 48,542.55	

July 11 2018

L.R. Hodges & Associates, Ltd.
 5864 Owens Avenue
 Suite 100
 Carlsbad, CA 92008
 760-448-1883

Per Agreement on File

For Accounting Use Only SB

Date Received: _____
 Vendor No: 1407
 Matter Name/No: Super Micro Computer
 Employee ID: 01549 (180054.1)
 Disb. Code: L1014
 Voucher No: 1284754
 Approval: [Signature]

July 07, 2018

Invoice submitted to:
 Dan Pfefferbaum, Esq.
 Robbins Geller Rudman & Dowd LLP
 655 West Broadway
 Suite 1900
 San Diego, CA 92101-5050

In Reference To: Super Micro Computer, Inc. Securities Litigation
 Invoice # 25771
 Billing Period: 05/01/18 - 06/30/18

18-0515-26-SMCI

Date	Init.	Description	Hours	Amount
05/14/18	KK	Investigation Receive/review and reply to communications with D.Pfefferbaum and LH re new case assignment and scheduling case discussion. Retrieve/review operative complaint. Dbase/online research re defendant company background. Retrieve/review F3Q18 earnings conference call transcript. Retrieve/review Second Amended Complaint filed in earlier securities case re allegations of similar/related accounting improprieties.	2.60	585.00
	LH	Investigation Communications with D.Pfefferbaum re new case assignment, and follow-up with KK. Follow-up scheduling communications.	0.10	22.50
05/15/18	KK	Investigation Participate in scheduled case discussion with D.Pfefferbaum providing overview of company background per research, including earlier securities case, and receive procedural status update with client directing hold on investigation pending further developments with LP motion. Brief LH re client communications and investigation status.	0.30	67.50
05/18/18	KK	Investigation Communications to D.Pfefferbaum re outlook for investigation going forward. Follow-on communications with LH re same.	0.10	22.50
	LH	Investigation Update from KK re follow-up with client concerning LP and other pending issues. Client instructs to hold until additional call late next week.	0.10	22.50
05/25/18	KK	Investigation Communications with D.Pfefferbaum confirming RGRD being appointed LP and scheduling case discussion. Brief LH re client communications. Discussion with D.Pfefferbaum re initiating investigation with preliminary \$10,000 budget, still pending schedule, and considerations re possibly accelerated filing deadline for CAC.	1.60	360.00

L.R. Hodges & Associates, Ltd.
 Super Micro Computer, Inc. Securities
 Litigation

Date	Init.	Description	Hours	Amount
		Prepare new case assignment memo and forward to LH and LRH&A office administration. Supplemental review of complaint and previously filed securities litigation involving accounting improprieties. Prepare overview of witness development search terms and parameters. Forward same to HL to initiate witness research.		
05/25/18	LH	Investigation Discussion with KK re new case assignment. Receive and review case assignment memo and briefly review complaint.	0.20	45.00
05/29/18	HL	Investigation Brief discussion with KK re case assignment and preliminary witness development objectives.	0.10	15.00
	KK	Investigation Discussion with HL re status of and objectives for witness research. Begin preparing timeline/chronology of key events and investigation plan.	2.10	472.50
05/30/18	HL	Investigation Conduct dbase research using multiple search term combinations and variations of search terms. Receive/review 899 hits. Review 899 profiles and download relevant responses. Forward prospective witnesses to KK for review.	2.50	375.00
	KK	Investigation Receive/review results from HL witness development research. Prioritize results for employment confirmations/locate searches. Forward prioritized results to HL for follow-on research and adding to DWL.	0.70	157.50
05/31/18	HL	Investigation Discussion with KK re witness locates. Receive prioritized leads from KK. Incorporate 13 prospective witnesses into DWL1. Preliminary dbase searches to locate 10 prospective witnesses. Begin process of confirming locate results. Cross directory searches to develop/affirm current telephone listings. Incorporate results into DWL1 and distribute to investigation team. Discussion with KK re holding on further witness research at this time.	1.90	285.00
	KK	Investigation Communications with D.Pfefferbaum scheduling case discussion. Communications with HL re status of witness development research and pending distribution of DWL. Receive/review DWL1. Discussion with D.Pfefferbaum re co-counsel arrangement with Labaton Sucharow and holding on further investigation pending coordination of litigation team roles and investigation. Communications with LH and LRH&A office administration re client communications.	0.40	90.00
	LH	Investigation Receive update from KK re client instructions to hold investigation pending coordination with co-counsel.	0.10	NO CHARGE

L.R. Hodges & Associates, Ltd.
 Super Micro Computer, Inc. Securities
 Litigation

Date	Init.	Description	Hours	Amount
06/07/18	HL	Investigation Discussion with KK re adding witness leads to DWL. Review prioritized leads from KK. Incorporate nine prospective witnesses into DWL2.	0.60	90.00
	KK	Investigation Discussion with A.Greenbaum and J.Clark re joint-investigation strategy, division of duties re witness research and witness assignments and LRH&A to forward witness research completed to date. Follow-on discussion with HL re finalizing witness list for forwarding to co-investigators. Discussion with D.Pfefferbaum confirming initial investigation authorization (\$10,000), co-investigation arrangement, and filing considerations re August 24, 2018 deadline for company to file Form 10-K. Communications with LH and LRH&A office management re renewed investigation activity. Follow-on communications with Labaton team re Glancy Prongay securities case involving earlier class period and other accounting issues.	0.80	180.00
	LH	Investigation Update from KK following discussion with client and co-investigator re resuming investigation.	0.20	45.00
06/08/18	HL	Investigation Incorporate 28 prospective witnesses into DWL2 and distribute to investigation team.	1.30	195.00
	KK	Investigation Receive/review updated witness list from HL. Formatting and edits in preparation for forwarding list to co-counsel investigation team. Prepare overview re witness list and forward same, including list, to co-counsel investigators.	0.40	90.00
06/12/18	KK	Investigation Communications with co-counsel investigators re status of witness research underway and witness assignments.	0.10	22.50
	LH	Investigation Communications from/to D.Pfefferbaum re formal retention agreement.	0.10	22.50
06/13/18	KK	Investigation Supplemental review of case materials ahead of witness contact attempts. Calls in attempts to reach [REDACTED]. See witness contact log for details. Preliminary discussion/case introduction with [REDACTED]; arrange for follow-up contact at later date/time. Prepare grant correspondence confirming LRH&A's retention by RGRD and forward same to D.Pfefferbaum for review/approval ahead of sending to witness. Finalize correspondence to [REDACTED] and forward same, including copy of complaint, to witness.	0.90	202.50
06/15/18	KK	Investigation Follow-up call to [REDACTED] and schedule interview for later date/time. Dbase/online research re leads identified by [REDACTED]. Prepare update/overview re leads provided and forward same to co-counsel investigation team.	0.50	112.50

L.R. Hodges & Associates, Ltd.
 Super Micro Computer, Inc. Securities
 Litigation

Date	Init.	Description	Hours	Amount
06/21/18	KK	Investigation Brief review of prior contacts with [REDACTED] Call to [REDACTED] for scheduled interview; subject declines to further assist investigation.	0.30	67.50
06/22/18	KK	Investigation Communications with co-counsel investigators re status of witness development research, including locate searches and distribution of updated witness list. Draft details of contacts with [REDACTED] Dbase/online research re subjects referenced by [REDACTED] including [REDACTED] incorporating research results into summary of [REDACTED] contacts. Additional/supplemental dbase/online research re defendant company.	1.20	270.00
06/26/18	KK	Investigation Call to A.Greenbaum to discuss investigation status and LMTC. Review F3Q18 earnings conference call transcript.	0.40	90.00
06/27/18	KK	Investigation Communications with co-counsel investigator A.Greenbaum scheduling case discussion.	0.10	22.50
06/28/18	KK	Investigation Communications with co-counsel investigation team re re-scheduling case discussion.	0.10	NO CHARGE
06/29/18	KK	Investigation Final review of and edits/additions to investigation update briefing. Forward same to D.Pfefferbaum and LH. Participate in case discussion with A.Greenbaum, including status of witness list, results of [REDACTED] interview, and NY Times article.	0.50	112.50
	LH	Investigation Receive/review investigation status update from KK re ongoing witness outreach and developments.	0.10	22.50
			<u>20.40</u>	<u>\$4,065.00</u>

Additional Charges :

Domestic Phone	9.10
Specialized Databases/Internet	544.60
Total costs	<u>\$553.70</u>

Total amount of this bill

\$4,618.70

Investigator Summary

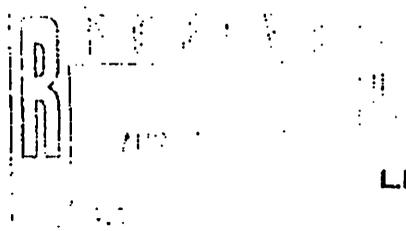
Name	Hours	Rate	Amount
Ken Keatly ✓	13.00	225.00 ✓	\$2,925.00
Lynne Hodges ✓	0.80	225.00 ✓	\$180.00

L.R. Hodges & Associates, Ltd.
Super Micro Computer, Inc. Securities
Litigation

<u>Name</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>
Laura Hodges ✓	6.40	150.00 ✓	\$960.00

813

20.20 ✓
.20 r/c
\$4,065.00 ✓



L.R. Hodges & Associates, Ltd.

5864 Owens Avenue
Suite 100
Carlsbad, CA 92008
760-448-1883

Inc. Agreement on file

For Accounting Use Only	
Date Received:	
Vendor No:	1407
Matter Name/No:	Super Micro Computer
Employee ID:	01549 (180054.1)
Disb. Code:	L1014
Voucher No:	
Approval:	<i>K.S.</i>

August 12, 2018

Invoice submitted to:
Dan Pfefferbaum, Esq.
Robbins Geller Rudman & Dowd LLP
655 West Broadway
Suite 1900
San Diego, CA 92101-5050

In Reference To: Super Micro Computer, Inc. Securities Litigation
Invoice # 25804
Billing Period: 07/01/18 - 07/31/18

18-0515-26-SMCI

Date	Init.	Description	Hours	Amount
07/05/18	KK	Investigation Receive update from A.Greenbaum re pending distribution of witness list. Receive/review Witness List 1964658_1 from co-counsel investigators. Supplemental review of case materials in preparation for witness outreach. Calls in attempt to reach the following subjects: [REDACTED] See witness contact log for details. Contact with [REDACTED] subject declines to be interviewed.	1.30	292.50
07/09/18	KK	Investigation Calls in attempts to reach the following subjects: [REDACTED] See witness contact log for details. Contact with [REDACTED] subject declines to be interviewed.	0.40	90.00
07/10/18	KK	Investigation Conduct interview with [REDACTED]. Begin preparing ICU re results of various witness contacts to forward to co-counsel investigation team.	1.00	225.00
07/11/18	KK	Investigation Receive update from A.Greenbaum re [REDACTED] interview; follow-on discussion with A.Greenbaum re same, also discuss investigation activity, [REDACTED] interview, and considerations re [REDACTED]	0.30	67.50
07/13/18	KK	Investigation Prepare investigation update briefing, including details of interview with [REDACTED]. Forward investigation update briefing to client (cc LH).	0.70	157.50
	LH	Investigation Receive and review investigation status update from KK re ongoing witness outreach and developments.	0.10	22.50

L.R. Hodges & Associates, Ltd.
 Super Micro Computer, Inc. Securities
 Litigation

Date	Init.	Description	Hours	Amount
07/16/18	KK	Investigation Communications with A.Zohrabian re [redacted] and arranging for case discussion at later date/time. Calls in attempts to reach the following subjects: [redacted] See witness contact log for details. Update briefing with LH re status of investigation and client communications.	0.30	67.50
	LH	Investigation Investigation status communications.	0.10	22.50
07/17/18	KK	Investigation Discussion with A.Greenbaum re investigation status including results of [redacted] contact. Discussion with A.Zohrabian re status of investigation, overview of factual developments and research findings and discussion with A.Greenbaum.	0.60	135.00
07/23/18	KK	Investigation Calls in attempts to reach the following subjects: [redacted] See witness contact log for details.	0.20	45.00
07/25/18	KK	Investigation Calls in attempts to reach the following subjects: [redacted] See witness contact log for details.	0.20	45.00
07/30/18	KK	Investigation Calls in attempts to reach the following subjects: [redacted] See witness contact log for details. Preliminary discussion/case introduction with [redacted] arrange for possible follow-up at later date/time. Prepare investigator contact update and forward same to co-counsel for updating witness list. Follow-on communications with A.Greenbaum re status of investigation.	0.60	135.00
			5.80	\$1,305.00

Additional Charges :

Domestic Phone	34.30
Total costs	\$34.30

Total amount of this bill

\$1,339.30

Investigator Summary

Name	Hours	Rate	Amount	
Ken Keatly ✓	5.60	225.00 ✓	\$1,260.00	8B
Lynne Hodges ✓	0.20	225.00 ✓	\$45.00	
	5.80 ✓		\$ 1,305.00 ✓	

12

L.R. Hodges & Associates, Ltd.
 5864 Owens Avenue
 Suite 100
 Carlsbad, CA 92008
 760-448-1883

Svc. Agreement on file

For Accounting Use Only SB	
Date Received:	
Vendor No:	1407
Matter Name/No:	Super Micro Computer
Employee ID:	01549 (180054.1)
Disb. Code:	L1014
Voucher No:	
Approval:	<i>[Signature]</i>

September 09, 2018
 Invoice submitted to:
 Dan Pfefferbaum, Esq.
 Robbins Geller Rudman & Dowd LLP
 655 West Broadway
 Suite 1900
 San Diego, CA 92101-5050

In Reference To: Super Micro Computer, Inc. Securities Litigation
 Invoice # 25823
 Billing Period: 08/01/18 - 08/31/18

18-0515-26-SMCI

Date	Init.	Description	Hours	Amount
08/01/18	KK	Investigation Calls in attempts to reach the following subjects: [REDACTED] See witness contact log for details. Prepare communication confirming LRH&A's retention by counsel and forward same, including operative complaint, to witness [REDACTED]	0.40	90.00
08/03/18	KK	Investigation Prepare and forward investigation update to client re limited results from ongoing witness outreach.	0.10	22.50
	LH	Investigation Receive and review investigation status update from KK re ongoing witness outreach and developments.	0.10	22.50
08/06/18	KK	Investigation Communications with client scheduling case discussion, as well as defendant company's pending earnings release. Calls in attempts to reach the following subjects: [REDACTED] See witness contact log for details. Follow-up contact with [REDACTED] and arrange for possible call at later date/time.	0.30	67.50
08/08/18	KK	Investigation Dbase/online research re recent company developments, including company rescheduling Q4 financial report to 08/21/2018. Participate in scheduled case discussion with client, providing overview re limited witness pool, pending interview with [REDACTED], confirm 09/24/2018 filing deadline, and analysis of company's delayed release of financial results.	0.30	67.50
08/09/18	KK	Investigation Call to [REDACTED] for scheduled interview; witness declines interview.	0.10	22.50

L.R. Hodges & Associates, Ltd.
 Super Micro Computer, Inc. Securities
 Litigation

Page 2

Date	Init.	Description	Hours	Amount
08/13/18	HL	Investigation Receive locate request from KK. Preliminary dbase searches to locate prospective witness [REDACTED]. Begin process of confirming locate results. Cross directory searches to develop/affirm current telephone listings. Incorporate results into DWL3 and distribute to investigation team.	0.50	75.00
	KK	Investigation Receive/review update from A.Greenbaum re recent investigation activity, including pending interview with [REDACTED]. Follow-on communications with HL re locate search for [REDACTED]. Communications with litigation/investigation team scheduling case conference call.	0.20	45.00
08/14/18	HL	Investigation Receive locate requests from KK. Preliminary dbase searches to locate prospective witnesses [REDACTED]. Begin process of confirming locate results. Cross directory searches to develop/affirm current telephone listings. Calls to numbers to confirm current/accurate. Forward findings to KK.	1.10	165.00
	KK	Investigation Ongoing communications with A.Greenbaum re newly identified leads. Forward to HL for locate searches. Receive locate results and incorporate findings and witness profiles into consolidated witness list. Make other updates/annotations to witness list reflecting witness contacts. Participate in scheduled conference call with client and co-counsel to discuss investigation status/activity, analysis of emerging factual information, and case theories going forward to 09/24/2018 filing deadline. Calls in attempts to reach the following subjects: [REDACTED] [REDACTED] See witness contact log for details.	1.30	292.50
08/17/18	KK	Investigation Receive/review summaries by A.Greenbaum of interviews with [REDACTED] and [REDACTED].	0.30	67.50
08/21/18	KK	Investigation Calls in attempts to reach the following subjects: [REDACTED] [REDACTED] See witness contact log for details. Dbase/online research re emerging company developments, including inability of company to file delayed financial statements and imminent NASDAQ delisting.	0.50	112.50
08/22/18	KK	Investigation Retrieve/review F4Q18 earnings conference call transcript. Participate in scheduled case conference call to discuss company's ongoing inability to release financial statements, imminent NASDAQ delisting, considerations re stipulating for additional time for filing CAC, and analysis of disclosures made in conference call. Dbase/online research to identify/retrieve and follow-on review of short-seller report re defendant company. Forward dbase/online research findings re short-seller report to client. Calls in attempts to reach the following subjects: [REDACTED] [REDACTED] See witness contact log for details.	1.50	337.50

L.R. Hodges & Associates, Ltd.
 Super Micro Computer, Inc. Securities
 Litigation

Date	Init.	Description	Hours	Amount
08/23/18	KK	Investigation Communications with A.Greenbaum re supplemental witness development research options. Prepare ICU and also re-forward ICU from 07/30/2018 and short-seller report. Follow-on communications with A.Greenbaum re short-seller report and [REDACTED] re supplemental locate research.	0.40	90.00
08/27/18	KK	Investigation Review current defendant company developments and forward article with message board posting of possible relevance to client and A.Greenbaum.	0.20	45.00
08/29/18	KK	Investigation Review newly developed witness profiles forwarded by co-counsel investigation team. Enter details of profiles into witness list.	0.40	90.00
08/30/18	KK	Investigation Calls in attempts to reach the following subjects: [REDACTED] See witness contact log for details. Preliminary discussion/case introduction with [REDACTED]; arrange for telephone interview at later date/time. Prepare and forward meeting invite to [REDACTED] Dbase/online research re issues referenced by [REDACTED] Follow-on communications with LH re same.	0.80	180.00
	LH	Investigation Receive and review investigation status update from KK re ongoing witness outreach and developments. Review forwarded links.	0.10	22.50
			8.60	\$1,815.00

Additional Charges :

Domestic Phone	36.06
Specialized Databases/Internet	127.50
Total costs	\$163.55

Total amount of this bill

\$1,978.55

Investigator Summary

Name	Hours	Rate	Amount
Ken Keatly ✓	6.80	225.00 ✓	\$1,530.00
Lynne Hodges ✓	0.20	225.00 ✓	\$45.00
Laura Hodges ✓	1.60	150.00 ✓	\$240.00

8.60 ✓

\$1,815.00 ✓

813

L.R. Hodges & Associates, Ltd.
 5864 Owens Avenue
 Suite 100
 Carlsbad, CA 92008
 760-448-1883

OCT - 9 2018

October 07, 2018

Invoice submitted to:
 Dan Pfefferbaum, Esq.
 Robbins Geller Rudman & Dowd LLP
 655 West Broadway
 Suite 1900
 San Diego, CA 92101-5050

Inv. Agreement on file

For Accounting Use Only	
Date Received:	
Vendor No:	1407
Matter Name/No:	Super Micro Computer
Employee ID:	01549 (180054.1)
Disb. Code:	L1014
Voucher No:	
Approval:	<i>KV</i>

In Reference To: Super Micro Computer, Inc. Securities Litigation
 Invoice # 25839
 Billing Period: 09/01/18 - 09/30/18

18-0515-26-SMCI

Date	Init.	Description	Hours	Amount
09/04/18	KK	Investigation Conduct scheduled interview with [REDACTED]. Begin drafting summary of interview with [REDACTED]	5.00	1,125.00
09/05/18	HL	Investigation Supplemental dbase searches to locate eight prospective witnesses. Begin process of confirming locate results. Cross directory searches to develop/affirm current telephone listings. Calls to numbers to confirm current/accurate. Incorporate results into witness list and distribute to investigation team.	1.40	210.00
	KK	Investigation Continue drafting summary of interview with [REDACTED]. Begin dbase/online research re issues/individuals cited by [REDACTED]. Communications with A.Greenbaum re investigation activity. Calls in attempts to reach the following subjects: [REDACTED]. See witness contact log for details. Make edits/annotations to witness list, also identifying subjects for supplemental locates and forward same to HL for follow-on research/locate searches. Receive updated witness list from HL.	3.70	832.50
09/07/18	KK	Investigation Communications with A.Zohrabian re scheduled case conference call; forward additional posts from subject [REDACTED]. Continue and complete drafting and make final edits/additions to summary of interview with [REDACTED]. Participate in scheduled case conference call with client re case theories, procedural and filing considerations, recent company developments, and next steps going forward. Prepare investigation update and forward same, including [REDACTED] summary, to client, investigation team, and LH.	2.50	562.50

L.R. Hodges & Associates, Ltd.
 Super Micro Computer, Inc. Securities
 Litigation

Page 2

<u>Date</u>	<u>Init.</u>	<u>Description</u>	<u>Hours</u>	<u>Amount</u>
09/07/18	LH	Investigation Receive and review investigation status update from KK, along with interview summary for [REDACTED]	0.10	22.50
09/10/18	KK	Investigation Calls in attempts to reach the following subjects: [REDACTED] [REDACTED]. See witness contact log for details.	0.30	67.50
09/12/18	HL	Investigation Receive/review interview summary for [REDACTED] from KK. Extract and incorporate newly identified witness leads and other relevant details into DWL4. Cross-reference newly identified witness leads from interview summary against specialized dbases to develop additional relevant background details. Review results. Incorporate relevant details into DWL4. Preliminary dbase searches to locate prospective witness [REDACTED]. Begin process of confirming locate results. Cross directory searches to develop/affirm current telephone listings. Calls to numbers to confirm current/accurate. Incorporate results into DWL4 and distribute to investigation team.	1.10	165.00
	KK	Investigation Receive/review locate results from HL for [REDACTED]. Calls in attempts to reach the following subjects: [REDACTED] [REDACTED]. See witness contact log for details. Conduct interviews with [REDACTED]. Begin drafting summary details of interview with [REDACTED].	2.20	495.00
09/13/18	HL	Investigation Per KK request, forward [REDACTED] profile.	0.10	15.00
	KK	Investigation Continue and complete drafting details of [REDACTED] and other witness contacts for investigation update briefing. Request HL retrieve details of lead provided by [REDACTED]; receive/review same and follow-on research re GlassDoor for [REDACTED] reference.	0.90	202.50
09/14/18	KK	Investigation Calls in attempts to reach the following subjects: [REDACTED] [REDACTED] and [REDACTED]. See witness contact log for details. Preliminary discussion/case introduction with [REDACTED] arrange for possible follow-up call at later date/time. Contact with [REDACTED] subject declines to be interviewed. Final review of and edits/additions to investigation update briefing. Forward investigation update to client, investigation team, and LH. Receive/review report from A.Greenbaum re contact/discussion with Red Owl short-seller. Make edits/updates to witness list reflecting results of ongoing/recent witness contact activity.	1.50	337.50
	LH	Investigation Receive and review investigation status update from KK re ongoing witness outreach and developments.	0.10	22.50

L.R. Hodges & Associates, Ltd.
 Super Micro Computer, Inc. Securities
 Litigation

Date	Init.	Description	Hours	Amount
09/21/18	KK	Investigation Calls in attempts to contact the following subjects: [redacted] and [redacted] See witness contact log for details.	0.30	67.50
09/24/18	KK	Investigation Calls in attempts to reach the following subjects: [redacted] and [redacted] See witness contact log for details.	0.20	45.00
09/25/18	KK	Investigation Calls in attempts to reach the following subjects: [redacted] See witness contact log for details. Contact with [redacted] subject declines to be interviewed.	0.20	45.00
			19.60	\$4,215.00

Additional Charges :

Domestic Phone	77.00
Specialized Databases/Internet	252.50
Total costs	\$329.50

Total amount of this bill

\$4,544.50

Investigator Summary

Name	Hours	Rate	Amount	
Ken Keatly ✓	16.80	225.00 ✓	\$3,780.00	8B
Lynne Hodges ✓	0.20	225.00 ✓	\$45.00	
Laura Hodges ✓	2.60	150.00 ✓	\$390.00	
	19.60 ✓		\$ 4,215.00 ✓	

DEC 17 2018

L.R. Hodges & Associates, Ltd.
 5864 Owens Avenue
 Suite 100
 Carlsbad, CA 92008
 760-448-1883

Inc. Agreement on file

For Accounting Use Only	
Date Received:	
Vendor No:	1407
Matter Name/No:	Super Micro Computer
Employee ID:	01549 (180054-00001)
Dist. Code:	L1014
Voucher No:	
Approval:	<i>Ky</i>

December 11, 2018

Invoice submitted to:
 Dan Pfefferbaum, Esq.
 Robbins Geller Rudman & Dowd LLP
 655 West Broadway
 Suite 1900
 San Diego, CA 92101-5050

In Reference To: Super Micro Computer, Inc. Securities Litigation
 Invoice # 25884
 Billing Period: 10/23/18 - 11/30/18

18-0515-26-SMCI

Date	Init.	Description	Hours	Amount
10/23/18	KK	Investigation Review recent company developments involving large stock plunge following Bloomberg reporting re Chinese hacking of defendant company chips. Follow-on communications with A.Greenbaum re same.	0.30	67.50
11/05/18	KK	Investigation Communications with A.Greenbaum re recent company developments.	0.10	22.50
11/07/18	KK	Investigation Receive call from A.Greenbaum to discuss recent defendant company developments, including contaminated chip issue, financial statements still pending from company, and investigation/litigation status.	0.40	90.00
11/16/18	HL	Investigation Receive witness development request from KK. Conduct dbase research using primary and supplemental search terms. Receive/review 315 responsive hits. Review 315 profiles and download relevant responses. Forward prospective witnesses to KK for review.	2.00	300.00
	KK	Investigation Receive/review communications from client re recently issued 8-K restatement and prospect of identifying additional prospective witnesses. Review same. Retrieve/review F1Q19 earnings call transcript. Communications with HL re initiating witness research. Dbase/online research re recent company developments.	0.80	180.00
11/19/18	HL	Investigation Receive witness development request from KK. Conduct dbase research using primary search terms. Receive/review 252 responsive hits. Review 252 profiles and download relevant responses. Forward prospective witnesses to KK for review. Communications with KK re DWL format and additional witness research.	1.00	150.00

L.R. Hodges & Associates, Ltd.
 Super Micro Computer, Inc. Securities
 Litigation

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Date	Init.	Description	Hours	Amount
11/19/18	KK	Investigation Receive/review results from HL of supplemental witness development research. Prioritize findings for employment confirmations/locate searches. Follow-on communications with HL re next steps for witness research, including additional witness profile research and DWL formatting. Supplemental dbase/online research re recent defendant company activities, including 10/09/2018 Bloomberg article.	1.40	315.00
11/20/18	HL	Investigation Discussion with KK re witness locates.	0.10	15.00
	KK	Investigation Discussion with A.Zohrabian re results of supplemental witness research, procedural status, including stipulation for filing new CAC, arranging case discussion for 11/27/2018, initiating witness outreach, and analysis of emerging developments with defendant company. Communications with HL re status of witness research and pending distribution of DWL. Retrieve/review CAC. Update timeline/investigation plan to reflect allegations/details per CAC.	2.50	562.50
11/21/18	HL	Investigation Receive prioritized witness leads from KK. Incorporate 32 prospective witnesses into DWL7. Preliminary dbase searches to locate 10 prospective witnesses. Begin process of confirming locate results. Cross directory searches to develop/affirm current telephone listings. Calls to numbers to confirm current/accurate. Incorporate results into DWL7 and distribute to investigation team.	3.10	465.00
	KK	Investigation Communications with HL re status of witness development research. Receive/review DWL1. Calls in attempts to reach the following subjects: [REDACTED] See witness contact log for details.	0.20	45.00
11/26/18	HL	Investigation Continue to review prioritized witness leads from KK. Incorporate 15 prospective witnesses into DWL8. Preliminary dbase searches to locate eight prospective witnesses. Begin process of confirming locate results. Cross directory searches to develop/affirm current telephone listings. Calls to numbers to confirm current/accurate. Incorporate results into DWL8 and distribute to investigation team. Discussion with KK re additional witness locates.	1.80	270.00
	KK	Investigation Receive/review DWL8 from HL and update on status of witness research. Calls in attempts to reach the following subjects: [REDACTED] See witness contact log for details. Preliminary discussions/case introductions with [REDACTED]; arrange for follow-up calls with subjects at later dates/times. Contact with [REDACTED] subject declines to be interviewed. Discussion with HL re next steps for witness development research, including ongoing locate searches. Provide update briefing to LH re case status, client	1.70	382.50

L.R. Hodges & Associates, Ltd.
 Super Micro Computer, Inc. Securities
 Litigation

Page 3

Date	Init.	Description	Hours	Amount
		communications, and investigation activity. Begin making edits/annotations to DWL8.		
11/26/18	LH	Investigation Receive and review investigation status update from KK re ongoing witness outreach and developments.	0.10	22.50
11/27/18	KK	Investigation Participate in case discussion with client and co-counsel, discussing class period considerations, recent company developments (still-pending restatement), investigation strategy and objectives going to January 22, 2019 filing deadline for new CAC. Post-call discussion with A.Greenbaum coordinating investigation and confirming investigation objectives. Calls in attempt to reach [REDACTED] for tentatively scheduled interview; witness unavailable. Calls in attempts to reach the following subjects: [REDACTED] [REDACTED] See witness contact log for details. Preliminary discussion/case introduction with [REDACTED] arrange for follow-up call at later date/time. Begin making edits/annotations to DWL for distribution to client.	2.00	450.00
11/28/18	HL	Investigation Discussion with KK re DWL updates. Segregate updates into DWL9 and distribute to investigation team.	0.20	30.00
	KK	Investigation Communications with HL re status of witness research and pending distribution of updated witness list. Discussion with LH re case activity, client communications, and investigation status. Conduct scheduled interview with [REDACTED]. Follow-up contact and re-schedule interview with [REDACTED]. Begin drafting summary of interview with [REDACTED]	3.90	877.50
	LH	Investigation Investigation status discussion with KK.	0.10	22.50
11/29/18	HL	Investigation Discussion with KK re witness locates.	0.10	15.00
	KK	Investigation Continue and complete drafting summary of interview with [REDACTED] Calls in attempts to reach the following subjects: [REDACTED] [REDACTED] See witness contact log for details. Contact with [REDACTED] subject declines to be interviewed. Continue and complete making edits/annotations to witness list for forwarding to co-counsel. Dbase/online research re subjects referenced by [REDACTED] during contact. Follow-on communications with HL re locate/supplemental locate requests for various subjects. Prepare overview of witness list and forward same to co-counsel investigator.	4.30	967.50
11/30/18	HL	Investigation Preliminary dbase searches to locate 22 prospective witnesses. Begin process of confirming locate results. Cross directory searches to develop/affirm current telephone listings. Calls to numbers to confirm	2.90	435.00

L.R. Hodges & Associates, Ltd.
 Super Micro Computer, Inc. Securities
 Litigation

Date	Init.	Description	Hours	Amount
		current/accurate. Incorporate results into DWL11 and distribute to investigation team.		
11/30/18	KK	Investigation Receive/review DWL11 and update from HL re status of locate searches. Calls in attempts to reach the following subjects: [redacted] See witness contact log for details. Conduct interview with [redacted] Preliminary discussion/case introduction with [redacted] arrange for follow-up call at later date/time. Make annotations/edits/updates to DWL to reflect results of ongoing witness outreach. Begin drafting summary of interview with [redacted]	3.60	810.00
			32.60	\$6,495.00 ✓

Additional Charges :

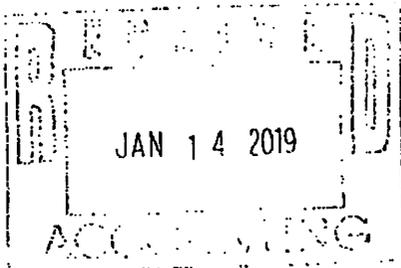
Domestic Phone	101.85
Specialized Databases/Internet	1,082.10
Total costs	\$1,183.95 ✓

Total amount of this bill

\$7,678.95

Investigator Summary

Name	Hours	Rate	Amount
Ken Keatly ✓	21.20	225.00 ✓	\$4,770.00
Lynne Hodges ✓	0.20	225.00 ✓	\$45.00
Laura Hodges ✓	11.20	150.00 ✓	\$1,680.00
	32.60 ✓		\$6,495.00 ✓



L.R. Hodges & Associates, Ltd.
 5864 Owens Avenue
 Suite 100
 Carlsbad, CA 92008
 760-448-1883

Inv. Agreement on file 38

For Account # _____

Date Received: _____

Vendor No: 1407

Matter Name/No: Super Micro Computer

Employee ID: 01549 (180054.1)

Dish Code: L1014

Voucher No: _____

Approval: _____

January 09, 2019

Invoice submitted to:
 Dan Pfefferbaum, Esq.
 Robbins Geller Rudman & Dowd LLP
 655 West Broadway
 Suite 1900
 San Diego, CA 92101-5050

In Reference To: Super Micro Computer, Inc. Securities Litigation
 Invoice # 25903
 Billing Period: 12/01/18 - 12/31/18

18-0515-26-SMCI

Date	Init.	Description	Hours	Amount
12/03/18	KK	Investigation Begin drafting consolidated investigation update. Final review of and edits/additions to summaries of interviews by [REDACTED]. Calls in attempts to reach the following subjects: [REDACTED]. See witness contact log for details. Conduct interviews with [REDACTED] (scheduled), [REDACTED] and [REDACTED]. Contacts with [REDACTED] and [REDACTED] subjects decline to be interviewed. Begin drafting summary of interview with [REDACTED].	6.60	1,485.00
12/04/18	HL	Investigation Discussion with KK re witness profiles. Forward witness profiles to KK. Additional discussion with KK re newly identified witness leads. Cross-reference newly identified witness leads from KK against specialized dbases to develop additional relevant background details. Review results. Incorporate relevant details into DWL12 and distribute to investigation team.	0.50	75.00
	KK	Investigation Discussion with HL re available background detail re various witnesses. Continue drafting summary of interview with [REDACTED]. Participate in scheduled case conference call providing overview of investigative developments. Continue drafting consolidated investigation update. Receive/review DWL12 and update from HL re status of witness research. Draft communication confirming LRH&A's retention by RGRD and forward same, including copy of complaint, to [REDACTED].	5.80	1,305.00
12/05/18	KK	Investigation Continue and complete drafting consolidated investigation update. Continue and complete drafting summary of interview with [REDACTED]. Final review of and edits/additions to summary of interview with [REDACTED]. Forward investigation update, including summaries for [REDACTED] and [REDACTED] to client, investigation team and LH. Separate communications with client apprising re investigation costs	3.00	675.00

L.R. Hodges & Associates, Ltd.
 Super Micro Computer, Inc. Securities
 Litigation

Page 2

Date	Init.	Description	Hours	Amount
		incurred to date [REDACTED]		
12/05/18	LH	Investigation Receive/review investigation update from KK, including interview summaries for [REDACTED]	0.10	22.50
12/06/18	KK	Investigation Calls in attempts to reach the following subjects: [REDACTED] [REDACTED] See witness contact log for details. Preliminary discussions/case introductions with [REDACTED]; arrange for telephone interviews at later dates/times. See witness contact log for details. Communications with HL re supplemental witness development research.	0.80	180.00
12/07/18	HL	Investigation Receive witness development request from KK. Conduct dbase research using primary and supplemental search terms. Receive/review 150 responsive hits. Review 150 profiles and download relevant responses. Forward prospective witnesses to KK for review. Discussion with KK re additional witness development research. Conduct dbase research using primary and supplemental search terms. Receive/review 62 responsive hits. Review 62 profiles and download relevant responses. Forward prospective witnesses to KK for review.	2.20	330.00
	KK	Investigation Conduct scheduled interview with [REDACTED]. Begin drafting summary of interview with [REDACTED]. Calls in attempts to reach [REDACTED] for scheduled interview; witness unavailable and LMTC. Receive/review results from HL of supplemental witness research. Follow-on communications with HL re same, including employment confirmations/locate searches and additional research. Prepare communication confirming LRH&A's retention by RGRD and forward same, including copy of CAC, to [REDACTED]	5.00	1,125.00
12/10/18	HL	Investigation Receive prioritized witness leads from KK. Preliminary dbase searches to locate prospective witnesses [REDACTED]. Begin process of confirming locate results. Cross directory searches to develop/affirm current telephone listings. Calls to numbers to confirm current/accurate. Incorporate results into DWL 13 and distribute to investigation team. Discussion with KK re supplemental witness development. Conduct dbase research using primary and supplemental search terms. Receive/review 100 responsive hits. Review 100 profiles and download relevant responses. Email findings to KK.	1.10	165.00
	KK	Investigation Review supplemental witness research findings submitted by HL and follow-on discussion with HL re same. Final review of and edits/additions to summary of interview with [REDACTED]. Calls in attempts to reach the following subjects: [REDACTED] and [REDACTED]. See witness contact log for details. Conduct interviews with [REDACTED] and [REDACTED]. Contact with [REDACTED] subject declines to be interviewed. Begin drafting summary of interview with [REDACTED]	6.20	1,395.00

L.R. Hodges & Associates, Ltd.
 Super Micro Computer, Inc. Securities
 Litigation

Page 3

Date	Init.	Description	Hours	Amount
12/11/18	KK	Investigation Receive/review co-counsel summary of interview with [REDACTED]. Continue drafting summary of interview with [REDACTED]. Conduct interview with [REDACTED]. Begin preparing consolidated investigation update of multiple witness contacts. Briefing discussion with A.Zohrabian re investigation activity and emerging factual developments. Brief A.Greenbaum re recent witness interviews and emerging factual developments.	5.90	1,327.50
12/12/18	KK	Investigation Discussion with LH re case activity, client communications, and current investigative progress.	0.10	22.50
	LH	Investigation Receive case update briefing from KK, including recent client communications.	0.10	22.50
12/14/18	HL	Investigation Receive newly identified witness leads from KK. Cross-reference six newly identified witness leads from KK against specialized dbases to develop additional relevant background details. Review results. Incorporate relevant details into DWL14 and distribute to investigation team.	0.80	120.00
	KK	Investigation Final review of and edits/additions to summaries of interviews with [REDACTED] and [REDACTED]. Communications with HL re subjects referenced by witnesses. Complete drafting consolidated investigation update. Forward investigation update, including summaries for [REDACTED] to client, investigation team, and LH. Receive/review DWL14 from HL and update re status of witness research.	1.00	225.00
	LH	Investigation Receive/review investigative update from KK, including interview summaries for [REDACTED].	0.20	45.00
12/17/18	KK	Investigation Calls in attempts to reach the following subjects: [REDACTED]. [REDACTED] See witness contact log for details.	0.40	90.00
12/18/18	KK	Investigation Communications with client re-scheduling case/investigation conference call. Calls in attempts to reach the following subjects: [REDACTED]. [REDACTED] See witness contact log for details.	0.60	135.00
12/19/18	KK	Investigation Participate in scheduled case discussion, including investigation activity, case theories, scheduling considerations ahead of CAC filing, and various procedural/case administrative matters. Post-call discussion with A.Zohrabian re investigation steps. [REDACTED]	0.50	112.50

L.R. Hodges & Associates, Ltd.
 Super Micro Computer, Inc. Securities
 Litigation

<u>Hours</u>	<u>Amount</u>
40.90	\$8,857.50

Additional Charges :

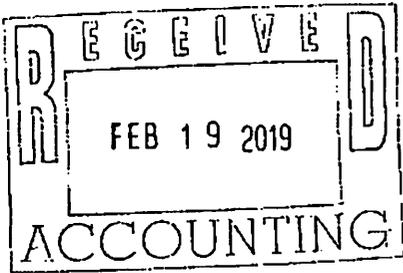
Domestic Phone	130.55
Specialized Databases/Internet	101.00
Total costs	\$231.55

Total amount of this bill

\$9,089.05

Investigator Summary

<u>Name</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>	
Ken Keatly ✓	35.90	225.00 ✓	\$8,077.50	SB
Lynne Hodges ✓	0.40	225.00 ✓	\$90.00	
Laura Hodges ✓	4.60	150.00 ✓	\$690.00	
	<i>40.90 ✓</i>		<i>\$8,857.50 ✓</i>	



L.R. Hodges & Associates, Ltd.
 5864 Owens Avenue
 Suite 100
 Carlsbad, CA 92008
 760-448-1883

February 09, 2019

Invoice submitted to:
 Dan Pfefferbaum, Esq.
 Robbins Geller Rudman & Dowd LLP
 655 West Broadway
 Suite 1900
 San Diego, CA 92101-5050

In Reference To: Super Micro Computer, Inc. Securities Litigation

Invoice # 25928
 Billing Period: 01/01/19 - 01/31/19

Inv. Agreement on file

For Accounting Use Only *SB*

Date Received: _____
 Vendor No: 1407
 Matter Name/No: Super Micro Computer
 Employee ID: 01549 (180054.1)
 Disb. Code: 18-0515-26-SMCI L1014
 Voucher No: _____
 Approval: KY *(3)*

Date	Init.	Description	Hours	Amount
01/07/19	KK	Investigation Discussion with D.Pfefferbaum re status of investigation, pending CAC filing deadline of January 22, 2019, [REDACTED]	0.10	22.50
01/08/19	KK	Investigation Brief dbase/online research re recent company developments/activity. Calls in attempts to reach the following subjects: [REDACTED] [REDACTED] See witness contact log for details. Make edits/annotations to DWL to reflect results of ongoing witness outreach.	0.90	202.50
01/09/19	HL	Investigation Discussion with KK re supplemental witness development research. Conduct dbase research using primary search terms. Receive/review 200 responsive hits. Review 200 profiles and download relevant responses. Forward prospective witnesses to KK for review.	1.20	180.00
	KK	Investigation Calls in attempts to reach the following subjects: [REDACTED] [REDACTED] See witness contact log for details. Communications with HL re options for supplemental witness development research. Receive/review results from HL supplemental witness research and prioritize results therein for locate searches. Forward prioritized results to HL for follow-on research.	1.00	225.00
01/10/19	HL	Investigation Receive prioritized witness leads from KK. Incorporate 16 prospective witnesses into DWL. Preliminary dbase searches to locate 16 prospective witnesses. Begin process of confirming locate results. Cross directory searches to develop/affirm current telephone listings. Calls to numbers to confirm current/accurate. Incorporate results into	2.40	360.00

L.R. Hodges & Associates, Ltd.
 Super Micro Computer, Inc. Securities
 Litigation

<u>Date</u>	<u>Init.</u>	<u>Description</u>	<u>Hours</u>	<u>Amount</u>
		DWL16 and distribute to investigation team. Discussion with KK re additional witness lead [REDACTED]. Perform due diligence to confirm employment status of prospective witness. Preliminary dbase searches to locate prospective witness [REDACTED]. Begin process of confirming locate results. Cross directory searches to develop/affirm current telephone listings. Calls to numbers to confirm current/accurate. Email findings to KK.		
01/10/19	KK	Investigation Communications with HL re status of witness development research and pending distribution of DWL. Receive/review DWL16. Calls in attempts to reach the following subjects: [REDACTED] [REDACTED] See witness contact log for details. Conduct telephone interviews with [REDACTED]. Preliminary discussions/case introductions with [REDACTED] and arrange for telephone interviews at later date/time. Begin drafting consolidated investigation update. Dbase/online research re subjects referenced by witnesses, including [REDACTED]. Follow-on discussion with HL re same and additional witness development research and locate search request for [REDACTED]. Receive locate results for [REDACTED] and calls to numbers provided; see witness contact log for details. Make edits/additions to DWL to reflect results of ongoing witness outreach.	5.60	1,260.00
01/11/19	HL	Investigation Conduct dbase research using primary search terms. Receive/review 100 responsive hits. Review 100 profiles and download relevant responses. Forward prospective witnesses to KK for review. Receive prioritized witness leads from KK. Incorporate 10 prospective witnesses into DWL. Perform due diligence to confirm employment status of prospective witnesses. Segregate confirmed names into DWL17. Preliminary dbase searches to locate 11 prospective witnesses. Begin process of confirming locate results. Cross directory searches to develop/affirm current telephone listings. Calls to numbers to confirm current/accurate. Incorporate results into DWL17 and distribute to investigation team.	2.30	345.00
	KK	Investigation Receive/review results from HL of supplemental witness research. Prioritize results therein for employment confirmations/locate searches and forward prioritized results to HL for follow-on research. Complete drafting investigation update briefing and forward same to client, investigation team, and LH.	0.90	202.50
	LH	Investigation Receive and review investigation status update from KK re ongoing witness outreach and developments.	0.10	22.50
01/14/19	KK	Investigation Receive/review DWL17 and update from HL re status of witness research. Calls in attempts to reach the following subjects: [REDACTED] [REDACTED]	1.70	382.50

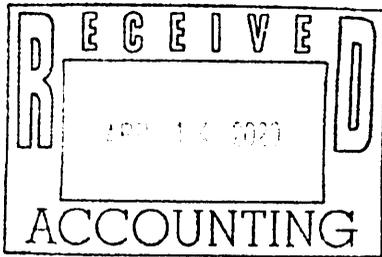
L.R. Hodges & Associates, Ltd.
 Super Micro Computer, Inc. Securities
 Litigation

<u>Date</u>	<u>Init.</u>	<u>Description</u>	<u>Hours</u>	<u>Amount</u>
		<p>[REDACTED]</p> <p>See witness contact log for details. Contact [REDACTED]; subject declines to be interviewed. Make edits/additions/annotations to DWL to reflect results of ongoing witness outreach.</p>	2.10	472.50
01/15/19	KK	<p>Investigation</p> <p>Calls in attempts to reach the following subjects: [REDACTED]</p> <p>[REDACTED]</p> <p>See witness contact log for details. Contact with [REDACTED]; subject declines to be interviewed. Participate in scheduled case conference call with client and co-counsel, providing/receiving investigation update, CAC status, and analysis of emerging factual developments. Make edits/additions/annotations to DWL to reflect results of ongoing witness outreach.</p>		
01/16/19	KK	<p>Investigation</p> <p>Calls in attempts to reach the following subjects: [REDACTED]</p> <p>[REDACTED]</p> <p>See witness contact log for details.</p> <p>Conduct interview with [REDACTED]. Contact with [REDACTED]; subject declines to be interviewed.</p> <p>[REDACTED] Notify [REDACTED]</p> <p>LRH&A office administration re client communications. Final edits/additions to DWL.</p>	2.00	450.00
01/17/19	KK	<p>Investigation</p> <p>Receive/review summary by co-counsel investigator A.Greenbaum of interview with E.Shih.</p>	0.20	45.00
01/18/19	KK	<p>Investigation</p> <p>Receive call from and conduct preliminary discussion/case introduction with [REDACTED] subject will consider re-contacting LRH&A.</p>	0.40	90.00
			20.90	\$4,260.00
		Additional Charges :		
		Domestic Phone		147.70
		Specialized Databases/Internet		929.80
		Total costs		\$1,077.50
		Total amount of this bill		\$5,337.50 ✓

L.R. Hodges & Associates, Ltd.
Super Micro Computer, Inc. Securities
Litigation

Investigator Summary

<u>Name</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>	
Ken Keatly	14.90	225.00 ✓	\$3,352.50	SB
Lynne Hodges	0.10	225.00 ✓	\$22.50	
Laura Hodges	5.90	150.00 ✓	\$885.00	
	20.90 ✓		\$ 4,260.00 ✓	



L.R. Hodges & Associates, Ltd.
 5864 Owens Avenue
 Suite 100
 Carlsbad, CA 92008
 760-448-1883

Accounting Use Only

1407
 Super Micro Computer
 01549 (18054)
 6/10/14
 K. Cheung

April 12, 2020

Invoice submitted to:
 Dan Pfefferbaum, Esq.
 Robbins Geller Rudman & Dowd LLP
 655 West Broadway
 Suite 1900
 San Diego, CA 92101-5050

In Reference To: Super Micro Computer, Inc. Securities Litigation 18-0515-26-SMCI
 Invoice # 26229
 Billing Period: 03/01/20 - 03/31/20

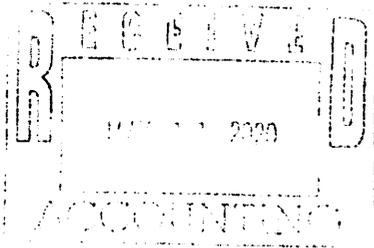
Date	Init.	Description	Hours	Amount
03/24/20	KK	Investigation Receive/review Order, 2CAC, and other case materials (investigation memoranda, investigation updates). Participate in scheduled case discussion with client re dismissal of 2CAC, objectives for investigation, coordinating investigation with co-counsel, and pending 30 day filing deadline for new complaint. Continue review of case materials. Prepare witness terms/parameters and forward same to HL to initiate research. Communications with LHR&A office administration and LH re client communications and resumed investigation.	3.40	850.00
	LH	Investigation Update from KK following discussion with D.Peffebaum re resumed investigation ahead of dismissal on Scierter issues, and need to replead by 4/22/20, with possible extension.	0.10	25.00
03/25/20	HL	Investigation Conduct dbase research using multiple search term combinations and variations of search terms. Receive/review 576 responsive hits. Review 576 profiles and download relevant responses. Forward relevant responses to KK for review.	3.80	609.00
	KK	Investigation Communications with co-counsel investigator A.Greenbaum to schedule case discussion. Receive/review co-counsel summary of multiple interviews from prior investigation, including and Continuing review of case materials, including 2017 10-K forwarded by client. Receive/review supplemental witness development research results from HL and prioritize results therein for employment confirmations/locate searches. Forward prioritized results to HL for follow-on research and inclusion in DWL.	2.20	550.00
03/26/20	HL	Investigation Discussion with KK re witness locates. Receive prioritized witness leads from KK. Incorporate 21 prospective witnesses into DWL. Preliminary	3.20	512.00

L.R. Hodges & Associates, Ltd.
 Super Micro Computer, Inc. Securities
 Litigation

Page 3

Date	Init.	Description	Hours	Amount
		[Redacted] Calls in attempts to reach the following subjects: [Redacted] [Redacted] See witness contact log for details. Begin drafting summary of interview with [Redacted] Receive/review DWL19. Make edits/annotations to same for distribution to co-counsel. Finalize witness list for distribution and forward same to A.Greenbaum and J.Clark.		
03/31/20	KK	Investigation Continue drafting summary of interview with [Redacted]	5.00	1,250.00
			32.20	\$6,925.00
Additional Charges :				
Specialized Databases/Internet				990.50
Total costs				\$990.50
Total amount of this bill				\$7,915.50 ✓

Investigator Summary			
Name	Hours	Rate	Amount
Ken Keatly ✓	19.60	250.00 ✓	\$4,900.00 ✓ SB
Lynne Hodges ✓	0.10	250.00 ✓	\$25.00 ✓
Laura Hodges ✓	12.50	160.00 ✓	\$2,000.00 ✓
		32.20 ✓	\$ 6,925.00 ✓



L.R. Hodges & Associates, Ltd.
 5864 Owens Avenue
 Suite 100
 Carlsbad, CA 92008
 760-448-1883

In Agreement on file

For Accounting Use Only	
Date Received:	
Vendor No:	1407
Matter Name/No:	Super Micro Computer
Employee ID:	01549 (1800541)
Disb. Code:	L1014
Voucher No:	
Approval:	K. Chung

May 10, 2020

Invoice submitted to:
 Dan Pfefferbaum, Esq.
 Robbins Geller Rudman & Dowd LLP
 655 West Broadway
 Suite 1900
 San Diego, CA 92101-5050

In Reference To: Super Micro Computer, Inc. Securities Litigation 18-0515-26-SMCI
 Invoice # 26253
 Billing Period: 04/01/20 - 04/30/20

Date	Init.	Description	Hours	Amount
04/01/20	KK	Investigation Continue and complete drafting summary of interview with [REDACTED] Calls in attempts to reach the following subjects: [REDACTED] [REDACTED] See witness contact log for details. Conduct brief interview with [REDACTED] Preliminary discussion/case introduction with [REDACTED] arrange for possible follow-up call with subject. Contact with [REDACTED] subject declines to be interviewed. [REDACTED] [REDACTED] Investigator team re: Wong and [REDACTED] Receive/review areas for development with co-counsel investigators from D. Pfefferbaum for follow-up with witness [REDACTED] Begin preparing consolidated investigation update.	4.40	1,100.00
04/02/20	HL	Investigation Discussion with KK re witness profiles. Forward witness profiles to KK. Preliminary dbase searches to locate prospective witness [REDACTED] Begin process of confirming locate results. Cross directory searches to develop/affirm current telephone listings. Forward findings to KK. Perform due diligence to confirm employment status of prospective witness. Email findings to KK.	0.80	128.00
	KK	Investigation Final review of and edits/additions to summary of interview with [REDACTED] Communications with HL re DWL edits/annotations, as well as requesting profiles for various subjects referenced by [REDACTED] Receive/review results re same and follow-on communications re employment confirmations and locate searches. Make edits/annotations to reflect results of ongoing witness outreach. Forward annotated DWL	2.60	650.00

L.R. Hodges & Associates, Ltd.
 Super Micro Computer, Inc. Securities
 Litigation

Page 2

<u>Date</u>	<u>Init.</u>	<u>Description</u>	<u>Hours</u>	<u>Amount</u>
		to HL. Calls in attempts to reach the following subjects: [REDACTED] [REDACTED] See witness contact log for details.		
04/03/20	HL	Investigation Discussion with KK re DWL updates and witness locate findings.	0.10	16.00
	KK	Investigation Calls in attempts to reach the following subjects: [REDACTED] and [REDACTED] See witness contact log for details. Preliminary discussion/case introduction with [REDACTED] arrange for possible follow-up call at later date/time. Briefing discussion with D.Pfefferbaum and A.Zohrabian re Arita contact, including role as SEC whistleblower. Prepare correspondence to [REDACTED] and forward same, including copy of CAC, to witness. Discussion with HL re status of witness development research and confirm next steps going forward, including distributing updated DWL to investigation team. Continue and complete drafting consolidated investigation update. Forward same, including completed summary of interview with [REDACTED] to client, investigation team, and LH.	2.50	625.00
	LH	Investigation Receive and review investigation status update from KK, along with attached interview summary for [REDACTED] and other contact updates.	0.10	25.00
04/06/20	HL	Investigation Receive ICU from KK and incorporate newly identified witness leads, locate requests and other updates into DWL20. Receive/review interview summary for [REDACTED] from KK. Extract and incorporate newly identified witness leads and other relevant details into DWL20. Cross-reference 16 newly identified witness leads from ICU against specialized dbases to develop additional relevant background details. Review results. Incorporate relevant details into DWL20. Supplemental dbase searches to locate prospective witnesses [REDACTED] Begin process of confirming locate results. Cross directory searches to develop/affirm current telephone listings. Calls to numbers to confirm current/accurate. Incorporate results into DWL20 and distribute to investigation team.	1.90	304.00
	KK	Investigation Communications with co-counsel investigators re pending updates to and distribution of witness list and co-counsel work-product. Brief communications with D.Pfefferbaum re pending supplemental correspondence to [REDACTED] Calls in attempts to reach the following subjects: [REDACTED] [REDACTED] See witness contact log for details. Contact with [REDACTED] subject declines to be interviewed. Receive/review DWL20 and update from HL re status of witness research. Receive/review follow-up communication from client for sending to [REDACTED] forward same. Receive/review [REDACTED] reply and forward to client. Follow-on discussion with D.Pfefferbaum re [REDACTED] and objectives for callback to subject re representation status. [REDACTED] [REDACTED] Communications with LRH&A office administration re client communications. Follow-on call with [REDACTED] to further assess subject's current status of representation; (call drops; calls thereafter in attempt to	3.30	825.00

L.R. Hodges & Associates, Ltd.
 Super Micro Computer, Inc. Securities
 Litigation

Date	Init.	Description	Hours	Amount
		potentially responsive findings. Call to client and LMTC. [REDACTED]		
04/17/20	KK	Investigation Draft investigation update briefing of recent witness contacts and forward same to litigation team, (cc LH).	0.90	225.00
	LH	Investigation Receive and review investigation status update from KK re ongoing witness outreach and developments.	0.10	25.00
04/20/20	KK	Investigation Calls in attempts to reach the following subjects: [REDACTED]	0.50	125.00
04/21/20	KK	Investigation Make edits/annotations to DWL to reflect results of witness contacts.	0.20	50.00
			22.80	\$5,439.00

Additional Charges :

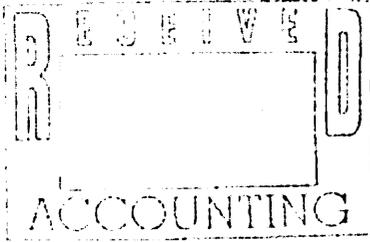
Specialized Databases/Internet	108.00
Total costs	\$108.00

Total amount of this bill \$5,547.00 ✓

Investigator Summary

Name	Hours	Rate	Amount
Ken Keatly ✓	19.70	250.00 ✓	\$4,925.00
Lynne Hodges ✓	0.20	250.00 ✓	\$50.00
Laura Hodges ✓	2.90	160.00 ✓	\$464.00
	<i>22.80 ✓</i>		<i>\$ 5,439.00 ✓</i>

SB



L.R. Hodges & Associates, Ltd.
 5864 Owens Avenue
 Suite 100
 Carlsbad, CA 92008
 760-448-1883

Inv. Agreement on file

October 11, 2020

Invoice submitted to:
 Dan Pfefferbaum, Esq.
 Robbins Geller Rudman & Dowd LLP
 655 West Broadway
 Suite 1900
 San Diego, CA 92101-5050

Accounting Use Only *SB*

1407

Super Micro Computer

01549 (18,0054.1)

21014

K. Chung

In Reference To: Super Micro Computer, Inc. Securities Litigation
 Invoice # 26403
 Billing Period: 09/01/20 - 09/30/20

18-0515-26-SMCI

Date	Init.	Description	Hours	Amount
09/11/20	HL	Investigation Discussion with KK re additional background information for witness [REDACTED] Email findings to KK.	0.50	80.00
	JC	Investigation Discussion with KK re researching witness [REDACTED]	0.10	20.00
	KK	Investigation Receive call from D.Pfefferbaum re pending MTD hearing (September 23, 2020), possibly re-contacting subject [REDACTED] [REDACTED] Follow-on discussion with HL to pull available background research re [REDACTED] Receive and begin review materials from HL re [REDACTED] Receive/review supplemental/updated background materials re [REDACTED] from HL. Discussion with JC re additional research options re [REDACTED] and possibly undertaking same following review of materials forwarded by HL. Receive/review SEC Cease and Desist Orders for defendants Hideshima, Liang, and defendant company, including details therein re improper accounting.	1.30	325.00
09/17/20	KK	Investigation Discussion with D.Pfefferbaum re [REDACTED] recent procedural developments, including filing new CAC, and holding on follow-up contact to [REDACTED] at this time.	0.10	25.00
			<u>2.00</u>	<u>\$450.00</u>
Additional Charges				
Specialized Databases/Internet				<u>43.50</u>
Total costs				<u>\$43.50</u>

L.R. Hodges & Associates, Ltd.
 Super Micro Computer, Inc. Securities
 Litigation

Page 2

Total amount of this bill

Amount
\$493.50 ✓

Investigator Summary

Name	Hours	Rate	Amount	
Ken Keatly	1.40	250.00 ✓	\$350.00	
Jackie Cahill	0.10	200.00 ✓	\$20.00	SB
Laura Hodges	0.50	160.00 ✓	\$80.00	
	2.00 ✓		\$450.00 ✓	

EXHIBIT 10

**TRANSPORTATION, HOTELS AND
MEALS
REDACTED INVOICES**

①

CORPORATE TRAVELER™

CORPORATE TRAVELER SAN DIEGO

5405 Morehouse Drive, Suite 300, San Diego CA 92121 Ph: 858-500-4899 Fax: 858 552-0016

INVOICE

ROBBINS GELLER RUDMAN AND DOWD - TRAVEL
655 WEST BROADWAY
SUITE 1900
SAN DIEGO CA 92101 USA

Invoice Number: 0X5G2018CINVC1844
Date: 2nd May 2018

Consultant: ABRAHAM COLLIER

Traveller Name: MCCORMICK/TRICIA LYNN
PNR: [REDACTED]
Destination: SFO
Date of Travel: 23rd May, 2018

Order Number: 00631
Customer Reference: SUPER MICRO COMP
Project Code: 180054-01

Description	Supplier	Amount
SAN DIEGO/SAN FRANCISCO/SAN DIEGO MCCORMICK/TRICIA LYNN Ticket No - 5261441636272 23MAY/24MAY BILLED TO AX**** [REDACTED]	WN	493.98
	MIC	
Invoice Total:		\$493.96

SF Elite
Trans Services
San Francisco
415-401-8900

1

Start Time
05/23/18 21:20
End Time
5/23/18 21:35
Vehicle: 1196
Driver: 282
Trx: 1572563
Card: AMEX 1004
Rprt: 569489
Fare: \$42.55
Extras: \$4.00
Tip: \$10.00
Total: \$56.55

Reduced to \$42.41

3

CORPORATE TRAVELER™

CORPORATE TRAVELER SAN DIEGO

5405 Morehouse Drive, Suite 300, San Diego CA 92121 Ph: 858-500-4699 Fax: 858 562-0015

INVOICE

ROBBINS GELLER RUDMAN AND DOWD - TRAVEL
855 WEST BROADWAY
SUITE 1900
SAN DIEGO CA 92101 USA

Invoice Number: 0X5G2018CINVC4047
Date: 24th May 2018

Consultant: ABRAHAM COLLIER

Traveller Name: MCCORMICK/TRICIA LYNN
PNR: [REDACTED]
Destination: SFO
Date of travel: 23rd May, 2018

Order Number: 00831
Customer Reference: SUPER MICRO COMP
Project Code: 180054-01

Description	Supplier	Amount
SAN FRANCISCO/SAN DIEGO MCCORMICK/TRICIA LYNN Ticket No - 5261441538272 24MAY REFUND REFUND TO AX**** [REDACTED]	WN MIC	-246.98
Invoice Total:		\$-246.98



**Corporate Card
Statement of Account**

**Sign-up For Online
Statements**
www.americanexpress.com/checkyourbill

Prepared For
**DANIEL J PFEFFERBAUM
RGR&D LLP**

[REDACTED]

[REDACTED]

[REDACTED]

05/24/18 UBER *TRIP 5Q72J HELP,UBER.COM CA
REF# MJSHQHEP HELP,UBER.COM 05/24/18

5.00

[REDACTED]

Yellow Card Srvs
Yellow Cab Coop
San Francisco
415-839-4600

6

Start Time:
05/24/18 12:34
End Time:
5/24/18 12:43
Vehicle: 1417
Driver: 1417
Trans: 684826
Card: AMEX [REDACTED]
Approval: 523687
Fare: \$8.45
Tip: \$5.00
Total: \$13.45

5

Rebecca Biles

From: Dan Pfefferbaum [REDACTED]
Sent: Friday, May 25, 2018 3:03 PM
To: Rebecca Biles
Subject: Fwd: Your ride with Alexandre on May 24

SMCI lead plaintiff hearing.

Begin forwarded message:

From: Lyft Ride Receipt <no-reply@lyftmail.com>
Date: May 25, 2018 at 2:44:27 PM PDT
To: [REDACTED]
Subject: Your ride with Alexandre on May 24



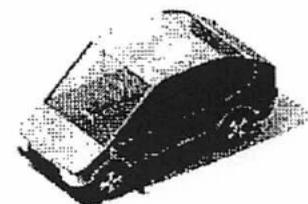
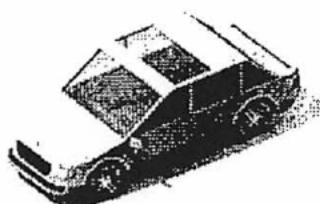
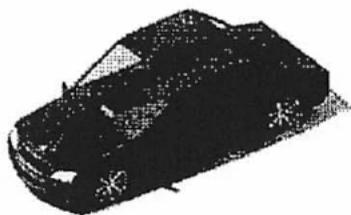
Thanks for riding with Alexandre!

May 24, 2018 at 2:32 PM

Ride Details

Lyft fare (1.30mi, 11m 10s)	\$9.22
American Express [REDACTED]	\$9.22

This and every ride is carbon neutral

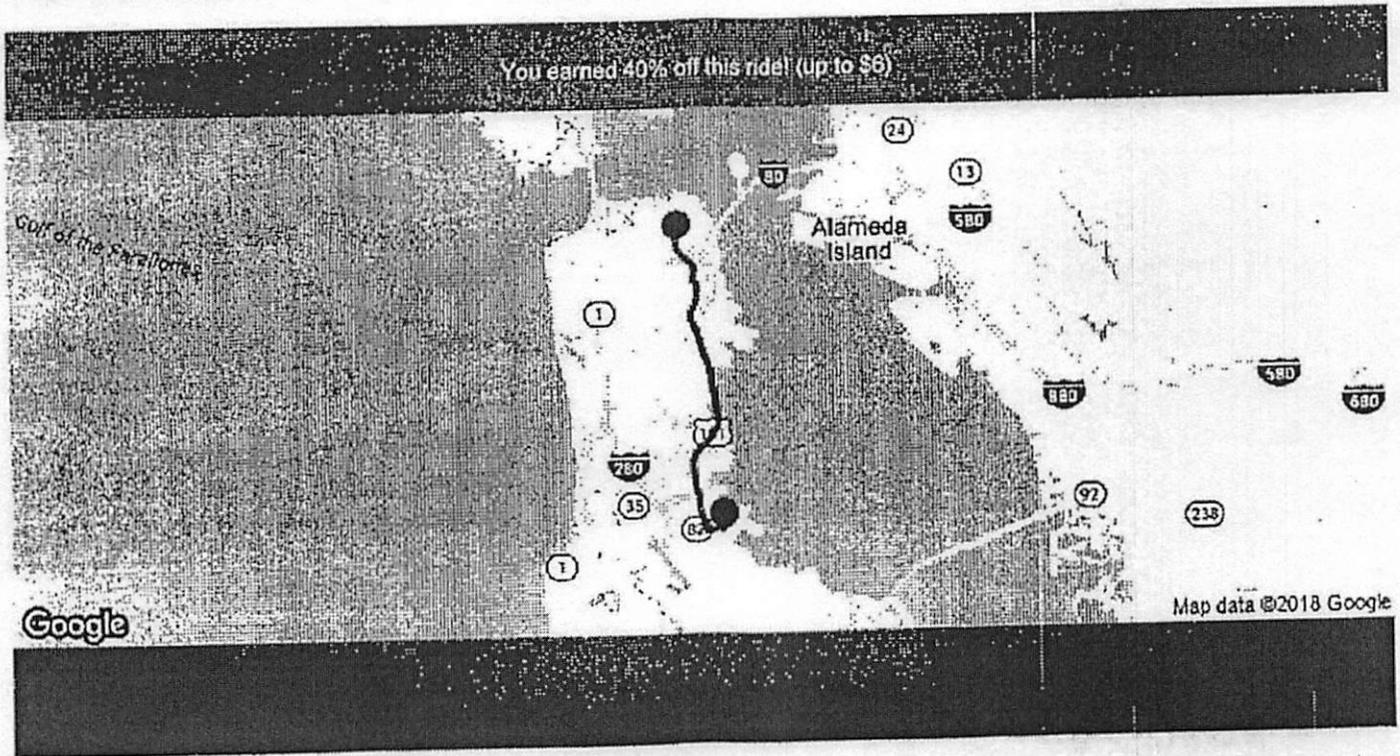


4

From: Dan Pfefferbaum
Sent: Tuesday, May 29, 2018 10:08 AM
To: Rebecca Biles
Subject: FW: [Business] Your Thursday afternoon trip with Uber

Super Micro – Tricia McCormick uber to SFO following LP Hearing

From: Uber Receipts [mailto:uber.us@uber.com]
Sent: Thursday, May 24, 2018 3:05 PM
To: Dan Pfefferbaum
Subject: [Business] Your Thursday afternoon trip with Uber



\$30.48

Thanks for choosing Uber, Dan

May 24, 2018 | uberX

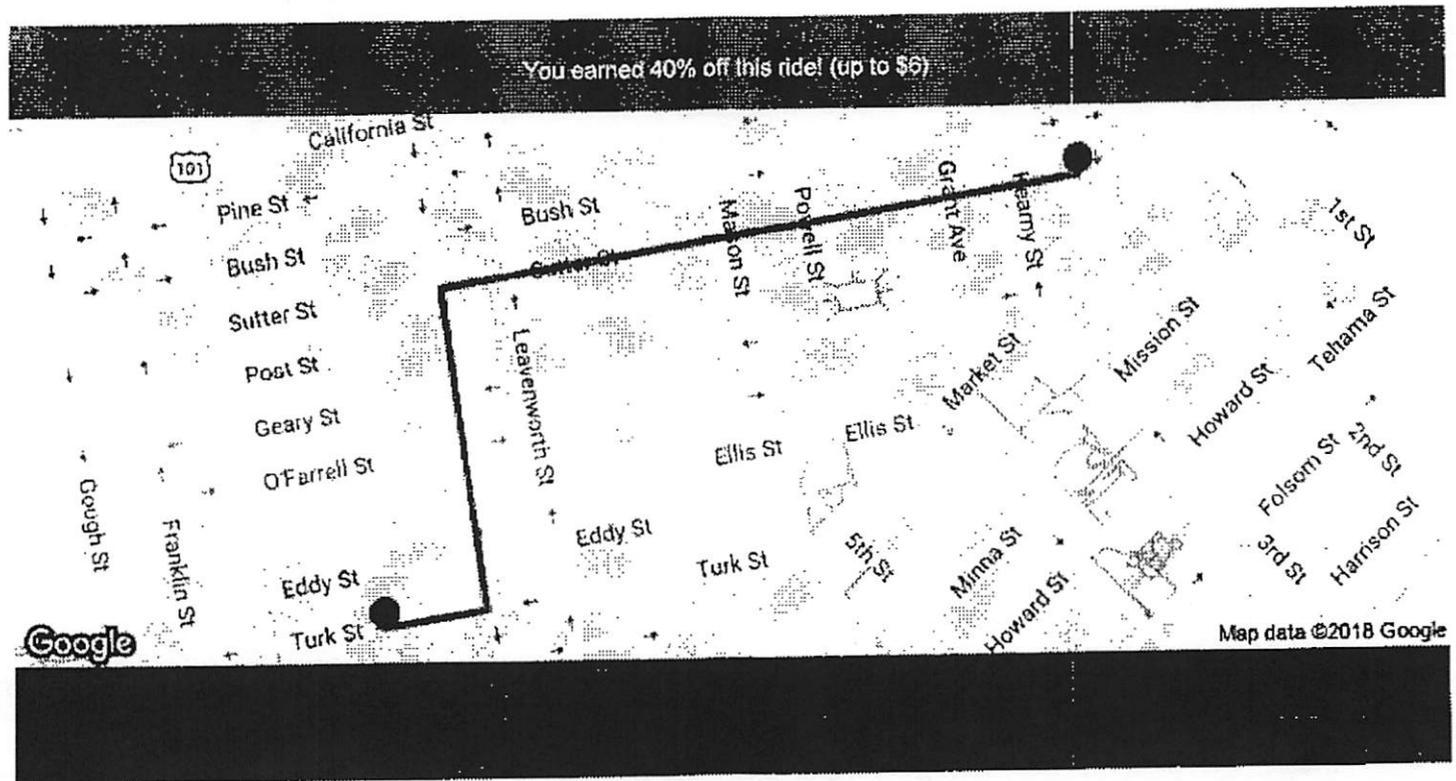
2

Rebecca Biles

From: Dan Pfefferbaum
Sent: Tuesday, May 29, 2018 10:08 AM
To: Rebecca Biles
Subject: FW: [Business] Your Thursday afternoon trip with Uber

SMCI – DJP uber to court house for LP hearing

From: Uber Receipts [mailto:uber.us@uber.com]
Sent: Thursday, May 24, 2018 12:57 PM
To: Dan Pfefferbaum
Subject: [Business] Your Thursday afternoon trip with Uber



\$5.60

Thanks for choosing Uber, Dan
May 24, 2018 | uberX

2

CORPORATE TRAVELER™

CORPORATE TRAVELER SAN DIEGO

8405 Morehouse Drive, Suite 300, San Diego CA 92121 Ph: 858-500-4888 Fax: 858 552-0015

INVOICE

ROBBINS GELLER RUDMAN AND DOWD - TRAVEL
855 WEST BROADWAY
SUITE 1800
SAN DIEGO CA 92101 USA

Invoice Number: **0X5G2018CINVC4080**
Date: **25th May 2018**

Consultant: **ABRAHAM COLLIER**

Traveller Name: **MCCORMICK/TRICIA LYNN**
PNR: **[REDACTED]**
Destination: **SAN**
Date of Travel: **24th May, 2018**

Order Number: **00831**
Customer Reference: **SUPER MICRO COMP**
Project Code: **180054-01**

Description	Supplier	Amount
SAN FRANCISCO/SAN DIEGO MCCORMICK/TRICIA LYNN Ticket No - 0167149095682 24MAY BILLED TO AX**** [REDACTED]	UA	117.20
	MIC	
Invoice Total:		\$117.20

4

Park Central Hotel San Francisco
 50 Third Street
 San Francisco, CA 94103
 United States
 Tel: 415-974-6400 Fax: 415-543-8268

PARK
 CENTRAL
 HOTEL
LUXURY COLLECTION
 A STARWOOD HOTEL

Tricia Lynn McCormick
 Robbins Geller Rudman Dowd

Page Number : 1
 Guest Number : 1713696
 Folio ID : A
 Arrive Date : 23-MAY-18 21:43
 Depart Date : 24-MAY-18 14:00
 No. Of Guest : 1
 Room Number : 904
 Club Account :

Information Invoice

Park Central SF MAY-24-2018 12:32 ALYAQU

Date	Reference	Description	Charges/Credits (USD)
23-MAY-18	RT904	Moscone District Assessment	6.11
23-MAY-18	RT904	Room Charge	489.00 REDUCED TO \$350.00
23-MAY-18	RT904	Room Tax	68.46
23-MAY-18	RT904	California Tourism Assessment	0.95
23-MAY-18	RT904	City Tourism Assessment	4.89
24-MAY-18	8451	Gift Shop	7.98
MAY-24-2018	AX	American Express	-577.39 ✓
** Total Charges			577.39
** Total Credits			-577.39
*** Balance			0.00

Reduced to \$438.39

For your convenience, we have prepared this zero-balanced folio indicating a \$0 balance on your account. Please be advised that charges not reflected on this folio will be charged to the credit card on file with the hotel. While this folio reflects a \$0 balance, your credit card may not be charged until after your departure. We hope you enjoyed your stay at the Park Central Hotel San Francisco, and look forward to seeing you soon.

Continued on the next page

5

GO BISTRO

South terminal, Pier A
San Francisco International
*** RESTAURANT ***

Date: May24'18 03:53PM
Card Type: AMEX
Acct #: XXXXXXXXXXXX
Card Entry: SWIPED
Trans Type: PURCHASE
Auth Code: 522756
Check: 1901
Table: 8/1
Server: 1003 KITTY :)

Subtotal: 35.47

Gratuity: \$ 7.00

Total: \$ 42.47

Signature

*** Customer Copy ***

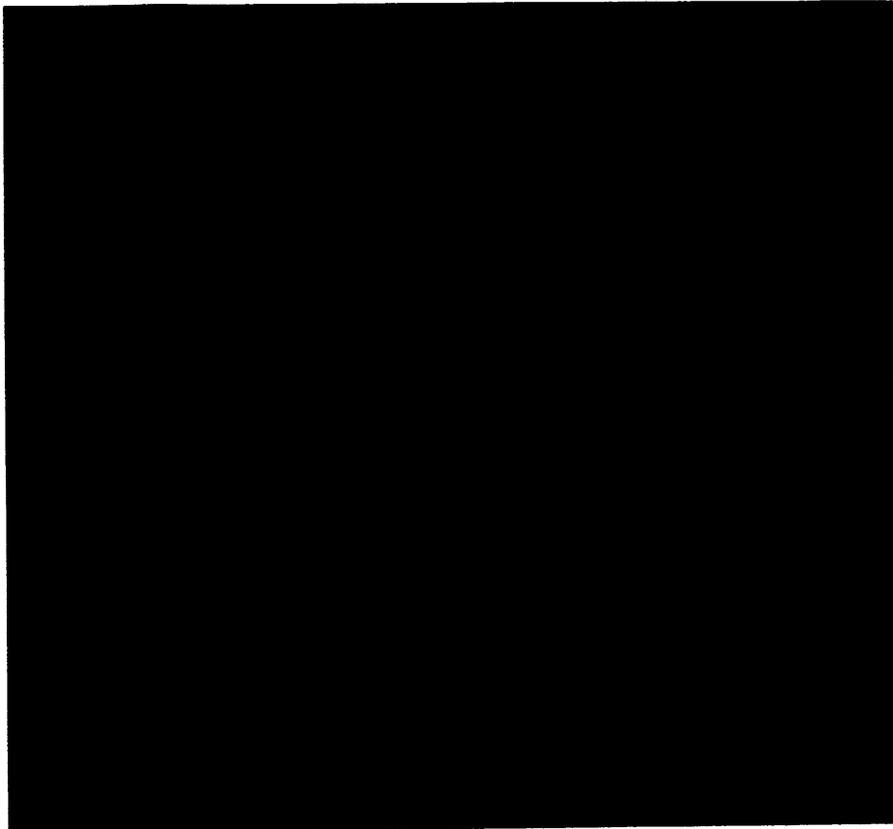


EXHIBIT 11

**OTHER EXPENSES OVER \$500
BACKUP**

EXHIBIT 11*Hessefort v. Super Micro Computer, Inc., et al.*, No. 4:18-cv-00838-JST

Robbins Geller Rudman & Dowd LLP
 Other Expenses Over \$500 with Backup (except eDiscovery Database Hosting)
 Inception – December 15, 2022

DATE	NAME	COST TYPE	AMOUNT	NARRATIVE
12/08/21	DANIEL PFEFFERBAUM	DEPOSITION TRANSCRIPTS	\$1,387.50	12/08/21 CERTIFIED TRANSCRIPT OF WITNESS THAO N. BUUHOAN - VERITEXT CORP.
01/21/22	DANIEL PFEFFERBAUM	DEPOSITION TRANSCRIPTS	\$1,319.60	01/21/22 CERTIFIED TRANSCRIPT OF WITNESS STEVEN P. FEINSTEIN PH.D - VERITEXT CORP.
07/31/21	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$1,243.00	RELATIVITY CHARGES 07/2021 124.30 GB
08/31/21	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$1,260.30	RELATIVITY CHARGES 08/2021 126.03 GB
09/30/21	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$1,387.40	RELATIVITY CHARGES 09/2021 138.74 GB
10/31/21	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$1,431.40	RELATIVITY CHARGES 10/2021 143.14 GB
11/30/21	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$2,070.60	RELATIVITY CHARGES 11/2021 207.06 GB
12/31/21	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$6,740.25	RELATIVITY CHARGES 12/2021 792.97 GB
01/31/22	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$7,417.61	RELATIVITY CHARGES 01/2022 872.66 GB
02/28/22	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$7,430.70	RELATIVITY CHARGES 02/2022 874.2 GB
03/31/22	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$7,430.70	RELATIVITY CHARGES 03/2022 874.2 GB

DATE	NAME	COST TYPE	AMOUNT	NARRATIVE
04/30/22	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$7,430.70	RELATIVITY CHARGES 04/2022 874.2 GB
05/31/22	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$7,430.70	RELATIVITY CHARGES 05/2022 874.2 GB
06/30/22	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$7,430.70	RELATIVITY CHARGES 06/2022 874.2 GB
07/31/22	PATRICK WATERS	EDISCOVERY DATABASE HOSTING	\$7,430.70	RELATIVITY CHARGES 07/2022 874.2 GB
11/02/21	DANIEL PFEFFERBAUM	MEDIATION FEES	\$7,500.00	MEDIATION SERVICE FEES FOR DECEMBER 2, 2021 VIA ZOOM VIDEO CONFERENCE - PHILLIPS ADR ENTERPRISES, P.C.
02/18/22	DANIEL PFEFFERBAUM	MEDIATION FEES	\$1,720.00	PROF. SERVICES PROVIDED THROUGH 01/31/22 - PHILLIPS ADR ENTERPRISES, P.C.
03/09/22	DANIEL PFEFFERBAUM	MEDIATION FEES	\$1,800.00	PROF. SERVICES PROVIDED THROUGH 02/28/22 - PHILLIPS ADR ENTERPRISES, P.C.
TOTAL			\$79,861.86	

Veritext, LLC - California Region

Tel: 877-955-3855 Email: calendar-sf@veritext.com



Bill To: Daniel Pfefferbaum
Robbins Geller Rudman & Dowd LLP
One Montgomery Street
Suite 1800
San Francisco, CA, 94104

Invoice #: 5448484
Invoice Date: 12/9/2021
Balance Due: \$1,387.50

Case: Hessefort, Logan v. Super Micro Computer, Inc. (4:18-cv-00838-JST) Proceeding Type: Depositions

Job #: 4978694 | Job Date: 12/8/2021 | Delivery: Normal

Location: Los Angeles, CA

Billing Atty: Daniel Pfefferbaum

Scheduling Atty: Dennis F. Murphy | Jones Day

Witness: Thao N. Buihoan	Quantity	Price	Amount
Certified Transcript	202.00	\$2.95 /	\$595.90
Exhibits	168.00	\$0.45 /	\$75.60
Realtime Services - Remote	202.00	\$1.50 /	\$303.00
Realtime Services - Remote	202.00	\$1.50 /	\$303.00
Litigation Package-Secure File Suite	1.00	\$25.00 /	\$25.00
Production & Processing	1.00	\$50.00 /	\$50.00
Electronic Delivery and Handling	1.00	\$35.00 /	\$35.00

Notes: Realtimes : Daniel Pfefferbaum and Patton Johnson	Invoice Total: \$1,387.50
	Payment: \$0.00
	Credit: \$0.00
	Interest: \$0.00
	Balance Due: \$1,387.50

TERMS: Payable upon receipt. Accounts 30 days past due will bear a finance charge of 1.5% per month. Accounts unpaid after 90 days agree to pay all collection costs, including reasonable attorney's fees. Contact us to correct payment errors. No adjustments will be made after 90 days. For more information on charges related to our services please consult <http://www.veritext.com/services/all-services/services-information>

For Accounting Use Only

Invoice No: 4791/10
 Matter Name/No: 18005A-1 SUPER MICRO
 Employee ID: 01549
 Disb. Code: WTH
 Voucher No:
 Approval:

Pay by Check - Remit to:
Veritext
P.O. Box 71303
Chicago IL 60694-1303
Fed. Tax ID: 20-3132569

Pay By ACH (Include invoice numbers):

Invoice #: 5448484
Invoice Date: 12/9/2021
Balance Due: \$1,387.50

Veritext, LLC - California Region

Tel. 877-955-3855 Email: calendar-sf@veritext.com



Bill To: Daniel Pfefferbaum
Robbins Geller Rudman & Dowd LLP
One Montgomery Street
Suite 1800
San Francisco, CA, 94104

Invoice #: 5534113
Invoice Date: 1/25/2022
Balance Due: \$1,319.60

Case: Hessefort, Logan v. Super Micro Computer, Inc. (4:18-cv-00838-JST) **Proceeding Type: Depositions**

Job #: 5041388 | Job Date: 1/21/2022 | Delivery: Normal
Location: Los Angeles, CA
Billing Atty: Daniel Pfefferbaum
Scheduling Atty: John C Tang | Jones Day

Witness: Steven P. Feinstein Ph.D	Quantity	Price	Amount
Certified Transcript	168.00	\$2.95 ✓	\$495.60
Realtime Services - Remote	168.00	\$1.50 ✓	\$252.00
Realtime Services - Remote	168.00	\$1.50 ✓	\$252.00
Rough Draft	168.00	\$1.25 ✓	\$210.00
Litigation Package-Secure File Suite	1.00	\$25.00 ✓	\$25.00
Production & Processing	1.00	\$50.00 ✓	\$50.00
Electronic Delivery and Handling	1.00	\$35.00 ✓	\$35.00

Notes: 2 Realtime Connections	Invoice Total:	\$1,319.60
	Payment:	\$0.00
	Credit:	\$0.00
	Interest:	\$0.00
	Balance Due:	\$1,319.60

TERMS: Payable upon receipt. Accounts 30 days past due will bear a finance charge of 1.5% per month. Accounts unpaid after 90 days agree to pay all collection costs, including reasonable attorney's fees. Contact us to correct payment errors. No adjustments will be made after 90 days. For more information on charges related to our services please consult <http://www.veritext.com/services/all-services/services-information>

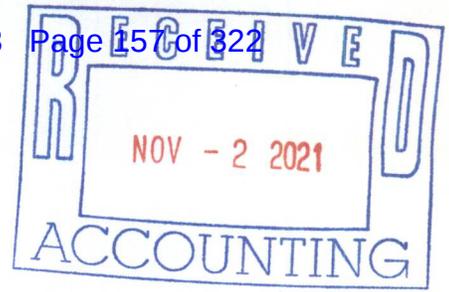
For Accounting Use Only

Date Received: _____
 Vendor No: _____
 Matter Name/No: 18DOSA-1 SUPER MICRO
 Employee ID: 01549
 Disb. Code: L0111
 Voucher No: _____
 Approval: _____

Pay by Check - Remit to:
Veritext
P.O. Box 71303
Chicago IL 60694-1303
Fed. Tax ID: 20-3132569

Pay By ACH (include invoice numbers):

Invoice #: 5534113
Invoice Date: 1/25/2022
Balance Due: \$1,319.60



Phillips ADR

2101 E. Coast Highway, Suite 250
Corona del Mar, CA 92625
(949) 718-4547

Invoice submitted via email to:

November 2, 2021
Invoice #20428

Robbins Geller Rudman & Dowd LLP
Shawn Williams
shawnw@rgrdlaw.com
Daniel Pfefferbam
dpfefferbaum@rgrdlaw.com

For Accounting Use Only <i>SB</i>	
Date Received:	_____
Vendor No:	<i>8673-1</i>
Matter Name/No:	<i>Super Micro Computer</i>
Employee ID:	<i>01549 (180054.1)</i>
Disb. Code:	<i>L1111</i>
Voucher No:	_____
Approval:	_____

Re: Logan Hesefort v. Super Micro Computer Mediation Inc.
Client # 13143

	<u>Amount</u>
Mediation Services	\$15,000.00
Your responsibility of the split charges	\$7,500.00
BALANCE DUE	\$7,500.00 ✓

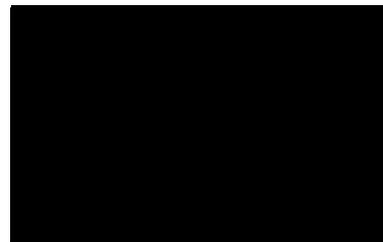
Please remit payment using one of the following:

PLEASE SEND CHECK TO

Phillips ADR Enterprises, P.C.,
2101 East Coast Highway, Suite 250
Corona del Mar, CA 92625



WIRE INSTRUCTIONS



PAYMENT DUE UPON RECEIPT OF INVOICE

PLEASE RETURN THIS PAGE WITH YOUR PAYMENT.



Phillips ADR
 2101 E. Coast Highway, Suite 250
 Corona del Mar, CA 92625
 (949) 718-4547

February 18, 2022
 Invoice # 20877

Robbins Geller Rudman & Dowd LLP
 Shawn Williams
 shawnw@rgrdlaw.com
 Daniel Pfefferbam
 dpfefferbaum@rgrdlaw.com

Re: Logan Hesefort v. Super Micro Computer Mediation Inc.
 Client # 13143

For services provided through: January 31, 2022

CONDUCT ADR FOLLOW-UP WORK VIA EMAIL AND TELEPHONIC COMMUNICATIONS WITH COUNSEL BY MICHELLE YOSHIDA

	<u>Amount</u>
Total Charges:	\$3,440.00
Your portion of the bill:	\$1,720.00
Previous balance	\$7,500.00
Accounts receivable transactions	
11/12/2021 Payment - Robbins Geller Rudman & Dowd LLP. Check No. 26083	(\$7,500.00)
Total payments and adjustments	(\$7,500.00)
Balance due	<u>\$1,720.00</u> ✓

For Accounting Use Only SB

Date Received: _____

Vendor No: 8673-1

Matter Name/No: Super Micro Computer

Employee ID: 01549 (180054.1)

Disb. Code: L1111

Voucher No: _____

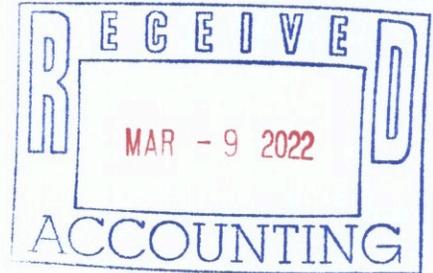
Approval: _____

Phillips ADR

2101 E. Coast Highway, Suite 250
 Corona del Mar, CA 92625
 (949) 718-4547

March 9, 2022 Invoice #
 20964

Robbins Geller Rudman & Dowd LLP
 Shawn Williams
 shawnw@rgrdlaw.com
 Daniel Pfefferbam
 dpfefferbaum@rgrdlaw.com



Re: Logan Hesefort v. Super Micro Computer Mediation Inc.
 Client # 13143

For services provided through: February 28, 2022

CONDUCT ADR FOLLOW-UP WORK VIA EMAIL AND TELEPHONIC COMMUNICATIONS WITH COUNSEL BY MICHELLE YOSHIDA

	<u>Amount</u>
Total Charges:	\$3,600.00
Your portion of the bill:	\$1,800.00
Previous balance	\$1,720.00
Accounts receivable transactions	
3/2/2022 Payment - Robbins Geller Rudman & Dowd LLP. Check No. 26276	(\$1,720.00)
Total payments and adjustments	(\$1,720.00)
Balance due	\$1,800.00 ✓

For Accounting Use Only SB

Date Received: _____

Vendor No: 8673-1

Matter Name/No: Super Micro Computer

Employee ID: 01549 (180054.1)

Disb. Code: L1111

Voucher No: _____

Approval: _____ ✓

EXHIBIT 12

FIRM RESUME

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INTRODUCTION

Robbins Geller Rudman & Dowd LLP (“Robbins Geller” or the “Firm”) is a 200-lawyer firm with offices in Boca Raton, Chicago, Manhattan, Melville, Nashville, San Diego, San Francisco, Philadelphia, and Washington, D.C. (www.rgrdlaw.com). The Firm is actively engaged in complex litigation, emphasizing securities, consumer, antitrust, insurance, healthcare, human rights, and employment discrimination class actions. The Firm’s unparalleled experience and capabilities in these fields are based upon the talents of its attorneys, who have successfully prosecuted thousands of class action lawsuits and numerous individual cases, recovering billions of dollars.

This successful track record stems from our experienced attorneys, including many who came to the Firm from federal or state law enforcement agencies. The Firm also includes several dozen former federal and state judicial clerks.

The Firm is committed to practicing law with the highest level of integrity in an ethical and professional manner. We are a diverse firm with lawyers and staff from all walks of life. Our lawyers and other employees are hired and promoted based on the quality of their work and their ability to treat others with respect and dignity.

We strive to be good corporate citizens and work with a sense of global responsibility. Contributing to our communities and environment is important to us. We often take cases on a *pro bono* basis and are committed to the rights of workers, and to the extent possible, we contract with union vendors. We care about civil rights, workers’ rights and treatment, workplace safety, and environmental protection. Indeed, while we have built a reputation as the finest securities and consumer class action law firm in the nation, our lawyers have also worked tirelessly in less high-profile, but no less important, cases involving human rights and other social issues.

PRACTICE AREAS AND SERVICES

Securities Fraud

As recent corporate scandals demonstrate clearly, it has become all too common for companies and their executives – often with the help of their advisors, such as bankers, lawyers, and accountants – to manipulate the market price of their securities by misleading the public about the company’s financial condition or prospects for the future. This misleading information has the effect of artificially inflating the price of the company’s securities above their true value. When the underlying truth is eventually revealed, the prices of these securities plummet, harming those innocent investors who relied upon the company’s misrepresentations.

Robbins Geller is the leader in the fight to protect investors from corporate securities fraud. We utilize a wide range of federal and state laws to provide investors with remedies, either by bringing a class action on behalf of all affected investors or, where appropriate, by bringing individual cases.

The Firm’s reputation for excellence has been repeatedly noted by courts and has resulted in the appointment of Firm attorneys to lead roles in hundreds of complex class-action securities and other cases. In the securities area alone, the Firm’s attorneys have been responsible for a number of outstanding recoveries on behalf of investors. Currently, Robbins Geller attorneys are lead or named counsel in hundreds of securities class action or large institutional-investor cases. Some notable current and past cases include:

- *In re Enron Corp. Sec. Litig.*, No. H-01-3624 (S.D. Tex.). Robbins Geller attorneys and lead plaintiff The Regents of the University of California aggressively pursued numerous defendants, including many of Wall Street’s biggest banks, and successfully obtained settlements in excess of **\$7.2 billion** for the benefit of investors. ***This is the largest securities class action recovery in history.***
- *Jaffe v. Household Int’l, Inc.*, No. 02-C-05893 (N.D. Ill.). As sole lead counsel, Robbins Geller obtained a record-breaking settlement of **\$1.575 billion** after 14 years of litigation, including a six-week jury trial in 2009 that resulted in a securities fraud verdict in favor of the class. In 2015, the Seventh Circuit Court of Appeals upheld the jury’s verdict that defendants made false or misleading statements of material fact about the company’s business practices and financial results, but remanded the case for a new trial on the issue of whether the individual defendants “made” certain false statements, whether those false statements caused plaintiffs’ losses, and the amount of damages. The parties reached an agreement to settle the case just hours before the retrial was scheduled to begin on June 6, 2016. ***The \$1.575 billion settlement, approved in October 2016, is the largest ever following a securities fraud class action trial, the largest securities fraud settlement in the Seventh Circuit and the eighth-largest settlement ever in a post-PSLRA securities fraud case.*** According to published reports, the case was just the seventh securities fraud case tried to a verdict since the passage of the PSLRA.

- *In re Valeant Pharms. Int'l, Inc. Sec. Litig.*, No. 3:15-cv-07658 (D.N.J.). As sole lead counsel, Robbins Geller attorneys obtained a \$1.2 billion settlement in the securities case that *Vanity Fair* reported as “the corporate scandal of its era” that had raised “fundamental questions about the functioning of our health-care system, the nature of modern markets, and the slippery slope of ethical rationalizations.” The settlement resolves claims that defendants made false and misleading statements regarding Valeant’s business and financial performance during the class period, attributing Valeant’s dramatic growth in revenues and profitability to “innovative new marketing approaches” as part of a business model that was low risk and “durable and sustainable.” *Valeant* is the largest securities class action settlement against a pharmaceutical manufacturer and the ninth largest ever.
- *In re Am. Realty Cap. Props., Inc. Litig.*, No. 1:15-mc-00040 (S.D.N.Y.). As sole lead counsel, Robbins Geller attorneys zealously litigated the case arising out of ARCP’s manipulative accounting practices and obtained a \$1.025 billion settlement. For five years, the litigation team prosecuted nine different claims for violations of the Securities Exchange Act of 1934 and the Securities Act of 1933, involving seven different stock or debt offerings and two mergers. The recovery represents the highest percentage of damages of any major PSLRA case prior to trial and includes the largest personal contributions by individual defendants in history.
- *In re UnitedHealth Grp. Inc. PSLRA Litig.*, No. 06-CV-1691 (D. Minn.). Robbins Geller represented the California Public Employees’ Retirement System (“CalPERS”) and demonstrated its willingness to vigorously advocate for its institutional clients, even under the most difficult circumstances. The Firm obtained an \$895 million recovery on behalf of UnitedHealth shareholders, and former CEO William A. McGuire paid \$30 million and returned stock options representing more than three million shares to the shareholders, bringing the total recovery for the class to over \$925 million, the largest stock option backdating recovery ever, and **a recovery that is more than four times larger than the next largest options backdating recovery**. Moreover, Robbins Geller obtained unprecedented corporate governance reforms, including election of a shareholder-nominated member to the company’s board of directors, a mandatory holding period for shares acquired by executives via option exercise, and executive compensation reforms that tie pay to performance.
- *Alaska Elec. Pension Fund v. CitiGroup, Inc. (In re WorldCom Sec. Litig.)*, No. 03 Civ. 8269 (S.D.N.Y.). Robbins Geller attorneys represented more than 50 private and public institutions that opted out of the class action case and sued WorldCom’s bankers, officers and directors, and auditors in courts around the country for losses related to WorldCom bond offerings from 1998 to 2001. The Firm’s attorneys recovered more than \$650 million for their clients, substantially more than they would have recovered as part of the class.
- *Luther v. Countrywide Fin. Corp.*, No. 12-cv-05125 (C.D. Cal.). Robbins Geller attorneys secured a \$500 million settlement for institutional and individual investors in what is the largest RMBS purchaser class action settlement in history, and one of the largest class action securities settlements of all time. The unprecedented settlement resolves claims against Countrywide and Wall Street banks that issued the securities. The action was the first securities class action case filed against originators and Wall Street banks as a result of the credit crisis. As co-lead counsel Robbins Geller forged through six years of hard-fought litigation, oftentimes litigating issues of first impression, in order to secure the landmark settlement for its clients and the class.
- *In re Wachovia Preferred Sec. & Bond/Notes Litig.*, No. 09-cv-06351 (S.D.N.Y.). On behalf of investors in bonds and preferred securities issued between 2006 and 2008, Robbins Geller and co-

counsel obtained a significant settlement with Wachovia successor Wells Fargo & Company and Wachovia auditor KPMG LLP. *The total settlement – \$627 million – is one of the largest credit-crisis settlements involving Securities Act claims and one of the 20 largest securities class action recoveries in history.* The settlement is also one of the biggest securities class action recoveries arising from the credit crisis. The lawsuit focused on Wachovia’s exposure to “pick-a-pay” loans, which the bank’s offering materials said were of “pristine credit quality,” but which were actually allegedly made to subprime borrowers, and which ultimately massively impaired the bank’s mortgage portfolio. Robbins Geller served as co-lead counsel representing the City of Livonia Employees’ Retirement System, Hawaii Sheet Metal Workers Pension Fund, and the investor class.

- ***In re Cardinal Health, Inc. Sec. Litig.***, No. C2-04-575 (S.D. Ohio). As sole lead counsel representing Cardinal Health shareholders, Robbins Geller obtained a recovery of \$600 million for investors on behalf of the lead plaintiffs, Amalgamated Bank, the New Mexico State Investment Council, and the California Ironworkers Field Trust Fund. At the time, the \$600 million settlement was the tenth-largest settlement in the history of securities fraud litigation and is the largest-ever recovery in a securities fraud action in the Sixth Circuit.
- ***AOL Time Warner Cases I & II***, JCCP Nos. 4322 & 4325 (Cal. Super. Ct., Los Angeles Cnty.). Robbins Geller represented The Regents of the University of California, six Ohio state pension funds, Rabo Bank (NL), the Scottish Widows Investment Partnership, several Australian public and private funds, insurance companies, and numerous additional institutional investors, both domestic and international, in state and federal court opt-out litigation stemming from Time Warner’s disastrous 2001 merger with Internet high flier America Online. After almost four years of litigation involving extensive discovery, the Firm secured combined settlements for its opt-out clients totaling over \$629 million just weeks before The Regents’ case pending in California state court was scheduled to go to trial. The Regents’ gross recovery of \$246 million is the largest individual opt-out securities recovery in history.
- ***In re HealthSouth Corp. Sec. Litig.***, No. CV-03-BE-1500-S (N.D. Ala.). As court-appointed co-lead counsel, Robbins Geller attorneys obtained a combined recovery of \$671 million from HealthSouth, its auditor Ernst & Young, and its investment banker, UBS, for the benefit of stockholder plaintiffs. The settlement against HealthSouth represents one of the larger settlements in securities class action history and is considered among the top 15 settlements achieved after passage of the PSLRA. Likewise, the settlement against Ernst & Young is one of the largest securities class action settlements entered into by an accounting firm since the passage of the PSLRA.
- ***Jones v. Pfizer Inc.***, No. 1:10-cv-03864 (S.D.N.Y.). Lead plaintiff Stichting Philips Pensioenfond obtained a \$400 million settlement on behalf of class members who purchased Pfizer common stock during the January 19, 2006 to January 23, 2009 class period. The settlement against Pfizer resolves accusations that it misled investors about an alleged off-label drug marketing scheme. As sole lead counsel, Robbins Geller attorneys helped achieve this exceptional result after five years of hard-fought litigation against the toughest and the brightest members of the securities defense bar by litigating this case all the way to trial.
- ***In re Dynege Inc. Sec. Litig.***, No. H-02-1571 (S.D. Tex.). As sole lead counsel representing The Regents of the University of California and the class of Dynege investors, Robbins Geller attorneys obtained a combined settlement of \$474 million from Dynege, Citigroup, Inc., and Arthur Andersen LLP for their involvement in a clandestine financing scheme known as Project Alpha. Most notably, the settlement agreement provides that Dynege will appoint two board members to be nominated by The Regents, which Robbins Geller and The Regents believe will benefit all of Dynege’s stockholders.

- ***In re Qwest Commc'ns Int'l, Inc. Sec. Litig.***, No. 01-cv-1451 (D. Colo.). In July 2001, the Firm filed the initial complaint in this action on behalf of its clients, long before any investigation into Qwest's financial statements was initiated by the SEC or Department of Justice. After five years of litigation, lead plaintiffs entered into a settlement with Qwest and certain individual defendants that provided a \$400 million recovery for the class and created a mechanism that allowed the vast majority of class members to share in an additional \$250 million recovered by the SEC. In 2008, Robbins Geller attorneys recovered an additional \$45 million for the class in a settlement with defendants Joseph P. Nacchio and Robert S. Woodruff, the CEO and CFO, respectively, of Qwest during large portions of the class period.
- ***Fort Worth Emps.' Ret. Fund v. J.P. Morgan Chase & Co.***, No. 1:09-cv-03701 (S.D.N.Y.). Robbins Geller attorneys served as lead counsel for a class of investors and obtained court approval of a \$388 million recovery in nine 2007 residential mortgage-backed securities offerings issued by J.P. Morgan. The settlement represents, on a percentage basis, the largest recovery ever achieved in an MBS purchaser class action. The result was achieved after more than five years of hard-fought litigation and an extensive investigation.
- ***Smilovits v. First Solar, Inc.***, No. 2:12-cv-00555 (D. Ariz.). As sole lead counsel, Robbins Geller obtained a \$350 million settlement in *Smilovits v. First Solar, Inc.* The settlement, which was reached after a long legal battle and on the day before jury selection, resolves claims that First Solar violated §§10(b) and 20(a) of the Securities Exchange Act of 1934 and SEC Rule 10b-5. The settlement is the fifth-largest PSLRA settlement ever recovered in the Ninth Circuit.
- ***NECA-IBEW Health & Welfare Fund v. Goldman Sachs & Co.***, No. 1:08-cv-10783 (S.D.N.Y.). As sole lead counsel, Robbins Geller obtained a \$272 million settlement on behalf of Goldman Sachs' shareholders. The settlement concludes one of the last remaining mortgage-backed securities purchaser class actions arising out of the global financial crisis. The remarkable result was achieved following seven years of extensive litigation. After the claims were dismissed in 2010, Robbins Geller secured a landmark victory from the Second Circuit Court of Appeals that clarified the scope of permissible class actions asserting claims under the Securities Act of 1933 on behalf of MBS investors. Specifically, the Second Circuit's decision rejected the concept of "tranche" standing and concluded that a lead plaintiff in an MBS class action has class standing to pursue claims on behalf of purchasers of other securities that were issued from the same registration statement and backed by pools of mortgages originated by the same lenders who had originated mortgages backing the lead plaintiff's securities.
- ***Schuh v. HCA Holdings, Inc.***, No. 3:11-cv-01033 (M.D. Tenn.). As sole lead counsel, Robbins Geller obtained a groundbreaking \$215 million settlement for former HCA Holdings, Inc. shareholders – the largest securities class action recovery ever in Tennessee. Reached shortly before trial was scheduled to commence, the settlement resolves claims that the Registration Statement and Prospectus HCA filed in connection with the company's massive \$4.3 billion 2011 IPO contained material misstatements and omissions. The recovery achieved represents more than 30% of the aggregate classwide damages, far exceeding the typical recovery in a securities class action.
- ***In re AT&T Corp. Sec. Litig.***, MDL No. 1399 (D.N.J.). Robbins Geller attorneys served as lead counsel for a class of investors that purchased AT&T common stock. The case charged defendants AT&T and its former Chairman and CEO, C. Michael Armstrong, with violations of the federal securities laws in connection with AT&T's April 2000 initial public offering of its wireless tracking stock, one of the largest IPOs in American history. After two weeks of trial, and on the eve of scheduled testimony by Armstrong and infamous telecom analyst Jack Grubman, defendants agreed to settle the case for \$100 million.

- ***Silverman v. Motorola, Inc.***, No. 1:07-cv-04507 (N.D. Ill.). The Firm served as lead counsel on behalf of a class of investors in Motorola, Inc., ultimately recovering \$200 million for investors just two months before the case was set for trial. This outstanding result was obtained despite the lack of an SEC investigation or any financial restatement.
- ***City of Pontiac Gen. Emps.' Ret. Sys. v. Wal-Mart Stores, Inc.***, No. 5:12-cv-05162 (W.D. Ark.). Robbins Geller attorneys and lead plaintiff City of Pontiac General Employees' Retirement System achieved a \$160 million settlement in a securities class action case arising from allegations published by *The New York Times* in an article released on April 21, 2012 describing an alleged bribery scheme that occurred in Mexico. The case charged that Wal-Mart portrayed itself to investors as a model corporate citizen that had proactively uncovered potential corruption and promptly reported it to law enforcement, when in truth, a former in-house lawyer had blown the whistle on Wal-Mart's corruption years earlier, and Wal-Mart concealed the allegations from law enforcement by refusing its own in-house and outside counsel's calls for an independent investigation. Robbins Geller "achieved an exceptional [s]ettlement with skill, perseverance, and diligent advocacy," said Judge Hickey when granting final approval.
- ***Bennett v. Sprint Nextel Corp.***, No. 2:09-cv-02122 (D. Kan.). As co-lead counsel, Robbins Geller obtained a \$131 million recovery for a class of Sprint investors. The settlement, secured after five years of hard-fought litigation, resolved claims that former Sprint executives misled investors concerning the success of Sprint's ill-advised merger with Nextel and the deteriorating credit quality of Sprint's customer base, artificially inflating the value of Sprint's securities.
- ***In re LendingClub Sec. Litig.***, No. 3:16-cv-02627 (N.D. Cal.). Robbins Geller attorneys obtained a \$125 million settlement for the court-appointed lead plaintiff Water and Power Employees' Retirement, Disability and Death Plan of the City of Los Angeles and the class. The settlement resolved allegations that LendingClub promised investors an opportunity to get in on the ground floor of a revolutionary lending market fueled by the highest standards of honesty and integrity. The settlement ranked among the top ten largest securities recoveries ever in the Northern District of California.
- ***Knurr v. Orbital ATK, Inc.***, No. 1:16-cv-01031 (E.D. Va.). In the *Orbital* securities class action, Robbins Geller obtained court approval of a \$108 million recovery for the class. The Firm succeeded in overcoming two successive motions to dismiss the case, and during discovery were required to file ten motions to compel, all of which were either negotiated to a resolution or granted in large part, which resulted in the production of critical evidence in support of plaintiffs' claims. Believed to be the fourth-largest securities class action settlement in the history of the Eastern District of Virginia, the settlement provides a recovery for investors that is more than ten times larger than the reported median recovery of estimated damages for all securities class action settlements in 2018.
- ***Hsu v. Puma Biotechnology***, No. SACV15-0865 (C.D. Cal.). After a two-week jury trial, Robbins Geller attorneys won a complete plaintiffs' verdict against both defendants on both claims, with the jury finding that Puma Biotechnology, Inc. and its CEO, Alan H. Auerbach, committed securities fraud. The Puma case is only the fifteenth securities class action case tried to a verdict since the Private Securities Litigation Reform Act was enacted in 1995.
- ***Marcus v. J.C. Penney Co., Inc.***, No. 13-cv-00736 (E.D. Tex.). Robbins Geller attorneys obtained a \$97.5 million recovery on behalf of J.C. Penney shareholders. The result resolves claims that J.C. Penney and certain officers and directors made misstatements and/or omissions regarding the company's financial position that resulted in artificially inflated stock prices. Specifically, defendants failed to disclose and/or misrepresented adverse facts, including that J.C. Penney

would have insufficient liquidity to get through year-end and would require additional funds to make it through the holiday season, and that the company was concealing its need for liquidity so as not to add to its vendors' concerns.

- ***Monroe County Employees' Retirement System v. The Southern Company***, No. 1:17-cv-00241 (N.D. Ga.). As lead counsel, Robbins Geller obtained an \$87.5 million settlement in a securities class action on behalf of plaintiffs Monroe County Employees' Retirement System and Roofers Local No. 149 Pension Fund. The settlement resolves claims for violations of the Securities Exchange Act of 1934 stemming from defendants' issuance of materially misleading statements and omissions regarding the status of construction of a first-of-its-kind "clean coal" power plant in Kemper County, Mississippi. Plaintiffs alleged that these misstatements caused The Southern Company's stock price to be artificially inflated during the class period. Prior to resolving the case, Robbins Geller uncovered critical documentary evidence and deposition testimony supporting plaintiffs' claims. In granting final approval of the settlement, the court praised Robbins Geller for its "hard-fought litigation in the Eleventh Circuit" and its "experience, reputation, and abilities of [its] attorneys," and highlighted that the firm is "well-regarded in the legal community, especially in litigating class-action securities cases
- ***Chicago Laborers Pension Fund v. Alibaba Grp. Holding Ltd.***, No. CIV535692 (Cal. Super. Ct., San Mateo Cnty.). Robbins Geller attorneys and co-counsel obtained a \$75 million settlement in the Alibaba Group Holding Limited securities class action, resolving investors' claims that Alibaba violated the Securities Act of 1933 in connection with its September 2014 initial public offering. Chicago Laborers Pension Fund served as a plaintiff in the action.
- ***Luna v. Marvell Tech. Grp., Ltd.***, No. 3:15-cv-05447 (N.D. Cal.). In the *Marvell* litigation, Robbins Geller attorneys represented the Plumbers and Pipefitters National Pension Fund and obtained a \$72.5 million settlement. The case involved claims that Marvell reported revenue and earnings during the class period that were misleading as a result of undisclosed pull-in and concession sales. The settlement represents approximately 24% to 50% of the best estimate of classwide damages suffered by investors who purchased shares during the February 19, 2015 through December 7, 2015 class period.
- ***Garden City Emps.' Ret. Sys. v. Psychiatric Sols., Inc.***, No. 3:09-cv-00882 (M.D. Tenn.). In the *Psychiatric Solutions* case, Robbins Geller represented lead plaintiff and class representative Central States, Southeast and Southwest Areas Pension Fund in litigation spanning more than four years. Psychiatric Solutions and its top executives were accused of insufficiently staffing their in-patient hospitals, downplaying the significance of regulatory investigations and manipulating their malpractice reserves. Just days before trial was set to commence, attorneys from Robbins Geller achieved a \$65 million settlement that was the fourth-largest securities recovery ever in the district and one of the largest in a decade.
- ***Plumbers & Pipefitters Nat'l Pension Fund v. Burns***, No. 3:05-cv-07393 (N.D. Ohio). After 11 years of hard-fought litigation, Robbins Geller attorneys secured a \$64 million recovery for shareholders in a case that accused the former heads of Dana Corp. of securities fraud for trumpeting the auto parts maker's condition while it actually spiraled toward bankruptcy. The Firm's Appellate Practice Group successfully appealed to the Sixth Circuit Court of Appeals twice, reversing the district court's dismissal of the action.
- ***Villella v. Chemical and Mining Company of Chile Inc.***, No. 1:15-cv-02106 (S.D.N.Y.) Robbins Geller attorneys, serving as lead counsel, obtained a \$62.5 million settlement against Sociedad

Química y Minera de Chile S.A. (“SQM”), a Chilean mining company. The case alleged that SQM violated the Securities Exchange Act of 1934 by issuing materially false and misleading statements regarding the company’s failure to disclose that money from SQM was channeled illegally to electoral campaigns for Chilean politicians and political parties as far back as 2009. SQM had also filed millions of dollars’ worth of fictitious tax receipts with Chilean authorities in order to conceal bribery payments from at least 2009 through fiscal 2014. Due to the company being based out of Chile and subject to Chilean law and rules, the Robbins Geller litigation team put together a multilingual litigation team with Chilean expertise. Depositions are considered unlawful in the country of Chile, so Robbins Geller successfully moved the court to compel SQM to bring witnesses to the United States.

- ***In re BHP Billiton Ltd. Sec. Litig.***, No. 1:16-cv-01445 (S.D.N.Y.). As lead counsel, Robbins Geller obtained a \$50 million class action settlement against BHP, a Australian-based mining company that was accused of failing to disclose significant safety problems at the Fundão iron-ore dam, in Brazil. The Firm achieved this result for lead plaintiffs City of Birmingham Retirement and Relief System and City of Birmingham Firemen’s and Policemen’s Supplemental Pension System, on behalf of purchasers of the American Depositary Shares (“ADRs”) of defendants BHP Billiton Limited and BHP Billiton Plc (together, “BHP”) from September 25, 2014 to November 30, 2015.
- ***In re St. Jude Med., Inc. Sec. Litig.***, No. 0:10-cv-00851 (D. Minn.). After four and a half years of litigation and mere weeks before the jury selection, Robbins Geller obtained a \$50 million settlement on behalf of investors in medical device company St. Jude Medical. The settlement resolves accusations that St. Jude Medical misled investors by utilizing heavily discounted end-of-quarter bulk sales to meet quarterly expectations, which created a false picture of demand by increasing customer inventory due of St. Jude Medical devices. The complaint alleged that the risk of St. Jude Medical’s reliance on such bulk sales manifested when it failed to meet its forecast guidance for the third quarter of 2009, which the company had reaffirmed only weeks earlier.
- ***Deka Investment GmbH v. Santander Consumer USA Holdings Inc.***, No. 3:15-cv-02129 (N.D. Tex.). Robbins Geller and co-counsel secured a \$47 million settlement in a securities class action against Santander Consumer USA Holdings Inc. (“SCUSA”). The case alleges that SCUSA, 2 of its officers, 10 of its directors, as well as 17 underwriters of its January 23, 2014 multi-billion dollar IPO violated §§11, 12(a)(2), and 15 of the Securities Act of 1933 as a result of their negligence in connection with misrepresentations in the prospectus and registration statement for the IPO (“Offering Documents”). The complaint also alleged that SCUSA and two of its officers violated §§10(b) and 20(a) of the Securities Exchange Act of 1934 and Rule 10b-5 as a result of their fraud in issuing misleading statements in the IPO Offering Documents as well as in subsequent statements to investors.
- ***Snap Inc. Securities Cases***, JCCP No. 4960 (Cal. Super. Ct., Los Angeles Cnty). Robbins Geller, along with co-counsel, reached a settlement in the Snap, Inc. securities class action, providing for the payment of \$32,812,500 to eligible settlement class members. The securities class action sought remedies under §§11, 12(a)(2) and 15 of the Securities Act of 1933. The case alleged that Snap, certain Snap officers and directors, and the underwriters for Snap’s Initial Public Offering (“IPO”) were liable for materially false and misleading statements and omissions in the Registration Statement for the IPO, related to trends and uncertainties in Snap’s growth metrics, a potential patent-infringement action, and stated risk factors.

Robbins Geller’s securities practice is also strengthened by the existence of a strong appellate department, whose collective work has established numerous legal precedents. The securities practice also utilizes an

extensive group of in-house economic and damage analysts, investigators, and forensic accountants to aid in the prosecution of complex securities issues.

Shareholder Derivative and Corporate Governance Litigation

The Firm's shareholder derivative and corporate governance practice is focused on preserving corporate assets and enhancing long-term shareowner value. Shareowner derivative actions are often brought by institutional investors to vindicate the rights of the corporation injured by its executives' misconduct, which can effect violations of the nation's securities, anti-corruption, false claims, cyber-security, labor, environmental, and/or health & safety laws.

Robbins Geller attorneys have aided Firm clients in significantly enhancing shareowner value by obtaining hundreds of millions of dollars in financial clawbacks and successfully negotiating corporate governance enhancements. Robbins Geller has worked with its institutional clients to address corporate misconduct such as options backdating, bribery of foreign officials, pollution, off-label marketing, and insider trading and related self-dealing. Additionally, the Firm works closely with noted corporate governance consultants Robert Monks and Richard Bennett and their firm, ValueEdge Advisors LLC, to shape corporate governance practices that will benefit shareowners.

Robbins Geller's efforts have conferred substantial benefits upon shareowners, and the market effect of these benefits measures in the billions of dollars. The Firm's significant achievements include:

- ***City of Westland Police & Fire Ret. Sys. v. Stumpf (Wells Fargo Derivative Litigation)***, No. 3:11-cv-02369 (N.D. Cal.). Prosecuted shareholder derivative action on behalf of Wells Fargo & Co. alleging that Wells Fargo's executives allowed participation in the mass-processing of home foreclosure documents by engaging in widespread robo-signing, *i.e.*, the execution and submission of false legal documents in courts across the country without verification of their truth or accuracy, and failed to disclose Wells Fargo's lack of cooperation in a federal investigation into the bank's mortgage and foreclosure practices. In settlement of the action, Wells Fargo agreed to provide \$67 million in homeowner down-payment assistance, credit counseling, and improvements to its mortgage servicing system. The initiatives will be concentrated in cities severely impacted by the bank's foreclosure practices and the ensuing mortgage foreclosure crisis. Additionally, Wells Fargo agreed to change its procedures for reviewing shareholder proposals and a strict ban on stock pledges by Wells Fargo board members.
- ***In re Ormat Techs., Inc. Derivative Litig.***, No. CV10-00759 (Nev. Dist. Ct., Washoe Cnty.). Robbins Geller brought derivative claims for breach of fiduciary duty and unjust enrichment against the directors and certain officers of Ormat Technologies, Inc., a leading geothermal and recovered energy power business. During the relevant time period, these Ormat insiders caused the company to engage in accounting manipulations that ultimately required restatement of the company's financial statements. The settlement in this action includes numerous corporate governance reforms designed to, among other things: (i) increase director independence; (ii) provide continuing education to directors; (iii) enhance the company's internal controls; (iv) make the company's board more independent; and (iv) strengthen the company's internal audit function.
- ***In re Alphatec Holdings, Inc. Derivative S'holder Litig.***, No. 37-2010-00058586 (Cal. Super. Ct., San Diego Cnty.). Obtained sweeping changes to Alphatec's governance, including separation of the Chairman and CEO positions, enhanced conflict of interest procedures to address related-party transactions, rigorous director independence standards requiring that at least a majority of directors be outside independent directors, and ongoing director education and training.

- ***In re Finisar Corp. Derivative Litig.***, No. C-06-07660 (N.D. Cal.). Prosecuted shareholder derivative action on behalf of Finisar against certain of its current and former directors and officers for engaging in an alleged nearly decade-long stock option backdating scheme that was alleged to have inflicted substantial damage upon Finisar. After obtaining a reversal of the district court's order dismissing the complaint for failing to adequately allege that a pre-suit demand was futile, Robbins Geller lawyers successfully prosecuted the derivative claims to resolution obtaining over \$15 million in financial clawbacks for Finisar. Robbins Geller attorneys also obtained significant changes to Finisar's stock option granting procedures and corporate governance. As a part of the settlement, Finisar agreed to ban the repricing of stock options without first obtaining specific shareholder approval, prohibit the retrospective selection of grant dates for stock options and similar awards, limit the number of other boards on which Finisar directors may serve, require directors to own a minimum amount of Finisar shares, annually elect a Lead Independent Director whenever the position of Chairman and CEO are held by the same person, and require the board to appoint a Trading Compliance officer responsible for ensuring compliance with Finisar's insider trading policies.
- ***Loizides v. Schramm (Maxwell Technology Derivative Litigation)***, No. 37-2010-00097953 (Cal. Super. Ct., San Diego Cnty.). Prosecuted shareholder derivative claims arising from the company's alleged violations of the Foreign Corrupt Practices Act of 1977 ("FCPA"). As a result of Robbins Geller's efforts, Maxwell insiders agreed to adopt significant changes in Maxwell's internal controls and systems designed to protect Maxwell against future potential violations of the FCPA. These corporate governance changes included establishing the following, among other things: a compliance plan to improve board oversight of Maxwell's compliance processes and internal controls; a clear corporate policy prohibiting bribery and subcontracting kickbacks, whereby individuals are accountable; mandatory employee training requirements, including the comprehensive explanation of whistleblower provisions, to provide for confidential reporting of FCPA violations or other corruption; enhanced resources and internal control and compliance procedures for the audit committee to act quickly if an FCPA violation or other corruption is detected; an FCPA and Anti-Corruption Compliance department that has the authority and resources required to assess global operations and detect violations of the FCPA and other instances of corruption; a rigorous ethics and compliance program applicable to all directors, officers, and employees, designed to prevent and detect violations of the FCPA and other applicable anti-corruption laws; an executive-level position of Chief Compliance Officer with direct board-level reporting responsibilities, who shall be responsible for overseeing and managing compliance issues within the company; a rigorous insider trading policy buttressed by enhanced review and supervision mechanisms and a requirement that all trades are timely disclosed; and enhanced provisions requiring that business entities are only acquired after thorough FCPA and anti-corruption due diligence by legal, accounting, and compliance personnel at Maxwell.
- ***In re SciClone Pharms., Inc. S'holder Derivative Litig.***, No. CIV 499030 (Cal. Super. Ct., San Mateo Cnty.). Robbins Geller attorneys successfully prosecuted the derivative claims on behalf of nominal party SciClone Pharmaceuticals, Inc., resulting in the adoption of state-of-the-art corporate governance reforms. The corporate governance reforms included the establishment of an FCPA compliance coordinator; the adoption of an FCPA compliance program and code; and the adoption of additional internal controls and compliance functions.
- ***Policemen & Firemen Ret. Sys. of the City of Detroit v. Cornelison (Halliburton Derivative Litigation)***, No. 2009-29987 (Tex. Dist. Ct., Harris Cnty.). Prosecuted shareholder derivative claims on behalf of Halliburton Company against certain Halliburton insiders for breaches of fiduciary duty arising from Halliburton's alleged violations of the FCPA. In the settlement, Halliburton agreed, among other things, to adopt strict intensive controls and systems designed to detect and deter the payment of bribes and other improper payments to foreign officials, to

enhanced executive compensation clawback, director stock ownership requirements, a limitation on the number of other boards that Halliburton directors may serve, a lead director charter, enhanced director independence standards, and the creation of a management compliance committee.

- ***In re UnitedHealth Grp. Inc. PSLRA Litig.***, No. 06-CV-1691 (D. Minn.). In the *UnitedHealth* case, our client, CalPERS, obtained sweeping corporate governance improvements, including the election of a shareholder-nominated member to the company's board of directors, a mandatory holding period for shares acquired by executives via option exercises, as well as executive compensation reforms that tie pay to performance. In addition, the class obtained \$925 million, the largest stock option backdating recovery ever and four times the next largest options backdating recovery.
- ***In re Fossil, Inc. Derivative Litig.***, No. 3:06-cv-01672 (N.D. Tex.). The settlement agreement included the following corporate governance changes: declassification of elected board members; retirement of three directors and addition of five new independent directors; two-thirds board independence requirements; corporate governance guidelines providing for "Majority Voting" election of directors; lead independent director requirements; revised accounting measurement dates of options; addition of standing finance committee; compensation clawbacks; director compensation standards; revised stock option plans and grant procedures; limited stock option granting authority, timing, and pricing; enhanced education and training; and audit engagement partner rotation and outside audit firm review.
- ***Pirelli Armstrong Tire Corp. Retiree Med. Benefits Tr. v. Sinegal (Costco Derivative Litigation)***, No. 2:08-cv-01450 (W.D. Wash.). The parties agreed to settlement terms providing for the following corporate governance changes: the amendment of Costco's bylaws to provide "Majority Voting" election of directors; the elimination of overlapping compensation and audit committee membership on common subject matters; enhanced Dodd-Frank requirements; enhanced internal audit standards and controls, and revised information-sharing procedures; revised compensation policies and procedures; revised stock option plans and grant procedures; limited stock option granting authority, timing, and pricing; and enhanced ethics compliance standards and training.
- ***In re F5 Networks, Inc. Derivative Litig.***, No. C-06-0794 (W.D. Wash.). The parties agreed to the following corporate governance changes as part of the settlement: revised stock option plans and grant procedures; limited stock option granting authority, timing, and pricing; "Majority Voting" election of directors; lead independent director requirements; director independence standards; elimination of director perquisites; and revised compensation practices.

- ***In re Community Health Sys., Inc. S'holder Derivative Litig.***, No. 3:11-cv-00489 (M.D. Tenn.). Robbins Geller obtained unprecedented corporate governance reforms on behalf of Community Health Systems, Inc. in a case against the company's directors and officers for breaching their fiduciary duties by causing Community Health to develop and implement admissions criteria that systematically steered patients into unnecessary inpatient admissions, in contravention of Medicare and Medicaid regulations. The governance reforms obtained as part of the settlement include two shareholder-nominated directors, the creation of a Healthcare Law Compliance Coordinator with specified qualifications and duties, a requirement that the board's compensation committee be comprised solely of independent directors, the implementation of a compensation clawback that will automatically recover compensation improperly paid to the company's CEO or CFO in the event of a restatement, the establishment of an insider trading controls committee, and the adoption of a political expenditure disclosure policy. In addition to these reforms, \$60 million in financial relief was obtained, which is the largest shareholder derivative recovery ever in Tennessee and the Sixth Circuit.

Options Backdating Litigation

As has been widely reported in the media, the stock options backdating scandal suddenly engulfed hundreds of publicly traded companies throughout the country in 2006. Robbins Geller was at the forefront of investigating and prosecuting options backdating derivative and securities cases. The Firm has recovered over \$1 billion in damages on behalf of injured companies and shareholders.

- ***In re KLA-Tencor Corp. S'holder Derivative Litig.***, No. C-06-03445 (N.D. Cal.). After successfully opposing the special litigation committee of the board of directors' motion to terminate the derivative claims, Robbins Geller recovered \$43.6 million in direct financial benefits for KLA-Tencor, including \$33.2 million in cash payments by certain former executives and their directors' and officers' insurance carriers.
- ***In re Marvell Tech. Grp. Ltd. Derivative Litig.***, No. C-06-03894 (N.D. Cal.). Robbins Geller recovered \$54.9 million in financial benefits, including \$14.6 million in cash, for Marvell, in addition to extensive corporate governance reforms related to Marvell's stock option granting practices, board of directors' procedures, and executive compensation.
- ***In re KB Home S'holder Derivative Litig.***, No. 06-CV-05148 (C.D. Cal.). Robbins Geller served as co-lead counsel for the plaintiffs and recovered more than \$31 million in financial benefits, including \$21.5 million in cash, for KB Home, plus substantial corporate governance enhancements relating to KB Home's stock option granting practices, director elections, and executive compensation practices.

Corporate Takeover Litigation

Robbins Geller has earned a reputation as the leading law firm in representing shareholders in corporate takeover litigation. Through its aggressive efforts in prosecuting corporate takeovers, the Firm has secured for shareholders billions of dollars of additional consideration as well as beneficial changes for shareholders in the context of mergers and acquisitions.

The Firm regularly prosecutes merger and acquisition cases post-merger, often through trial, to maximize the benefit for its shareholder class. Some of these cases include:

- ***In re Tesla Motors, Inc. S'holder Litig.***, No. 12711-VCS (Del. Ch.). Robbins Geller, along with co-counsel, secured a \$60 million partial settlement after nearly four years of litigation against Tesla. This partial settlement is one of the largest derivative recoveries in a stockholder action challenging a merger. This partial settlement resolves the claims brought against defendants Kimbal Musk, Antonio J. Gracias, Stephen T. Jurvetson, Brad W. Buss, Ira Ehrenpreis, and Robyn M. Denholm, but not the claims against defendant Elon Musk.
- ***In re Kinder Morgan, Inc. S'holders Litig.***, No. 06-C-801 (Kan. Dist. Ct., Shawnee Cnty.). In the largest recovery ever for corporate takeover class action litigation, the Firm negotiated a settlement fund of \$200 million in 2010.
- ***In re Dole Food Co., Inc. S'holder Litig.***, No. 8703-VCL (Del. Ch.). Robbins Geller and co-counsel went to trial in the Delaware Court of Chancery on claims of breach of fiduciary duty on behalf of Dole Food Co., Inc. shareholders. The litigation challenged the 2013 buyout of Dole by its billionaire Chief Executive Officer and Chairman, David H. Murdock. On August 27, 2015, the court issued a post-trial ruling that Murdock and fellow director C. Michael Carter – who also served as Dole's General Counsel, Chief Operating Officer, and Murdock's top lieutenant – had engaged in fraud and other misconduct in connection with the buyout and are liable to Dole's former stockholders for over \$148 million, the largest trial verdict ever in a class action challenging a merger transaction.
- ***Nieman v. Duke Energy Corp.***, No. 3:12-cv-00456 (W.D.N.C.). Robbins Geller, along with co-counsel, obtained a \$146.25 million settlement on behalf of Duke Energy Corporation investors. The settlement resolves accusations that defendants misled investors regarding Duke's future leadership following its merger with Progress Energy, Inc., and specifically, their premeditated coup to oust William D. Johnson (CEO of Progress) and replace him with Duke's then-CEO, John Rogers. This historic settlement represents the largest recovery ever in a North Carolina securities fraud action, and one of the five largest recoveries in the Fourth Circuit.
- ***In re Rural Metro Corp. S'holders Litig.***, No. 6350-VCL (Del. Ch.). Robbins Geller and co-counsel were appointed lead counsel in this case after successfully objecting to an inadequate settlement that did not take into account evidence of defendants' conflicts of interest. In a post-trial opinion, Delaware Vice Chancellor J. Travis Laster found defendant RBC Capital Markets, LLC liable for aiding and abetting Rural/Metro's board of directors' fiduciary duty breaches in the \$438 million buyout of Rural/Metro, citing "the magnitude of the conflict between RBC's claims and the evidence." RBC was ordered to pay nearly \$110 million as a result of its wrongdoing, the largest damage award ever obtained against a bank over its role as a merger adviser. The Delaware Supreme Court issued a landmark opinion affirming the judgment on November 30, 2015, *RBC Cap. Mkts., LLC v. Jervis*, 129 A.3d 816 (Del. 2015).
- ***In re Del Monte Foods Co. S'holders Litig.***, No. 6027-VCL (Del. Ch.). Robbins Geller exposed the unseemly practice by investment bankers of participating on both sides of large merger and acquisition transactions and ultimately secured an \$89 million settlement for shareholders of Del Monte. For efforts in achieving these results, the Robbins Geller lawyers prosecuting the case were named Attorneys of the Year by *California Lawyer* magazine in 2012.
- ***In re TD Banknorth S'holders Litig.***, No. 2557-VCL (Del. Ch.). After objecting to a modest recovery of just a few cents per share, the Firm took over the litigation and obtained a common fund settlement of \$50 million.

- ***In re Chaparral Res., Inc. S'holders Litig.***, No. 2633-VCL (Del. Ch.). After a full trial and a subsequent mediation before the Delaware Chancellor, the Firm obtained a common fund settlement of \$41 million (or 45% increase above merger price) for both class and appraisal claims.
- ***Laborers' Local #231 Pension Fund v. Websense, Inc.***, No. 37-2013-00050879-CU-BT-CTL (Cal. Super. Ct., San Diego Cnty.). Robbins Geller successfully obtained a record-breaking \$40 million in *Websense*, which is believed to be the largest post-merger common fund settlement in California state court history. The class action challenged the May 2013 buyout of Websense by Vista Equity Partners (and affiliates) for \$24.75 per share and alleged breach of fiduciary duty against the former Websense board of directors, and aiding and abetting against Websense's financial advisor, Merrill Lynch, Pierce, Fenner & Smith, Inc. Claims were pursued by the plaintiff in both California state court and the Delaware Court of Chancery.
- ***In re Onyx Pharms., Inc. S'holder Litig.***, No. CIV523789 (Cal. Super. Ct., San Mateo Cnty.). Robbins Geller obtained \$30 million in a case against the former Onyx board of directors for breaching its fiduciary duties in connection with the acquisition of Onyx by Amgen Inc. for \$125 per share at the expense of shareholders. At the time of the settlement, it was believed to set the record for the largest post-merger common fund settlement in California state court history. Over the case's three years, Robbins Geller defeated defendants' motions to dismiss, obtained class certification, took over 20 depositions, and reviewed over one million pages of documents. Further, the settlement was reached just days before a hearing on defendants' motion for summary judgment was set to take place, and the result is now believed to be the second largest post-merger common fund settlement in California state court history.
- ***Harrah's Entertainment***, No. A529183 (Nev. Dist. Ct., Clark Cnty.). The Firm's active prosecution of the case on several fronts, both in federal and state court, assisted Harrah's shareholders in securing an additional \$1.65 billion in merger consideration.
- ***In re Chiron S'holder Deal Litig.***, No. RG 05-230567 (Cal. Super. Ct., Alameda Cnty.). The Firm's efforts helped to obtain an additional \$800 million in increased merger consideration for Chiron shareholders.
- ***In re Dollar Gen. Corp. S'holder Litig.***, No. 07MD-1 (Tenn. Cir. Ct., Davidson Cnty.). As lead counsel, the Firm secured a recovery of up to \$57 million in cash for former Dollar General shareholders on the eve of trial.
- ***In re Prime Hosp., Inc. S'holders Litig.***, No. 652-N (Del. Ch.). The Firm objected to a settlement that was unfair to the class and proceeded to litigate breach of fiduciary duty issues involving a sale of hotels to a private equity firm. The litigation yielded a common fund of \$25 million for shareholders.
- ***In re UnitedGlobalCom, Inc. S'holder Litig.***, No. 1012-VCS (Del. Ch.). The Firm secured a common fund settlement of \$25 million just weeks before trial.
- ***In re eMachines, Inc. Merger Litig.***, No. 01-CC-00156 (Cal. Super. Ct., Orange Cnty.). After four years of litigation, the Firm secured a common fund settlement of \$24 million on the brink of trial.
- ***In re PeopleSoft, Inc. S'holder Litig.***, No. RG-03100291 (Cal. Super. Ct., Alameda Cnty.). The Firm successfully objected to a proposed compromise of class claims arising from takeover defenses by PeopleSoft, Inc. to thwart an acquisition by Oracle Corp., resulting in shareholders receiving an increase of over \$900 million in merger consideration.

- ***ACS S'holder Litig.***, No. CC-09-07377-C (Tex. Cty. Ct., Dallas Cnty.). The Firm forced ACS's acquirer, Xerox, to make significant concessions by which shareholders would not be locked out of receiving more money from another buyer.

Antitrust

Robbins Geller's antitrust practice focuses on representing businesses and individuals who have been the victims of price-fixing, unlawful monopolization, market allocation, tying, and other anti-competitive conduct. The Firm has taken a leading role in many of the largest federal and state price-fixing, monopolization, market allocation, and tying cases throughout the United States.

- ***In re Payment Card Interchange Fee and Merchant Discount Antitrust Litigation***, MDL No. 1720 (E.D.N.Y.). Robbins Geller attorneys, serving as co-lead counsel on behalf of merchants, obtained a settlement amount of \$5.5 billion. In approving the settlement, the court noted that Robbins Geller and co-counsel "demonstrated the utmost professionalism despite the demands of the extreme perseverance that this case has required, litigating on behalf of a class of over 12 million for over fourteen years, across a changing legal landscape, significant motion practice, and appeal and remand. Class counsel's pedigree and efforts alone speak to the quality of their representation."
- ***Dahl v. Bain Cap. Partners, LLC***, No. 07-cv-12388 (D. Mass). Robbins Geller attorneys served as co-lead counsel on behalf of shareholders in this antitrust action against the nation's largest private equity firms that colluded to restrain competition and suppress prices paid to shareholders of public companies in connection with leveraged buyouts. Robbins Geller attorneys recovered more than \$590 million for the class from the private equity firm defendants, including Goldman Sachs Group Inc. and Carlyle Group LP.
- ***Alaska Elec. Pension Fund v. Bank of Am. Corp.***, No. 14-cv-07126 (S.D.N.Y.). Robbins Geller attorneys prosecuted antitrust claims against 14 major banks and broker ICAP plc who were alleged to have conspired to manipulate the ISDAfix rate, the key interest rate for a broad range of interest rate derivatives and other financial instruments in contravention of the competition laws. The class action was brought on behalf of investors and market participants who entered into interest rate derivative transactions between 2006 and 2013. Final approval has been granted to settlements collectively yielding \$504.5 million from all defendants.
- ***In re Currency Conversion Fee Antitrust Litig.***, 01 MDL No. 1409 (S.D.N.Y.). Robbins Geller attorneys served as lead counsel and recovered \$336 million for a class of credit and debit cardholders. The court praised the Firm as "indefatigable," noting that the Firm's lawyers "vigorously litigated every issue against some of the ablest lawyers in the antitrust defense bar."
- ***In re SSA Bonds Antitrust Litig.***, No. 1:16-cv-03711 (S.D.N.Y.). Robbins Geller attorneys are serving as co-lead counsel in a case against several of the world's largest banks and the traders of certain specialized government bonds. They are alleged to have entered into a wide-ranging price-fixing and bid-rigging scheme costing pension funds and other investors hundreds of millions. To date, three of the more than a dozen corporate defendants have settled for \$95.5 million.
- ***In re Aftermarket Auto. Lighting Prods. Antitrust Litig.***, 09 MDL No. 2007 (C.D. Cal.). Robbins Geller attorneys served as co-lead counsel in this multi-district litigation in which plaintiffs allege that defendants conspired to fix prices and allocate markets for automotive lighting products. The last defendants settled just before the scheduled trial, resulting in total settlements of more than \$50 million. Commenting on the quality of representation, the court commended the Firm for

“expend[ing] substantial and skilled time and efforts in an efficient manner to bring this action to conclusion.”

- ***In re Dynamic Random Access Memory (DRAM) Antitrust Litig.***, 02 MDL No. 1486 (N.D. Cal.). Robbins Geller attorneys served on the executive committee in this multi-district class action in which a class of purchasers of dynamic random access memory (or DRAM) chips alleged that the leading manufacturers of semiconductor products fixed the price of DRAM chips from the fall of 2001 through at least the end of June 2002. The case settled for more than \$300 million.
- ***Microsoft I-V Cases***, JCCP No. 4106 (Cal. Super. Ct., San Francisco Cnty.). Robbins Geller attorneys served on the executive committee in these consolidated cases in which California indirect purchasers challenged Microsoft’s illegal exercise of monopoly power in the operating system, word processing, and spreadsheet markets. In a settlement approved by the court, class counsel obtained an unprecedented \$1.1 billion worth of relief for the business and consumer class members who purchased the Microsoft products.

Consumer Fraud and Privacy

In our consumer-based economy, working families who purchase products and services must receive truthful information so they can make meaningful choices about how to spend their hard-earned money. When financial institutions and other corporations deceive consumers or take advantage of unequal bargaining power, class action suits provide, in many instances, the only realistic means for an individual to right a corporate wrong.

Robbins Geller attorneys represent consumers around the country in a variety of important, complex class actions. Our attorneys have taken a leading role in many of the largest federal and state consumer fraud, privacy, environmental, human rights, and public health cases throughout the United States. The Firm is also actively involved in many cases relating to banks and the financial services industry, pursuing claims on behalf of individuals victimized by abusive telemarketing practices, abusive mortgage lending practices, market timing violations in the sale of variable annuities, and deceptive consumer credit lending practices in violation of the Truth-In-Lending Act. Below are a few representative samples of our robust, nationwide consumer and privacy practice.

- ***In re Nat’l Prescription Opiate Litig.*** Robbins Geller serves on the Plaintiffs’ Executive Committee to spearhead more than 2,900 federal lawsuits brought on behalf of governmental entities and other plaintiffs in the sprawling litigation concerning the nationwide prescription opioid epidemic. In reporting on the selection of the lawyers to lead the case, *The National Law Journal* reported that “[t]he team reads like a ‘Who’s Who’ in mass torts.”
- ***Apple Inc. Device Performance Litigation.*** Robbins Geller serves on the Plaintiffs’ Executive Committee to advance judicial interests of efficiency and protect the interests of the proposed class in the *Apple* litigation. The case alleges Apple misrepresented its iPhone devices and the nature of updates to its mobile operating system (iOS), which allegedly included code that significantly reduced the performance of older-model iPhones and forced users to incur expenses replacing these devices or their batteries.
- ***In re EpiPen (Epinephrine Injection, USP) Mktg., Sales Pracs. & Antitrust Litig.*** Robbins Geller served as co-lead class counsel in a case against Mylan Pharmaceuticals and Pfizer alleging anti-competitive behavior that allowed the price of ubiquitous, life-saving EpiPen auto-injector devices to rise over 600%, resulting in inflated prices for American families. Two settlements totaling \$609 million were reached after five years of litigation and weeks prior to trial.

- ***Cordova v. Greyhound Lines, Inc.*** Robbins Geller represented California bus passengers *pro bono* in a landmark consumer and civil rights case against Greyhound for subjecting them to discriminatory immigration raids. Robbins Geller achieved a watershed court ruling that a private company may be held liable under California law for allowing border patrol to harass and racially profile its customers. The case heralds that Greyhound passengers do not check their rights and dignity at the bus door and has had an immediate impact, not only in California but nationwide. Within weeks of Robbins Geller filing the case, Greyhound added “know your rights” information to passengers to its website and on posters in bus stations around the country, along with adopting other business reforms.
- ***In re Volkswagen “Clean Diesel” Mktg., Sales Pracs., & Prods. Liab. Litig.*** As part of the Plaintiffs’ Steering Committee, Robbins Geller reached a series of settlements on behalf of purchasers, lessees, and dealers that total well over \$17 billion, the largest settlement in history, concerning illegal “defeat devices” that Volkswagen installed on many of its diesel-engine vehicles. The device tricked regulators into believing the cars were complying with emissions standards, while the cars were actually emitting between 10 and 40 times the allowable limit for harmful pollutants.
- ***In re Facebook Biometric Info. Privacy Litig.***, No. 3:15-cv-03747 (N.D. Cal.). Robbins Geller served as co-lead class counsel in a cutting-edge certified class action, securing a record-breaking \$650 million all-cash settlement, the largest privacy settlement in history. The case concerned Facebook’s alleged privacy violations through its collection of its users’ biometric identifiers without informed consent through its “Tag Suggestions” feature, which uses proprietary facial recognition software to extract from user-uploaded photographs the unique biometric identifiers (*i.e.*, graphical representations of facial features, also known as facial geometry) associated with people’s faces and identify who they are. The Honorable James Donato called the settlement “a groundbreaking settlement in a novel area” and praised the unprecedented 22% claims rate as “pretty phenomenal” and “a pretty good day in class settlement history.”
- ***Yahoo Data Breach Class Action.*** Robbins Geller helped secure final approval of a \$117.5 million settlement in a class action lawsuit against Yahoo, Inc. arising out of Yahoo’s reckless disregard for the safety and security of its customers’ personal, private information. In September 2016, Yahoo revealed that personal information associated with at least 500 million user accounts, including names, email addresses, telephone numbers, dates of birth, hashed passwords, and security questions and answers, was stolen from Yahoo’s user database in late 2014. The company made another announcement in December 2016 that personal information associated with more than one billion user accounts was extracted in August 2013. Ten months later, Yahoo announced that the breach in 2013 actually affected all three billion existing accounts. This was the largest data breach in history, and caused severe financial and emotional damage to Yahoo account holders. In 2017, Robbins Geller was appointed to the Plaintiffs’ Executive Committee charged with overseeing the litigation.
- ***Trump University.*** After six and a half years of tireless litigation and on the eve of trial, Robbins Geller, serving as co-lead counsel, secured a historic recovery on behalf of Trump University students around the country. The settlement provides \$25 million to approximately 7,000 consumers, including senior citizens who accessed retirement accounts and maxed out credit cards to enroll in Trump University. The extraordinary result means individual class members are eligible for upwards of \$35,000 in restitution. The settlement resolves claims that President Donald J. Trump and Trump University violated federal and state laws by misleadingly marketing “Live Events” seminars and mentorships as teaching Trump’s “real-estate techniques” through his “hand-picked” “professors” at his so-called “university.” Robbins Geller represented the class on a *pro bono* basis.

- ***In re Morning Song Bird Food Litig.*** Robbins Geller obtained final approval of a settlement in a civil Racketeer Influenced and Corrupt Organizations Act consumer class action against The Scotts Miracle-Gro Company and its CEO James Hagedorn. The settlement of up to \$85 million provides full refunds to consumers around the country and resolves claims that Scotts Miracle-Gro knowingly sold wild bird food treated with pesticides that are hazardous to birds. In approving the settlement, Judge Houston commended Robbins Geller's "skill and quality of work [as] extraordinary" and the case as "aggressively litigated." The Robbins Geller team battled a series of dismissal motions before achieving class certification for the plaintiffs in March 2017, with the court finding that "Plaintiffs would not have purchased the bird food if they knew it was poison." Defendants then appealed the class certification to the Ninth Circuit, which was denied, and then tried to have the claims from non-California class members thrown out, which was also denied.
- ***Bank Overdraft Fees Litigation.*** The banking industry charges consumers exorbitant amounts for "overdraft" of their checking accounts, even if the customer did not authorize a charge beyond the available balance and even if the account would not have been overdrawn had the transactions been ordered chronologically as they occurred – that is, banks reorder transactions to maximize such fees. The Firm brought lawsuits against major banks to stop this practice and recover these false fees. These cases have recovered over \$500 million thus far from a dozen banks and we continue to investigate other banks engaging in this practice.
- ***Visa and MasterCard Fees.*** After years of litigation and a six-month trial, Robbins Geller attorneys won one of the largest consumer-protection verdicts ever awarded in the United States. The Firm's attorneys represented California consumers in an action against Visa and MasterCard for intentionally imposing and concealing a fee from cardholders. The court ordered Visa and MasterCard to return \$800 million in cardholder losses, which represented 100% of the amount illegally taken, plus 2% interest. In addition, the court ordered full disclosure of the hidden fee.
- ***Sony Gaming Networks & Customer Data Security Breach Litigation.*** The Firm served as a member of the Plaintiffs' Steering Committee, helping to obtain a precedential opinion denying in part Sony's motion to dismiss plaintiffs' claims involving the breach of Sony's gaming network, leading to a \$15 million settlement.
- ***Tobacco Litigation.*** Robbins Geller attorneys have led the fight against Big Tobacco since 1991. As an example, Robbins Geller attorneys filed the case that helped get rid of Joe Camel, representing various public and private plaintiffs, including the State of Arkansas, the general public in California, the cities of San Francisco, Los Angeles, and Birmingham, 14 counties in California, and the working men and women of this country in the Union Pension and Welfare Fund cases that have been filed in 40 states. In 1992, Robbins Geller attorneys filed the first case in the country that alleged a conspiracy by the Big Tobacco companies.

- ***Garment Workers Sweatshop Litigation.*** Robbins Geller attorneys represented a class of 30,000 garment workers who alleged that they had worked under sweatshop conditions in garment factories in Saipan that produced clothing for top U.S. retailers such as The Gap, Target, and J.C. Penney. In the first action of its kind, Robbins Geller attorneys pursued claims against the factories and the retailers alleging violations of RICO, the Alien Tort Claims Act, and the Law of Nations based on the alleged systemic labor and human rights abuses occurring in Saipan. This case was a companion to two other actions, one which alleged overtime violations by the garment factories under the Fair Labor Standards Act and local labor law, and another which alleged violations of California's Unfair Practices Law by the U.S. retailers. These actions resulted in a settlement of approximately \$20 million that included a comprehensive monitoring program to address past violations by the factories and prevent future ones. The members of the litigation team were honored as Trial Lawyers of the Year by the Trial Lawyers for Public Justice in recognition of the team's efforts at bringing about the precedent-setting settlement of the actions.
- ***In re Intel Corp. CPU Mktg., Sales Pracs. & Prods. Liab. Litig.*** Robbins Geller serves on the Plaintiffs' Steering Committee in *Intel*, a massive multidistrict litigation pending in the United States District Court for the District of Oregon. *Intel* concerns serious security vulnerabilities – known as “Spectre” and “Meltdown” – that infect nearly all of Intel's x86 processors manufactured and sold since 1995, the patching of which results in processing speed degradation of the impacted computer, server or mobile device.
- ***West Telemarketing Case.*** Robbins Geller attorneys secured a \$39 million settlement for class members caught up in a telemarketing scheme where consumers were charged for an unwanted membership program after purchasing Tae-Bo exercise videos. Under the settlement, consumers were entitled to claim between one and one-half to three times the amount of all fees they unknowingly paid.
- ***Dannon Activia®.*** Robbins Geller attorneys secured the largest ever settlement for a false advertising case involving a food product. The case alleged that Dannon's advertising for its Activia® and DanActive® branded products and their benefits from “probiotic” bacteria were overstated. As part of the nationwide settlement, Dannon agreed to modify its advertising and establish a fund of up to \$45 million to compensate consumers for their purchases of Activia® and DanActive®.
- ***Mattel Lead Paint Toys.*** In 2006-2007, toy manufacturing giant Mattel and its subsidiary Fisher-Price announced the recall of over 14 million toys made in China due to hazardous lead and dangerous magnets. Robbins Geller attorneys filed lawsuits on behalf of millions of parents and other consumers who purchased or received toys for children that were marketed as safe but were later recalled because they were dangerous. The Firm's attorneys reached a landmark settlement for millions of dollars in refunds and lead testing reimbursements, as well as important testing requirements to ensure that Mattel's toys are safe for consumers in the future.
- ***Tenet Healthcare Cases.*** Robbins Geller attorneys were co-lead counsel in a class action alleging a fraudulent scheme of corporate misconduct, resulting in the overcharging of uninsured patients by the Tenet chain of hospitals. The Firm's attorneys represented uninsured patients of Tenet hospitals nationwide who were overcharged by Tenet's admittedly “aggressive pricing strategy,” which resulted in price gouging of the uninsured. The case was settled with Tenet changing its practices and making refunds to patients.
- ***Pet Food Products Liability Litigation.*** Robbins Geller served as co-lead counsel in this massive,

100+ case products liability MDL in the District of New Jersey concerning the death of and injury to thousands of the nation's cats and dogs due to tainted pet food. The case settled for \$24 million.

Human Rights, Labor Practices, and Public Policy

Robbins Geller attorneys have a long tradition of representing the victims of unfair labor practices and violations of human rights. These include:

- ***Does I v. The Gap, Inc.***, No. 01 0031 (D. N. Mar. I.). In this groundbreaking case, Robbins Geller attorneys represented a class of 30,000 garment workers who alleged that they had worked under sweatshop conditions in garment factories in Saipan that produced clothing for top U.S. retailers such as The Gap, Target, and J.C. Penney. In the first action of its kind, Robbins Geller attorneys pursued claims against the factories and the retailers alleging violations of RICO, the Alien Tort Claims Act, and the Law of Nations based on the alleged systemic labor and human rights abuses occurring in Saipan. This case was a companion to two other actions: ***Does I v. Advance Textile Corp.***, No. 99 0002 (D. N. Mar. I.), which alleged overtime violations by the garment factories under the Fair Labor Standards Act and local labor law, and ***UNITE v. The Gap, Inc.***, No. 300474 (Cal. Super. Ct., San Francisco Cty.), which alleged violations of California's Unfair Practices Law by the U.S. retailers. These actions resulted in a settlement of approximately \$20 million that included a comprehensive monitoring program to address past violations by the factories and prevent future ones. The members of the litigation team were honored as Trial Lawyers of the Year by the Trial Lawyers for Public Justice in recognition of the team's efforts at bringing about the precedent-setting settlement of the actions.
- ***Liberty Mutual Overtime Cases***, No. JCCP 4234 (Cal. Super. Ct., Los Angeles Cnty.). Robbins Geller attorneys served as co-lead counsel on behalf of 1,600 current and former insurance claims adjusters at Liberty Mutual Insurance Company and several of its subsidiaries. Plaintiffs brought the case to recover unpaid overtime compensation and associated penalties, alleging that Liberty Mutual had misclassified its claims adjusters as exempt from overtime under California law. After 13 years of complex and exhaustive litigation, Robbins Geller secured a settlement in which Liberty Mutual agreed to pay \$65 million into a fund to compensate the class of claims adjusters for unpaid overtime. The Liberty Mutual action is one of a few claims adjuster overtime actions brought in California or elsewhere to result in a successful outcome for plaintiffs since 2004.
- ***Veliz v. Cintas Corp.***, No. 5:03-cv-01180 (N.D. Cal.). Brought against one of the nation's largest commercial laundries for violations of the Fair Labor Standards Act for misclassifying truck drivers as salesmen to avoid payment of overtime.
- ***Kasky v. Nike, Inc.***, 27 Cal. 4th 939 (2002). The California Supreme Court upheld claims that an apparel manufacturer misled the public regarding its exploitative labor practices, thereby violating California statutes prohibiting unfair competition and false advertising. The court rejected defense contentions that any misconduct was protected by the First Amendment, finding the heightened constitutional protection afforded to noncommercial speech inappropriate in such a circumstance.

Shareholder derivative litigation brought by Robbins Geller attorneys at times also involves stopping anti-union activities, including:

- ***Southern Pacific/Overnite***. A shareholder action stemming from several hundred million dollars in loss of value in the company due to systematic violations by Overnite of U.S. labor laws.
- ***Massey Energy***. A shareholder action against an anti-union employer for flagrant violations of environmental laws resulting in multi-million-dollar penalties.
- ***Crown Petroleum***. A shareholder action against a Texas-based oil company for self-dealing and breach of fiduciary duty while also involved in a union lockout.

Environment and Public Health

Robbins Geller attorneys have also represented plaintiffs in class actions related to environmental law. The Firm's attorneys represented, on a *pro bono* basis, the Sierra Club and the National Economic Development and Law Center as *amici curiae* in a federal suit designed to uphold the federal and state use of project labor agreements ("PLAs"). The suit represented a legal challenge to President Bush's Executive Order 13202, which prohibits the use of project labor agreements on construction projects receiving federal funds. Our *amici* brief in the matter outlined and stressed the significant environmental and socio-economic benefits associated with the use of PLAs on large-scale construction projects.

Attorneys with Robbins Geller have been involved in several other significant environmental cases, including:

- ***Public Citizen v. U.S. D.O.T.*** Robbins Geller attorneys represented a coalition of labor, environmental, industry, and public health organizations including Public Citizen, The International Brotherhood of Teamsters, California AFL-CIO, and California Trucking Industry in a challenge to a decision by the Bush administration to lift a Congressionally-imposed "moratorium" on cross-border trucking from Mexico on the basis that such trucks do not conform to emission controls under the Clean Air Act, and further, that the administration did not first complete a comprehensive environmental impact analysis as required by the National Environmental Policy Act. The suit was dismissed by the United States Supreme Court, the court holding that because the D.O.T. lacked discretion to prevent crossborder trucking, an environmental assessment was not required.
- ***Sierra Club v. AK Steel***. Brought on behalf of the Sierra Club for massive emissions of air and water pollution by a steel mill, including homes of workers living in the adjacent communities, in violation of the Federal Clean Air Act, the Resource Conservation Recovery Act, and the Clean Water Act.
- ***MTBE Litigation***. Brought on behalf of various water districts for befouling public drinking water with MTBE, a gasoline additive linked to cancer.
- ***Exxon Valdez***. Brought on behalf of fisherman and Alaska residents for billions of dollars in damages resulting from the greatest oil spill in U.S. history.
- ***Avila Beach***. A citizens' suit against UNOCAL for leakage from the oil company pipeline so severe it literally destroyed the town of Avila Beach, California.

Federal laws such as the Clean Water Act, the Clean Air Act, and the Resource Conservation and Recovery Act and state laws such as California's Proposition 65 exist to protect the environment and the public from abuses by corporate and government organizations. Companies can be found liable for negligence, trespass, or intentional environmental damage, be forced to pay for reparations, and to come into

compliance with existing laws. Prominent cases litigated by Robbins Geller attorneys include representing more than 4,000 individuals suing for personal injury and property damage related to the Stringfellow Dump Site in Southern California, participation in the Exxon Valdez oil spill litigation, and litigation involving the toxic spill arising from a Southern Pacific train derailment near Dunsmuir, California.

Robbins Geller attorneys have led the fight against Big Tobacco since 1991. As an example, Robbins Geller attorneys filed the case that helped get rid of Joe Camel, representing various public and private plaintiffs, including the State of Arkansas, the general public in California, the cities of San Francisco, Los Angeles, and Birmingham, 14 counties in California, and the working men and women of this country in the Union Pension and Welfare Fund cases that have been filed in 40 states. In 1992, Robbins Geller attorneys filed the first case in the country that alleged a conspiracy by the Big Tobacco companies.

Pro Bono

Robbins Geller provides counsel to those unable to afford legal representation as part of a continuous and longstanding commitment to the communities in which it serves. Over the years the Firm has dedicated a considerable amount of time, energy, and a full range of its resources for many *pro bono* and charitable actions.

Robbins Geller has been honored for its *pro bono* efforts by the California State Bar (including a nomination for the President's Pro Bono Law Firm of the Year award) and the San Diego Volunteer Lawyer's Program, among others.

Some of the Firm's and its attorneys' *pro bono* and charitable actions include:

- Representing public school children and parents in Tennessee challenging the state's private school voucher law, known as the Education Savings Account (ESA) Pilot Program. Robbins Geller helped achieve favorable rulings enjoining implementation of the ESA for violating the Home Rule provision of the Tennessee Constitution, which prohibits the General Assembly from passing laws that target specific counties without local approval.
- Representing California bus passengers *pro bono* in a landmark consumer and civil rights case against Greyhound for subjecting them to discriminatory immigration raids. Robbins Geller achieved a watershed court ruling that a private company may be held liable under California law for allowing border patrol to harass and racially profile its customers. The case heralds that Greyhound passengers do not check their rights and dignity at the bus door and has had an immediate impact, not only in California but nationwide. Within weeks of Robbins Geller filing the case, Greyhound added "know your rights" information to passengers to its website and on posters in bus stations around the country, along with adopting other business reforms.
- Working with the Homeless Action Center (HAC) to provide no-cost, barrier-free, culturally competent legal representation that makes it possible for people who are homeless (or at risk of becoming homeless) to access social safety net programs that help restore dignity and provide sustainable income, healthcare, mental health treatment, and housing. Based in Oakland and Berkeley, the non-profit is the only program in the Bay Area that specializes in legal services to those who are chronically homeless. In 2016, HAC provided assistance to 1,403 men and 936 women, and 1,691 cases were completed. An additional 1,357 cases were still pending when the year ended. The results include 512 completed SSI cases with a success rate of 87%.

- Representing Trump University students in two class actions against President Donald J. Trump. The historic settlement provides \$25 million to approximately 7,000 consumers. This means individual class members are eligible for upwards of \$35,000 in restitution – an extraordinary result.
- Representing children diagnosed with Autism Spectrum Disorder, as well as children with significant disabilities, in New York to remedy flawed educational policies and practices that cause substantial harm to these and other similar children year after year.
- Representing 19 San Diego County children diagnosed with Autism Spectrum Disorder in their appeal of the San Diego Regional Center’s termination of funding for a crucial therapy. The victory resulted in a complete reinstatement of funding and set a precedent that allows other children to obtain the treatments they need.
- Serving as Northern California and Hawaii District Coordinator for the United States Court of Appeals for the Ninth Circuit’s Pro Bono program since 1993.
- Representing the Sierra Club and the National Economic Development and Law Center as *amici curiae* before the U.S. Supreme Court.
- Obtaining political asylum, after an initial application had been denied, for an impoverished Somali family whose ethnic minority faced systematic persecution and genocidal violence in Somalia, as well as forced female mutilation.
- Working with the ACLU in a class action filed on behalf of welfare applicants subject to San Diego County’s “Project 100%” program. Relief was had when the County admitted that food-stamp eligibility could not hinge upon the Project 100% “home visits,” and again when the district court ruled that unconsented “collateral contacts” violated state regulations. The decision was noted by the *Harvard Law Review*, *The New York Times*, and *The Colbert Report*.
- Filing numerous *amicus curiae* briefs on behalf of religious organizations and clergy that support civil rights, oppose government-backed religious-viewpoint discrimination, and uphold the American traditions of religious freedom and church-state separation.
- Serving as *amicus* counsel in a Ninth Circuit appeal from a Board of Immigration Appeals deportation decision. In addition to obtaining a reversal of the BIA’s deportation order, the Firm consulted with the Federal Defenders’ Office on cases presenting similar fact patterns, which resulted in a precedent-setting *en banc* decision from the Ninth Circuit resolving a question of state and federal law that had been contested and conflicted for decades.

PROMINENT CASES, PRECEDENT-SETTING DECISIONS, AND JUDICIAL COMMENDATIONS

Prominent Cases

Over the years, Robbins Geller attorneys have obtained outstanding results in some of the most notorious and well-known cases, frequently earning judicial commendations for the quality of their representation.

- *In re Enron Corp. Sec. Litig.*, No. H-01-3624 (S.D. Tex.). Investors lost billions of dollars as a result of the massive fraud at Enron. In appointing Robbins Geller lawyers as sole lead counsel to represent the interests of Enron investors, the court found that the Firm’s zealous prosecution and level of “insight” set it apart from its peers. Robbins Geller attorneys and lead plaintiff The Regents of the University of California aggressively pursued numerous defendants, including many of Wall Street’s biggest banks, and successfully obtained settlements in excess of **\$7.2 billion** for the benefit of investors. *This is the largest securities class action recovery in history.*

The court overseeing this action had utmost praise for Robbins Geller’s efforts and stated that “[t]he experience, ability, and reputation of the attorneys of [Robbins Geller] is not disputed; it is one of the most successful law firms in securities class actions, if not the preeminent one, in the country.” *In re Enron Corp. Sec., Derivative & “ERISA” Litig.*, 586 F. Supp. 2d 732, 797 (S.D. Tex. 2008).

The court further commented: “[I]n the face of extraordinary obstacles, the skills, expertise, commitment, and tenacity of [Robbins Geller] in this litigation cannot be overstated. Not to be overlooked are the unparalleled results, . . . which demonstrate counsel’s clearly superlative litigating and negotiating skills.” *Id.* at 789.

The court stated that the Firm’s attorneys “are to be commended for their zealousness, their diligence, their perseverance, their creativity, the enormous breadth and depth of their investigations and analysis, and their expertise in all areas of securities law on behalf of the proposed class.” *Id.*

In addition, the court noted, “This Court considers [Robbins Geller] ‘a lion’ at the securities bar on the national level,” noting that the Lead Plaintiff selected Robbins Geller because of the Firm’s “outstanding reputation, experience, and success in securities litigation nationwide.” *Id.* at 790.

The court further stated that “Lead Counsel’s fearsome reputation and successful track record undoubtedly were substantial factors in . . . obtaining these recoveries.” *Id.*

Finally, Judge Harmon stated: “As this Court has explained [this is] an extraordinary group of attorneys who achieved the largest settlement fund ever despite the great odds against them.” *Id.* at 828.

- *Jaffe v. Household Int’l, Inc.*, No. 02-C-05893 (N.D. Ill). As sole lead counsel, Robbins Geller obtained a record-breaking settlement of **\$1.575 billion** after 14 years of litigation, including a six-week jury trial in 2009 that resulted in a securities fraud verdict in favor of the class. In 2015, the Seventh Circuit Court of Appeals upheld the jury’s verdict that defendants made false or misleading statements of material fact about the company’s business practices and financial results, but remanded the case for a new trial on the issue of whether the individual defendants “made” certain false statements, whether those false statements caused plaintiffs’ losses, and the amount of

damages. The parties reached an agreement to settle the case just hours before the retrial was scheduled to begin on June 6, 2016. *The \$1.575 billion settlement, approved in October 2016, is the largest ever following a securities fraud class action trial, the largest securities fraud settlement in the Seventh Circuit and the eighth-largest settlement ever in a post-PSLRA securities fraud case.* According to published reports, the case was just the seventh securities fraud case tried to a verdict since the passage of the PSLRA.

In approving the settlement, the Honorable Jorge L. Alonso noted the team's "skill and determination" while recognizing that "Lead Counsel prosecuted the case vigorously and skillfully over 14 years against nine of the country's most prominent law firms" and "achieved an exceptionally significant recovery for the class." The court added that the team faced "significant hurdles" and "uphill battles" throughout the case and recognized that "[c]lass counsel performed a very high-quality legal work in the context of a thorny case in which the state of the law has been and is in flux." The court succinctly concluded that the settlement was "a spectacular result for the class." *Jaffe v. Household Int'l, Inc.*, No. 02-C-5892, 2016 U.S. Dist. LEXIS 156921, at *8 (N.D. Ill. Nov. 10, 2016); *Jaffe v. Household Int'l, Inc.*, No. 02-C-05893, Transcript at 56, 65 (N.D. Ill. Oct. 20, 2016).

- ***In re Valeant Pharms. Int'l, Inc. Sec. Litig.***, No. 3:15-cv-07658 (D.N.J.). As sole lead counsel, Robbins Geller attorneys obtained a \$1.2 billion settlement in the securities case that *Vanity Fair* reported as "the corporate scandal of its era" that had raised "fundamental questions about the functioning of our health-care system, the nature of modern markets, and the slippery slope of ethical rationalizations." The settlement resolves claims that defendants made false and misleading statements regarding Valeant's business and financial performance during the class period, attributing Valeant's dramatic growth in revenues and profitability to "innovative new marketing approaches" as part of a business model that was low risk and "durable and sustainable." *Valeant* is the largest securities class action settlement against a pharmaceutical manufacturer and the ninth largest ever.
- ***In re Am. Realty Cap. Props., Inc. Litig.***, No. 1:15-mc-00040 (S.D.N.Y.). As sole lead counsel, Robbins Geller attorneys zealously litigated the case arising out of ARCP's manipulative accounting practices and obtained a \$1.025 billion settlement. For five years, the litigation team prosecuted nine different claims for violations of the Securities Exchange Act of 1934 and Securities Act of 1933, involving seven different stock or debt offerings and two mergers. The recovery represents the highest percentage of damages of any major PSLRA case prior to trial and includes the largest personal contributions by individual defendants in history.

In approving the settlement, the Honorable Alvin K. Hellerstein lauded the Robbins Geller litigation team, noting: "My own observation is that plaintiffs' representation is adequate and that the role of lead counsel was fulfilled in an extremely fine fashion by [Robbins Geller]. At every juncture, the representations made to me were reliable, the arguments were cogent, and the representation of their client was zealous."

- ***In re UnitedHealth Grp. Inc. PSLRA Litig.***, No. 06-CV-1691 (D. Minn.). In the *UnitedHealth* case, Robbins Geller represented the California Public Employees' Retirement System ("CalPERS") and demonstrated its willingness to vigorously advocate for its institutional clients, even under the most difficult circumstances. For example, in 2006, the issue of high-level executives backdating stock options made national headlines. During that time, many law firms, including Robbins Geller, brought shareholder derivative lawsuits against the companies' boards of directors for breaches of their fiduciary duties or for improperly granting backdated options. Rather than pursuing a shareholder derivative case, the Firm filed a securities fraud class action against the company on behalf of CalPERS. In doing so, Robbins Geller faced significant and unprecedented legal

obstacles with respect to loss causation, *i.e.*, that defendants' actions were responsible for causing the stock losses. Despite these legal hurdles, Robbins Geller obtained an \$895 million recovery on behalf of the UnitedHealth shareholders. Shortly after reaching the \$895 million settlement with UnitedHealth, the remaining corporate defendants, including former CEO William A. McGuire, also settled. McGuire paid \$30 million and returned stock options representing more than three million shares to the shareholders. The total recovery for the class was over \$925 million, the largest stock option backdating recovery ever, and **a recovery that is more than four times larger than the next largest options backdating recovery**. Moreover, Robbins Geller obtained unprecedented corporate governance reforms, including election of a shareholder-nominated member to the company's board of directors, a mandatory holding period for shares acquired by executives via option exercise, and executive compensation reforms that tie pay to performance.

- ***Alaska Elec. Pension Fund v. CitiGroup, Inc. (In re WorldCom Sec. Litig.)***, No. 03 Civ. 8269 (S.D.N.Y.). Robbins Geller attorneys represented more than 50 private and public institutions that opted out of the class action case and sued WorldCom's bankers, officers and directors, and auditors in courts around the country for losses related to WorldCom bond offerings from 1998 to 2001. The Firm's clients included major public institutions from across the country such as CalPERS, CalSTRS, the state pension funds of Maine, Illinois, New Mexico, and West Virginia, union pension funds, and private entities such as AIG and Northwestern Mutual. Robbins Geller attorneys recovered more than \$650 million for their clients, substantially more than they would have recovered as part of the class.
- ***Luther v. Countrywide Fin. Corp.***, No. 12-cv-05125 (C.D. Cal.). Robbins Geller attorneys secured a \$500 million settlement for institutional and individual investors in what is the largest RMBS purchaser class action settlement in history, and one of the largest class action securities settlements of all time. The unprecedented settlement resolves claims against Countrywide and Wall Street banks that issued the securities. The action was the first securities class action case filed against originators and Wall Street banks as a result of the credit crisis. As co-lead counsel Robbins Geller forged through six years of hard-fought litigation, oftentimes litigating issues of first impression, in order to secure the landmark settlement for its clients and the class.

In approving the settlement, Judge Mariana R. Pfaelzer repeatedly complimented plaintiffs' attorneys, noting that it was "beyond serious dispute that Class Counsel has vigorously prosecuted the Settlement Actions on both the state and federal level over the last six years." Judge Pfaelzer also commented that "[w]ithout a settlement, these cases would continue indefinitely, resulting in significant risks to recovery and continued litigation costs. It is difficult to understate the risks to recovery if litigation had continued." *Me. State Ret. Sys. v. Countrywide Fin. Corp.*, No. 2:10-CV-00302, 2013 U.S. Dist. LEXIS 179190, at *44, *56 (C.D. Cal. Dec. 5, 2013).

Judge Pfaelzer further noted that the proposed \$500 million settlement represents one of the "largest MBS class action settlements to date. Indeed, this settlement easily surpasses the next largest . . . MBS settlement." *Id.* at *59.

- ***In re Wachovia Preferred Sec. & Bond/Notes Litig.***, No. 09-cv-06351 (S.D.N.Y.). In litigation over bonds and preferred securities, issued by Wachovia between 2006 and 2008, Robbins Geller and co-counsel obtained a significant settlement with Wachovia successor Wells Fargo & Company (\$590 million) and Wachovia auditor KPMG LLP (\$37 million). ***The total settlement – \$627 million – is one of the largest credit-crisis settlements involving Securities Act claims and one of the 20 largest securities class action recoveries in history.*** The settlement is also one of the biggest securities class action recoveries arising from the credit crisis.

As alleged in the complaint, the offering materials for the bonds and preferred securities misstated and failed to disclose the true nature and quality of Wachovia's mortgage loan portfolio, which exposed the bank and misled investors to tens of billions of dollars in losses on mortgage-related assets. In reality, Wachovia employed high-risk underwriting standards and made loans to subprime borrowers, contrary to the offering materials and their statements of "pristine credit quality." Robbins Geller served as co-lead counsel representing the City of Livonia Employees' Retirement System, Hawaii Sheet Metal Workers Pension Fund, and the investor class.

- ***In re Cardinal Health, Inc. Sec. Litig.***, No. C2-04-575 (S.D. Ohio). As sole lead counsel representing Cardinal Health shareholders, Robbins Geller obtained a recovery of \$600 million for investors. On behalf of the lead plaintiffs, Amalgamated Bank, the New Mexico State Investment Council, and the California Ironworkers Field Trust Fund, the Firm aggressively pursued class claims and won numerous courtroom victories, including a favorable decision on defendants' motion to dismiss. *In re Cardinal Health, Inc. Sec. Litigs.*, 426 F. Supp. 2d 688 (S.D. Ohio 2006). At the time, the \$600 million settlement was the tenth-largest settlement in the history of securities fraud litigation and is the largest-ever recovery in a securities fraud action in the Sixth Circuit. Judge Marbley commented: "[T]his is an extraordinary settlement relative to all the other settlements in cases of this nature and certainly cases of this magnitude. . . . This was an outstanding settlement. . . . [I]n most instances, if you've gotten four cents on the dollar, you've done well. You've gotten twenty cents on the dollar, so that's been extraordinary. *In re Cardinal Health, Inc. Sec. Litig.*, No. 2:04-CV-575, Transcript at 16, 32 (S.D. Ohio Oct. 19, 2007). Judge Marbley further stated:

The quality of representation in this case was superb. Lead Counsel, [Robbins Geller], are nationally recognized leaders in complex securities litigation class actions. The quality of the representation is demonstrated by the substantial benefit achieved for the Class and the efficient, effective prosecution and resolution of this action. Lead Counsel defeated a volley of motions to dismiss, thwarting well-formed challenges from prominent and capable attorneys from six different law firms.

In re Cardinal Health Inc. Sec. Litigs., 528 F. Supp. 2d 752, 768 (S.D. Ohio 2007).

- ***AOL Time Warner Cases I & II***, JCCP Nos. 4322 & 4325 (Cal. Super. Ct., Los Angeles Cnty.). Robbins Geller represented The Regents of the University of California, six Ohio state pension funds, Rabo Bank (NL), the Scottish Widows Investment Partnership, several Australian public and private funds, insurance companies, and numerous additional institutional investors, both domestic and international, in state and federal court opt-out litigation stemming from Time Warner's disastrous 2001 merger with Internet high flier America Online. Robbins Geller attorneys exposed a massive and sophisticated accounting fraud involving America Online's e-commerce and advertising revenue. After almost four years of litigation involving extensive discovery, the Firm secured combined settlements for its opt-out clients totaling over \$629 million just weeks before The Regents' case pending in California state court was scheduled to go to trial. The Regents' gross recovery of \$246 million is the largest individual opt-out securities recovery in history.

- ***Abu Dhabi Commercial Bank v. Morgan Stanley & Co.***, No. 1:08-cv-07508-SAS-DCF (S.D.N.Y.), and ***King County, Washington v. IKB Deutsche Industriebank AG***, No. 1:09-cv-08387-SAS (S.D.N.Y.). The Firm represented multiple institutional investors in successfully pursuing recoveries from two failed structured investment vehicles, each of which had been rated “AAA” by Standard & Poors and Moody’s, but which failed fantastically in 2007. The matter settled just prior to trial in 2013. This result was only made possible after Robbins Geller lawyers beat back the rating agencies’ longtime argument that ratings were opinions protected by the First Amendment.
- ***In re HealthSouth Corp. Sec. Litig.***, No. CV-03-BE-1500-S (N.D. Ala.). As court-appointed co-lead counsel, Robbins Geller attorneys obtained a combined recovery of \$671 million from HealthSouth, its auditor Ernst & Young, and its investment banker, UBS, for the benefit of stockholder plaintiffs. The settlement against HealthSouth represents one of the larger settlements in securities class action history and is considered among the top 15 settlements achieved after passage of the PSLRA. Likewise, the settlement against Ernst & Young is one of the largest securities class action settlements entered into by an accounting firm since the passage of the PSLRA. HealthSouth and its financial advisors perpetrated one of the largest and most pervasive frauds in the history of U.S. healthcare, prompting Congressional and law enforcement inquiry and resulting in guilty pleas of 16 former HealthSouth executives in related federal criminal prosecutions. In March 2009, Judge Karon Bowdre commented in the *HealthSouth* class certification opinion: “The court has had many opportunities since November 2001 to examine the work of class counsel and the supervision by the Class Representatives. The court finds both to be far more than adequate.” *In re HealthSouth Corp. Sec. Litig.*, 257 F.R.D. 260, 275 (N.D. Ala. 2009).
- ***In re Facebook Biometric Info. Privacy Litig.***, No. 3:15-cv-03747 (N.D. Cal.). Robbins Geller served as co-lead class counsel in a cutting-edge certified class action, securing a record-breaking \$650 million all-cash settlement, the largest privacy settlement in history. The case concerned Facebook’s alleged privacy violations through its collection of its users’ biometric identifiers without informed consent through its “Tag Suggestions” feature, which uses proprietary facial recognition software to extract from user-uploaded photographs the unique biometric identifiers (*i.e.*, graphical representations of facial features, also known as facial geometry) associated with people’s faces and identify who they are. The Honorable James Donato called the settlement “a groundbreaking settlement in a novel area” and praised the unprecedented 22% claims rate as “pretty phenomenal” and “a pretty good day in class settlement history.”
- ***In re Dynegy Inc. Sec. Litig.***, No. H-02-1571 (S.D. Tex.). As sole lead counsel representing The Regents of the University of California and the class of Dynegy investors, Robbins Geller attorneys obtained a combined settlement of \$474 million from Dynegy, Citigroup, Inc., and Arthur Andersen LLP for their involvement in a clandestine financing scheme known as Project Alpha. Given Dynegy’s limited ability to pay, Robbins Geller attorneys structured a settlement (reached shortly before the commencement of trial) that maximized plaintiffs’ recovery without bankrupting the company. Most notably, the settlement agreement provides that Dynegy will appoint two board members to be nominated by The Regents, which Robbins Geller and The Regents believe will benefit all of Dynegy’s stockholders.
- ***Jones v. Pfizer Inc.***, No. 1:10-cv-03864 (S.D.N.Y.). Lead plaintiff Stichting Philips Pensioenfonds obtained a \$400 million settlement on behalf of class members who purchased Pfizer common stock during the January 19, 2006 to January 23, 2009 class period. The settlement against Pfizer resolves accusations that it misled investors about an alleged off-label drug marketing scheme. As sole lead counsel, Robbins Geller attorneys helped achieve this exceptional result after five years of hard-fought litigation against the toughest and the brightest members of the securities defense bar by litigating this case all the way to trial.

In approving the settlement, United States District Judge Alvin K. Hellerstein commended the Firm, noting that “[w]ithout the quality and the toughness that you have exhibited, our society would not be as good as it is with all its problems. So from me to you is a vote of thanks for devoting yourself to this work and doing it well. . . . You did a really good job. Congratulations.”

- ***In re Qwest Commc’ns Int’l, Inc. Sec. Litig.***, No. 01-cv-1451 (D. Colo.). Robbins Geller attorneys served as lead counsel for a class of investors that purchased Qwest securities. In July 2001, the Firm filed the initial complaint in this action on behalf of its clients, long before any investigation into Qwest’s financial statements was initiated by the SEC or Department of Justice. After five years of litigation, lead plaintiffs entered into a settlement with Qwest and certain individual defendants that provided a \$400 million recovery for the class and created a mechanism that allowed the vast majority of class members to share in an additional \$250 million recovered by the SEC. In 2008, Robbins Geller attorneys recovered an additional \$45 million for the class in a settlement with defendants Joseph P. Nacchio and Robert S. Woodruff, the CEO and CFO, respectively, of Qwest during large portions of the class period.
- ***Fort Worth Emps.’ Ret. Fund v. J.P. Morgan Chase & Co.***, No. 1:09-cv-03701 (S.D.N.Y.). Robbins Geller attorneys served as lead counsel for a class of investors and obtained court approval of a \$388 million recovery in nine 2007 residential mortgage-backed securities offerings issued by J.P. Morgan. The settlement represents, on a percentage basis, the largest recovery ever achieved in an MBS purchaser class action. The result was achieved after more than five years of hard-fought litigation and an extensive investigation. In granting approval of the settlement, the court stated the following about Robbins Geller attorneys litigating the case: “[T]here is no question in my mind that this is a very good result for the class and that the plaintiffs’ counsel fought the case very hard with extensive discovery, a lot of depositions, several rounds of briefing of various legal issues going all the way through class certification.”
- ***Smilovits v. First Solar, Inc.***, No. 2:12-cv-00555 (D. Ariz.). As sole lead counsel, Robbins Geller obtained a \$350 million settlement in *Smilovits v. First Solar, Inc.* The settlement, which was reached after a long legal battle and on the day before jury selection, resolves claims that First Solar violated §§10(b) and 20(a) of the Securities Exchange Act of 1934 and SEC Rule 10b-5. The settlement is the fifth-largest PSLRA settlement ever recovered in the Ninth Circuit.
- ***NECA-IBEW Health & Welfare Fund v. Goldman Sachs & Co.***, No. 1:08-cv-10783 (S.D.N.Y.). As sole lead counsel, Robbins Geller obtained a \$272 million settlement on behalf of Goldman Sachs’ shareholders. The settlement concludes one of the last remaining mortgage-backed securities purchaser class actions arising out of the global financial crisis. The remarkable result was achieved following seven years of extensive litigation. After the claims were dismissed in 2010, Robbins Geller secured a landmark victory from the Second Circuit Court of Appeals that clarified the scope of permissible class actions asserting claims under the Securities Act of 1933 on behalf of MBS investors. Specifically, the Second Circuit’s decision rejected the concept of “tranche” standing and concluded that a lead plaintiff in an MBS class action has class standing to pursue claims on behalf of purchasers of other securities that were issued from the same registration statement and backed by pools of mortgages originated by the same lenders who had originated mortgages backing the lead plaintiff’s securities.

In approving the settlement, the Honorable Loretta A. Preska of the Southern District of New York complimented Robbins Geller attorneys, noting:

Counsel, thank you for your papers. They were, by the way, extraordinary

papers in support of the settlement, and I will particularly note Professor Miller's declaration in which he details the procedural aspects of the case and then speaks of plaintiffs' counsel's success in the Second Circuit essentially changing the law.

I will also note what counsel have said, and that is that this case illustrates the proper functioning of the statute.

* * *

Counsel, you can all be proud of what you've done for your clients. You've done an extraordinarily good job.

NECA-IBEW Health & Welfare Fund v. Goldman Sachs & Co., No. 1:08-cv-10783, Transcript at 10-11 (S.D.N.Y. May 2, 2016).

- ***Schuh v. HCA Holdings, Inc.***, No. 3:11-cv-01033 (M.D. Tenn.). As sole lead counsel, Robbins Geller obtained a groundbreaking \$215 million settlement for former HCA Holdings, Inc. shareholders – the largest securities class action recovery ever in Tennessee. Reached shortly before trial was scheduled to commence, the settlement resolves claims that the Registration Statement and Prospectus HCA filed in connection with the company's massive \$4.3 billion 2011 IPO contained material misstatements and omissions. The recovery achieved represents more than 30% of the aggregate classwide damages, far exceeding the typical recovery in a securities class action. At the hearing on final approval of the settlement, the Honorable Kevin H. Sharp described Robbins Geller attorneys as “gladiators” and commented: “Looking at the benefit obtained, the effort that you had to put into it, [and] the complexity in this case . . . I appreciate the work that you all have done on this.” *Schuh v. HCA Holdings, Inc.*, No. 3:11-CV-01033, Transcript at 12-13 (M.D. Tenn. Apr. 11, 2016).
- ***Silverman v. Motorola, Inc.***, No. 1:07-cv-04507 (N.D. Ill.). The Firm served as lead counsel on behalf of a class of investors in Motorola, ultimately recovering \$200 million for investors just two months before the case was set for trial. This outstanding result was obtained despite the lack of an SEC investigation or any financial restatement. In May 2012, the Honorable Amy J. St. Eve of the Northern District of Illinois commented: “The representation that [Robbins Geller] provided to the class was significant, both in terms of quality and quantity.” *Silverman v. Motorola, Inc.*, No. 07 C 4507, 2012 U.S. Dist. LEXIS 63477, at *11 (N.D. Ill. May 7, 2012), *aff'd*, 739 F.3d 956 (7th Cir. 2013).

In affirming the district court's award of attorneys' fees, the Seventh Circuit noted that “no other law firm was willing to serve as lead counsel. Lack of competition not only implies a higher fee but also suggests that most members of the securities bar saw this litigation as too risky for their practices.” *Silverman v. Motorola Sols., Inc.*, 739 F.3d 956, 958 (7th Cir. 2013).

- ***In re AT&T Corp. Sec. Litig.***, MDL No. 1399 (D.N.J.). Robbins Geller attorneys served as lead counsel for a class of investors that purchased AT&T common stock. The case charged defendants AT&T and its former Chairman and CEO, C. Michael Armstrong, with violations of the federal securities laws in connection with AT&T's April 2000 initial public offering of its wireless tracking stock, one of the largest IPOs in American history. After two weeks of trial, and on the eve of scheduled testimony by Armstrong and infamous telecom analyst Jack Grubman, defendants agreed to settle the case for \$100 million. In granting approval of the settlement, the court stated the following about the Robbins Geller attorneys handling the case:

Lead Counsel are highly skilled attorneys with great experience in prosecuting complex securities action[s], and their professionalism and diligence displayed during [this] litigation substantiates this characterization. The Court notes that Lead Counsel displayed excellent lawyering skills through their consistent preparedness during court proceedings, arguments and the trial, and their well-written and thoroughly researched submissions to the Court. Undoubtedly, the attentive and persistent effort of Lead Counsel was integral in achieving the excellent result for the Class.

In re AT&T Corp. Sec. Litig., MDL No. 1399, 2005 U.S. Dist. LEXIS 46144, at *28-*29 (D.N.J. Apr. 25, 2005), *aff'd*, 455 F.3d 160 (3d Cir. 2006).

- ***In re Dollar Gen. Corp. Sec. Litig.***, No. 01-CV-00388 (M.D. Tenn.). Robbins Geller attorneys served as lead counsel in this case in which the Firm recovered \$172.5 million for investors. The *Dollar General* settlement was the largest shareholder class action recovery ever in Tennessee.
- ***Carpenters Health & Welfare Fund v. Coca-Cola Co.***, No. 00-CV-2838 (N.D. Ga.). As co-lead counsel representing Coca-Cola shareholders, Robbins Geller attorneys obtained a recovery of \$137.5 million after nearly eight years of litigation. Robbins Geller attorneys traveled to three continents to uncover the evidence that ultimately resulted in the settlement of this hard-fought litigation. The case concerned Coca-Cola's shipping of excess concentrate at the end of financial reporting periods for the sole purpose of meeting analyst earnings expectations, as well as the company's failure to properly account for certain impaired foreign bottling assets.
- ***Schwartz v. TXU Corp.***, No. 02-CV-2243 (N.D. Tex.). As co-lead counsel, Robbins Geller attorneys obtained a recovery of over \$149 million for a class of purchasers of TXU securities. The recovery compensated class members for damages they incurred as a result of their purchases of TXU securities at inflated prices. Defendants had inflated the price of these securities by concealing the fact that TXU's operating earnings were declining due to a deteriorating gas pipeline and the failure of the company's European operations.

- *In re Doral Fin. Corp. Sec. Litig.*, 05 MDL No. 1706 (S.D.N.Y.). In July 2007, the Honorable Richard Owen of the Southern District of New York approved the \$129 million settlement, finding in his order:

The services provided by Lead Counsel [Robbins Geller] were efficient and highly successful, resulting in an outstanding recovery for the Class without the substantial expense, risk and delay of continued litigation. Such efficiency and effectiveness supports the requested fee percentage.

Cases brought under the federal securities laws are notably difficult and notoriously uncertain. . . . Despite the novelty and difficulty of the issues raised, Lead Plaintiffs' counsel secured an excellent result for the Class.

. . . Based upon Lead Plaintiff's counsel's diligent efforts on behalf of the Class, as well as their skill and reputations, Lead Plaintiff's counsel were able to negotiate a very favorable result for the Class. . . . The ability of [Robbins Geller] to obtain such a favorable partial settlement for the Class in the face of such formidable opposition confirms the superior quality of their representation

In re Doral Fin. Corp. Sec. Litig., No. 1:05-md-01706, Order at 4-5 (S.D.N.Y. July 17, 2007).

- *In re Exxon Valdez*, No. A89 095 Civ. (D. Alaska), and *In re Exxon Valdez Oil Spill Litig.*, No. 3 AN 89 2533 (Alaska Super. Ct., 3d Jud. Dist.). Robbins Geller attorneys served on the Plaintiffs' Coordinating Committee and Plaintiffs' Law Committee in this massive litigation resulting from the Exxon Valdez oil spill in Alaska in March 1989. The jury awarded hundreds of millions in compensatory damages, as well as \$5 billion in punitive damages (the latter were later reduced by the U.S. Supreme Court to \$507 million).
- *Mangini v. R.J. Reynolds Tobacco Co.*, No. 939359 (Cal. Super. Ct., San Francisco Cnty.). In this case, R.J. Reynolds admitted that "the *Mangini* action, and the way that it was vigorously litigated, was an early, significant and unique driver of the overall legal and social controversy regarding underage smoking that led to the decision to phase out the Joe Camel Campaign."
- *Does I v. The Gap, Inc.*, No. 01 0031 (D. N. Mar. I.). In this groundbreaking case, Robbins Geller attorneys represented a class of 30,000 garment workers who alleged that they had worked under sweatshop conditions in garment factories in Saipan that produced clothing for top U.S. retailers such as The Gap, Target, and J.C. Penney. In the first action of its kind, Robbins Geller attorneys pursued claims against the factories and the retailers alleging violations of RICO, the Alien Tort Claims Act, and the Law of Nations based on the alleged systemic labor and human rights abuses occurring in Saipan. This case was a companion to two other actions: *Does I v. Advance Textile Corp.*, No. 99 0002 (D. N. Mar. I.), which alleged overtime violations by the garment factories under the Fair Labor Standards Act and local labor law, and *UNITE v. The Gap, Inc.*, No. 300474 (Cal. Super. Ct., San Francisco Cnty.), which alleged violations of California's Unfair Practices Law by the U.S. retailers. These actions resulted in a settlement of approximately \$20 million that included a comprehensive monitoring program to address past violations by the factories and prevent future ones. The members of the litigation team were honored as Trial Lawyers of the Year by the Trial Lawyers for Public Justice in recognition of the team's efforts in bringing about the precedent-setting settlement of the actions.
- *Hall v. NCAA (Restricted Earnings Coach Antitrust Litigation)*, No. 94-2392 (D. Kan.). Robbins

Geller attorneys were lead counsel and lead trial counsel for one of three classes of coaches in these consolidated price-fixing actions against the National Collegiate Athletic Association. On May 4, 1998, the jury returned verdicts in favor of the three classes for more than \$70 million.

- ***In re Prison Realty Sec. Litig.***, No. 3:99-0452 (M.D. Tenn.). Robbins Geller attorneys served as lead counsel for the class, obtaining a \$105 million recovery.
- ***In re Honeywell Int'l, Inc. Sec. Litig.***, No. 00-cv-03605 (D.N.J.). Robbins Geller attorneys served as lead counsel for a class of investors that purchased Honeywell common stock. The case charged Honeywell and its top officers with violations of the federal securities laws, alleging the defendants made false public statements concerning Honeywell's merger with Allied Signal, Inc. and that defendants falsified Honeywell's financial statements. After extensive discovery, Robbins Geller attorneys obtained a \$100 million settlement for the class.
- ***Schwartz v. Visa Int'l***, No. 822404-4 (Cal. Super. Ct., Alameda Cnty.). After years of litigation and a six-month trial, Robbins Geller attorneys won one of the largest consumer protection verdicts ever awarded in the United States. Robbins Geller attorneys represented California consumers in an action against Visa and MasterCard for intentionally imposing and concealing a fee from their cardholders. The court ordered Visa and MasterCard to return \$800 million in cardholder losses, which represented 100% of the amount illegally taken, plus 2% interest. In addition, the court ordered full disclosure of the hidden fee.
- ***Thompson v. Metro. Life Ins. Co.***, No. 00-cv-5071 (S.D.N.Y.). Robbins Geller attorneys served as lead counsel and obtained \$145 million for the class in a settlement involving racial discrimination claims in the sale of life insurance.
- ***In re Prudential Ins. Co. of Am. Sales Practs. Litig.***, MDL No. 1061 (D.N.J.). In one of the first cases of its kind, Robbins Geller attorneys obtained a settlement of \$4 billion for deceptive sales practices in connection with the sale of life insurance involving the "vanishing premium" sales scheme.

Precedent-Setting Decisions

Robbins Geller attorneys operate at the vanguard of complex class action of litigation. Our work often changes the legal landscape, resulting in an environment that is more-favorable for obtaining recoveries for our clients.

- ***Stoyas v. Toshiba Corp.***, 896 F.3d 933 (9th Cir. 2018), *cert. denied*, 588 U.S. __ (2019). In July 2018, the Ninth Circuit ruled in plaintiffs' favor in the *Toshiba* securities class action. Following appellate briefing and oral argument by Robbins Geller attorneys, a three-judge Ninth Circuit panel reversed the district court's prior dismissal in a unanimous, 36-page opinion, holding that Toshiba ADRs are a "security" and the Securities Exchange Act of 1934 could apply to those ADRs that were purchased in a domestic transaction. *Id.* at 939, 949. The court adopted the Second and Third Circuits' "irrevocable liability" test for determining whether the transactions were domestic and held that plaintiffs must be allowed to amend their complaint to allege that the purchase of Toshiba ADRs on the over-the-counter market was a domestic purchase and that the alleged fraud was in connection with the purchase.
- ***Cyan, Inc. v. Beaver Cnty. Emps. Ret. Fund***, No. 15-1439 (U.S.). In March 2018, the U.S. Supreme Court ruled in favor of investors represented by Robbins Geller, holding that state courts continue to have jurisdiction over class actions asserting violations of the Securities Act of 1933. The court's ruling secures investors' ability to bring Securities Act actions when companies fail to make full and

fair disclosure of relevant information in offering documents. The court confirmed that the Securities Litigation Uniform Standards Act of 1998 was designed to preclude securities class actions asserting violations of state law – not to preclude securities actions asserting federal law violations brought in state courts.

- ***Mineworkers’ Pension Scheme v. First Solar Inc.***, 881 F.3d 750 (9th Cir. 2018), *cert. denied*, 588 U.S. ___ (2019). In January 2018, the Ninth Circuit upheld the district court’s denial of defendants’ motion for summary judgment, agreeing with plaintiffs that the test for loss causation in the Ninth Circuit is a general “proximate cause test,” and rejecting the more stringent revelation of the fraudulent practices standard advocated by the defendants. The opinion is a significant victory for investors, as it forecloses defendants’ ability to immunize themselves from liability simply by refusing to publicly acknowledge their fraudulent conduct.
- ***In re Quality Sys., Inc. Sec. Litig.***, No. 15-55173 (9th Cir.). In July 2017, Robbins Geller’s Appellate Practice Group scored a significant win in the Ninth Circuit in the *Quality Systems* securities class action. On appeal, a three-judge Ninth Circuit panel unanimously reversed the district court’s prior dismissal of the action against Quality Systems and remanded the case to the district court for further proceedings. The decision addressed an issue of first impression concerning “mixed” future and present-tense misstatements. The appellate panel explained that “non-forward-looking portions of mixed statements are not eligible for the safe harbor provisions of the PSLRA Defendants made a number of mixed statements that included projections of growth in revenue and earnings based on the state of QSI’s sales pipeline.” The panel then held *both* the non-forward-looking and forward-looking statements false and misleading and made with scienter, deeming them actionable. Later, although defendants sought rehearing by the Ninth Circuit sitting *en banc*, the circuit court denied their petition.
- ***Local 703, I.B. of T. Grocery & Food Emps. Welfare Fund v. Regions Fin. Corp.***, No. CV-10-J-2847-S (N.D. Ala.). In the *Regions Financial* securities class action, Robbins Geller represented Local 703, I.B. of T. Grocery and Food Employees Welfare Fund and obtained a \$90 million settlement in September 2015 on behalf of purchasers of Regions Financial common stock during the class period. In August 2014, the Eleventh Circuit Court of Appeals affirmed the district court’s decision to certify a class action based upon alleged misrepresentations about Regions Financial’s financial health before and during the recent economic recession, and in November 2014, the U.S. District Court for the Northern District of Alabama denied defendants’ third attempt to avoid plaintiffs’ motion for class certification.
- ***Omnicare, Inc. v. Laborers Dist. Council Constr. Indus. Pension Fund***, No. 13-435 (U.S.). In March 2015, the U.S. Supreme Court ruled in favor of investors represented by Robbins Geller that investors asserting a claim under §11 of the Securities Act of 1933 with respect to a misleading statement of opinion do not, as defendant Omnicare had contended, have to prove that the statement was subjectively disbelieved when made. Rather, the court held that a statement of opinion may be actionable either because it was not believed, or because it lacked a reasonable basis in fact. This decision is significant in that it resolved a conflict among the federal circuit courts and expressly overruled the Second Circuit’s widely followed, more stringent pleading standard for §11 claims involving statements of opinion. The Supreme Court remanded the case back to the district court for determination under the newly articulated standard. In August of 2016, upon remand, the district court applied the Supreme Court’s new test and denied defendants’ motion to dismiss in full.
- ***NECA-IBEW Health & Welfare Fund v. Goldman Sachs & Co.***, 693 F.3d 145 (2d Cir. 2012). In a

securities fraud action involving mortgage-backed securities, the Second Circuit rejected the concept of “tranche” standing and found that a lead plaintiff has class standing to pursue claims on behalf of purchasers of securities that were backed by pools of mortgages originated by the same lenders who had originated mortgages backing the lead plaintiff’s securities. The court noted that, given those common lenders, the lead plaintiff’s claims as to its purchases implicated “the same set of concerns” that purchasers in several of the other offerings possessed. The court also rejected the notion that the lead plaintiff lacked standing to represent investors in different tranches.

- ***In re VeriFone Holdings, Inc. Sec. Litig.***, 704 F.3d 694 (9th Cir. 2012). The panel reversed in part and affirmed in part the dismissal of investors’ securities fraud class action alleging violations of §§10(b), 20(a), and 20A of the Securities Exchange Act of 1934 and SEC Rule 10b-5 in connection with a restatement of financial results of the company in which the investors had purchased stock.

The panel held that the third amended complaint adequately pleaded the §10(b), §20A, and Rule 10b-5 claims. Considering the allegations of scienter holistically, as the U.S. Supreme Court directed in *Matrixx Initiatives, Inc. v. Siracusano*, 563 U.S 27, 48-49 (2011), the panel concluded that the inference that the defendant company and its chief executive officer and former chief financial officer were deliberately reckless as to the truth of their financial reports and related public statements following a merger was at least as compelling as any opposing inference.

- ***Fox v. JAMDAT Mobile, Inc.***, 185 Cal. App. 4th 1068 (2010). Concluding that Delaware’s shareholder ratification doctrine did not bar the claims, the California Court of Appeal reversed dismissal of a shareholder class action alleging breach of fiduciary duty in a corporate merger.
- ***In re Constar Int’l Inc. Sec. Litig.***, 585 F.3d 774 (3d Cir. 2009). The Third Circuit flatly rejected defense contentions that where relief is sought under §11 of the Securities Act of 1933, which imposes liability when securities are issued pursuant to an incomplete or misleading registration statement, class certification should depend upon findings concerning market efficiency and loss causation.
- ***Matrixx Initiatives, Inc. v. Siracusano***, 563 U.S 27 (2011), *aff’g* 585 F.3d 1167 (9th Cir. 2009). In a securities fraud action involving the defendants’ failure to disclose a possible link between the company’s popular cold remedy and a life-altering side effect observed in some users, the U.S. Supreme Court unanimously affirmed the Ninth Circuit’s (a) rejection of a bright-line “statistical significance” materiality standard, and (b) holding that plaintiffs had successfully pleaded a strong inference of the defendants’ scienter.
- ***Alaska Elec. Pension Fund v. Flowserve Corp.***, 572 F.3d 221 (5th Cir. 2009). Aided by former U.S. Supreme Court Justice O’Connor’s presence on the panel, the Fifth Circuit reversed a district court order denying class certification and also reversed an order granting summary judgment to defendants. The court held that the district court applied an incorrect fact-for-fact standard of loss causation, and that genuine issues of fact on loss causation precluded summary judgment.
- ***In re F5 Networks, Inc., Derivative Litig.***, 207 P.3d 433 (Wash. 2009). In a derivative action alleging unlawful stock option backdating, the Supreme Court of Washington ruled that shareholders need not make a pre-suit demand on the board of directors where this step would be futile, agreeing with plaintiffs that favorable Delaware case law should be followed as persuasive authority.
- ***Lormand v. US Unwired, Inc.***, 565 F.3d 228 (5th Cir. 2009). In a rare win for investors in the Fifth

Circuit, the court reversed an order of dismissal, holding that safe harbor warnings were not meaningful when the facts alleged established a strong inference that defendants knew their forecasts were false. The court also held that plaintiffs sufficiently alleged loss causation.

- ***Institutional Inv'rs Grp. v. Avaya, Inc.***, 564 F.3d 242 (3d Cir. 2009). In a victory for investors in the Third Circuit, the court reversed an order of dismissal, holding that shareholders pled with particularity why the company's repeated denials of price discounts on products were false and misleading when the totality of facts alleged established a strong inference that defendants knew their denials were false.
- ***Alaska Elec. Pension Fund v. Pharmacia Corp.***, 554 F.3d 342 (3d Cir. 2009). The Third Circuit held that claims filed for violation of §10(b) of the Securities Exchange Act of 1934 were timely, adopting investors' argument that because scienter is a critical element of the claims, the time for filing them cannot begin to run until the defendants' fraudulent state of mind should be apparent.
- ***Rael v. Page***, 222 P.3d 678 (N.M. Ct. App. 2009). In this shareholder class and derivative action, Robbins Geller attorneys obtained an appellate decision reversing the trial court's dismissal of the complaint alleging serious director misconduct in connection with the merger of SunCal Companies and Westland Development Co., Inc., a New Mexico company with large and historic landholdings and other assets in the Albuquerque area. The appellate court held that plaintiff's claims for breach of fiduciary duty were direct, not derivative, because they constituted an attack on the validity or fairness of the merger and the conduct of the directors. Although New Mexico law had not addressed this question directly, at the urging of the Firm's attorneys, the court relied on Delaware law for guidance, rejecting the "special injury" test for determining the direct versus derivative inquiry and instead applying more recent Delaware case law.
- ***Lane v. Page***, No. 06-cv-1071 (D.N.M. 2012). In May 2012, while granting final approval of the settlement in the federal component of the Westland cases, Judge Browning in the District of New Mexico commented:

Class Counsel are highly skilled and specialized attorneys who use their substantial experience and expertise to prosecute complex securities class actions. In possibly one of the best known and most prominent recent securities cases, Robbins Geller served as sole lead counsel – *In re Enron Corp. Sec. Litig.*, No. H-01-3624 (S.D. Tex.). See Report at 3. The Court has previously noted that the class would "receive high caliber legal representation" from class counsel, and throughout the course of the litigation the Court has been impressed with the quality of representation on each side. *Lane v. Page*, 250 F.R.D. at 647.

Lane v. Page, 862 F. Supp. 2d 1182, 1253-54 (D.N.M. 2012).

In addition, Judge Browning stated: "Few plaintiffs' law firms could have devoted the kind of time, skill, and financial resources over a five-year period necessary to achieve the pre- and post-Merger benefits obtained for the class here.' . . . [Robbins Geller is] both skilled and experienced, and used those skills and experience for the benefit of the class [Robbins Geller is] both skilled and experienced, and used those skills and experience for the benefit of the class." *Id.* at 1254.

- ***Luther v. Countrywide Home Loans Servicing LP***, 533 F.3d 1031 (9th Cir. 2008). In a case of first impression, the Ninth Circuit held that the Securities Act of 1933's specific non-removal features had not been trumped by the general removal provisions of the Class Action Fairness Act of 2005.

- ***In re Gilead Scis. Sec. Litig.***, 536 F.3d 1049 (9th Cir. 2008). The Ninth Circuit upheld defrauded investors' loss causation theory as plausible, ruling that a limited temporal gap between the time defendants' misrepresentation was publicly revealed and the subsequent decline in stock value was reasonable where the public had not immediately understood the impact of defendants' fraud.
- ***In re WorldCom Sec. Litig.***, 496 F.3d 245 (2d Cir. 2007). The Second Circuit held that the filing of a class action complaint tolls the limitations period for all members of the class, including those who choose to opt out of the class action and file their own individual actions without waiting to see whether the district court certifies a class – reversing the decision below and effectively overruling multiple district court rulings that *American Pipe* tolling did not apply under these circumstances.
- ***In re Merck & Co. Sec., Derivative & ERISA Litig.***, 493 F.3d 393 (3d Cir. 2007). In a shareholder derivative suit appeal, the Third Circuit held that the general rule that discovery may not be used to supplement demand-futility allegations does not apply where the defendants enter a voluntary stipulation to produce materials relevant to demand futility without providing for any limitation as to their use. In April 2007, the Honorable D. Brooks Smith praised Robbins Geller partner Joe Daley's efforts in this litigation:

Thank you very much Mr. Daley and a thank you to all counsel. As Judge Cowen mentioned, this was an exquisitely well-briefed case; it was also an extremely well-argued case, and we thank counsel for their respective jobs here in the matter, which we will take under advisement. Thank you.

In re Merck & Co., Inc. Sec., Derivative & ERISA Litig., No. 06-2911, Transcript at 35:37-36:00 (3d Cir. Apr. 12, 2007).

- ***Alaska Elec. Pension Fund v. Brown***, 941 A.2d 1011 (Del. 2007). The Supreme Court of Delaware held that the Alaska Electrical Pension Fund, for purposes of the “corporate benefit” attorney-fee doctrine, was presumed to have caused a substantial increase in the tender offer price paid in a “going private” buyout transaction. The Court of Chancery originally ruled that Alaska's counsel, Robbins Geller, was not entitled to an award of attorney fees, but Delaware's high court, in its published opinion, reversed and remanded for further proceedings.
- ***Crandon Cap. Partners v. Shelk***, 157 P.3d 176 (Or. 2007). Oregon's Supreme Court ruled that a shareholder plaintiff in a derivative action may still seek attorney fees even if the defendants took actions to moot the underlying claims. The Firm's attorneys convinced Oregon's highest court to take the case, and reverse, despite the contrary position articulated by both the trial court and the Oregon Court of Appeals.
- ***In re Qwest Commc'ns Int'l***, 450 F.3d 1179 (10th Cir. 2006). In a case of first impression, the Tenth Circuit held that a corporation's deliberate release of purportedly privileged materials to governmental agencies was not a “selective waiver” of the privileges such that the corporation could refuse to produce the same materials to non-governmental plaintiffs in private securities fraud litigation.
- ***In re Guidant S'holders Derivative Litig.***, 841 N.E.2d 571 (Ind. 2006). Answering a certified question from a federal court, the Supreme Court of Indiana unanimously held that a pre-suit demand in a derivative action is excused if the demand would be a futile gesture. The court adopted a “demand futility” standard and rejected defendants' call for a “universal demand” standard that might have immediately ended the case.

- ***Denver Area Meat Cutters v. Clayton***, 209 S.W.3d 584 (Tenn. Ct. App. 2006). The Tennessee Court of Appeals rejected an objector’s challenge to a class action settlement arising out of Warren Buffet’s 2003 acquisition of Tennessee-based Clayton Homes. In their effort to secure relief for Clayton Homes stockholders, the Firm’s attorneys obtained a temporary injunction of the Buffet acquisition for six weeks in 2003 while the matter was litigated in the courts. The temporary halt to Buffet’s acquisition received national press attention.
- ***DeJulius v. New Eng. Health Care Emps. Pension Fund***, 429 F.3d 935 (10th Cir. 2005). The Tenth Circuit held that the multi-faceted notice of a \$50 million settlement in a securities fraud class action had been the best notice practicable under the circumstances, and thus satisfied both constitutional due process and Rule 23 of the Federal Rules of Civil Procedure.
- ***In re Daou Sys.***, 411 F.3d 1006 (9th Cir. 2005). The Ninth Circuit sustained investors’ allegations of accounting fraud and ruled that loss causation was adequately alleged by pleading that the value of the stock they purchased declined when the issuer’s true financial condition was revealed.
- ***Barrie v. Intervoice-Brite, Inc.***, 397 F.3d 249 (5th Cir.), *reh’g denied and opinion modified*, 409 F.3d 653 (5th Cir. 2005). The Fifth Circuit upheld investors’ accounting-fraud claims, holding that fraud is pled as to both defendants when one knowingly utters a false statement and the other knowingly fails to correct it, even if the complaint does not specify who spoke and who listened.
- ***City of Monroe Emps. Ret. Sys. v. Bridgestone Corp.***, 399 F.3d 651 (6th Cir. 2005). The Sixth Circuit held that a statement regarding objective data supposedly supporting a corporation’s belief that its tires were safe was actionable where jurors could have found a reasonable basis to believe the corporation was aware of undisclosed facts seriously undermining the statement’s accuracy.
- ***Ill. Mun. Ret. Fund v. Citigroup, Inc.***, 391 F.3d 844 (7th Cir. 2004). The Seventh Circuit upheld a district court’s decision that the Illinois Municipal Retirement Fund was entitled to litigate its claims under the Securities Act of 1933 against WorldCom’s underwriters before a state court rather than before the federal forum sought by the defendants.
- ***Nursing Home Pension Fund, Local 144 v. Oracle Corp.***, 380 F.3d 1226 (9th Cir. 2004). The Ninth Circuit ruled that defendants’ fraudulent intent could be inferred from allegations concerning their false representations, insider stock sales and improper accounting methods.
- ***Southland Sec. Corp. v. INSpire Ins. Sols. Inc.***, 365 F.3d 353 (5th Cir. 2004). The Fifth Circuit sustained allegations that an issuer’s CEO made fraudulent statements in connection with a contract announcement.
- ***Smith v. Am. Family Mut. Ins. Co.***, 289 S.W.3d 675 (Mo. Ct. App. 2009). Capping nearly a decade of hotly contested litigation, the Missouri Court of Appeals reversed the trial court’s judgment notwithstanding the verdict for auto insurer American Family and reinstated a unanimous jury verdict for the plaintiff class.
- ***Troyk v. Farmers Grp., Inc.***, 171 Cal. App. 4th 1305 (2009). The California Court of Appeal held that Farmers Insurance’s practice of levying a “service charge” on one-month auto insurance policies, without specifying the charge in the policy, violated California’s Insurance Code.
- ***Lebrilla v. Farmers Grp., Inc.***, 119 Cal. App. 4th 1070 (2004). Reversing the trial court, the California Court of Appeal ordered class certification of a suit against Farmers, one of the largest

automobile insurers in California, and ruled that Farmers' standard automobile policy requires it to provide parts that are as good as those made by vehicle's manufacturer. The case involved Farmers' practice of using inferior imitation parts when repairing insureds' vehicles.

- ***In re Monumental Life Ins. Co.***, 365 F.3d 408, 416 (5th Cir. 2004). The Fifth Circuit Court of Appeals reversed a district court's denial of class certification in a case filed by African-Americans seeking to remedy racially discriminatory insurance practices. The Fifth Circuit held that a monetary relief claim is viable in a Rule 23(b)(2) class if it flows directly from liability to the class as a whole and is capable of classwide "computation by means of objective standards and not dependent in any significant way on the intangible, subjective differences of each class member's circumstances."
- ***Dent v. National Football League***, No. 15-15143 (9th Cir.). In September 2018, the United States Court of Appeals for the Ninth Circuit issued an important decision reversing the district court's previous dismissal of the *Dent v. National Football League* litigation, concluding that the complaint brought by NFL Hall of Famer Richard Dent and others should not be dismissed on labor-law preemption grounds. The case was remanded to the district court for further proceedings.
- ***Kwikset Corp. v. Superior Court***, 51 Cal. 4th 310 (2011). In a leading decision interpreting the scope of Proposition 64's new standing requirements under California's Unfair Competition Law (UCL), the California Supreme Court held that consumers alleging that a manufacturer has misrepresented its product have "lost money or property" within the meaning of the initiative, and thus have standing to sue under the UCL, if they "can truthfully allege that they were deceived by a product's label into spending money to purchase the product, and would not have purchased it otherwise." *Id.* at 317. *Kwikset* involved allegations, proven at trial, that defendants violated California's "Made in the U.S.A." statute by representing on their labels that their products were "Made in U.S.A." or "All-American Made" when, in fact, the products were substantially made with foreign parts and labor.
- ***Safeco Ins. Co. of Am. v. Superior Court***, 173 Cal. App. 4th 814 (2009). In a class action against auto insurer Safeco, the California Court of Appeal agreed that the plaintiff should have access to discovery to identify a new class representative after her standing to sue was challenged.
- ***Consumer Privacy Cases***, 175 Cal. App. 4th 545 (2009). The California Court of Appeal rejected objections to a nationwide class action settlement benefiting Bank of America customers.
- ***Koponen v. Pac. Gas & Elec. Co.***, 165 Cal. App. 4th 345 (2008). The Firm's attorneys obtained a published decision reversing the trial court's dismissal of the action, and holding that the plaintiff's claims for damages arising from the utility's unauthorized use of rights-of-way or easements obtained from the plaintiff and other landowners were not barred by a statute limiting the authority of California courts to review or correct decisions of the California Public Utilities Commission.
- ***Sanford v. MemberWorks, Inc.***, 483 F.3d 956 (9th Cir. 2007). In a telemarketing-fraud case, where the plaintiff consumer insisted she had never entered the contractual arrangement that defendants said bound her to arbitrate individual claims to the exclusion of pursuing class claims, the Ninth Circuit reversed an order compelling arbitration – allowing the plaintiff to litigate on behalf of a class.
- ***Ritt v. Billy Blanks Enters.***, 870 N.E.2d 212 (Ohio Ct. App. 2007). In the Ohio analog to the *West*

case, the Ohio Court of Appeals approved certification of a class of Ohio residents seeking relief under Ohio's consumer protection laws for the same telemarketing fraud.

- *Haw. Med. Ass'n v. Haw. Med. Serv. Ass'n*, 148 P.3d 1179 (Haw. 2006). The Supreme Court of Hawaii ruled that claims of unfair competition were not subject to arbitration and that claims of tortious interference with prospective economic advantage were adequately alleged.
- *Branick v. Downey Sav. & Loan Ass'n*, 39 Cal. 4th 235 (2006). Robbins Geller attorneys were part of a team of lawyers that briefed this case before the Supreme Court of California. The court issued a unanimous decision holding that new plaintiffs may be substituted, if necessary, to preserve actions pending when Proposition 64 was passed by California voters in 2004. Proposition 64 amended California's Unfair Competition Law and was aggressively cited by defense lawyers in an effort to dismiss cases after the initiative was adopted.
- *McKell v. Wash. Mut., Inc.*, 142 Cal. App. 4th 1457 (2006). The California Court of Appeal reversed the trial court, holding that plaintiff's theories attacking a variety of allegedly inflated mortgage-related fees were actionable.
- *West Corp. v. Superior Court*, 116 Cal. App. 4th 1167 (2004). The California Court of Appeal upheld the trial court's finding that jurisdiction in California was appropriate over the out-of-state corporate defendant whose telemarketing was aimed at California residents. Exercise of jurisdiction was found to be in keeping with considerations of fair play and substantial justice.
- *Kruse v. Wells Fargo Home Mortg., Inc.*, 383 F.3d 49 (2d Cir. 2004), and *Santiago v. GMAC Mortg. Grp., Inc.*, 417 F.3d 384 (3d Cir. 2005). In two groundbreaking federal appellate decisions, the Second and Third Circuits each ruled that the Real Estate Settlement Practices Act prohibits marking up home loan-related fees and charges.

Additional Judicial Commendations

Robbins Geller attorneys have been praised by countless judges all over the country for the quality of their representation in class-action lawsuits. In addition to the judicial commendations set forth in the Prominent Cases and Precedent-Setting Decisions sections, judges have acknowledged the successful results of the Firm and its attorneys with the following plaudits:

- On February 4, 2021, in granting final approval of the settlement, the Honorable Mark H. Cohen of the United States District Court for the Northern District of Georgia stated: "Lead Counsel successfully achieved a greater-than-average settlement 'in the face of significant risks.'" Robbins Geller's "hard-fought litigation in the Eleventh Circuit" and "[i]n considering the experience, reputation, and abilities of the attorneys, the Court recognize[d] that Lead Counsel is well-regarded in the legal community, especially in litigating class-action securities cases." *Monroe County Employees' Retirement System v. The Southern Company*, No. 1:17-cv-00241, Order at 8-9 (N.D. Ga. Feb. 4, 2021).
- On December 18, 2020, at the final approval hearing of the settlement, the Honorable Yvonne Gonzalez Rogers of the United States District Court for the Northern District of California commended Robbins Geller, stating: "Counsel performed excellent work in not only investigating and analyzing the core of the issues, but in negotiating and demanding the necessary reforms to prevent malfeasance for the benefit of the shareholders and the consumers. The Court complements counsel for its excellence." *In re RH S'holder Derivative Litig.*, No. 4:18-cv-02452-YGR, Order and Final Judgment at 3 (N.D. Cal. Dec. 18, 2020).

- On October 23, 2020, at the final approval hearing of the settlement, the Honorable P. Kevin Castel of the United States District Court for the Southern District of New York praised the firm, “[Robbins Geller] has been sophisticated and experienced.” He also noted that: “[T]he quality of the representation . . . was excellent. The experience of counsel is also a factor. Robbins Geller certainly has the extensive experience and they were litigating against national powerhouses” *City of Birmingham Ret. & Relief Sys. v. BRF S.A.*, No. 18 Civ. 2213 (PKC), Transcript at 12-13, 18 (S.D.N.Y. Oct. 23, 2020).
- In May 2020, in granting final approval of the settlement, the Honorable Mark L. Wolf praised Robbins Geller: “[T]he class has been represented by excellent honorable counsel [T]he fund was represented by experienced, energetic, able counsel, the fund was engaged and informed, and the fund followed advice of experienced counsel. Counsel for the class have been excellent, and I would say honorable.” Additionally, Judge Wolf noted, “I find that the work that's been done primarily by Robbins Geller has been excellent and honorable and efficient. . . . [T]his has been a challenging case, and they've done an excellent job.” *McGee v. Constant Contact, Inc.*, No. 1:15-cv-13114-MLW, Transcript at 21, 31, 61 (D. Mass. May 27, 2020).
- In December 2019, the Honorable Margo K. Brodie noted in granting final approval of the settlement that “[Robbins Geller and co-counsel] have also demonstrated the utmost professionalism despite the demands of the extreme perseverance that this case has required, litigating on behalf of a class of over 12 million for over fourteen years, across a changing legal landscape, significant motion practice, and appeal and remand. Class counsel's pedigree and efforts alone speak to the quality of their representation.” *In re Payment Card Interchange Fee & Merch. Disc. Antitrust Litig.*, No. 1:05-md-01720-MKB-JO, Memorandum & Order (E.D.N.Y. Dec. 16, 2019).
- In October 2019, the Honorable Claire C. Cecchi noted that Robbins Geller is “capable of adequately representing the class, both based on their prior experience in class action lawsuits and based on their capable advocacy on behalf of the class in this action.” The court further commended the Firm and co-counsel for “conduct[ing] the [l]itigation . . . with skill, perseverance, and diligent advocacy.” *Lincoln Adventures, LLC v. Those Certain Underwriters at Lloyd's, London Members*, No. 2:08-cv-00235-CCC-JAD, Order at 4 (D.N.J. Oct. 3, 2019); *Lincoln Adventures, LLC v. Those Certain Underwriters at Lloyd's, London Members of Syndicates*, No. 2:08-cv-00235-CCC-JAD, Order Awarding Attorneys' Fees and Expenses/Charges and Service Awards at 3 (D.N.J. Oct. 3, 2019).
- In June 2019, the Honorable T.S. Ellis, III noted that Robbins Geller “achieved the [\$108 million] [s]ettlement with skill, perseverance, and diligent advocacy.” At the final approval hearing, the court further commended Robbins Geller by stating, “I think the case was fully and appropriately litigated [and] you all did a very good job. . . . [T]hank you for your service in the court. . . . [You're] first-class lawyers” *Knurr v. Orbital ATK, Inc.*, No. 1:16-cv-01031, Order Awarding Attorneys' Fees and Expenses at 3 (E.D. Va. June 7, 2019); *Knurr v. Orbital ATK, Inc.*, No. 1:16-cv-01031, Transcript at 28-29 (E.D. Va. June 7, 2019).
- In June 2019, in granting final approval of the settlement, the Honorable John A. Houston stated: Robbins Geller's “skill and quality of work was extraordinary I'll note from the top that this has been an aggressively litigated action.” *In re Morning Song Bird Food Litig.*, No. 3:12-cv-01592-JAH-AGS, Transcript at 4, 9 (S.D. Cal. June 3, 2019).
- In May 2019, in granting final approval of the settlement, the Honorable Richard H. DuBois

stated: Robbins Geller is “highly experienced and skilled” for obtaining a “fair, reasonable, and adequate” settlement in the “interest of the [c]lass [m]embers” after “extensive investigation.” *Chicago Laborers Pension Fund v. Alibaba Grp. Holding Ltd.*, No. CIV535692, Judgment and Order Granting Final Approval of Class Action Settlement at 3 (Cal. Super. Ct., San Mateo Cnty. May 17, 2019).

- In April 2019, the Honorable Kathaleen St. J. McCormick noted: “[S]ince the inception of this litigation, plaintiffs and their counsel have vigorously prosecuted the claims brought on behalf of the class. . . . When Vice Chancellor Laster appointed lead counsel, he effectively said: Go get a good result. And counsel took that to heart and did it. . . . The proposed settlement was the product of intense litigation and complex mediation. . . . [Robbins Geller has] only built a considerable track record, never burned it, which gave them the credibility necessary to extract the benefits achieved.” *In re Calamos Asset Mgmt., Inc. S’holder Litig.*, No. 2017-0058-JTL, Transcript at 87, 93, 95, 98 (Del. Ch. Apr. 25, 2019).
- In April 2019, the Honorable Susan O. Hickey noted that Robbins Geller “achieved an exceptional [s]ettlement with skill, perseverance, and diligent advocacy.” *City of Pontiac Gen. Emps.’ Ret. Sys. v. Wal-Mart Stores, Inc.*, No. 5:12-cv-5162, Order Awarding Attorneys’ Fees and Expenses at 3 (W.D. Ark. Apr. 8, 2019).
- In January 2019, the Honorable Margo K. Brodie noted that Robbins Geller “has arduously represented a variety of plaintiffs’ groups in this action[,] . . . [has] extensive antitrust class action litigation experience . . . [and] negotiated what [may be] the largest antitrust settlement in history.” *In re Payment Card Interchange Fee & Merch. Disc. Antitrust Litig.*, 330 F.R.D. 11, 34 (E.D.N.Y. 2019).
- On December 20, 2018, at the final approval hearing for the settlement, the court lauded Robbins Geller’s attorneys and their work: “[T]his is a pretty extraordinary settlement, recovery on behalf of the members of the class. . . . I’ve been very impressed with the level of lawyering in the case . . . and with the level of briefing . . . and I wanted to express my appreciation for that and for the work that everyone has done here.” The court concluded, “your clients were all blessed to have you, [and] not just because of the outcome.” *Duncan v. Joy Global, Inc.*, No. 16-CV-1229, Transcript at 12, 20-21 (E.D. Wis. Dec. 20, 2018).
- In October 2017, the Honorable William Alsup noted that Robbins Geller and lead plaintiff “vigorously prosecuted this action.” *In re LendingClub Sec. Litig.*, No. 3:16-cv-02627-WHA, Order at 13 (N.D. Cal. Oct. 20, 2017).
- On November 9, 2018, in granting final approval of the settlement, the Honorable Jesse M. Furman commented: “[Robbins Geller] did an extraordinary job here. . . . [I]t is fair to say [this was] probably the most complicated case I have had since I have been on the bench. . . . I cannot really imagine how complicated it would have been if I didn’t have counsel who had done as admirable [a] job in briefing it and arguing as you have done. You have in my view done an extraordinary service to the class. . . . I think you have done an extraordinary job and deserve thanks and commendation for that.” *Alaska Elec. Pension Fund v. Bank of Am. Corp.*, No. 1:14-cv-07126-JMF-OTW, Transcript at 27-28 (S.D.N.Y. Nov. 9, 2018).

- On September 12, 2018, at the final approval hearing of the settlement, the Honorable William H. Orrick of the Northern District of California praised Robbins Geller’s “high-quality lawyering” in a case that “involved complicated discovery and complicated and novel legal issues,” resulting in an “excellent” settlement for the class. The “lawyering . . . was excellent” and the case was “very well litigated.” *In re Lidoderm Antitrust Litig.*, No. 14-MDL-02521-WHO, Transcript at 11, 14, 22 (N.D. Cal. Sept. 12, 2018).
- On March 31, 2017, in granting final approval of the settlement, the Honorable Gonzalo P. Curiel hailed the settlement as “extraordinary” and “all the more exceptional when viewed in light of the risk” of continued litigation. The court further commended Robbins Geller for prosecuting the case on a *pro bono* basis: “Class Counsel’s exceptional decision to provide nearly seven years of legal services to Class Members on a *pro bono* basis evidences not only a lack of collusion, but also that Class Counsel are in fact representing the best interests of Plaintiffs and the Class Members in this Settlement. Instead of seeking compensation for fees and costs that they would otherwise be entitled to, Class Counsel have acted to allow maximum recovery to Plaintiffs and Class Members. Indeed, that Eligible Class Members may receive recovery of 90% or greater is a testament to Class Counsel’s representation and dedication to act in their clients’ best interest.” In addition, at the final approval hearing, the court commented that “this is a case that has been litigated – if not fiercely, zealously throughout.” *Low v. Trump Univ., LLC*, 246 F. Supp. 3d 1295, 1302, 1312 (S.D. Cal. 2017), *aff’d*, 881 F.3d 1111 (9th Cir. 2018); *Low v. Trump University LLC and Donald J. Trump*, No. 10-cv-0940 GPC-WVG, and *Cohen v. Donald J. Trump*, No. 13-cv-2519-GPC-WVG, Transcript at 7 (S.D. Cal. Mar. 30, 2017).
- In January 2017, at the final approval hearing, the Honorable Kevin H. Sharp of the Middle District of Tennessee commended Robbins Geller attorneys, stating: “It was complicated, it was drawn out, and a lot of work clearly went into this [case] I think there is some benefit to the shareholders that are above and beyond money, a benefit to the company above and beyond money that changed hands.” *In re Community Health Sys., Inc. S’holder Derivative Litig.*, No. 3:11-cv-00489, Transcript at 10 (M.D. Tenn. Jan. 17, 2017).
- In November 2016, at the final approval hearing, the Honorable James G. Carr stated: “I kept throwing the case out, and you kept coming back. . . . And it’s both remarkable and noteworthy and a credit to you and your firm that you did so. . . . [Y]ou persuaded the Sixth Circuit. As we know, that’s no mean feat at all.” Judge Carr further complimented the Firm, noting that it “goes without question or even saying” that Robbins Geller is very well-known nationally and that the settlement is an excellent result for the class. He succinctly concluded that “given the tenacity and the time and the effort that [Robbins Geller] lawyers put into [the case]” makes the class “a lot better off.” *Plumbers & Pipefitters Nat’l Pension Fund v. Burns*, No. 3:05-cv-07393-JGC, Transcript at 4, 10, 14, 17 (N.D. Ohio Nov. 18, 2016).
- In September 2016, in granting final approval of the settlement, Judge Arleo commended the “vigorous and skilled efforts” of Robbins Geller attorneys for obtaining “an excellent recovery.” Judge Arleo added that the settlement was reached after “contentious, hard-fought litigation” that ended with “a very, very good result for the class” in a “risky case.” *City of Sterling Heights Gen. Emps.’ Ret. Sys. v. Prudential Fin., Inc.*, No. 2:12-cv-05275-MCA-LDW, Transcript of Hearing at 18-20 (D.N.J. Sept. 28, 2016).

- In August 2015, at the final approval hearing for the settlement, the Honorable Karen M. Humphreys praised Robbins Geller’s “extraordinary efforts” and “excellent lawyering,” noting that the settlement “really does signal that the best is yet to come for your clients and for your prodigious labor as professionals. . . . I wish more citizens in our country could have an appreciation of what this [settlement] truly represents.” *Bennett v. Sprint Nextel Corp.*, No. 2:09-cv-02122-EFM-KMH, Transcript at 8, 25 (D. Kan. Aug. 12, 2015).
- In August 2015, the Honorable Judge Max O. Cogburn, Jr. noted that “plaintiffs’ attorneys were able [to] achieve the big success early” in the case and obtained an “excellent result.” The “extraordinary” settlement was because of “good lawyers . . . doing their good work.” *Nieman v. Duke Energy Corp.*, No. 3:12-cv-456, Transcript at 21, 23, 30 (W.D.N.C. Aug. 12, 2015).
- In July 2015, in approving the settlement, the Honorable Douglas L. Rayes of the District of Arizona stated: “Settlement of the case during pendency of appeal for more than an insignificant amount is rare. The settlement here is substantial and provides favorable recovery for the settlement class under these circumstances.” He continued, noting, “[a]s against the objective measures of . . . settlements [in] other similar cases, [the recovery] is on the high end.” *Teamsters Local 617 Pension & Welfare Funds v. Apollo Grp., Inc.*, No. 2:06-cv-02674-DLR, Transcript at 8, 11 (D. Ariz. July 28, 2015).
- In June 2015, at the conclusion of the hearing for final approval of the settlement, the Honorable Susan Richard Nelson of the District of Minnesota noted that it was “a pleasure to be able to preside over a case like this,” praising Robbins Geller in achieving “an outstanding [result] for [its] clients,” as she was “very impressed with the work done on th[e] case.” *In re St. Jude Med., Inc. Sec. Litig.*, No. 0:10-cv-00851-SRN-TNL, Transcript at 7 (D. Minn. June 12, 2015).
- In May 2015, at the fairness hearing on the settlement, the Honorable William G. Young noted that the case was “very well litigated” by Robbins Geller attorneys, adding that “I don’t just say that as a matter of form. . . . I thank you for the vigorous litigation that I’ve been permitted to be a part of.” *Courtney v. Avid Tech., Inc.*, No. 1:13-cv-10686-WGY, Transcript at 8-9 (D. Mass. May 12, 2015).
- In January 2015, the Honorable William J. Haynes, Jr. of the Middle District of Tennessee described the settlement as a “highly favorable result achieved for the Class” through Robbins Geller’s “diligent prosecution . . . [and] quality of legal services.” The settlement represents the fourth-largest securities recovery ever in the Middle District of Tennessee and one of the largest in more than a decade. *Garden City Emps.’ Ret. Sys. v. Psychiatric Sols., Inc.*, No. 3:09-cv-00882, 2015 U.S. Dist. LEXIS 181943, at *6-*7 (M.D. Tenn. Jan. 16, 2015).
- In September 2014, in approving the settlement for shareholders, Vice Chancellor John W. Noble noted “[t]he litigation caused a substantial benefit for the class. It is unusual to see a \$29 million recovery.” Vice Chancellor Noble characterized the litigation as “novel” and “not easy,” but “[t]he lawyers took a case and made something of it.” The court commended Robbins Geller’s efforts in obtaining this result: “The standing and ability of counsel cannot be questioned” and “the benefits achieved by plaintiffs’ counsel in this case cannot be ignored.” *In re Gardner Denver, Inc. S’holder Litig.*, No. 8505-VCN, Transcript at 26-28 (Del. Ch. Sept. 3, 2014).
- In May 2014, at the conclusion of the hearing for final approval of the settlement, the Honorable Elihu M. Berle stated: “I would finally like to congratulate counsel on their efforts to resolve this case, on excellent work – it was the best interest of the class – and to the exhibition of professionalism. So I do thank you for all your efforts.” *Liberty Mutual Overtime Cases*, No. JCCP 4234, Transcript at 20:1-5 (Cal. Super. Ct., Los Angeles Cnty. May 29, 2014).

- In March 2014, Ninth Circuit Judge J. Clifford Wallace (presiding) expressed the gratitude of the court: “Thank you. I want to especially thank counsel for this argument. This is a very complicated case and I think we were assisted no matter how we come out by competent counsel coming well prepared. . . . It was a model of the type of an exercise that we appreciate. Thank you very much for your work . . . you were of service to the court.” *Eclectic Properties East, LLC v. The Marcus & Millichap Co.*, No. 12-16526, Transcript (9th Cir. Mar. 14, 2014).
- In February 2014, in approving a settlement, Judge Edward M. Chen noted the “very substantial risks” in the case and recognized Robbins Geller had performed “extensive work on the case.” *In re VeriFone Holdings, Inc. Sec. Litig.*, No. C-07-6140, 2014 U.S. Dist. LEXIS 20044, at *5, *11-*12 (N.D. Cal. Feb. 18, 2014).
- In August 2013, in granting final approval of the settlement, the Honorable Richard J. Sullivan stated: “Lead Counsel is to be commended for this result: it expended considerable effort and resources over the course of the action researching, investigating, and prosecuting the claims, at significant risk to itself, and in a skillful and efficient manner, to achieve an outstanding recovery for class members. Indeed, the result – and the class’s embrace of it – is a testament to the experience and tenacity Lead Counsel brought to bear.” *City of Livonia Emps. Ret. Sys. v. Wyeth*, No. 07 Civ. 10329, 2013 U.S. Dist. LEXIS 113658, at *13 (S.D.N.Y. Aug. 7, 2013).
- In July 2013, in granting final approval of the settlement, the Honorable William H. Alsup stated that Robbins Geller did “excellent work in this case,” and continued, “I look forward to seeing you on the next case.” *Fraser v. Asus Comput. Int’l*, No. C 12-0652, Transcript at 12:2-3 (N.D. Cal. July 11, 2013).
- In June 2013, in certifying the class, U.S. District Judge James G. Carr recognized Robbins Geller’s steadfast commitment to the class, noting that “plaintiffs, with the help of Robbins Geller, have twice successfully appealed this court’s orders granting defendants’ motion to dismiss.” *Plumbers & Pipefitters Nat’l Pension Fund v. Burns*, 292 F.R.D. 515, 524 (N.D. Ohio 2013).
- In November 2012, in granting appointment of lead plaintiff, Chief Judge James F. Holderman commended Robbins Geller for its “substantial experience in securities class action litigation” and commented that the Firm “is recognized as ‘one of the most successful law firms in securities class actions, if not the preeminent one, in the country.’” *In re Enron Corp. Sec.*, 586 F. Supp. 2d 732, 797 (S.D. Tex. 2008) (Harmon, J.).” He continued further that, “‘Robbins Geller attorneys are responsible for obtaining the largest securities fraud class action recovery ever [\$7.2 billion in *Enron*], as well as the largest recoveries in the Fifth, Sixth, Eighth, Tenth and Eleventh Circuits.’” *Bristol Cnty. Ret. Sys. v. Allscripts Healthcare Sols., Inc.*, No. 12 C 3297, 2012 U.S. Dist. LEXIS 161441, at *21 (N.D. Ill. Nov. 9, 2012).
- In June 2012, in granting plaintiffs’ motion for class certification, the Honorable Inge Prytz Johnson noted that other courts have referred to Robbins Geller as “‘one of the most successful law firms in securities class actions . . . in the country.’” *Local 703, I.B. v. Regions Fin. Corp.*, 282 F.R.D. 607, 616 (N.D. Ala. 2012) (quoting *In re Enron Corp. Sec. Litig.*, 586 F. Supp. 2d 732, 797 (S.D. Tex. 2008)), *aff’d in part and vacated in part on other grounds*, 762 F.3d 1248 (11th Cir. 2014).
- In June 2012, in granting final approval of the settlement, the Honorable Barbara S. Jones commented that “class counsel’s representation, from the work that I saw, appeared to me to be of the highest quality.” *In re CIT Grp. Inc. Sec. Litig.*, No. 08 Civ. 6613, Transcript at 9:16-18 (S.D.N.Y. June 13, 2012).

- In March 2012, in granting certification for the class, Judge Robert W. Sweet referenced the *Enron* case, agreeing that Robbins Geller’s “clearly superlative litigating and negotiating skills” give the Firm an “outstanding reputation, experience, and success in securities litigation nationwide,” thus, “[t]he experience, ability, and reputation of the attorneys of [Robbins Geller] is not disputed; it is one of the most successful law firms in securities class actions, if not the preeminent one, in the country.” *Billhofer v. Flamel Techs., S.A.*, 281 F.R.D. 150, 158 (S.D.N.Y. 2012).
- In March 2011, in denying defendants’ motion to dismiss, Judge Richard Sullivan commented: “Let me thank you all. . . . [The motion] was well argued . . . and . . . well briefed I certainly appreciate having good lawyers who put the time in to be prepared” *Anegada Master Fund Ltd. v. PxRE Grp. Ltd.*, No. 08-cv-10584, Transcript at 83 (S.D.N.Y. Mar. 16, 2011).
- In January 2011, the court praised Robbins Geller attorneys: “They have gotten very good results for stockholders. . . . [Robbins Geller has] such a good track record.” *In re Compellent Techs., Inc. S’holder Litig.*, No. 6084-VCL, Transcript at 20-21 (Del. Ch. Jan. 13, 2011).
- In August 2010, in reviewing the settlement papers submitted by the Firm, Judge Carlos Murguia stated that Robbins Geller performed “a commendable job of addressing the relevant issues with great detail and in a comprehensive manner The court respects the [Firm’s] experience in the field of derivative [litigation].” *Alaska Elec. Pension Fund v. Olofson*, No. 08-cv-02344-CM-JPO (D. Kan.) (Aug. 20, 2010 e-mail from court re: settlement papers).
- In June 2009, Judge Ira Warshawsky praised the Firm’s efforts in *In re Aeroflex, Inc. S’holder Litig.*: “There is no doubt that the law firms involved in this matter represented in my opinion the cream of the crop of class action business law and mergers and acquisition litigators, and from a judicial point of view it was a pleasure working with them.” *In re Aeroflex, Inc. S’holder Litig.*, No. 003943/07, Transcript at 25:14-18 (N.Y. Sup. Ct., Nassau Cnty. June 30, 2009).
- In March 2009, in granting class certification, the Honorable Robert Sweet of the Southern District of New York commented in *In re NYSE Specialists Sec. Litig.*, 260 F.R.D. 55, 74 (S.D.N.Y. 2009): “As to the second prong, the Specialist Firms have not challenged, in this motion, the qualifications, experience, or ability of counsel for Lead Plaintiff, [Robbins Geller], to conduct this litigation. Given [Robbins Geller’s] substantial experience in securities class action litigation and the extensive discovery already conducted in this case, this element of adequacy has also been satisfied.”
- In June 2008, the court commented, “Plaintiffs’ lead counsel in this litigation, [Robbins Geller], has demonstrated its considerable expertise in shareholder litigation, diligently advocating the rights of Home Depot shareholders in this Litigation. [Robbins Geller] has acted with substantial skill and professionalism in representing the plaintiffs and the interests of Home Depot and its shareholders in prosecuting this case.” *City of Pontiac Gen. Emps.’ Ret. Sys. v. Langone*, No. 2006-122302, Findings of Fact in Support of Order and Final Judgment at 2 (Ga. Super. Ct., Fulton Cnty. June 10, 2008).
- In a December 2006 hearing on the \$50 million consumer privacy class action settlement in *Kehoe v. Fidelity Fed. Bank & Tr.*, No. 03-80593-CIV (S.D. Fla.), United States District Court Judge Daniel T.K. Hurley said the following:

First, I thank counsel. As I said repeatedly on both sides, we have been very, very fortunate. We have had fine lawyers on both sides. The issues in the case are significant issues. We are talking about issues dealing with consumer protection

and privacy. Something that is increasingly important today in our society. . . . I want you to know I thought long and hard about this. I am absolutely satisfied that the settlement is a fair and reasonable settlement. . . . I thank the lawyers on both sides for the extraordinary effort that has been brought to bear here

Kehoe v. Fidelity Fed. Bank & Tr., No. 03-80593-CIV, Transcript at 26, 28-29 (S.D. Fla. Dec. 7, 2006).

- In *Stanley v. Safeskin Corp.*, No. 99 CV 454 (S.D. Cal.), where Robbins Geller attorneys obtained \$55 million for the class of investors, Judge Moskowitz stated:

I said this once before, and I'll say it again. I thought the way that your firm handled this case was outstanding. This was not an easy case. It was a complicated case, and every step of the way, I thought they did a very professional job.

Stanley v. Safeskin Corp., No. 99 CV 454, Transcript at 13 (S.D. Cal. May 25, 2004).

ATTORNEY BIOGRAPHIES

Mario Alba Jr. | Partner

Mario Alba is a partner in the Firm's Melville office. He is a member of the Firm's Institutional Outreach Team, which provides advice to the Firm's institutional clients, including numerous public pension systems and Taft-Hartley funds throughout the United States, and consults with them on issues relating to corporate fraud in the U.S. securities markets, as well as corporate governance issues and shareholder litigation. Some of Alba's institutional clients are currently involved in securities cases involving: Acadia Healthcare Company, Inc.; Reckitt Benckiser Group plc; Livent Corporation; Ryanair Holdings plc; Southwest Airlines Co.; Green Dot Corporation; and XPO Logistics, Inc. Alba's institutional clients are/were also involved in other types of class actions, namely: *In re National Prescription Opiate Litigation*, *In re Epipen (Epinephrine Injection, USP) Marketing, Sales Practices and Antitrust Litigation* (\$345 million partial settlement achieved a few months prior to trial; additional \$264 million settlement pending approval), *Forth v. Walgreen Co.*, and *In re Humira (Adalimumab) Antitrust Litigation*.

Alba has served as lead counsel in numerous cases and is responsible for initiating, investigating, researching, and filing securities and consumer fraud class actions. He has recovered hundreds of millions of dollars in numerous actions, including cases against BHP Billiton Limited (\$50 million recovery), BRF S.A. (\$40 million recovery), L3 Technologies, Inc. (\$34.5 million recovery), Impax Laboratories Inc. (\$33 million recovery); Super Micro Computer, Inc. (\$18.25 million recovery); NBTY, Inc. (\$16 million recovery), OSI Pharmaceuticals (\$9 million recovery), Advisory Board Company (\$7.5 million recovery), Iconix Brand Group, Inc. (\$6 million recovery), and PXRe Group, Ltd. (\$5.9 million).

Alba has lectured at numerous institutional investor conferences throughout the United States on various shareholder issues, including at the Opal Public Funds Summit, Koried Plan Sponsor Educational Institute, Georgia Association of Public Pension Trustees (GAPPT) Annual Conference, Illinois Public Pension Fund Association, the New York State Teamsters Conference, the American Alliance Conference, and the TEXPERS/IPPPFA Joint Conference at the New York Stock Exchange, among others.

Education

B.S., St. John's University, 1999; J.D., Hofstra University School of Law, 2002

Honors / Awards

Super Lawyer, *Super Lawyers Magazine*, 2022; Rising Star, *Super Lawyers Magazine*, 2012-2013, 2016-2017; B.S., Dean's List, St. John's University, 1999; Selected as participant in Hofstra Moot Court Seminar, Hofstra University School of Law

Michael Albert | Partner

Michael Albert is a partner in the Firm's San Diego office, where his practice focuses on complex securities litigation. Albert is a member of the Firm's Lead Plaintiff Advisory Team, which advises institutional investors in connection with lead plaintiff motions, and assists them in securing appointment as lead plaintiff. He is also part of the Firm's SPAC Task Force, which is dedicated to rooting out and prosecuting fraud on behalf of injured investors in special purpose acquisition companies.

Albert has been a member of litigation teams that have successfully recovered hundreds of millions of dollars for investors in securities class actions, including: *NECA-IBEW Health & Welfare Fund v. Goldman Sachs & Co.* (\$272 million recovery), *City of Pontiac General Employees' Retirement Systems v. Wal-Mart Stores, Inc.* (\$160 million recovery), and *In re LendingClub Securities Litigation* (\$125 million recovery). Albert was also a member of the litigation team that recently obtained a \$85 million cash settlement in a consumer class action against Scotts Miracle-Gro.

Education

B.A., University of Wisconsin-Madison, 2010; J.D., University of Virginia School of Law, 2014

Honors / Awards

Rising Star, *Super Lawyers Magazine*, 2020-2021; Managing Board Member, *Virginia Tax Review*, University of Virginia School of Law

Matthew I. Alpert | Partner

Matthew Alpert is a partner in the Firm's San Diego office and focuses on the prosecution of securities fraud litigation. He has helped recover over \$800 million for individual and institutional investors financially harmed by corporate fraud. Alpert's current cases include securities fraud cases against Under Armour (D. Md.), FirstCash (N.D. Tex.), Mylan N.V. (S.D.N.Y.), and Southwest Airlines (N.D. Tex.). Most recently, Alpert and a team of Robbins Geller attorneys obtained a \$1.21 billion settlement in *In re Valeant Pharms. Int'l, Inc. Sec. Litig.* (D.N.J.), a case that *Vanity Fair* reported as "the corporate scandal of its era" that had raised "fundamental questions about the functioning of our health-care system, the nature of modern markets, and the slippery slope of ethical rationalizations." This is the largest securities class action settlement against a pharmaceutical manufacturer and the ninth largest ever. Alpert was also a member of the litigation team that successfully obtained class certification in a securities fraud class action against Regions Financial, a class certification decision which was substantively affirmed by the United States Court of Appeals for the Eleventh Circuit in *Local 703, I.B. of T. Grocery & Food Emps. Welfare Fund v. Regions Fin. Corp.*, 762 F.3d 1248 (11th Cir. 2014). Upon remand, the United States District Court for the Northern District of Alabama granted class certification again, rejecting defendants' post-*Halliburton II* arguments concerning stock price impact.

Some of Alpert's previous cases include: the individual opt-out actions of the AOL Time Warner class action – *Regents of the Univ. of Cal. v. Parsons* (Cal. Super. Ct., Los Angeles Cnty.) and *Ohio Pub. Emps. Ret. Sys. v. Parsons* (Ohio. Ct. of Common Pleas, Franklin Cnty.) (total settlement over \$600 million); *Local 703, I.B. of T. Grocery & Food Emps. Welfare Fund v. Regions Fin. Corp.* (N.D. Ala.) (\$90 million settlement); *In re MGM Mirage Sec. Litig.* (D. Nev.) (\$75 million); *In re CIT Grp. Inc. Sec. Litig.* (S.D.N.Y.) (\$75 million settlement); *Luna v. Marvell Tech. Grp., Ltd.* (N.D. Cal.) (\$72.5 million settlement); *Deka Investment GmbH v. Santander Consumer USA Holdings Inc.* (N.D. Tex.) (\$47 million settlement); *In re Bridgestone Sec. Litig.* (M.D. Tenn.) (\$30 million settlement); *In re Walter Energy, Inc. Sec. Litig.* (N.D. Ala.) (\$25 million); *City of Hialeah Emps.' Ret. Sys. & Laborers Pension Trust Fund for N. Cal. v. Toll Brothers, Inc.* (E.D. Pa.) (\$25 million settlement); *In re Molycorp, Inc. Sec. Litig.* (D. Colo.) (\$20.5 million settlement); *In re Banc of California Sec. Litig.* (C.D. Cal.) (\$19.75 million); *Zimmerman v. Diplomat Pharmacy, Inc.* (E.D. Mich.) (\$14.1 million); *Batwin v. Occam Networks, Inc.* (C.D. Cal.) (\$13.9 million settlement); *Int'l Brotherhood of Elec. Workers Local 697 Pension Fund v. Int'l Game Tech.* (D. Nev.) (\$12.5 million settlement); *Kmiec v. Powerwave Techs. Inc.* (C.D. Cal.) (\$8.2 million); *In re Sunterra Corp. Sec. Litig.* (D. Nev.) (\$8 million settlement); and *Luman v. Anderson* (W.D. Mo.) (\$4.25 million settlement).

Education

B.A., University of Wisconsin at Madison, 2001; J.D., Washington University, St. Louis, 2005

Honors / Awards

Rising Star, *Super Lawyers Magazine*, 2015-2019

Darryl J. Alvarado | Partner

Darryl Alvarado is a partner in the Firm's San Diego office. He focuses his practice on securities fraud and other complex civil litigation. Alvarado was a member of the trial team in *Smilovits v. First Solar, Inc.*, which recovered \$350 million for aggrieved investors. The *First Solar* settlement, reached on the eve of trial after more than seven years of litigation and an interlocutory appeal to the U.S. Supreme Court, is the fifth-largest PSLRA recovery ever obtained in the Ninth Circuit. Alvarado recently litigated *Monroe County Employees' Retirement System v. The Southern Company*, which recovered \$87.5 million for investors after more than three years of litigation. The settlement resolved securities fraud claims stemming from defendants' issuance of misleading statements and omissions regarding the construction of a first-of-its-kind "clean coal" power plant in Kemper County, Mississippi. Alvarado helped secure \$388 million for investors in J.P. Morgan residential mortgage-backed securities in *Fort Worth Employees' Retirement Fund v. J.P. Morgan Chase & Co.* That settlement is, on a percentage basis, the largest recovery ever achieved in an RMBS class action. He was also a member of a team of attorneys that secured \$95 million for investors in Morgan Stanley-issued RMBS in *In re Morgan Stanley Mortgage Pass-Through Certificates Litigation*.

Alvarado was a member of a team of lawyers that obtained landmark settlements, on the eve of trial, from the major credit rating agencies and Morgan Stanley arising out of the fraudulent ratings of bonds issued by the Cheyne and Rhinebridge structured investment vehicles in *Abu Dhabi Commercial Bank v. Morgan Stanley & Co. Incorporated* and *King County, Washington v. IKB Deutsche Industriebank AG*. He was integral in obtaining several precedent-setting decisions in those cases, including defeating the rating agencies' historic First Amendment defense and defeating the ratings agencies' motions for summary judgment concerning the actionability of credit ratings. Alvarado was also a member of a team of attorneys responsible for obtaining for aggrieved investors \$27 million in *In re Cooper Companies Securities Litigation*, \$19.5 million in *City of Pontiac General Employees' Retirement System v. Lockheed Martin Corporation*, and comprehensive corporate governance reforms to address widespread off-label marketing and product safety violations in *In re Johnson & Johnson Derivative Litigation*.

Education

B.A., University of California, Santa Barbara, 2004; J.D., University of San Diego School of Law, 2007

Honors / Awards

Best Lawyer in America: One to Watch, *Best Lawyers*®, 2023; 40 & Under Hot List, *Benchmark Litigation*, 2018-2021; Top 40 Under 40, *Daily Journal*, 2021; Rising Star, *Super Lawyers Magazine*, 2015-2021; "Outstanding Young Attorneys," *San Diego Daily Transcript*, 2011

X. Jay Alvarez | Partner

Jay Alvarez is a partner in the Firm's San Diego office. He focuses his practice on securities fraud litigation and other complex litigation. Alvarez's notable cases include *In re Qwest Commc'ns Int'l, Inc. Sec. Litig.* (\$400 million recovery), *In re Coca-Cola Sec. Litig.* (\$137.5 million settlement), *In re St. Jude Medical, Inc. Sec. Litig.* (\$50 million settlement), and *In re Cooper Cos. Sec. Litig.* (\$27 million recovery). Most recently, Alvarez was a member of the litigation team that secured a historic recovery on behalf of Trump University students in two class actions against President Donald J. Trump. The settlement provides \$25 million to approximately 7,000 consumers. This result means individual class members are eligible for upwards of \$35,000 in restitution. He represented the class on a *pro bono* basis.

Prior to joining the Firm, Alvarez served as an Assistant United States Attorney for the Southern District of California from 1991-2003. As an Assistant United States Attorney, he obtained extensive trial experience, including the prosecution of bank fraud, money laundering, and complex narcotics conspiracy cases. During his tenure as an Assistant United States Attorney, Alvarez also briefed and argued numerous appeals before the Ninth Circuit Court of Appeals.

Education

B.A., University of California, Berkeley, 1984; J.D., University of California, Berkeley, Boalt Hall School of Law, 1987

Honors / Awards

Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2020

Dory P. Antullis | Partner

Dory Antullis is a partner in the Firm's Boca Raton office and has been practicing law for 17 years, first at a major defense firm and the last 9-1/2 at Robbins Geller. Her practice focuses on complex class actions, including consumer fraud, RICO, public nuisance, data breach, pharmaceuticals, and antitrust litigation.

Antullis, along with other Robbins Geller attorneys, is currently leading the effort on behalf of cities and counties around the country in *In re Nat'l Prescription Opiate Litig.*, No. 1:17-MD-2804 (N.D. Ohio). She also serves as a primary counsel for named plaintiffs in the consolidated Third Party Payer class action in *In re Zantac (Ranitidine) Prods. Liab. Litig.*, No. 9:20-md-02924-RLR (S.D. Fla.), and is as a core member of the MDL Class Committee responsible for drafting, defending, and proving products liability, RICO, and consumer protection allegations on behalf of both TPPs and consumers nationwide.

Antullis has been an integral part of Robbins Geller's history of successful privacy and data breach class action cases. She is currently serving as Interim Co-Lead Class Counsel in *In re Luxottica of America, Inc. Data Breach Litig.*, No. 1:20-cv-00908-MRB (S.D. Ohio). Her heavy lifting at every stage of the litigation in *In re Yahoo! Inc. Customer Data Sec. Breach Litig.*, No. 5:16-md-02752-LHK (N.D. Cal.), helped to secure a \$117.5 million recovery in the largest data breach in history. Antullis successfully defeated two rounds of dispositive briefing, worked with leadership and computer privacy and damages experts to plan a winning strategy for the case, and drafted an innovative motion for class certification that immediately preceded a successful mediation with defendants in that litigation. Antullis also provided meaningful "nuts-and-bolts" support in other data breach class actions, including *In re Am. Med. Collection Agency, Inc., Customer Data Sec. Breach Litig.*, No. 2:19-md-02904-MCA-MAH (D.N.J.) (representing class of LabCorp customers), and *In re Solara Med. Supplies Customer Data Breach Litig.*, No. 3:19-cv-02284-H-KSC (S.D. Cal.) (representing victims of a protected health information data breach).

Education

B.A., Rice University, 1999; J.D., Columbia Law School, 2003

Honors / Awards

500 Leading Plaintiff Consumer Lawyer, *Lawdragon*, 2022; National Merit Scholar, Rice University; Golden Key National Honor Society, Rice University; Nominated for *The Rice Undergraduate* academic journal, Rice University; Michael I. Sovern Scholar, Columbia Law School; Hague Appeal for Peace, Committee for a Just and Effective Response to 9/11, Columbia Law School; Columbia Mediation and Political Asylum Clinics, Columbia Law School; Harlem Tutorial Program, Columbia Law School; Journal of Eastern European Law, Columbia Law School; Columbia Law Women's Association, Columbia Law School

Stephen R. Astley | Partner

Stephen Astley is a partner in the Firm's Boca Raton office. Astley devotes his practice to representing institutional and individual shareholders in their pursuit to recover investment losses caused by fraud. He has been lead counsel in numerous securities fraud class actions across the country, helping secure significant recoveries for his clients and investors. He was on the trial team that recovered \$60 million on behalf of investors in *City of Sterling Heights Gen. Emps.' Ret. Sys. v. Hospira, Inc.* Other notable representations include: *In re ADT Inc. S'holder Litig.* (Fla. Cir. Ct., 15th Jud. Cir.) (\$30 million settlement); *In re Red Hat, Inc. Sec. Litig.* (E.D.N.C.) (\$20 million settlement); *Eshe Fund v. Fifth Third Bancorp* (S.D. Ohio) (\$16 million); *City of St. Clair Shores Gen. Emps.' Ret. Sys. v. Lender Processing Servs., Inc.* (M.D. Fla.) (\$14 million); and *In re Synovus Fin. Corp.* (N.D. Ga.) (\$11.75 million).

Prior to joining the Firm, Astley was with the Miami office of Hunton & Williams, where he concentrated his practice on class action defense, including securities class actions and white collar criminal defense. Additionally, he represented numerous corporate clients accused of engaging in unfair and deceptive practices. Astley was also an active duty member of the United States Navy's Judge Advocate General's Corps where he was the Senior Defense Counsel for the Naval Legal Service Office Pearl Harbor Detachment. In that capacity, Astley oversaw trial operations for the Detachment and gained substantial first-chair trial experience as the lead defense counsel in over 75 courts-martial and administrative proceedings. Additionally, from 2002-2003, Astley clerked for the Honorable Peter T. Fay, U.S. Court of Appeals for the Eleventh Circuit.

Education

B.S., Florida State University, 1992; M. Acc., University of Hawaii at Manoa, 2001; J.D., University of Miami School of Law, 1997

Honors / Awards

J.D., *Cum Laude*, University of Miami School of Law, 1997; United States Navy Judge Advocate General's Corps., Lieutenant

A. Rick Atwood, Jr. | Partner

Rick Atwood is a partner in the Firm's San Diego office. As a recipient of the *California Lawyer* Attorney of the Year ("CLAY") Award for his work on behalf of shareholders, he has successfully represented shareholders in securities class actions, merger-related class actions, and shareholder derivative suits in federal and state courts in more than 30 jurisdictions. Through his litigation efforts at both the trial and appellate levels, Atwood has helped recover billions of dollars for public shareholders, including the largest post-merger common fund recoveries on record. He is also part of the Firm's SPAC Task Force, which is dedicated to rooting out and prosecuting fraud on behalf of injured investors in special purpose acquisition companies. Most recently, in *In re Dole Food Co., Inc. S'holder Litig.*, which went to trial in the Delaware Court of Chancery on claims of breach of fiduciary duty on behalf of Dole Food Co., Inc. shareholders, Atwood helped obtain \$148 million, the largest trial verdict ever in a class action challenging a merger transaction. He was also a key member of the litigation team in *In re Kinder Morgan, Inc. S'holders Litig.*, where he helped obtain an unprecedented \$200 million common fund for former Kinder Morgan shareholders, the largest merger & acquisition class action recovery in history.

Atwood also led the litigation team that obtained an \$89.4 million recovery for shareholders in *In re Del Monte Foods Co. S'holders Litig.*, after which the Delaware Court of Chancery stated that "it was only through the effective use of discovery that the plaintiffs were able to 'disturb[] the patina of normalcy surrounding the transaction.'" The court further commented that "Lead Counsel engaged in hard-nosed discovery to penetrate and expose problems with practices that Wall Street considered 'typical.'" One Wall Street banker even wrote in *The Wall Street Journal* that "Everybody does it, but Barclays is the one that got caught with their hand in the cookie jar Now everybody has to rethink how we conduct ourselves in financing situations." Atwood's other significant opinions include *Brown v. Brewer* (\$45 million recovery) and *In re Prime Hosp., Inc. S'holders Litig.* (\$25 million recovery).

Education

B.A., University of Tennessee, Knoxville, 1987; B.A., Katholieke Universiteit Leuven, Belgium, 1988; J.D., Vanderbilt School of Law, 1991

Honors / Awards

Best Lawyer in America, *Best Lawyers*®, 2023; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Recommended Lawyer, *The Legal 500*, 2017-2019; M&A Litigation Attorney of the Year in California, *Corporate International*, 2015; Super Lawyer, *Super Lawyers Magazine*, 2014-2017; Attorney of the Year, *California Lawyer*, 2012; B.A., Great Distinction, Katholieke Universiteit Leuven, Belgium, 1988; B.A., Honors, University of Tennessee, Knoxville, 1987; Authorities Editor, *Vanderbilt Journal of Transnational Law*, 1991

Aelish M. Baig | Partner

Aelish Marie Baig is a partner in the Firm's San Francisco office. She specializes in federal securities and consumer class actions. She focuses primarily on securities fraud litigation on behalf of individual and institutional investors, including state and municipal pension funds, Taft-Hartley funds, and private retirement and investment funds. Baig has litigated a number of cases through jury trial, resulting in multi-million dollar awards and settlements for her clients, and has prosecuted securities fraud, consumer, and derivative actions obtaining millions of dollars in recoveries against corporations such as Wells Fargo, Verizon, Celera, Pall, and Prudential.

Baig, along with co-counsel and a team of Robbins Geller attorneys, is currently leading the effort on behalf of cities and counties around the country in *In re National Prescription Opiate Litigation*. Earlier this year, Baig served as co-trial counsel in a federal bench trial in San Francisco in a case that had been selected as a bellwether in the multi-district litigation. The team achieved combined settlements of nearly \$70 million for San Francisco and more than \$5 billion nationally from multiple pharmaceutical companies who were defendants in the case. The Honorable Charles R. Breyer of the Northern District of California ruled that Walgreens, the only defendant remaining in the case, was liable for its role in the opioid crisis in San Francisco. An abatement trial for Walgreens will be held at a later date.

Baig has also been appointed to the Plaintiffs' Steering Committee in *In re Juul Labs, Inc., Marketing Sales Practices and Product Liability Litigation*, currently pending before the Honorable William H. Orrick in the Northern District of California. She serves on the expert and trial committees and represents, among others, one of the trial bellwethers. Baig and her team have recently completed discovery and are currently preparing for expert reports and trial. She has also been appointed by the Honorable Charles R. Breyer in the Northern District of California to the Plaintiffs' Steering Committee in *In re McKinsey & Co., Inc. National Prescription Opiate Consultant Litigation*.

Additionally, Baig prosecuted an action against Wells Fargo's directors and officers accusing the giant of engaging in the robo-signing of foreclosure papers so as to mass-process home foreclosures, a practice which contributed significantly to the 2008-2009 financial crisis. The resulting settlement was worth more than \$67 million in cash, corporate preventative measures, and new lending initiatives for residents of cities devastated by Wells Fargo's alleged unlawful foreclosure practices. Baig and a team of Robbins Geller attorneys recently obtained a \$62.5 million settlement in *Villella v. Chemical and Mining Company of Chile Inc.*, a securities class action against a Chilean mining company. The case alleged that Sociedad Química y Minera de Chile S.A. ("SQM") violated the Securities Exchange Act of 1934 by issuing materially false and misleading statements regarding the Company's failure to disclose that money from SQM was channeled illegally to electoral campaigns for Chilean politicians and political parties as far back as 2009. SQM had also filed millions of dollars' worth of fictitious tax receipts with Chilean authorities in order to conceal bribery payments from at least 2009 through fiscal 2014. Due to the company being based out of Chile and subject to Chilean law and rules, Baig and the Robbins Geller litigation team put together a multilingual litigation team with Chilean expertise. Baig was also part of the litigation and trial team in *White v. Cellco Partnership d/b/a Verizon Wireless*, which resulted in a \$25 million settlement and Verizon's agreement to an injunction restricting its ability to impose early termination fees in future subscriber agreements. She was also part of the team that prosecuted dozens of stock option backdating actions, securing tens of millions of dollars in cash recoveries as well as the implementation of comprehensive corporate governance enhancements for numerous companies victimized by their directors' and officers' fraudulent stock option backdating practices. Additionally, Baig prosecuted an action against Prudential Insurance for its alleged failure to pay life insurance benefits to beneficiaries of policyholders it knew or had reason to know had died, resulting in a settlement in excess of \$30 million.

Education

B.A., Brown University, 1992; J.D., Washington College of Law at American University, 1998

Honors / Awards

Leading Lawyer in America, *Lawdragon*, 2020-2023; Best Lawyer in America: One to Watch, *Best Lawyers*®, 2021-2023; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; 500 Leading Plaintiff Consumer Lawyer, *Lawdragon*, 2022; Plaintiffs' Lawyers Trailblazer, *The National Law Journal*, 2021; Best Lawyer in Northern California: One to Watch, *Best Lawyers*®, 2021; Featured in "Lawyer Limelight" series, *Lawdragon*, 2020; Litigation Trailblazer, *The National Law Journal*, 2019; California Trailblazer, *The Recorder*, 2019; Super Lawyer, *Super Lawyers Magazine*, 2012-2013; J.D., *Cum Laude*, Washington College of Law at American University, 1998; Senior Editor, *Administrative Law Review*, Washington College of Law at American University

Randall J. Baron | Partner

Randy Baron is a partner in the Firm's San Diego office. He specializes in securities litigation, corporate takeover litigation, and breach of fiduciary duty actions. For almost two decades, Baron has headed up a team of lawyers whose accomplishments include obtaining instrumental rulings both at injunction and trial phases, and establishing liability of financial advisors and investment banks. With an in-depth understanding of merger and acquisition and breach of fiduciary duty law, an ability to work under extreme time pressures, and the experience and willingness to take a case through trial, he has been responsible for recovering more than a billion dollars for shareholders.

Notable achievements over the years include: *In re Kinder Morgan, Inc. S'holders Litig.* (Kan. Dist. Ct., Shawnee Cnty.), where Baron obtained an unprecedented \$200 million common fund for former Kinder Morgan shareholders, the largest merger & acquisition class action recovery in history; *In re Dole Food Co., Inc. S'holder Litig.* (Del. Ch.), where he went to trial in the Delaware Court of Chancery on claims of breach of fiduciary duty on behalf of Dole Food Co., Inc. shareholders and obtained \$148 million, the largest trial verdict ever in a class action challenging a merger transaction; and *In re Rural/Metro Corp. S'holders Litig.* (Del. Ch.), where Baron and co-counsel obtained nearly \$110 million total recovery for shareholders against Royal Bank of Canada Capital Markets LLC. In *In re Del Monte Foods Co. S'holders Litig.* (Del. Ch.), he exposed the unseemly practice by investment bankers of participating on both sides of large merger and acquisition transactions and ultimately secured an \$89 million settlement for shareholders of Del Monte. Baron was one of the lead attorneys representing about 75 public and private institutional investors that filed and settled individual actions in *In re WorldCom Sec. Litig.* (S.D.N.Y.), where more than \$657 million was recovered, the largest opt-out (non-class) securities action in history. Most recently, Baron successfully obtained a partial settlement of \$60 million in *In re Tesla Motors, Inc. S'holder Litig.*, a case that alleged that the members of the Tesla Board of Directors breached their fiduciary duties, unjustly enriched themselves, and wasted corporate assets in connection with their approval of Tesla's acquisition of SolarCity Corp. in 2016.

Education

B.A., University of Colorado at Boulder, 1987; J.D., University of San Diego School of Law, 1990

Honors / Awards

Fellow, Advisory Board, Litigation Counsel of America (LCA); Rated Distinguished by Martindale-Hubbell; Lawyer of the Year: Derivatives and Futures Law, *Best Lawyers*®, 2023; Best Lawyer in America, *Best Lawyers*®, 2019-2023; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Hall of Fame, *The Legal 500*, 2020-2022; Leading Lawyer, *Chambers USA*, 2016-2022; Plaintiffs' Lawyer Trailblazer, *The National Law Journal*, 2022; Leading Lawyer in America, *Lawdragon*, 2011, 2017-2019, 2021-2022; Southern California Best Lawyer, *Best Lawyers*®, 2019-2021; Super Lawyer, *Super Lawyers Magazine*, 2014-2016, 2018-2020; National Practice Area Star, *Benchmark Litigation*, 2019-2020; Local Litigation Star, *Benchmark Litigation*, 2018, 2020; Leading Lawyer, *The Legal 500*, 2014-2019; Litigation Star, *Benchmark Litigation*, 2016-2019; California Star, *Benchmark Litigation*, 2019; State Litigation Star, *Benchmark Litigation*, 2019; Winning Litigator, *The National Law Journal*, 2018; Titan of the Industry, *The American Lawyer*, 2018; Recommended Lawyer, *The Legal 500*, 2017; Mergers & Acquisitions Trailblazer, *The National Law Journal*, 2015-2016; Litigator of the Week, *The American Lawyer*, October 16, 2014; Attorney of the Year, *California Lawyer*, 2012; Litigator of the Week, *The American Lawyer*, October 7, 2011; J.D., *Cum Laude*, University of San Diego School of Law, 1990

James E. Barz | Partner

James Barz is a partner with the Firm and manages the Firm's Chicago office. He has tried 18 cases to verdict, conducted numerous evidentiary hearings, drafted many appeals, and argued 9 cases in the Seventh Circuit. Barz is a registered CPA, former federal prosecutor, and an adjunct professor at Northwestern University School of Law from 2008 to 2021, teaching courses on trial advocacy and class action litigation.

Barz has focused on representing investors in securities fraud class actions that have resulted in recoveries of over \$2 billion. Most recently, Barz was lead counsel in *In re Valeant Pharms. Int'l, Inc. Sec. Litig.*, and secured a \$1.21 billion recovery for investors, a case that *Vanity Fair* reported as "the corporate scandal of its era" that had raised "fundamental questions about the functioning of our health-care system, the nature of modern markets, and the slippery slope of ethical rationalizations." This is the largest securities class action settlement against a pharmaceutical manufacturer and the ninth largest securities class action settlement ever. Barz was recognized as a Litigator of the Week by *The American Lawyer* for his work in *In re Valeant Pharms. Int'l, Inc. Sec. Litig.*

Barz has also secured substantial recoveries for investors in *HCA* (\$215 million, M.D. Tenn.); *Motorola* (\$200 million, N.D. Ill.); *Sprint* (\$131 million, D. Kan.); *Orbital ATK* (\$108 million, E.D. Va.); *Psychiatric Solutions* (\$65 million, M.D. Tenn.); *Dana Corp.* (\$64 million, N.D. Ohio); *Hospira* (\$60 million, N.D. Ill.); *Career Education* (\$27.5 million, N.D. Ill.); *Accretive Health* (\$14 million, N.D. Ill.); *LJM Funds Management, Ltd.* (\$12.85 million, N.D. Ill.); and *Camping World* (\$12.5 million). He has been lead trial counsel in several of these cases obtaining favorable settlements just days or weeks before trial and after obtaining denials of summary judgment. Barz also handles whistleblower cases, including successful settlements in *United States v. Signature Healthcare LLC* (M.D. Tenn.) (\$30 million) and *Goodman v. Arriva Medical LLC* (M.D. Tenn.) (\$160 million settlement with government and \$28.5 million award to whistleblower). Barz also handles antitrust cases, including currently serving on the Plaintiffs' Steering Committee in *In re Dealer Management Systems Antitrust Litigation* (N.D. Ill.).

Education

B.B.A., Loyola University Chicago, School of Business Administration, 1995; J.D., Northwestern University School of Law, 1998

Honors / Awards

Best Lawyer in America: One to Watch, *Best Lawyers®*, 2023; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Midwest Trailblazer, *The American Lawyer*, 2022; Award for Excellence in Pro Bono Service, United States District Court for the Northern District of Illinois, 2021; Litigator of the Week, *The American Lawyer*, 2021; Super Lawyer, *Super Lawyers Magazine*, 2018-2021; Leading Lawyer, Law Bulletin Media, 2018; B.B.A., *Summa Cum Laude*, Loyola University Chicago, School of Business Administration, 1995; J.D., *Cum Laude*, Northwestern University School of Law, 1998

Lea Malani Bays | Partner

Lea Malani Bays is a partner in the Firm's San Diego office. She focuses on e-discovery issues, from preservation through production, and provides counsel to the Firm's multi-disciplinary e-discovery team consisting of attorneys, forensic analysts, and database professionals. Through her role as counsel to the e-discovery team, Bays is very familiar with the various stages of e-discovery, including identification of relevant electronically stored information, data culling, predictive coding protocols, privilege, and responsiveness reviews, as well as having experience in post-production discovery through trial preparation. Through speaking at various events, she is also a leader in shaping the broader dialogue on e-discovery issues.

Bays was recently part of the litigation team that earned the approval of a \$131 million settlement in favor of plaintiffs in *Bennett v. Sprint Nextel Corp.* The settlement, which resolved claims arising from Sprint Corporation's ill-fated merger with Nextel Communications in 2005, represents a significant recovery for the plaintiff class, achieved after five years of tireless effort by the Firm. Prior to joining Robbins Geller, Bays was a Litigation Associate at Kaye Scholer LLP's New York office. She has experience in a wide range of litigation, including complex securities litigation, commercial contract disputes, business torts, antitrust, civil fraud, and trust and estate litigation.

Education

B.A., University of California, Santa Cruz, 1997; J.D., New York Law School, 2007

Honors / Awards

Leading Lawyer, *Chambers USA*, 2019-2022; J.D., *Magna Cum Laude*, New York Law School, 2007; Executive Editor, *New York Law School Law Review*; Legal Aid Society's Pro Bono Publico Award; NYSBA Empire State Counsel; Professor Stephen J. Ellmann Clinical Legal Education Prize; John Marshall Harlan Scholars Program, Justice Action Center

Nathan W. Bear | Partner

Nate Bear is a partner in the Firm's San Diego office. Bear advises institutional investors on a global basis. His clients include Taft-Hartley funds, public and multi-employer pension funds, fund managers, insurance companies, and banks around the world. He counsels clients on securities fraud and corporate governance, and frequently speaks at conferences worldwide. Bear has been part of Robbins Geller litigation teams which have recovered over \$1 billion for investors, including *In re Cardinal Health, Inc. Sec. Litig.* (\$600 million) and *Jones v. Pfizer Inc.* (\$400 million). In addition to initiating securities fraud class actions in the United States, he possesses direct experience in Australian class actions, potential group actions in the United Kingdom, settlements in the European Union under the Wet Collectieve Afwikkeling Massaschade (WCAM), the Dutch Collective Mass Claims Settlement Act, as well as representative actions in Germany utilizing the Kapitalanlegermusterverfahrensgesetz (KapMuG), the Capital Market Investors' Model Proceeding Act. In *Abu Dhabi Commercial Bank v. Morgan Stanley & Co. Inc.*, Bear was a member of the litigation team which achieved the first major ruling upholding fraud allegations against the chief credit rating agencies. That ruling led to the filing of a similar case, *King County, Washington v. IKB Deutsche Industriebank AG*. These cases, arising from the fraudulent ratings of bonds issued by the Cheyne and Rhinebridge structured investment vehicles, ultimately obtained landmark settlements – on the eve of trial – from the major credit rating agencies and Morgan Stanley. Bear maintained an active role in litigation at the heart of the worldwide financial crisis, and pursued banks over their manipulation of LIBOR, FOREX, and other benchmark rates. Additionally, Bear represents investors damaged by the defeat device scandal enveloping German automotive manufacturers, including Volkswagen, Porsche, and Daimler.

Education

B.A., University of California at Berkeley, 1998; J.D., University of San Diego School of Law, 2006

Honors / Awards

Rising Star, *Super Lawyers Magazine*, 2015-2016; "Outstanding Young Attorneys," *San Diego Daily Transcript*, 2011

Alexandra S. Bernay | Partner

Xan Bernay is a partner in the Firm's San Diego office, where she specializes in antitrust and unfair competition class-action litigation. She has also worked on some of the Firm's largest securities fraud class actions, including the *Enron* litigation, which recovered an unprecedented \$7.2 billion for investors. Bernay currently serves as co-lead counsel in *In re Payment Card Interchange Fee and Merchant Discount Antitrust Litig.*, in which a settlement of \$5.5 billion was approved in the Eastern District of New York. This case was brought on behalf of millions of U.S. merchants against Visa and MasterCard and various card-issuing banks, challenging the way these companies set and collect tens of billions of dollars annually in merchant fees. The settlement is believed to be the largest antitrust class action settlement of all time.

Additionally, Bernay is involved in *In re Remicade Antitrust Litig.* pending in the Eastern District of Pennsylvania – a large case involving anticompetitive conduct in the biosimilars market, where the Firm is sole lead counsel for the end-payor plaintiffs. She is also part of the litigation team in *In re Dealer Mgmt. Sys. Antitrust Litig.* (N.D. Ill.), which involves anticompetitive conduct related to dealer management systems on behalf of auto dealerships across the country. Another representative case is *Persian Gulf Inc. v. BP West Coast Prods. LLC* (S.D. Cal.), a massive case against the largest gas refiners in the world brought by gasoline station owners who allege they were overcharged for gasoline in California as a result of anticompetitive conduct.

Education

B.A., Humboldt State University, 1997; J.D., University of San Diego School of Law, 2000

Honors / Awards

Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Litigator of the Week, *Global Competition Review*, October 1, 2014

Kenneth J. Black | Partner

Kenneth Black is a partner in the Firm's San Francisco office, where his practice focuses on complex securities litigation and shareholder derivative litigation. Before joining the Firm, Black was a Sanctions Investigator at the Office of Foreign Assets Control, U.S. Treasury Department, where he investigated and assembled the evidentiary cases against targets of U.S. financial sanctions, and tracked the finances and assets of those targets.

Education

B.A., University of Michigan, 2004; M.A., American University, 2007; J.D., University of Michigan School of Law, 2013

Honors / Awards

Comments Editor, *Michigan Journal of Private Equity & Venture Capital Law*, University of Michigan School of Law

Erin W. Boardman | Partner

Erin Boardman is a partner in the Firm's Melville office, where her practice focuses on representing individual and institutional investors in class actions brought pursuant to the federal securities laws. She has been involved in the prosecution of numerous securities class actions that have resulted in millions of dollars in recoveries for defrauded investors, including: *Medoff v. CVS Caremark Corp.* (D.R.I.) (\$48 million recovery); *Construction Laborers Pension Tr. of Greater St. Louis v. Autoliv Inc.* (S.D.N.Y.) (\$22.5 million recovery); *In re Gildan Activewear Inc. Sec. Litig.* (S.D.N.Y.) (resolved as part of a \$22.5 million global settlement); *In re L.G. Phillips LCD Co., Ltd., Sec. Litig.* (S.D.N.Y.) (\$18 million recovery); *In re Giant Interactive Grp., Inc. Sec. Litig.* (S.D.N.Y.) (\$13 million recovery); *In re Coventry HealthCare, Inc. Sec. Litig.* (D. Md.) (\$10 million recovery); *Lenartz v. American Superconductor Corp.* (D. Mass.) (\$10 million recovery); *Dudley v. Haub* (D.N.J.) (\$9 million recovery); *Hildenbrand v. W Holding Co.* (D.P.R.) (\$8.75 million recovery); *In re Doral Fin. Corp. Sec. Litig.* (D.P.R.) (\$7 million recovery); and *Van Dongen v. CNinsure Inc.* (S.D.N.Y.) (\$6.625 million recovery). During law school, Boardman served as Associate Managing Editor of the *Journal of Corporate, Financial and Commercial Law*, interned in the chambers of the Honorable Kiyo A. Matsumoto in the United States District Court for the Eastern District of New York, and represented individuals on a *pro bono* basis through the Workers' Rights Clinic.

Education

B.A., State University of New York at Binghamton, 2003; J.D., Brooklyn Law School, 2007

Honors / Awards

Super Lawyer, *Super Lawyers Magazine*, 2022; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2022; Rising Star, *Super Lawyers Magazine*, 2015-2018; B.A., *Magna Cum Laude*, State University of New York at Binghamton, 2003

Douglas R. Britton | Partner

Doug Britton is a partner in the Firm's San Diego office. His practice focuses on securities fraud and corporate governance. Britton has been involved in settlements exceeding \$1 billion and has secured significant corporate governance enhancements to improve corporate functioning. Notable achievements include *In re WorldCom, Inc. Sec. & "ERISA" Litig.*, where he was one of the lead partners that represented a number of opt-out institutional investors and secured an unprecedented recovery of \$651 million; *In re SureBeam Corp. Sec. Litig.*, where he was the lead trial counsel and secured an impressive recovery of \$32.75 million; and *In re Amazon.com, Inc. Sec. Litig.*, where he was one of the lead attorneys securing a \$27.5 million recovery for investors.

Education

B.B.A., Washburn University, 1991; J.D., Pepperdine University School of Law, 1996

Honors / Awards

J.D., *Cum Laude*, Pepperdine University School of Law, 1996

Luke O. Brooks | Partner

Luke Brooks is a partner in the Firm's securities litigation practice group in the San Diego office. He focuses primarily on securities fraud litigation on behalf of individual and institutional investors, including state and municipal pension funds, Taft-Hartley funds, and private retirement and investment funds. Brooks served as trial counsel in *Jaffe v. Household International* in the Northern District of Illinois, a securities class action that obtained a record-breaking \$1.575 billion settlement after 14 years of litigation, including a six-week jury trial in 2009 that resulted in a verdict for plaintiffs. Other prominent cases recently prosecuted by Brooks include *Fort Worth Emps.' Ret. Fund v. J.P. Morgan Chase & Co.*, in which plaintiffs recovered \$388 million for investors in J.P. Morgan residential mortgage-backed securities, and a pair of cases – *Abu Dhabi Commercial Bank v. Morgan Stanley & Co. Inc.* (“Cheyne”) and *King County, Washington, et al. v. IKB Deutsche Industriebank AG* (“Rhinebridge”) – in which plaintiffs obtained a settlement, on the eve of trial in Cheyne, from the major credit rating agencies and Morgan Stanley arising out of the fraudulent ratings of bonds issued by the Cheyne and Rhinebridge structured investment vehicles. *Reuters* described the settlement as a “landmark” deal and emphasized that it was the “first time S&P and Moody’s have settled accusations that investors were misled by their ratings.” An article published in *Rolling Stone* magazine entitled “The Last Mystery of the Financial Crisis” similarly credited Robbins Geller with uncovering “a mountain of evidence” detailing the credit rating agencies’ fraud. Most recently, Brooks served as lead counsel in *Smilovits v. First Solar, Inc.*, and obtained a \$350 million settlement on the eve of trial. The settlement is fifth-largest PSLRA settlement ever recovered in the Ninth Circuit.

Education

B.A., University of Massachusetts at Amherst, 1997; J.D., University of San Francisco, 2000

Honors / Awards

Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Local Litigation Star, *Benchmark Litigation*, 2017-2018, 2020; California Star, *Benchmark Litigation*, 2019; State Litigation Star, *Benchmark Litigation*, 2019; Recommended Lawyer, *The Legal 500*, 2017-2018; Member, *University of San Francisco Law Review*, University of San Francisco

Spencer A. Burkholz | Partner

Spence Burkholz is a partner in the Firm's San Diego office and a member of the Firm's Executive and Management Committees. He has 25 years of experience in prosecuting securities class actions and private actions on behalf of large institutional investors. Burkholz was one of the lead trial attorneys in *Jaffe v. Household International* in the Northern District of Illinois, a securities class action that obtained a record-breaking \$1.575 billion settlement after 14 years of litigation, including a six-week jury trial in 2009 that resulted in a verdict for plaintiffs. Burkholz has also recovered billions of dollars for injured shareholders in cases such as *Enron* (\$7.2 billion), *WorldCom* (\$657 million), *Countrywide* (\$500 million), and *Qwest* (\$445 million).

Education

B.A., Clark University, 1985; J.D., University of Virginia School of Law, 1989

Honors / Awards

Rated AV Preeminent by Martindale-Hubbell; Leading Lawyer in America, *Lawdragon*, 2018-2023; Best Lawyer in America, *Best Lawyers*®, 2018-2023; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Plaintiffs' Lawyer Trailblazer, *The National Law Journal*, 2020, 2022; Top Lawyer in San Diego, *San Diego Magazine*, 2013-2021; Southern California Best Lawyer, *Best Lawyers*®, 2018-2021; Super Lawyer, *Super Lawyers Magazine*, 2015-2016, 2020; Top 100 Trial Lawyer, *Benchmark Litigation*, 2018-2020; National Practice Area Star, *Benchmark Litigation*, 2020; Local Litigation Star, *Benchmark Litigation*, 2015-2018, 2020; Lawyer of the Year, *Best Lawyers*®, 2020; Recommended Lawyer, *The Legal 500*, 2017-2019; Top 20 Trial Lawyer in California, *Benchmark Litigation*, 2019; California Star, *Benchmark Litigation*, 2019; State Litigation Star, *Benchmark Litigation*, 2019; Plaintiff Attorney of the Year, *Benchmark Litigation*, 2018; B.A., *Cum Laude*, Clark University, 1985; *Phi Beta Kappa*, Clark University, 1985

Michael G. Capeci | Partner

Michael Capeci is a partner in the Firm's Melville office. His practice focuses on prosecuting complex securities class action lawsuits in federal and state courts. Throughout his tenure with the Firm, Capeci has played an integral role in the teams prosecuting cases such as: *In re BHP Billiton Ltd. Sec. Litig.* (\$50 million recovery); *Galestan v. OneMain Holdings, Inc.* (\$9 million recovery); *Carpenters Pension Tr. Fund of St. Louis v. Barclays PLC* (\$14 million recovery); *City of Pontiac General Emps.' Ret. Sys. v. Lockheed Martin Corp.* (\$19.5 million recovery); and *Plumbers and Pipefitters Local Union No. 630 Pension-Annuity Tr. Fund v. Arbitron Inc.* (\$7 million recovery). Capeci is currently prosecuting numerous cases in federal and state courts alleging violations of the Securities Exchange Act of 1934 and the Securities Act of 1933. Recently, Michael led the litigation team that achieved the first settlement of a 1933 Act claim in New York state court, *In re EverQuote, Inc. Sec. Litig.* (\$4.75 million recovery), following the U.S. Supreme Court's landmark decision in *Cyan, Inc. v. Beaver Cnty. Emps. Ret. Fund* in 2018.

Education

B.S., Villanova University, 2007; J.D., Hofstra University School of Law, 2010

Honors / Awards

Super Lawyer, *Super Lawyers Magazine*, 2022; Rising Star, *Super Lawyers Magazine*, 2014-2021; J.D., *Cum Laude*, Hofstra University School of Law, 2010

Jennifer N. Caringal | Partner

Jennifer Caringal is a partner in the Firm's San Diego office, where her practice focuses on complex securities litigation. She is also part of the Firm's SPAC Task Force, which is dedicated to rooting out and prosecuting fraud on behalf of injured investors in special purpose acquisition companies.

Caringal served as lead counsel in *In re Am. Realty Cap. Props., Inc. Litig.*, a case arising out of ARCP's manipulative accounting practices, and obtained a \$1.025 billion recovery. For five years, she and the litigation team prosecuted nine different claims for violations of the Securities Exchange Act of 1934 and the Securities Act of 1933, involving seven different stock or debt offerings and two mergers. The recovery represents the highest percentage of damages of any major PSLRA case prior to trial and includes the largest personal contributions by individual defendants in history.

Education

B.A., University of Illinois, 2006; J.D., Washington University in St. Louis, School of Law, 2012

Honors / Awards

Best Lawyer in America: One to Watch, *Best Lawyers*®, 2021-2023; They've Got Next: The 40 Under 40, *Bloomberg Law*, 2022; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2022; Rising Star, *Super Lawyers Magazine*, 2021; Best Lawyer in Southern California: One to Watch, *Best Lawyers*®, 2021

Brian E. Cochran | Partner

Brian Cochran is a partner in the Firm's San Diego office. He focuses his practice on complex securities, shareholder, consumer protection, and ERISA litigation. Cochran is also a member of Robbins Geller's SPAC Task Force. Cochran specializes in case investigation and initiation and lead plaintiff issues arising under the Private Securities Litigation Reform Act of 1995. He has developed dozens of cases under the federal securities laws and recovered hundreds of millions of dollars for injured investors and consumers. Several of Cochran's cases have pioneered new ground, such as cases on behalf of cryptocurrency investors, and sparked follow-on governmental investigations into corporate malfeasance. Cochran has spearheaded litigation on behalf of injured investors in blank check companies, developing one of the first securities class actions arising from the latest wave of blank check financing, *Alta Mesa Resources*. On March 31, 2021, the United States District Court for the Southern District of Texas denied defendants' motions to dismiss in their entirety.

Brian was a member of the litigation team that achieved a \$1.21 billion settlement in the *Valeant Pharmaceuticals* securities litigation. Brian also developed the *Dynamic Ledger* securities litigation, one of the first cases to challenge a cryptocurrency issuer's failure to register under the federal securities laws, which settled for \$25 million. In addition, Brian was part of the team that secured a historic \$25 million settlement on behalf of Trump University students, which Brian prosecuted on a *pro bono* basis. Other notable recoveries include: *Walgreens* (\$105 million, subject to court approval); *Scotts Miracle-Gro* (up to \$85 million); *Psychiatric Solutions* (\$65 million); *SQM Chemical & Mining Co. of Chile* (\$62.5 million); *Grubhub* (\$42 million, subject to court approval); *Big Lots* (\$38 million); *Credit Suisse* (\$32.5 million, subject to court approval); *DouYu* (\$15 million, subject to court approval); *REV Group* (\$14.25 million); *Fifth Street Finance* (\$14 million); *Third Avenue Management* (\$14 million); *LJM* (\$12.85 million); *Sealed Air* (\$12.5 million, subject to court approval); *Camping World* (\$12.5 million); *FTS International* (\$9.875 million); and *JPMorgan ERISA* (\$9 million).

Education

A.B., Princeton University, 2006; J.D., University of California at Berkeley School of Law, Boalt Hall, 2012

Honors / Awards

Next Generation Partner, *The Legal 500*, 2020-2022; 40 & Under Hot List, *Benchmark Litigation*, 2021; Rising Star, *Super Lawyers Magazine*, 2020-2021; Rising Star, *The Legal 500*, 2019; A.B., With Honors, Princeton University, 2006; J.D., Order of the Coif, University of California at Berkeley School of Law, Boalt Hall, 2012

Sheri M. Coverman | Partner

Sheri Coverman is a partner in the Firm's Boca Raton office. Her practice focuses on complex class actions, including securities, corporate governance, and consumer fraud litigation.

Coverman is a member of the Firm's Institutional Outreach Team, which provides advice to the Firm's institutional clients, including numerous public pension systems and Taft-Hartley funds throughout the United States, on issues related to corporate fraud, shareholder litigation, and corporate governance issues. Coverman frequently addresses trustees regarding their options for seeking redress for losses due to violations of securities laws and assists in ongoing litigation involving many Firm clients. Coverman's institutional clients are also involved in other types of class actions, namely: *In re National Prescription Opiate Litigation*.

Education

B.A., University of Florida, 2008; J.D., University of Florida Levin College of Law, 2011

Desiree Cummings | Partner

Desiree Cummings is a partner with the Firm and is based in the Manhattan office. Cummings focuses her practice on complex securities litigation, consumer and privacy litigation, and breach of fiduciary duty actions.

Before joining Robbins Geller, Cummings spent several years prosecuting securities fraud as an Assistant Attorney General with the New York State Office of the Attorney General's Investor Protection Bureau. As an Assistant Attorney General, Cummings was instrumental in the office's investigation and prosecution of J.P. Morgan and Goldman Sachs in connection with the marketing, sale and issuance of residential mortgage-backed securities, resulting in recoveries worth over \$1.6 billion for the State of New York. In connection with investigating and prosecuting securities fraud as part of a federal and state RMBS Working Group, Cummings was awarded the Louis J. Lefkowitz Award for Exceptional Service. Cummings began her career as a litigator at Paul, Weiss, Rifkind, Wharton & Garrison LLP where she spent several years representing major financial institutions, a pharmaceutical manufacturer, and public and private companies in connection with commercial litigations and state and federal regulatory investigations.

At Robbins Geller, Cummings represents institutional and individual investors in securities and breach of fiduciary duty cases. Cummings also represents consumers and serves on the Plaintiffs' Steering Committee in *In re Blackbaud Inc. Customer Data Security Breach Litigation*, a data breach multi-district litigation pending in the United States District Court for the District of South Carolina.

Education

B.A., Binghamton University, 2001, *cum laude*; J.D., University of Michigan Law School, 2004

Honors / Awards

Leading Lawyer in America, *Lawdragon*, 2023; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2022; Louis J. Lefkowitz Award for Exceptional Service, New York State Office of the Attorney General, 2012

Joseph D. Daley | Partner

Joseph Daley is a partner in the Firm's San Diego office, serves on the Firm's Securities Hiring Committee, and is a member of the Firm's Appellate Practice Group. Precedents include: *City of Birmingham Ret. & Relief Sys. v. Davis*, 806 F. App'x 17 (2d Cir. 2020); *City of Providence v. Bats Glob. Mkts., Inc.*, 878 F.3d 36 (2d Cir. 2017); *DeJulius v. New Eng. Health Care Emps. Pension Fund*, 429 F.3d 935 (10th Cir. 2005); *Frank v. Dana Corp. ("Dana I")*, 547 F.3d 564 (6th Cir. 2008); *Frank v. Dana Corp. ("Dana II")*, 646 F.3d 954 (6th Cir. 2011); *Freidus v. Barclays Bank PLC*, 734 F.3d 132 (2d Cir. 2013); *In re HealthSouth Corp. Sec. Litig.*, 334 F. App'x 248 (11th Cir. 2009); *In re Merck & Co. Sec., Derivative & ERISA Litig.*, 493 F.3d 393 (3d Cir. 2007); *In re Quality Sys., Inc. Sec. Litig.*, 865 F.3d 1130 (9th Cir. 2017); *In re Qwest Commc'ns Int'l*, 450 F.3d 1179 (10th Cir. 2006); *Luther v. Countrywide Home Loans Servicing LP*, 533 F.3d 1031 (9th Cir. 2008); *NECA-IBEW Health & Welfare Fund v. Goldman Sachs & Co.*, 693 F.3d 145 (2d Cir. 2012); *Rosenbloom v. Pyott ("Allergan")*, 765 F.3d 1137 (9th Cir. 2014); *Silverman v. Motorola Solutions, Inc.*, 739 F.3d 956 (7th Cir. 2013); *Siracusano v. Matrixx Initiatives, Inc.*, 585 F.3d 1167 (9th Cir. 2009), *aff'd*, 563 U.S. 27 (2011); and *Southland Sec. Corp. v. INSpire Ins. Solutions Inc.*, 365 F.3d 353 (5th Cir. 2004). Daley is admitted to practice before the U.S. Supreme Court, as well as before 12 U.S. Courts of Appeals around the nation.

Education

B.S., Jacksonville University, 1981; J.D., University of San Diego School of Law, 1996

Honors / Awards

Seven-time Super Lawyer, *Super Lawyers Magazine*; Appellate Moot Court Board, Order of the Barristers, University of San Diego School of Law; Best Advocate Award (Traynore Constitutional Law Moot Court Competition), First Place and Best Briefs (Alumni Torts Moot Court Competition and USD Jessup International Law Moot Court Competition)

Patrick W. Daniels | Partner

Patrick Daniels is a founding and managing partner in the Firm's San Diego office. He is widely recognized as a leading corporate governance and investor advocate. *Daily Journal*, the leading legal publisher in California, named him one of the 20 most influential lawyers in California under 40 years of age. Additionally, the Yale School of Management's Millstein Center for Corporate Governance and Performance awarded Daniels its "Rising Star of Corporate Governance" honor for his outstanding leadership in shareholder advocacy and activism.

Daniels is an advisor to political and financial leaders throughout the world. He counsels private and state government pension funds and fund managers in the United States, United Arab Emirates, United Kingdom, the Netherlands, and other countries within the European Union on issues related to corporate fraud in the United States securities markets and "best practices" in the corporate governance of publicly traded companies. Daniels has represented dozens of institutional investors in some of the largest and most significant shareholder actions, including *Enron*, *WorldCom*, *AOL Time Warner*, *BP*, *Pfizer*, *Countrywide*, *Petrobras*, and *Volkswagen*, to name just a few. In the wake of the financial crisis, he represented dozens of investors in structured investment products in ground-breaking actions against the ratings agencies and Wall Street banks that packaged and sold supposedly highly rated shoddy securities to institutional investors all around the world.

Education

B.A., University of California, Berkeley, 1993; J.D., University of San Diego School of Law, 1997

Honors / Awards

Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Rising Star of Corporate Governance, Yale School of Management's Milstein Center for Corporate Governance & Performance, 2008; One of the 20 Most Influential Lawyers in the State of California Under 40 Years of Age, *Daily Journal*; B.A., *Cum Laude*, University of California, Berkeley, 1993

Stuart A. Davidson | Partner

Stuart Davidson is a partner in the Firm's Boca Raton office. His practice focuses on complex consumer class actions, including cases involving deceptive and unfair trade practices, privacy and data breach issues, and antitrust violations. He has served as class counsel in some of the nation's most significant privacy and consumer cases, including: *In re Facebook Biometric Information Privacy Litigation*, No. 3:15-cv-03747-JD (N.D. Cal.) (\$650 million recovery in a cutting-edge class action concerning Facebook's alleged privacy violations through its collection of user's biometric identifiers without informed consent); *In re Yahoo! Inc. Customer Data Security Breach Litigation*, No. 5:16-md-02752-LHK (N.D. Cal.) (\$117.5 million recovery in the largest data breach in history); *Kehoe v. Fidelity Federal Bank & Trust*, No. 9:03-cv-80593-DTKH (S.D. Fla.) (\$50 million recovery in Driver's Privacy Protection Act case on behalf of half-a-million Florida drivers against a national bank); *In re Sony Gaming Networks & Customer Data Security Breach Litigation*, No. 3:11-md-02258-AJB-MDD (S.D. Cal.) (settlement valued at \$15 million concerning the massive data breach of Sony's PlayStation Network); and *In re Solara Medical Supplies Data Breach Litigation*, No. 3:19-cv-02284-H-KSC (S.D. Cal.) (\$5 million all-cash settlement for victims of healthcare data breach).

Davidson currently serves as Plaintiffs' Co-Lead Counsel in *In re American Medical Collection Agency, Inc. Customer Data Security Breach Litigation*, No. 2:19-md-02904-MCA-MAH (D.N.J.) (representing class of

LabCorp customers), *Garner v. Amazon.com, Inc.*, No. 2:21-cv-00750-RSL (W.D. Wash.) (alleging Amazon's illegal wiretapping through Alexa-enabled devices), and *In re American Financial Resources, Inc. Data Breach Litigation*, No. 2:22-cv-01757-MCA-JSA (D.N.J.), and on Plaintiffs' Executive Committee in *In re Lakeview Loan Servicing Data Breach Litigation*, No. 1:22-cv-20955-DPG (S.D. Fla.).

Davidson also spearheaded several aspects of *In re EpiPen (Epinephrine Injection, USP) Marketing, Sales Practices & Antitrust Litigation*, No. 2:17-md-02785-DDC-TJJ (D. Kan.) (\$609 million total recovery achieved weeks prior to trial in certified class action alleging antitrust claims involving the illegal reverse payment settlement to delay the generic EpiPen, which allowed the prices of the life-saving EpiPen to rise over 600% in 9 years), and served as Plaintiffs' Co-Lead Counsel in *In re NHL Players' Concussion Injury Litigation*, No. 0:14-md-02551-SRN-BRT (D. Minn.) (representing retired National Hockey League players in multidistrict litigation suit against the NHL regarding injuries suffered due to repetitive head trauma and concussions), and in *In re Pet Food Products Liability Litigation*, No. 1:07-cv-02867-NLH-AMD (D.N.J.) (\$24 million recovery in multidistrict consumer class action on behalf of thousands of aggrieved pet owners nationwide against some of the nation's largest pet food manufacturers, distributors, and retailers). He also served as Plaintiffs' Co-Lead Counsel in *In re UnitedGlobalCom, Inc. Shareholder Litigation*, C.A. No. 1012-VCS (Del. Ch.) (\$25 million recovery weeks before trial); *In re Winn-Dixie Stores, Inc. Shareholder Litigation*, No. 16-2011-CA-010616 (Fla. Cir. Ct.) (\$11.5 million recovery for former Winn-Dixie shareholders following the corporate buyout by BI-LO); and *In re AuthenTec, Inc. Shareholder Litigation*, No. 5-2012-CA-57589 (Fla. Cir. Ct.) (\$10 million recovery for former AuthenTec shareholders following a merger with Apple). The latter two cases are the two largest merger and acquisition recoveries in Florida history.

Davidson is a former lead assistant public defender in the Felony Division of the Broward County, Florida Public Defender's Office. During his tenure at the Public Defender's Office, he tried over 30 jury trials and defended individuals charged with major crimes ranging from third-degree felonies to life and capital felonies.

Education

B.A., State University of New York at Geneseo, 1993; J.D., Nova Southeastern University Shepard Broad College of Law, 1996

Honors / Awards

Leading Lawyer in America, *Lawdragon*, 2023; Outstanding Antitrust Litigation Achievement in Private Law Practice, American Antitrust Institute, 2022; Super Lawyer, *Super Lawyers Magazine*, 2021-2022; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2020-2022; 500 Leading Plaintiff Consumer Lawyer, *Lawdragon*, 2022; One of "Florida's Most Effective Lawyers" in the Privacy category, American Law Media, 2020; J.D., *Summa Cum Laude*, Nova Southeastern University Shepard Broad College of Law, 1996; Associate Editor, *Nova Law Review*, Book Awards in Trial Advocacy, International Law, and Criminal Pretrial Practice

Jason C. Davis | Partner

Jason Davis is a partner in the Firm's San Francisco office where he practices securities class actions and complex litigation involving equities, fixed-income, synthetic, and structured securities issued in public and private transactions. Davis was on the trial team in *Jaffe v. Household Int'l, Inc.*, a securities class action that obtained a record-breaking \$1.575 billion settlement after 14 years of litigation, including a six-week jury trial in 2009 that resulted in a verdict for plaintiffs. Most recently, he was part of the litigation team in *Luna v. Marvell Tech. Grp., Ltd.*, resulting in a \$72.5 million settlement that represents approximately 24% to 50% of the best estimate of classwide damages suffered by investors.

Before joining the Firm, Davis focused on cross-border transactions, mergers and acquisitions at Cravath, Swaine and Moore LLP in New York.

Education

B.A., Syracuse University, 1998; J.D., University of California at Berkeley, Boalt Hall School of Law, 2002

Honors / Awards

B.A., *Summa Cum Laude*, Syracuse University, 1998; International Relations Scholar of the year, Syracuse University; Teaching fellow, examination awards, Moot court award, University of California at Berkeley, Boalt Hall School of Law

Mark J. Dearman | Partner

Mark Dearman is a partner in the Firm's Boca Raton office, where his practice focuses on consumer fraud, securities fraud, mass torts, antitrust, and whistleblower litigation. Dearman, along with other Robbins Geller attorneys, is currently leading the effort on behalf of cities and counties around the country in *In re National Prescription Opiate Litig.* He was recently appointed to the Plaintiffs' Steering Committee in *In re Zantac (Ranitidine) Prods. Liab. Litig.*, and as Chair of the Plaintiffs' Executive Committee in *In re Apple Inc. Device Performance Litig.*, Dearman obtained a \$310 million settlement. His other recent representative cases include *In re FieldTurf Artificial Turf Mktg. Pracs. Litig.*, No. 3:17-md-02779 (D.N.J.); *In re NHL Players' Concussion Injury Litig.*, 2015 U.S. Dist. LEXIS 38755 (D. Minn. 2015); *In re Sony Gaming Networks & Customer Data Sec. Breach Litig.*, 903 F. Supp. 2d 942 (S.D. Cal. 2012); *In re Volkswagen "Clean Diesel" Mktg. Sales Pracs. & Prods. Liab. Litig.*, 2016 U.S. Dist. LEXIS 1357 (N.D. Cal. 2016); *In re Ford Fusion & C-Max Fuel Econ. Litig.*, 2015 U.S. Dist. LEXIS 155383 (S.D.N.Y. 2015); *Looper v. FCA US LLC*, No. 5:14-cv-00700 (C.D. Cal.); *In re Aluminum Warehousing Antitrust Litig.*, 95 F. Supp. 3d 419 (S.D.N.Y. 2015), *aff'd*, 833 F.3d 151 (2d Cir. 2016); *In re Liquid Aluminum Sulfate Antitrust Litig.*, No. 16-md-2687 (D.N.J.); *In re Winn-Dixie Stores, Inc. S'holder Litig.*, No. 16-2011-CA-010616 (Fla. 4th Jud. Cir. Ct., Duval Cnty.); *Gemelas v. Dannon Co. Inc.*, No. 1:08-cv-00236 (N.D. Ohio); and *In re AuthenTec, Inc. S'holder Litig.*, No. 05-2012-CA-57589 (Fla. 18th Jud. Cir. Ct., Brevard Cnty.). Prior to joining the Firm, he founded Dearman & Gerson, where he defended Fortune 500 companies, with an emphasis on complex commercial litigation, consumer claims, and mass torts (products liability and personal injury), and has obtained extensive jury trial experience throughout the United States. Having represented defendants for so many years before joining the Firm, Dearman has a unique perspective that enables him to represent clients effectively.

Education

B.A., University of Florida, 1990; J.D., Nova Southeastern University, 1993

Honors / Awards

AV rated by Martindale-Hubbell; Leading Lawyer in America, *Lawdragon*, 2023; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2020-2022; 500 Leading Plaintiff Consumer Lawyer, *Lawdragon*, 2022; Super Lawyer, *Super Lawyers Magazine*, 2014-2020; In top 1.5% of Florida Civil Trial Lawyers in *Florida Trend's* Florida Legal Elite, 2004, 2006

Kathleen B. Douglas | Partner

Kathleen Douglas is a partner in the Firm's Boca Raton office. She focuses her practice on securities fraud class actions and consumer fraud. Most recently, Douglas and a team of Robbins Geller attorneys obtained a \$1.21 billion settlement in *In re Valeant Pharms. Int'l, Inc. Sec. Litig.*, a case that *Vanity Fair* reported as "the corporate scandal of its era" that had raised "fundamental questions about the functioning of our health-care system, the nature of modern markets, and the slippery slope of ethical rationalizations." This is the largest securities class action settlement against a pharmaceutical manufacturer and the ninth largest ever.

Douglas was also a key member of the litigation team in *In re UnitedHealth Grp. Inc. PSLRA Litig.*, in which she and team of Robbins Geller attorneys achieved a substantial \$925 million recovery. In addition to the monetary recovery, UnitedHealth also made critical changes to a number of its corporate governance policies, including electing a shareholder-nominated member to the company's Board of Directors. Likewise, in *Nieman v. Duke Energy Corp.*, she and a team of attorneys obtained a \$146.25 million recovery, which is the largest recovery in North Carolina for a case involving securities fraud and is one of the five largest recoveries in the Fourth Circuit. In addition, Douglas was a member of the team of attorneys that represented investors in *Knurr v. Orbital ATK, Inc.*, which recovered \$108 million for shareholders and is believed to be the fourth-largest securities class action settlement in the history of the Eastern District of Virginia. Douglas has served as class counsel in several class actions brought on behalf of Florida emergency room physicians. These cases were against some of the nation's largest Health Maintenance Organizations and settled for substantial increases in reimbursement rates and millions of dollars in past damages for the class.

Education

B.S., Georgetown University, 2004; J.D., University of Miami School of Law, 2007

Honors / Awards

40 & Under Hot List, *Benchmark Litigation*, 2021; Rising Star, *Super Lawyers Magazine*, 2012-2017; B.S., *Cum Laude*, Georgetown University, 2004

Travis E. Downs III | Partner

Travis Downs is a partner in the Firm's San Diego office. His areas of expertise include prosecution of shareholder and securities litigation, including complex shareholder derivative actions. Downs led a team of lawyers who successfully prosecuted over 65 stock option backdating derivative actions in federal and state courts across the country, resulting in hundreds of millions in financial givebacks for the plaintiffs and extensive corporate governance enhancements, including annual directors elections, majority voting for directors, and shareholder nomination of directors. Notable cases include: *In re Community Health Sys., Inc. S'holder Derivative Litig.* (\$60 million in financial relief and unprecedented corporate governance reforms); *In re Marvell Tech. Grp. Ltd. Derivative Litig.* (\$54 million in financial relief and extensive corporate governance enhancements); *In re McAfee, Inc. Derivative Litig.* (\$30 million in financial relief and extensive corporate governance enhancements); *In re Affiliated Computer Servs. Derivative Litig.* (\$30 million in financial relief and extensive corporate governance enhancements); *In re KB Home S'holder Derivative Litig.* (\$30 million in financial relief and extensive corporate governance enhancements); *In re Juniper Networks Derivative Litig.* (\$22.7 million in financial relief and extensive corporate governance enhancements); *In re Nvidia Corp. Derivative Litig.* (\$15 million in financial relief and extensive corporate governance enhancements); and *City of Pontiac Gen. Emps.' Ret. Sys. v. Langone* (achieving landmark corporate governance reforms for investors).

Downs was also part of the litigation team that obtained a \$67 million settlement in *City of Westland Police & Fire Ret. Sys. v. Stumpf*, a shareholder derivative action alleging that Wells Fargo participated in the mass-processing of home foreclosure documents by engaging in widespread robo-signing, and a \$250 million settlement in *In re Google, Inc. Derivative Litig.*, an action alleging that Google facilitated in the improper advertising of prescription drugs. Downs is a frequent speaker at conferences and seminars and has lectured on a variety of topics related to shareholder derivative and class action litigation.

Education

B.A., Whitworth University, 1985; J.D., University of Washington School of Law, 1990

Honors / Awards

Rated AV Preeminent by Martindale-Hubbell; Best Lawyer in America, *Best Lawyers*®, 2018-2023; Top 100 Leaders in Law Honoree, *San Diego Business Journal*, 2022; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Top Lawyer in San Diego, *San Diego Magazine*, 2013-2021; Southern California Best Lawyer, *Best Lawyers*®, 2018-2021; Board of Trustees, Whitworth University; Super Lawyer, *Super Lawyers Magazine*, 2008; B.A., Honors, Whitworth University, 1985

Daniel S. Drosman | Partner

Dan Drosman is a partner in the Firm's San Diego office and a member of the Firm's Management Committee. He focuses his practice on securities fraud and other complex civil litigation and has obtained significant recoveries for investors in cases such as *Morgan Stanley*, *Cisco Systems*, *The Coca-Cola Company*, *Petco*, *PMI*, and *America West*. Drosman served as lead trial counsel in *Jaffe v. Household International* in the Northern District of Illinois, a securities class action that obtained a record-breaking \$1.575 billion settlement after 14 years of litigation, including a six-week jury trial in 2009 that resulted in a verdict for plaintiffs. Drosman also helped secure a \$388 million recovery for investors in *J.P. Morgan residential mortgage-backed securities in Fort Worth Employees' Retirement Fund v. J.P. Morgan Chase & Co.* On a percentage basis, that settlement is the largest recovery ever achieved in an RMBS class action. Drosman also served as lead counsel in *Smilovits v. First Solar, Inc.*, and obtained a \$350 million settlement

on the eve of trial. The settlement is fifth-largest PSLRA settlement ever recovered in the Ninth Circuit.

Most recently, Drosman led a team of Robbins Geller attorneys to a record-breaking \$809.5 million settlement in *In re Twitter, Inc. Sec. Litig.*, which settled the day before trial was set to commence. The settlement is the largest securities fraud class action recovery in the Ninth Circuit in the last decade and one of the top 20 shareholder class action settlements of all time. Drosman was part of the Robbins Geller litigation team in *Monroe County Employees' Retirement System v. The Southern Company* in which an \$87.5 million settlement was reached after three years of litigation. The settlement resolved claims for violations of the Securities Exchange Act of 1934 stemming from defendants' issuance of materially misleading statements and omissions regarding the status of construction of a first-of-its-kind "clean coal" power plant that was designed to transform coal into synthetic gas that could then be used to fuel the power plant. In another recent case, Drosman and the Robbins Geller litigation team obtained a \$62.5 million settlement in *Villella v. Chemical and Mining Company of Chile Inc.*, which alleged that Sociedad Química y Minera de Chile S.A. ("SQM") violated the Securities Exchange Act of 1934 by issuing materially false and misleading statements regarding the Company's failure to disclose that money from SQM was channeled illegally to electoral campaigns for Chilean politicians and political parties as far back as 2009. SQM had also filed millions of dollars' worth of fictitious tax receipts with Chilean authorities in order to conceal bribery payments from at least 2009 through fiscal year 2014.

In a pair of cases – *Abu Dhabi Commercial Bank, et al. v. Morgan Stanley & Co. Inc.* ("Cheyne" litigation) and *King County, Washington, et al. v. IKB Deutsche Industriebank AG* ("Rhinebridge" litigation) – Drosman led a group of attorneys prosecuting fraud claims against the credit rating agencies, where he is distinguished as one of the few plaintiffs' counsel to defeat the rating agencies' traditional First Amendment defense and their motions for summary judgment based on the mischaracterization of credit ratings as mere opinions not actionable in fraud.

Before joining the Firm, Drosman served as an Assistant District Attorney for the Manhattan District Attorney's Office, and an Assistant United States Attorney in the Southern District of California, where he investigated and prosecuted violations of the federal narcotics, immigration, and official corruption law.

Education

B.A., Reed College, 1990; J.D., Harvard Law School, 1993

Honors / Awards

Leading Lawyer in America, *Lawdragon*, 2018-2023; Best Lawyer in America, *Best Lawyers*®, 2019-2023; West Trailblazer, *The American Lawyer*, 2022; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Top Plaintiff Lawyer, *Daily Journal*, 2022; Plaintiff Litigator of the Year, *Benchmark Litigation*, 2022; Lawyer of the Year, *Best Lawyers*®, 2022; Titan of the Plaintiffs Bar, *Law360*, 2022; Southern California Best Lawyers, *The Wall Street Journal*, 2021; Southern California Best Lawyer, *Best Lawyers*®, 2019-2021; Super Lawyer, *Super Lawyers Magazine*, 2017-2020; Recommended Lawyer, *The Legal 500*, 2017-2018; Top 100 Lawyer, *Daily Journal*, 2017; Department of Justice Special Achievement Award, Sustained Superior Performance of Duty; B.A., Honors, Reed College, 1990; *Phi Beta Kappa*, Reed College, 1990

Eric S. Dwoskin | Partner

Eric Dwoskin is a partner in the Firm's Boca Raton office, where he practices consumer fraud, mass torts, whistleblower, and data breach litigation.

Before joining the Firm, Dwoskin focused on consumer financial services, employment, and other complex commercial litigation. In this capacity, he developed significant trial and appellate advocacy skills in state and federal courts around the United States. During law school, Dwoskin was a legal extern at the South African Human Rights Commission in Cape Town, South Africa and a summer law clerk for the Honorable Robert M. Gross of the Fourth District Court of Appeals in West Palm Beach, Florida. He was also an intern in the Office of the Prosecutor at the International Criminal Tribunal for the Former Yugoslavia in The Hague, Netherlands, after having received a Humanity in Action Fellowship.

Education

B.A., Columbia University, 2008; J.D., University of Michigan Law School, 2012

Thomas E. Egler | Partner

Tom Egler is a partner in the Firm's San Diego office and focuses his practice on representing clients in major complex, multidistrict litigations, such as *Lehman Brothers*, *Countrywide Mortgage Backed Securities*, *WorldCom*, *AOL Time Warner*, and *Qwest*. He has represented institutional investors both as plaintiffs in individual actions and as lead plaintiffs in class actions.

Egler also serves as a Lawyer Representative to the Ninth Circuit Judicial Conference from the Southern District of California, and in the past has served on the Executive Board of the San Diego chapter of the Association of Business Trial Lawyers. Prior to joining the Firm, Egler was a law clerk to the Honorable Donald E. Ziegler, Chief Judge, United States District Court, Western District of Pennsylvania.

Education

B.A., Northwestern University, 1989; J.D., The Catholic University of America, Columbus School of Law, 1995

Honors / Awards

Super Lawyer, *Super Lawyers Magazine*, 2017-2018; Associate Editor, *Catholic University Law Review*

Alan I. Ellman | Partner

Alan Ellman is a partner in the Firm's Melville office, where he concentrates his practice on prosecuting complex securities fraud cases on behalf of institutional investors. Most recently, Ellman was on the team of Robbins Geller attorneys who obtained a \$34.5 million recovery in *Patel v. L-3 Communications Holdings, Inc.*, which represents a high percentage of damages that plaintiffs could reasonably expect to be recovered at trial and is more than eight times higher than the average settlement of cases with comparable investor losses. He was also on the team of attorneys who recovered in excess of \$34 million for investors in *In re OSG Sec. Litig.*, which represented an outsized recovery of 93% of bond purchasers' damages and 28% of stock purchasers' damages. The creatively structured settlement included more than \$15 million paid by a bankrupt entity.

Ellman was also on the team of Robbins Geller attorneys who achieved final approval in *Curran v. Freshpet, Inc.*, which provides for the payment of \$10.1 million for the benefit of eligible settlement class members. Additionally, he was on the team of attorneys who obtained final approval of a \$7.5 million recovery in *Plymouth County Retirement Association v. Advisory Board Company*. In 2006, Ellman received a Volunteer and Leadership Award from Housing Conservation Coordinators (HCC) for his *pro bono* service defending a client in Housing Court against a non-payment action, arguing an appeal before the Appellate Term, and staffing HCC's legal clinic. He also successfully appealed a *pro bono* client's criminal sentence before the Appellate Division.

Education

B.S., B.A., State University of New York at Binghamton, 1999; J.D., Georgetown University Law Center, 2003

Honors / Awards

Pro Bono Publico Award, *Casa Cornelia Law Center*, 2021-2022; Super Lawyer, *Super Lawyers Magazine*, 2017-2022; Rising Star, *Super Lawyers Magazine*, 2014-2015; B.S., B.A., *Cum Laude*, State University of New York at Binghamton, 1999

Jason A. Forge | Partner

Jason Forge is a partner in the Firm's San Diego office. He specializes in complex investigations, litigation, and trials. As a federal prosecutor and private practitioner, Forge has conducted and supervised scores of jury and bench trials in federal and state courts, including the month-long trial of a defense contractor who conspired with Congressman Randy "Duke" Cunningham in the largest bribery scheme in congressional history. He recently obtained approval of a \$160 million recovery in the first successful securities fraud case against Wal-Mart Stores, Inc. in *City of Pontiac General Employees' Retirement System v. Wal-Mart Stores, Inc.* In addition, Forge was a member of the Firm's trial team in *Hsu v. Puma Biotechnology, Inc.*, a securities fraud class action that resulted in a verdict in favor of investors after a two-week jury trial.

After the trial victory over Puma Biotechnology and Alan Auerbach, Forge joined a Robbins Geller litigation team that had defeated 12 motions for summary judgment against 40 defendants and was about to depose 17 experts in the home stretch to trial. Forge and the team used these depositions to disprove a truth-on-the-market argument that nine defense experts had embraced. Soon after the last of these expert depositions, the Robbins Geller team secured a \$1.025 billion settlement from American Realty Capital Properties and other defendants that included a record \$237 million contribution from individual

defendants and represented more than twice the recovery rate obtained by several funds that had opted out of the class.

Forge was a key member of the litigation team that secured a historic recovery on behalf of Trump University students in two class actions against President Donald J. Trump. The settlement refunds over 90% of the money thousands of students paid to “enroll” in Trump University. He represented the class on a *pro bono* basis. Forge has also successfully defeated motions to dismiss and obtained class certification against several prominent defendants, including the first federal RICO case against Scotts Miracle-Gro, which recently settled for up to \$85 million. He was a member of the litigation team that obtained a \$125 million settlement in *In re LendingClub Securities Litigation*, a settlement that ranked among the top ten largest securities recoveries ever in the Northern District of California.

In a case against another prominent defendant, Pfizer Inc., Forge led an investigation that uncovered key documents that Pfizer had not produced in discovery. Although fact discovery in the case had already closed, the district judge ruled that the documents had been improperly withheld and ordered that discovery be reopened, including reopening the depositions of Pfizer’s former CEO, CFO, and General Counsel. Less than six months after completing these depositions, Pfizer settled the case for \$400 million.

Education

B.B.A., The University of Michigan Ross School of Business, 1990; J.D., The University of Michigan Law School, 1993

Honors / Awards

Leading Lawyer in America, *Lawdragon*, 2022-2023; Best Lawyer in America, *Best Lawyers*®, 2019-2023; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Southern California Best Lawyer, *Best Lawyers*®, 2019-2021; Local Litigation Star, *Benchmark Litigation*, 2020; Plaintiffs’ Lawyer Trailblazer, *The National Law Journal*, 2018; Top 100 Lawyer, *Daily Journal*, 2017; Litigator of the Year, *Our City San Diego*, 2017; Two-time recipient of one of Department of Justice’s highest awards: Director’s Award for Superior Performance by Litigation Team; numerous commendations from Federal Bureau of Investigation (including commendation from FBI Director Robert Mueller III), Internal Revenue Service, and Defense Criminal Investigative Service; J.D., *Magna Cum Laude*, Order of the Coif, The University of Michigan Law School, 1993; B.B.A., High Distinction, The University of Michigan Ross School of Business, 1990

William J. Geddish | Partner

William Geddish is a partner with the Firm and is based in the Melville office, where his practice focuses on complex securities litigation. Before joining the Firm, he was an associate in the New York office of a large international law firm, where his practice focused on complex commercial litigation.

Since joining the Firm, Geddish has played a significant role in the following litigations: *In re Barrick Gold Sec. Litig.* (\$140 million recovery); *Scheufele v. Tableau Software, Inc.* (\$95 million recovery); *Landmen Partners, Inc. v. The Blackstone Grp., L.P.* (\$85 million recovery); *In re Jeld-Wen Holding, Inc. Sec. Litig.* (\$40 million recovery); *City of Austin Police Ret. Sys. v. Kinross Gold Corp.* (\$33 million recovery); *City of Roseville Emps' Ret. Sys. v. EnergySolutions, Inc.* (\$26 million recovery); *Beaver Cnty. Emps' Ret. Fund v. Tile Shop Holdings, Inc.* (\$9.5 million recovery); and *Barbara Marciano v. Schell & Kampeter, Inc.* (\$2 million recovery).

Education

B.A., Sacred Heart University, 2006, J.D., Hofstra University School of Law, 2009

Honors / Awards

Rising Star, *Super Lawyers Magazine*, 2013-2022; J.D., *Magna Cum Laude*, Hofstra University School of Law, 2009; Gina Maria Escarce Memorial Award, Hofstra University School of Law

Paul J. Geller | Partner

Paul Geller, managing partner of the Firm's Boca Raton, Florida office, is a founding partner of the Firm, a member of its Executive and Management Committees, and head of the Firm's Consumer Practice Group. Geller's 29 years of litigation experience is broad, and he has handled cases in each of the Firm's practice areas. Notably, before devoting his practice to the representation of consumers and investors, he defended companies in high-stakes class action and multi-district litigation, providing him with an invaluable perspective. Geller has tried bench and jury trials on both the plaintiffs' and defendants' sides and has argued before numerous state, federal, and appellate courts throughout the country.

Geller was recently selected to serve in a leadership position on behalf of governmental entities and other plaintiffs in the sprawling litigation concerning the nationwide prescription opioid epidemic. In reporting on the selection of the lawyers to lead the case, *The National Law Journal* reported that "[t]he team reads like a 'Who's Who' in mass torts." Geller was also a critical member of the team that negotiated over \$26 billion in settlements against certain opioid distributors and manufacturers. Prior to the opioid litigation, Geller was a member of the leadership team representing consumers in the massive *Volkswagen "Clean Diesel"* emissions case. The San Francisco legal newspaper *The Recorder* labeled the group that was appointed in that case, which settled for more than \$17 billion, a "class action dream team."

Geller is currently serving as a Lead Counsel in *In re EpiPen (Epinephrine Injection, USP) Mktg., Sales Practs. & Antitrust Litig.*, a nationwide class action that alleges that pharmaceutical company Mylan N.V. and others engaged in anti-competitive and unfair business conduct in its sale and marketing of the EpiPen auto-injector device. The case was recently settled for \$609 million.

Some of Geller's other recent noteworthy successes include the largest privacy class action settlement in history – a \$650 million recovery in a cutting-edge class action in *In re Facebook Biometric Info. Privacy Litig.*, concerning Facebook's use of biometric identifiers through its "tag" feature. In addition to the monetary

recovery, Facebook recently disabled the tag feature altogether, deleting user facial profiles and discontinuing the use of facial recognition software.

Education

B.S., University of Florida, 1990; J.D., Emory University School of Law, 1993

Honors / Awards

Rated AV by Martindale-Hubbell; Fellow, Litigation Counsel of America (LCA) Proven Trial Lawyers; Leading Lawyer in America, *Lawdragon*, 2006-2007, 2009-2023; Best Lawyer in America, *Best Lawyers*®, 2017-2023; Outstanding Antitrust Litigation Achievement in Private Law Practice, American Antitrust Institute, 2022; South Trailblazer, *The American Lawyer*, 2022; Class Action MVP, *Law360*, 2022; Super Lawyer, *Super Lawyers Magazine*, 2007-2022; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Leading Lawyer, *Chambers USA*, 2021-2022; 500 Leading Plaintiff Consumer Lawyer, *Lawdragon*, 2022; Florida Best Lawyer in America, *Best Lawyers*®, 2017-2021; One of “Florida’s Most Effective Lawyers” in the Privacy category, American Law Media, 2020; Legend, *Lawdragon*, 2020; Recommended Lawyer, *The Legal 500*, 2016, 2019; Plaintiffs’ Lawyer Trailblazer, *The National Law Journal*, 2018; Lawyer of the Year, *Best Lawyers*®, 2018; Attorney of the Month, *Attorney At Law*, 2017; Featured in “Lawyer Limelight” series, *Lawdragon*, 2017; Top Rated Lawyer, South Florida’s Legal Leaders, *Miami Herald*, 2015; Litigation Star, *Benchmark Litigation*, 2013; “Legal Elite,” *Florida Trend Magazine*; One of “Florida’s Most Effective Lawyers,” American Law Media; One of Florida’s top lawyers in *South Florida Business Journal*; One of the Nation’s Top “40 Under 40,” *The National Law Journal*; One of Florida’s Top Lawyers, *Law & Politics*; Editor, *Emory Law Journal*; Order of the Coif, Emory University School of Law

Robert D. Gerson | Partner

Robert Gerson is a partner in the Firm’s Melville office, where he practices securities fraud litigation and other complex matters. Before joining Robbins Geller, Gerson was associated with a prominent plaintiffs’ class action firm, where he represented institutional investors in numerous securities fraud class actions, as well as “opt out” litigations. Gerson is a member of the Committee on Securities Litigation of the Bar Association of the City of New York. He is admitted to practice before the courts of the State of New York, as well as the United States Courts of Appeals for the Second and Eighth Circuits, and the United States District Courts for the Southern and Eastern Districts of New York.

Education

B.A., University of Maryland, 2006; J.D., New York Law School, 2009

Honors / Awards

Super Lawyer, *Super Lawyers Magazine*, 2021-2022; Rising Star, *Super Lawyers Magazine*, 2015-2020

Jonah H. Goldstein | Partner

Jonah Goldstein is a partner in the Firm's San Diego office and is responsible for prosecuting complex securities cases and obtaining recoveries for investors. He also represents corporate whistleblowers who report violations of the securities laws. Goldstein has achieved significant settlements on behalf of investors including in *In re HealthSouth Sec. Litig.* (over \$670 million recovered against HealthSouth, UBS and Ernst & Young), *In re Cisco Sec. Litig.* (approximately \$100 million), and *Marcus v. J.C. Penney Company, Inc.* (\$97.5 million recovery). Goldstein also served on the Firm's trial team in *In re AT&T Corp. Sec. Litig.*, MDL No. 1399 (D.N.J.), which settled after two weeks of trial for \$100 million, and aided in the \$65 million recovery in *Garden City Emps.' Ret. Sys. v. Psychiatric Solutions, Inc.*, the fourth-largest securities recovery ever in the Middle District of Tennessee and one of the largest in more than a decade. Most recently, he was part of the litigation team in *Luna v. Marvell Tech. Grp., Ltd.*, resulting in a \$72.5 million settlement that represents approximately 24% to 50% of the best estimate of classwide damages suffered by investors. Before joining the Firm, Goldstein served as a law clerk for the Honorable William H. Erickson on the Colorado Supreme Court and as an Assistant United States Attorney for the Southern District of California, where he tried numerous cases and briefed and argued appeals before the Ninth Circuit Court of Appeals.

Education

B.A., Duke University, 1991; J.D., University of Denver College of Law, 1995

Honors / Awards

Recommended Lawyer, *The Legal 500*, 2018-2019; Comments Editor, *University of Denver Law Review*, University of Denver College of Law

Benny C. Goodman III | Partner

Benny Goodman is a partner in the Firm's San Diego office. He primarily represents plaintiffs in shareholder actions on behalf of aggrieved corporations. Goodman has recovered hundreds of millions of dollars in shareholder derivative actions pending in state and federal courts across the nation. Most recently, he led a team of lawyers in litigation brought on behalf of Community Health Systems, Inc., resulting in a \$60 million payment to the company, the largest recovery in a shareholder derivative action in Tennessee and the Sixth Circuit, as well as best-in-class value-enhancing corporate governance reforms that included two shareholder-nominated directors to the Community Health Board of Directors.

Similarly, Goodman recovered a \$25 million payment to Lumber Liquidators and numerous corporate governance reforms, including a shareholder-nominated director, in *In re Lumber Liquidators Holdings, Inc. S'holder Derivative Litig.* In *In re Google Inc. S'holder Derivative Litig.*, Goodman achieved groundbreaking corporate governance reforms designed to mitigate regulatory and legal compliance risk associated with online pharmaceutical advertising, including among other things, the creation of a \$250 million fund to help combat rogue pharmacies from improperly selling drugs online.

Education

B.S., Arizona State University, 1994; J.D., University of San Diego School of Law, 2000

Honors / Awards

Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2021; Super Lawyer, *Super Lawyers Magazine*, 2018-2021; Recommended Lawyer, *The Legal 500*, 2017

Elise J. Grace | Partner

Elise Grace is a partner in the San Diego office and counsels the Firm's institutional clients on options to secure premium recoveries in securities litigation both within the United States and internationally. Grace is a frequent lecturer and author on securities and accounting fraud, and develops annual MCLE and CPE accredited educational programs designed to train public fund representatives on practices to protect and maximize portfolio assets, create long-term portfolio value, and best fulfill fiduciary duties. Grace has routinely been named a Recommended Lawyer by *The Legal 500* and named a Leading Plaintiff Financial Lawyer by *Lawdragon*. Grace has prosecuted various significant securities fraud class actions, as well as the AOL Time Warner state and federal securities opt-out litigations, which resulted in a combined settlement of over \$629 million for defrauded investors. Before joining the Firm, Grace practiced at Clifford Chance, where she defended numerous Fortune 500 companies in securities class actions and complex business litigation.

Education

B.A., University of California, Los Angeles, 1993; J.D., Pepperdine School of Law, 1999

Honors / Awards

Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Recommended Lawyer, *The Legal 500*, 2016-2017; J.D., *Magna Cum Laude*, Pepperdine School of Law, 1999; American Jurisprudence Bancroft-Whitney Award – Civil Procedure, Evidence, and Dalsimer Moot Court Oral Argument; Dean's Academic Scholarship Recipient, Pepperdine School of Law; B.A., *Summa Cum Laude*, University of California, Los Angeles, 1993; B.A., *Phi Beta Kappa*, University of California, Los Angeles, 1993

Tor Gronborg | Partner

Tor Gronborg is a partner in the Firm's San Diego office and a member of the Firm's Management Committee. He often lectures on topics such as the Federal Rules of Civil Procedure and electronic discovery. Gronborg has served as lead or co-lead counsel in numerous securities fraud cases that have collectively recovered more than \$4.4 billion for investors. Most recently, Gronborg and a team of Robbins Geller attorneys obtained an \$809 million settlement in *In re Twitter, Inc. Sec. Litig.*, a case that did not settle until the day before trial was set to commence.

In addition to *Twitter*, Gronborg's work has included significant recoveries against corporations such as Valeant Pharmaceuticals (\$1.21 billion), Cardinal Health (\$600 million), Motorola (\$200 million), Duke Energy (\$146.25 million), Sprint Nextel Corp. (\$131 million), and Prison Realty (\$104 million), to name a few. Gronborg was also a member of the Firm's trial team in *Hsu v. Puma Biotechnology, Inc.*, No. SACV15-0865 (C.D. Cal.), a securities fraud class action that resulted in a verdict in favor of investors after a two-week jury trial and ultimately settled for 100% of the claimed damages plus prejudgment interest.

On three separate occasions, Gronborg's pleadings have been upheld by the federal Courts of Appeals (*Broudo v. Dura Pharms., Inc.*, 339 F.3d 933 (9th Cir. 2003), *rev'd on other grounds*, 544 U.S. 336 (2005); *In re Daou Sys.*, 411 F.3d 1006 (9th Cir. 2005); *Staehr v. Hartford Fin. Servs. Grp.*, 547 F.3d 406 (2d Cir. 2008)).

Education

B.A., University of California, Santa Barbara, 1991; Rotary International Scholar, University of Lancaster, U.K., 1992; J.D., University of California, Berkeley, 1995

Honors / Awards

Leading Lawyer in America, *Lawdragon*, 2022-2023; Best Lawyer in America, *Best Lawyers®*, 2022-2023; West Trailblazer, *The American Lawyer*, 2022; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Super Lawyer, *Super Lawyers Magazine*, 2013-2021; Plaintiffs' Lawyer Trailblazer, *The National Law Journal*, 2019; Moot Court Board Member, University of California, Berkeley; AFL-CIO history scholarship, University of California, Santa Barbara

Ellen Gusikoff Stewart | Partner

Ellen Stewart is a partner in the Firm's San Diego office, and is a member of the Firm's Summer Associate Hiring Committee. She currently practices in the Firm's settlement department, negotiating and documenting complex securities, merger, ERISA, and derivative action settlements. Notable settlements include: *In re Facebook Biometric Info. Privacy Litig.* (N.D. Cal. 2021) (\$650 million); *KBC Asset Management v. 3D Systems Corp.* (D.S.C. 2018) (\$50 million); *Luna v. Marvell Tech. Grp.* (N.D. Cal. 2018) (\$72.5 million); *Garden City Emps.' Ret. Sys. v. Psychiatric Solutions, Inc.* (M.D. Tenn. 2015) (\$65 million); and *City of Sterling Heights Gen. Emps.' Ret. Sys v. Hospira, Inc.* (N.D. Ill. 2014) (\$60 million).

Stewart has served on the Federal Bar Association Ad Hoc Committee for the revisions to the Settlement Guidelines for the Northern District of California and was a contributor to the Guidelines and Best Practices – Implementing 2018 Amendments to Rule 23 Class Action Settlement Provisions manual of the Bolch Judicial Institute at the Duke University School of Law.

Education

B.A., Muhlenberg College, 1986; J.D., Case Western Reserve University, 1989

Honors / Awards

Rated Distinguished by Martindale-Hubbell

Robert Henssler | Partner

Bobby Henssler is a partner in the Firm's San Diego office, where he focuses his practice on securities fraud and other complex civil litigation. He has obtained significant recoveries for investors in cases such as *Enron*, *Blackstone*, and *CIT Group*. Henssler is currently a key member of the team of attorneys prosecuting fraud claims against Goldman Sachs stemming from Goldman's conduct in subprime mortgage transactions (including "Abacus").

Most recently, Henssler and a team of Robbins Geller attorneys a \$1.21 billion settlement in *In re Valeant Pharms. Int'l, Inc. Sec. Litig.*, a case that *Vanity Fair* reported as "the corporate scandal of its era" that had raised "fundamental questions about the functioning of our health-care system, the nature of modern markets, and the slippery slope of ethical rationalizations." This is the largest securities class action settlement against a pharmaceutical manufacturer and the ninth largest ever.

Henssler was also lead counsel in *Schuh v. HCA Holdings, Inc.*, which resulted in a \$215 million recovery for shareholders, the largest securities class action recovery ever in Tennessee. The recovery achieved represents more than 30% of the aggregate classwide damages, far exceeding the typical recovery in a securities class action. Henssler also led the litigation teams in *Marcus v. J.C. Penney Company, Inc.* (\$97.5 million recovery), *Landmen Partners Inc. v. The Blackstone Group L.P.* (\$85 million recovery), *In re Novatel Wireless Sec. Litig.* (\$16 million recovery), *Carpenters Pension Trust Fund of St. Louis v. Barclays PLC* (\$14 million settlement), and *Kmiec v. Powerwave Technologies, Inc.* (\$8.2 million settlement), to name a few.

Education

B.A., University of New Hampshire, 1997; J.D., University of San Diego School of Law, 2001

Honors / Awards

Leading Plaintiff Financial Lawyer, *Lawdragon*, 2020-2022; California Lawyer of the Year, *Daily Journal*, 2022; Plaintiffs' Lawyer Trailblazer, *The National Law Journal*, 2020; Recommended Lawyer, *The Legal 500*, 2018-2019

Steven F. Hubachek | Partner

Steve Hubachek is a partner in the Firm's San Diego office. He is a member of the Firm's appellate group, where his practice concentrates on federal appeals. He has more than 25 years of appellate experience, has argued over 100 federal appeals, including 3 cases before the United States Supreme Court and 7 cases before en banc panels of the Ninth Circuit Court of Appeals. Prior to his work with the Firm, Hubachek joined Perkins Coie in Seattle, Washington, as an associate. He was admitted to the Washington State Bar in 1987 and was admitted to the California State Bar in 1990, practicing for many years with Federal Defenders of San Diego, Inc. He also had an active trial practice, including over 30 jury trials, and was Chief Appellate Attorney for Federal Defenders.

Education

B.A., University of California, Berkeley, 1983; J.D., Hastings College of the Law, 1987

Honors / Awards

AV rated by Martindale-Hubbell; Top Lawyer in San Diego, *San Diego Magazine*, 2014-2021; Super Lawyer, *Super Lawyers Magazine*, 2007-2009, 2019-2021; Assistant Federal Public Defender of the Year, National Federal Public Defenders Association, 2011; Appellate Attorney of the Year, San Diego Criminal Defense Bar Association, 2011 (co-recipient); President's Award for Outstanding Volunteer Service, Mid City Little League, San Diego, 2011; E. Stanley Conant Award for exceptional and unselfish devotion to protecting the rights of the indigent accused, 2009 (joint recipient); *The Daily Transcript* Top Attorneys, 2007; J.D., *Cum Laude*, Order of the Coif, Thurston Honor Society, Hastings College of Law, 1987

Maxwell R. Huffman | Partner

Maxwell Huffman is a partner in the Firm's San Diego office. He focuses his practice on representing institutional and individual investors in shareholder class and derivative actions in the context of mergers, acquisitions, recapitalizations, and other major corporate transactions. Huffman was a member of the litigation team for *In re Dole Food Co., Inc. S'holder Litig.*, where he went to trial in the Delaware Court of Chancery on claims of breach of fiduciary duty on behalf of Dole Food Co., Inc. shareholders and obtained a \$148 million recovery, which is the largest trial verdict ever in a class action challenging a merger transaction. Most recently, Huffman successfully obtained a partial settlement of \$60 million in *In re Tesla Motors, Inc. S'holder Litig.*, a case which alleged that the members of the Tesla Board of Directors breached their fiduciary duties, unjustly enriched themselves, and wasted corporate assets in connection with their approval of Tesla's acquisition of SolarCity Corp. in 2016.

Huffman is part of Robbins Geller's SPAC Task Force, which is dedicated to rooting out and prosecuting fraud on behalf of injured investors in special purpose acquisition companies. The rise in "blank check" financing poses unique risks to investors, and this group – comprised of experienced litigators, investigators, and forensic accountants – represents the vanguard of ensuring integrity, honesty, and justice in this rapidly developing investment arena.

Education

B.A., California State University, Sacramento, 2005; J.D., Gonzaga University School of Law, 2009

Honors / Awards

Top 40 Under 40, *Daily Journal*, 2020; Recommended Lawyer, *The Legal 500*, 2019; Winning Litigator, *The National Law Journal*, 2018; Titan of the Industry, *The American Lawyer*, 2018

James I. Jaconette | Partner

James Jaconette is one of the founding partners of the Firm and is located in its San Diego office. He manages cases in the Firm's securities class action and shareholder derivative litigation practices. He has served as one of the lead counsel in securities cases with recoveries to individual and institutional investors totaling over \$8 billion. He also advises institutional investors, including hedge funds, pension funds, and financial institutions. Landmark securities actions in which he contributed in a primary litigating role include *In re Informix Corp. Sec. Litig.*, and *In re Dynegy Inc. Sec. Litig.* and *In re Enron Corp. Sec. Litig.*, where he represented lead plaintiff The Regents of the University of California. Most recently, Jaconette was part of the trial team in *Schuh v. HCA Holdings, Inc.*, which resulted in a \$215 million recovery for shareholders, the largest securities class action recovery ever in Tennessee. The recovery achieved represents more than 30% of the aggregate classwide damages, far exceeding the typical recovery in a securities class action.

Education

B.A., San Diego State University, 1989; M.B.A., San Diego State University, 1992; J.D., University of California Hastings College of the Law, 1995

Honors / Awards

Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; J.D., *Cum Laude*, University of California Hastings College of the Law, 1995; Associate Articles Editor, *Hastings Law Journal*, University of California Hastings College of the Law; B.A., with Honors and Distinction, San Diego State University, 1989

J. Marco Janoski Gray | Partner

Marco Janoski is a partner in the Firm's San Diego office, where his practice focuses on complex securities litigation. He was part of the litigation team for *Schuh v. HCA Holdings, Inc.*, which resulted in a \$215 million recovery for shareholders, the largest securities class action recovery ever in Tennessee. The recovery achieved represents more than 30% of the aggregate classwide damages, far exceeding the typical recovery in a securities class action. He was also a member of the Firm's trial team in *Hsu v. Puma Biotechnology, Inc.*, a securities fraud class action that resulted in a verdict in favor of investors after a two-week jury trial. Most recently, Janoski obtained a \$350 million settlement on the eve of trial in *Smilovits v. First Solar, Inc.*, the fifth-largest PSLRA settlement ever recovered in the Ninth Circuit.

Education

Universidad Complutense de Madrid, 2010-2011; B.A., University of California, Santa Barbara, 2011; J.D., University of California, Hastings College of the Law, 2015

Honors / Awards

J.D., *Magna Cum Laude*, University of California, Hastings College of the Law, 2015

Rachel L. Jensen | Partner

Rachel Jensen is a partner in the Firm's San Diego office. Jensen has developed a nearly 20-year track record of success in helping to craft impactful business reforms and recover billions of dollars on behalf of individuals, businesses, and government entities injured by unlawful business practices, fraudulent schemes, and hazardous products.

Jensen was one of the lead attorneys who secured a historic recovery on behalf of Trump University students nationwide, providing \$25 million and nearly 100% refunds to class members. Jensen represented the class on a *pro bono* basis. As a member of the Plaintiffs' Steering Committee in the Fiat Chrysler EcoDiesel litigation, Jensen helped obtain an \$840 million global settlement for concealed defeat devices in "EcoDiesel" SUVs and trucks. Jensen also represented drivers against Volkswagen in one of the most brazen corporate frauds in recent history, helping recover \$17 billion for emission cheating in "clean" diesel vehicles. Jensen also serves as one of the lead counsel for policyholders against certain Lloyd's of London syndicates for collusive practices in the insurance market. Most recently, Jensen's representation of California passengers in a landmark consumer and civil rights case against Greyhound for subjecting them to discriminatory immigration raids had an immediate impact as Greyhound now provides "know your rights" information to passengers and implemented other business reforms.

Among other recoveries, Jensen has played significant roles in *In re LendingClub Sec. Litig.*, No. 3:16-cv-02627-WHA (N.D. Cal.) (\$125 million settlement that ranked among the top ten largest securities recoveries ever in N.D. Cal.); *Negrete v. Allianz Life Ins. Co. of N. Am.*, No. CV056838CAS(MANx) (C.D. Cal.) (\$250 million to senior citizens targeted for exorbitant deferred annuities that would not mature in their lifetimes); *In re Ins. Brokerage Antitrust Litig.*, No. 04-5184(CCC) (D.N.J.) (\$200 million recovered for policyholders who paid inflated premiums due to kickback scheme among major insurers and brokers); *In re Morning Song Bird Food Litig.*, No. 3:12-cv-01592-JAH-AGS (S.D. Cal.) (\$85 million settlement in refunds to bird lovers who purchased Scotts Miracle-Gro wild bird food treated with pesticides that are hazardous to birds); *City of Westland Police & Fire Ret. Sys. v. Stumpf*, No. 3:11-cv-02369-SI (N.D. Cal.) (\$67 million in homeowner down-payment assistance and credit counseling for cities hardest hit by the foreclosure crisis and computer integration for mortgage servicing segments in derivative settlement with Wells Fargo for "robo-signing" of foreclosure affidavits); *In re Mattel, Inc., Toy Lead Paint Prods. Liab. Litig.*, No. 2:07-ml-01897-DSF-AJW (C.D. Cal.) (\$50 million in refunds and quality assurance business reforms for toys made in China with lead and magnets); and *In re Checking Account Overdraft Litig.*, No. 1:09-md-2036-JLK (S.D. Fla.) (\$500 million in settlements with major banks for manipulating debit transactions to maximize overdraft fees).

Before joining the practice, Jensen clerked for the late Honorable Warren J. Ferguson on the Ninth Circuit Court of Appeals; was associated with Morrison & Foerster LLP in San Francisco; and worked abroad in Arusha, Tanzania as a law clerk in the Office of the Prosecutor at the International Criminal Tribunal for Rwanda ("ICTR") and at the International Criminal Tribunal for the Former Yugoslavia ("ICTY"), located in The Hague, Netherlands.

Education

B.A., Florida State University, 1997; University of Oxford, International Human Rights Law Program at New College, Summer 1998; J.D., Georgetown University Law School, 2000

Honors / Awards

Leading Lawyer in America, *Lawdragon*, 2017-2023; Best Lawyer in America: One to Watch, *Best Lawyers*®, 2021-2023; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; 500 Leading Plaintiff

Consumer Lawyer, *Lawdragon*, 2022; Super Lawyer, *Super Lawyers Magazine*, 2016-2021; Best Lawyer in Southern California: One to Watch, *Best Lawyers®*, 2021; Top Woman Lawyer, *Daily Journal*, 2017, 2020; California Trailblazer, *The Recorder*, 2019; Plaintiffs' Lawyer Trailblazer, *The National Law Journal*, 2018; Rising Star, *Super Lawyers Magazine*, 2015; Nominated for 2011 Woman of the Year, *San Diego Magazine*; Editor-in-Chief, *First Annual Review of Gender and Sexuality Law*, Georgetown University Law School; Dean's List 1998-1999; B.A., *Cum Laude*, Florida State University's Honors Program, 1997; *Phi Beta Kappa*

Steven M. Jodlowski | Partner

Steven Jodlowski is a partner in the Firm's San Diego office. His practice focuses on high-stakes complex litigation, often involving antitrust, securities, and consumer claims. In recent years, he has specialized in representing investors in a series of antitrust actions involving the manipulation of benchmark rates, including the *ISDAfix Benchmark* litigation, which to date resulted in the recovery of \$504.5 million on behalf of investors, and *In re SSA Bonds Antitrust Litig.*, which resulted in the recovery of \$95.5 million on behalf of investors. He is currently serving as interim co-lead class counsel in *Thompson v. 1-800 Contacts, Inc.*, where the court has granted preliminary approval of \$24.9 million in settlements. Jodlowski was also part of the trial team in an antitrust monopolization case against a multinational computer and software company.

Jodlowski has successfully prosecuted numerous antitrust and RICO cases. These cases resulted in the recovery of more than \$1 billion for investors and policyholders. Jodlowski has also represented institutional and individual shareholders in corporate takeover actions in state and federal court. He has handled pre- and post-merger litigation stemming from the acquisition of publicly listed companies in the biotechnology, oil and gas, information technology, specialty retail, electrical, banking, finance, and real estate industries, among others.

Education

B.B.A., University of Central Oklahoma, 2002; J.D., California Western School of Law, 2005

Honors / Awards

Rising Star, *Super Lawyers Magazine*, 2015-2019; Outstanding Antitrust Litigation Achievement in Private Law Practice, American Antitrust Institute, 2018; CAOC Consumer Attorney of the Year Award Finalist, 2015; J.D., *Cum Laude*, California Western School of Law, 2005

Chad Johnson | Partner

Chad Johnson is the Managing Partner of the Firm's Manhattan office. Johnson has been litigating complex securities cases and breach of fiduciary duty actions for more than 30 years. Johnson's background includes decades as a plaintiffs' lawyer, a securities-fraud prosecutor, and as a defense lawyer. Johnson's cases in the private sector have recovered more than \$9 billion for investors.

Johnson previously served as Deputy Attorney General for the State of New York and was the head of New York's securities fraud unit. In that role, Johnson helped recover billions of dollars and make new law beneficial to investors. In that law enforcement position, Johnson successfully pursued cases against Wall Street dark pool operators, among others, for false statements made to the investing public.

Johnson represents institutional and individual investors in securities and breach of fiduciary duty cases, including representing investors in direct or opt-out actions and in individual and class actions. Johnson represents some of the world's largest and most sophisticated asset managers, public pension funds, and sovereign wealth funds. Johnson also represents and works with whistleblowers.

Johnson's cases have resulted in some of the largest recoveries for shareholders on record. This includes \$1 billion recovered for shareholders in the Dell Class V litigation, which is nearly four times the next-largest comparable recovery in the Delaware Court of Chancery. This recovery of \$1 billion was announced in late 2022 on eve of trial and is subject to court approval. Johnson also helped lead cases that resulted in recoveries in: *WorldCom* (more than \$6 billion recovered for shareholders); *Wachovia* (\$627 million recovered for shareholders); *Williams* (\$311 million recovered for shareholders); and *Washington Mutual* (\$208 million recovered for shareholders).

While a Deputy Attorney General for the State of New York and Chief of the New York Investor Protection Bureau, Johnson helped recover \$16.65 billion from Bank of America and \$13 billion from JP Morgan Chase on behalf of state and federal working groups focused on toxic residential mortgage-backed securities (RMBS) devised and sold by those banks.

Johnson has successfully tried cases in federal and state courts, in the Delaware Court of Chancery, and before arbitration tribunals in the United States and overseas. Johnson also advises institutional and other investors about how best to enforce their rights as shareholders.

Education

B.A., University of Michigan, 1989; J.D., Harvard Law School, 1993

Honors / Awards

J.D., *Cum Laude*, Harvard Law School, 1993; B.A., High Distinction, University of Michigan, 1989

Evan J. Kaufman | Partner

Evan Kaufman is a partner in the Firm's Melville office. He focuses his practice in the area of complex litigation, including securities, ERISA, corporate fiduciary duty, derivative, and consumer fraud class actions. Kaufman has served as lead counsel or played a significant role in numerous actions, including: *In re TD Banknorth S'holders Litig.* (\$50 million recovery); *In re Gen. Elec. Co. ERISA Litig.* (\$40 million cost to GE, including significant improvements to GE's employee retirement plan, and benefits to GE plan participants valued in excess of \$100 million); *EnergySolutions, Inc. Sec. Litig.* (\$26 million recovery); *Lockheed Martin Corp. Sec. Litig.* (\$19.5 million recovery); *In re Warner Chilcott Ltd. Sec. Litig.* (\$16.5 million recovery); *In re Third Avenue Mgmt. Sec. Litig.* (\$14.25 million recovery); *In re Giant Interactive Grp., Inc. Sec. Litig.* (\$13 million recovery); *In re Royal Grp. Tech. Sec. Litig.* (\$9 million recovery); *Fidelity Ultra Short Bond Fund Litig.* (\$7.5 million recovery); *In re Audiovox Derivative Litig.* (\$6.75 million recovery and corporate governance reforms); *State Street Yield Plus Fund Litig.* (\$6.25 million recovery); *In re Merrill Lynch & Co., Inc., Internet Strategies Sec. Litig.* (resolved as part of a \$39 million global settlement); and *In re MONY Grp., Inc. S'holder Litig.* (obtained preliminary injunction requiring disclosures in proxy statement).

Education

B.A., University of Michigan, 1992; J.D., Fordham University School of Law, 1995

Honors / Awards

Super Lawyer, *Super Lawyers Magazine*, 2013-2015, 2017-20120; Member, *Fordham International Law Journal*, Fordham University School of Law

Ashley M. Kelly | Partner

Ashley Kelly is a partner in the Firm's San Diego office, where she represents large institutional and individual investors as a member of the Firm's antitrust and securities fraud practices. Her work is primarily federal and state class actions involving the federal antitrust and securities laws, common law fraud, breach of contract, and accounting violations. Kelly's case work has been in the financial services, oil & gas, e-commerce, and technology industries. In addition to being an attorney, she is a Certified Public Accountant. Kelly was an important member of the litigation team that obtained a \$500 million settlement on behalf of investors in *Luther v. Countrywide Fin. Corp.*, which was the largest residential mortgage-backed securities purchaser class action recovery in history.

Education

B.S., Pennsylvania State University, 2005; J.D., Rutgers University-Camden, 2011

Honors / Awards

Rising Star, *Super Lawyers Magazine*, 2016, 2018-2021

David A. Knotts | Partner

David Knotts is a partner in the Firm's San Diego office and, in addition to ongoing litigation work, teaches a full-semester course on M&A litigation at the University of California Berkeley School of Law. He focuses his practice on securities class action litigation in the context of mergers and acquisitions, representing both individual shareholders and institutional investors. Knotts has been counsel of record for shareholders on a number of significant recoveries in courts and throughout the country, including *In re Rural/Metro Corp. S'holders Litig.* (nearly \$110 million total recovery, affirmed by the Delaware Supreme Court in *RBC v. Jervis*), *In re Del Monte Foods Co. S'holders Litig.* (\$89.4 million), *Websense* (\$40 million), *In re Onyx S'holders Litig.* (\$30 million), and *Joy Global* (\$20 million). *Websense* and *Onyx* are both believed to be the largest post-merger class settlements in California state court history. When Knotts recently presented the settlement as lead counsel for the stockholders in *Joy Global*, the United States District Court for the Eastern District of Wisconsin noted that "this is a pretty extraordinary settlement, recovery on behalf of the members of the class. . . . [I]t's always a pleasure to work with people who are experienced and who know what they are doing."

Before joining Robbins Geller, Knotts was an associate at one of the largest law firms in the world and represented corporate clients in various aspects of state and federal litigation, including major antitrust matters, trade secret disputes, and unfair competition claims.

Education

B.S., University of Pittsburgh, 2001; J.D., Cornell Law School, 2004

Honors / Awards

40 & Under Hot List, *Benchmark Litigation*, 2018, 2020-2021; Next Generation Partner, *The Legal 500*, 2019-2021; Recommended Lawyer, *The Legal 500*, 2017-2019; Wiley W. Manuel Award for Pro Bono Legal Services, State Bar of California; Casa Cornelia Inns of Court; J.D., *Cum Laude*, Cornell Law School, 2004

Laurie L. Largent | Partner

Laurie Largent is a partner in the Firm's San Diego, California office. Her practice focuses on securities class action and shareholder derivative litigation and she has helped recover millions of dollars for injured shareholders. Largent was part of the litigation team that obtained a \$265 million recovery in *In re Massey Energy Co. Sec. Litig.*, in which Massey was found accountable for a tragic explosion at the Upper Big Branch mine in Raleigh County, West Virginia. She also helped obtain \$67.5 million for Wyeth shareholders in *City of Livonia Emps.' Ret. Sys. v. Wyeth*, settling claims that the defendants misled investors about the safety and commercial viability of one of the company's leading drug candidates. Most recently, Largent was on the team that secured a \$64 million recovery for Dana Corp. shareholders in *Plumbers & Pipefitters Nat'l Pension Fund v. Burns*, in which the Firm's Appellate Practice Group successfully appealed to the Sixth Circuit Court of Appeals twice, reversing the district court's dismissal of the action. Some of Largent's other cases include: *In re Sanofi-Aventis Sec. Litig.* (S.D.N.Y.) (\$40 million); *In re Bridgepoint Educ., Inc. Sec. Litig.* (S.D. Cal.) (\$15.5 million); *Ross v. Abercrombie & Fitch Co.* (S.D. Ohio) (\$12 million); *Maiman v. Talbott* (C.D. Cal.) (\$8.25 million); *In re Cafepress Inc. S'holder Litig.* (Cal. Super. Ct., San Mateo Cnty.) (\$8 million); and *Krystek v. Ruby Tuesday, Inc.* (M.D. Tenn.) (\$5 million). Largent's current cases include securities fraud cases against Dell, Inc. (W.D. Tex.) and Banc of California (C.D. Cal.).

Largent is a past board member on the San Diego County Bar Foundation and the San Diego Volunteer Lawyer Program. She has also served as an Adjunct Business Law Professor at Southwestern College in Chula Vista, California.

Education

B.B.A., University of Oklahoma, 1985; J.D., University of Tulsa, 1988

Honors / Awards

Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Board Member, San Diego County Bar Foundation, 2013-2017; Board Member, San Diego Volunteer Lawyer Program, 2014-2017

Kevin A. Lavelle | Partner

Kevin Lavelle is a partner in the Firm's San Diego office, where his practice focuses on complex securities litigation.

Lavelle has served on numerous litigation teams and helped obtain over \$500 million for investors. His work includes several significant recoveries against corporations, including HCA Holdings, Inc. (\$215 million); Altria Group and JUUL Labs (\$90 million); Endo Pharmaceuticals (\$63 million); and Intercept Pharmaceuticals (\$55 million), among others.

Education

B.A., College of the Holy Cross, 2008; J.D., Brooklyn Law School, 2013

Honors / Awards

J.D., *Cum Laude*, Brooklyn Law School, 2013; B.A., *Cum Laude*, College of the Holy Cross, 2008

Nathan R. Lindell | Partner

Nate Lindell is a partner in the Firm's San Diego office, where his practice focuses on representing aggrieved investors in complex civil litigation. He has helped achieve numerous significant recoveries for investors, including: *In re Enron Corp. Sec. Litig.* (\$7.2 billion recovery); *In re HealthSouth Corp. Sec. Litig.* (\$671 million recovery); *Luther v. Countrywide Fin. Corp.* (\$500 million recovery); *Fort Worth Emps.' Ret. Fund v. J.P. Morgan Chase & Co.* (\$388 million recovery); *NECA-IBEW Health & Welfare Fund v. Goldman Sachs & Co.* (\$272 million recovery); *In re Morgan Stanley Mortg. Pass-Through Certificates Litig.* (\$95 million recovery); *Massachusetts Bricklayers & Masons Tr. Funds v. Deutsche Alt-A Sec., Inc.* (\$32.5 million recovery); *City of Ann Arbor Emps.' Ret. Sys. v. Citigroup Mortg. Loan Trust Inc.* (\$24.9 million recovery); *Plumbers' Union Local No. 12 Pension Fund v. Nomura Asset Acceptance Corp.* (\$21.2 million recovery); and *Genesee Cnty. Emps.' Ret. Sys. v. Thornburg Mortg., Inc.* (\$11.25 million recovery). In October 2016, Lindell successfully argued in front of the New York Supreme Court, Appellate Division, First Judicial Department, for the reversal of an earlier order granting defendants' motion to dismiss in *Phoenix Light SF Limited v. Morgan Stanley*.

Lindell was also a member of the litigation team responsible for securing a landmark victory from the Second Circuit Court of Appeals in its precedent-setting *NECA-IBEW Health & Welfare Fund v. Goldman Sachs & Co.* decision, which dramatically expanded the scope of permissible class actions asserting claims under the Securities Act of 1933 on behalf of mortgage-backed securities investors, and ultimately resulted in a \$272 million recovery for investors.

Education

B.S., Princeton University, 2003; J.D., University of San Diego School of Law, 2006

Honors / Awards

Rising Star, *Super Lawyers Magazine*, 2015-2017; Charles W. Caldwell Alumni Scholarship, University of San Diego School of Law; CALI/AmJur Award in Sports and the Law

Ryan Llorens | Partner

Ryan Llorens is a partner in the Firm's San Diego office. Llorens' practice focuses on litigating complex securities fraud cases. He has worked on a number of securities cases that have resulted in significant recoveries for investors, including: *In re HealthSouth Corp. Sec. Litig.* (\$670 million); *AOL Time Warner* (\$629 million); *In re AT&T Corp. Sec. Litig.* (\$100 million); *In re Fleming Cos. Sec. Litig.* (\$95 million); and *In re Cooper Cos., Inc. Sec Litig.* (\$27 million).

Education

B.A., Pitzer College, 1997; J.D., University of San Diego School of Law, 2002

Honors / Awards

Rising Star, *Super Lawyers Magazine*, 2015

Andrew S. Love | Partner

Andrew Love is a partner in the Firm's San Francisco office. His practice focuses primarily on appeals of securities fraud class action cases. Love has briefed and argued cases on behalf of defrauded investors and consumers in several U.S. Courts of Appeal, as well as in the California appellate courts. Prior to joining the Firm, Love represented inmates on California's death row in appellate and habeas corpus proceedings, successfully arguing capital cases in both the California Supreme Court and the Ninth Circuit. During his many years as a death penalty lawyer, he co-chaired the Capital Case Defense Seminar (2004-2013), recognized as the largest conference for death penalty practitioners in the country. He regularly presented at the seminar and at other conferences on a wide variety of topics geared towards effective appellate practice. Additionally, he was on the faculty of the National Institute for Trial Advocacy's Post-Conviction Skills Seminar. Love has also written several articles on appellate advocacy and capital punishment that have appeared in *The Daily Journal*, *CACJ Forum*, *American Constitution Society*, and other publications.

Education

University of Vermont, 1981; J.D., University of San Francisco School of Law, 1985

Honors / Awards

J.D., *Cum Laude*, University of San Francisco School of Law, 1985; McAuliffe Honor Society, University of San Francisco School of Law, 1982-1985

Erik W. Luedeke | Partner

Erik Luedeke is a partner in the Firm's San Diego office, where he represents individual and institutional investors in shareholder derivative and securities litigation. As corporate fiduciaries, directors and officers are duty-bound to act in the best interest of the corporation and its shareholders. When they fail to do so they breach their fiduciary duty and may be held liable for harm caused to the corporation. Luedeke's shareholder derivative practice focuses on litigating breach of fiduciary duty and related claims on behalf of corporations and shareholders injured by wayward corporate fiduciaries. Notable shareholder derivative actions in which he recently participated and the recoveries he helped to achieve include *In re Community Health Sys., Inc. S'holder Derivative Litig.* (\$60 million in financial relief and unprecedented corporate governance reforms), *In re Lumber Liquidators Holdings, Inc. S'holder Derivative Litig.* (\$26 million in financial relief plus substantial governance), and *In re Google Inc. S'holder Derivative Litig.* (\$250 million in financial relief to fund substantial governance).

Luedeke's practice also includes the prosecution of complex securities class action cases on behalf of aggrieved investors. Luedeke was a member of the litigation team in *Jaffe v. Household Int'l, Inc.*, No. 02-C-5893 (N.D. Ill.), that resulted in a record-breaking \$1.575 billion settlement after 14 years of litigation, including a six-week jury trial ending in a plaintiffs' verdict. He was also a member of the litigation teams in *In re UnitedHealth Grp. Inc. PSLRA Litig.*, No. 06-CV-1691 (D. Minn.) (\$925 million recovery), and *In re Questcor Pharms., Inc. Sec. Litig.*, No. 8:12-cv-01623 (C.D. Cal.) (\$38 million recovery).

Education

B.S./B.A., University of California Santa Barbara, 2001; J.D., University of San Diego School of Law, 2006

Honors / Awards

Rising Star, *Super Lawyers Magazine*, 2015-2017; Student Comment Editor, *San Diego International Law Journal*, University of San Diego School of Law

Christopher H. Lyons | Partner

Christopher Lyons is a partner in the Firm's Nashville office. He focuses his practice on representing institutional and individual investors in merger-related class action litigation and in complex securities litigation. Lyons has been a significant part of litigation teams that have achieved substantial recoveries for investors. Notable cases include *CoreCivic (Grae v. Corrections Corporation of America)* (\$56 million recovered), *Good Technology* (\$52 million recovered for investors in a privately held technology company), *Nissan* (\$36 million recovered), *Blackhawk Network Holdings* (\$29.5 million recovered), and *The Fresh Market (Morrison v. Berry)* (\$27.5 million recovered). His *pro bono* work includes representing individuals who are appealing denial of necessary medical benefits by TennCare (Tennessee's Medicaid program), through the Tennessee Justice Center.

Before joining Robbins Geller, Lyons practiced at a prominent Delaware law firm, where he mostly represented corporate officers and directors defending against breach of fiduciary duty claims in the Delaware Court of Chancery and in the Delaware Supreme Court. Before that, he clerked for Vice Chancellor J. Travis Laster of the Delaware Court of Chancery. Lyons now applies the expertise he gained from those experiences to help investors uncover wrongful conduct and recover the money and other remedies to which they are rightfully entitled.

Education

B.A., Colorado College, 2006; J.D., Vanderbilt University Law School, 2010

Honors / Awards

Best Lawyer in America: One to Watch, *Best Lawyers*®, 2022-2023; 40 & Under Hot List, *Benchmark Litigation*, 2021; Rising Star, *Super Lawyers Magazine*, 2018-2020; B.A., Distinction in International Political Economy, Colorado College, 2006; J.D., Law & Business Certificate, Vanderbilt University Law School, 2010

Noam Mandel | Partner

Noam Mandel is a partner in the Firm's Manhattan office. Mandel has extensive experience in all aspects of litigation on behalf of investors, including securities law claims, corporate derivative actions, fiduciary breach class actions, and appraisal litigation. Mandel has represented investors in federal and state courts throughout the United States and has significant experience advising investors concerning their interests in litigation and investigating and prosecuting claims on their behalf.

Mandel has served as counsel in numerous outstanding securities litigation recoveries, including in *In re Nortel Networks Corporation Securities Litigation* (\$1.07 billion shareholder recovery), *Ohio Public Employees Retirement System v. Freddie Mac* (\$410 million shareholder recovery), and *In re Satyam Computer Services, Ltd. Securities Litigation* (\$150 million shareholder recovery). Mandel has also served as counsel in notable fiduciary breach class and derivative actions, particularly before the Court of Chancery of the State of Delaware. These actions include the groundbreaking fiduciary duty litigation challenging the CVS/Caremark merger (*Louisiana Municipal Police Employees' Retirement System v. Crawford*), which resulted in more than \$3.3 billion in additional consideration for Caremark shareholders. Mandel currently serves as counsel in *In re Dell Technologies Inc. Class V Stockholders Litigation*, which is presently before the Court of Chancery of the State of Delaware.

Education

B.S., Georgetown University, School of Foreign Service, 1998; J.D., Boston University School of Law, 2002

Honors / Awards

J.D., *Cum Laude*, Boston University School of Law, 2002; Member, *Boston University Law Review*, Boston University School of Law

Mark T. Millkey | Partner

Mark Millkey is a partner in the Firm's Melville office. He has significant experience in the areas of securities and consumer litigation, as well as in federal and state court appeals.

During his career, Millkey has worked on a major consumer litigation against MetLife that resulted in a benefit to the class of approximately \$1.7 billion, as well as a securities class action against Royal Dutch/Shell that settled for a minimum cash benefit to the class of \$130 million and a contingent value of more than \$180 million. Since joining Robbins Geller, he has worked on securities class actions that have resulted in more than \$1.5 billion in settlements.

Education

B.A., Yale University, 1981; M.A., University of Virginia, 1983; J.D., University of Virginia, 1987

Honors / Awards

Super Lawyer, *Super Lawyers Magazine*, 2013-2022

David W. Mitchell | Partner

David Mitchell is a partner in the Firm's San Diego office and focuses his practice on antitrust and securities fraud litigation. He is a former federal prosecutor who has tried nearly 20 jury trials. As head of the Firm's Antitrust and Competition Law Practice Group, he has served as lead or co-lead counsel in numerous cases and has helped achieve substantial settlements for shareholders. His most notable antitrust cases include *Dahl v. Bain Cap. Partners, LLC*, obtaining more than \$590 million for shareholders, and *In re Payment Card Interchange Fee and Merchant Discount Antitrust Litig.*, in which a settlement of \$5.5 billion was approved in the Eastern District of New York. This case was brought on behalf of millions of U.S. merchants against Visa and MasterCard and various card-issuing banks, challenging the way these companies set and collect tens of billions of dollars annually in merchant fees. The settlement is believed to be the largest antitrust class action settlement of all time.

Additionally, Mitchell served as co-lead counsel in the ISDAfix Benchmark action against 14 major banks and broker ICAP plc, obtaining \$504.5 million for plaintiffs. Currently, Mitchell serves as court-appointed lead counsel in *In re Aluminum Warehousing Antitrust Litig., City of Providence, Rhode Island v. BATS Global Markets Inc., In re SSA Bonds Antitrust Litig., In re Remicade Antitrust Litig.*, and *In re 1-800 Contacts Antitrust Litig.*

Education

B.A., University of Richmond, 1995; J.D., University of San Diego School of Law, 1998

Honors / Awards

Member, Enright Inn of Court; Leading Lawyer in America, *Lawdragon*, 2020-2023; Best Lawyer in America, *Best Lawyers®*, 2018-2023; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Top 50 Lawyers in San Diego, *Super Lawyers Magazine*, 2021; Southern California Best Lawyer, *Best Lawyers®*, 2018-2021; Super Lawyer, *Super Lawyers Magazine*, 2016-2021; Honoree, Outstanding Antitrust Litigation Achievement in Private Law Practice, American Antitrust Institute, 2018; Antitrust Trailblazer, *The National Law Journal*, 2015; "Best of the Bar," *San Diego Business Journal*, 2014

Danielle S. Myers | Partner

Danielle Myers is a partner in the Firm's San Diego office and focuses her practice on complex securities litigation. Myers is one of the partners who oversees the Portfolio Monitoring Program® and provides legal recommendations to the Firm's institutional investor clients on their options to maximize recoveries in securities litigation, both within the United States and internationally, from inception to settlement. She is also part of Robbins Geller's SPAC Task Force, which is dedicated to rooting out and prosecuting fraud on behalf of injured investors in special purpose acquisition companies.

Myers advises the Firm's clients in connection with lead plaintiff applications and has helped secure appointment of the Firm's clients as lead plaintiff and the Firm's appointment as lead counsel in hundreds of securities class actions, which cases have yielded more than \$4 billion for investors, including 2018-2021 recoveries in *In re Valeant Pharms. Int'l, Inc. Sec. Litig.*, No. 3:15-cv-07658 (D.N.J.) (\$1.2 billion); *In re Am. Realty Cap. Proprs., Inc. Litig.*, No. 1:15-mc-00040 (S.D.N.Y.) (\$1.025 billion); *Smilovits v. First Solar, Inc.*, No. 2:12-cv-00555 (D. Ariz.) (\$350 million); *City of Pontiac Gen. Ret. Sys. v. Wal-Mart Stores, Inc.*, No. 5:12-cv-5162 (W.D. Ark.) (\$160 million); *Evellard v. LendingClub Corp.*, No. 3:16-cv-02627 (N.D. Cal.) (\$125 million); *Knurr v. Orbital ATK, Inc.*, No. 1:16-cv-01031 (E.D. Va.) (\$108 million); and *Marcus v. J.C. Penney Co., Inc.*, No. 6:13-cv-00736 (E.D. Tex.) (\$97.5 million). Myers is also a frequent presenter on securities fraud and corporate governance reform at conferences and events around the world.

Education

B.A., University of California at San Diego, 1997; J.D., University of San Diego, 2008

Honors / Awards

Leading Lawyer in America, *Lawdragon*, 2022-2023; Best Lawyer in America: One to Watch, *Best Lawyers*®, 2021-2023; Top 100 Leaders in Law Honoree, *San Diego Business Journal*, 2022; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2022; Leading Lawyer, *The Legal 500*, 2020-2022; Best Lawyer in Southern California: One to Watch, *Best Lawyers*®, 2021; Future Star, *Benchmark Litigation*, 2019-2020; Next Generation Lawyer, *The Legal 500*, 2017-2019; Recommended Lawyer, *The Legal 500*, 2019; Rising Star, *Super Lawyers Magazine*, 2015-2018; One of the "Five Associates to Watch in 2012," *Daily Journal*; Member, *San Diego Law Review*; CALI Excellence Award in Statutory Interpretation

Eric I. Niehaus | Partner

Eric Niehaus is a partner in the Firm's San Diego office, where his practice focuses on complex securities and derivative litigation. His efforts have resulted in numerous multi-million dollar recoveries to shareholders and extensive corporate governance changes. Notable examples include: *In re NYSE Specialists Sec. Litig.* (S.D.N.Y.); *In re Novatel Wireless Sec. Litig.* (S.D. Cal.); *Batwin v. Occam Networks, Inc.* (C.D. Cal.); *Comm'ns Workers of Am. Plan for Employees' Pensions and Death Benefits v. CSK Auto Corp.* (D. Ariz.); *Marie Raymond Revocable Trust v. Mat Five* (Del. Ch.); and *Kelleher v. ADVO, Inc.* (D. Conn.). He most recently prosecuted a case against Stamps.com in the Central District of California that resulted in a \$100 million settlement for shareholders of the company's stock. Before joining the Firm, Niehaus worked as a Market Maker on the American Stock Exchange in New York and the Pacific Stock Exchange in San Francisco.

Education

B.S., University of Southern California, 1999; J.D., California Western School of Law, 2005

Honors / Awards

Rising Star, *Super Lawyers Magazine*, 2015-2016; J.D., *Cum Laude*, California Western School of Law, 2005; Member, *California Western Law Review*

Erika Oliver | Partner

Erika Oliver is a partner in the Firm's San Diego office. Before joining the Firm, Erika served as a judicial law clerk to the Honorable Anthony J. Battaglia of the Southern District of California. At the Firm, her practice focuses on complex securities litigation. Most recently, Erika and Luke Brooks defeated defendants' motion to dismiss securities fraud claims arising from purchases on Israel's Tel Aviv Stock Exchange in *In re Teva Sec. Litig.* (D. Conn.). Erika was also a member of the litigation teams of Robbins Geller attorneys that successfully recovered hundreds of millions of dollars for investors in securities class actions, including *In re Novo Nordisk Sec. Litig.* (D.N.J.) (\$100 million recovery), *Fleming v. Impax Labs, Inc.* (N.D. Cal.) (\$33 million recovery), and *In re Banc of California Sec. Litig.* (C.D. Cal.) (\$19.75 million recovery).

Education

B.S., San Diego State University, 2009; J.D., University of San Diego School of Law, 2015

Honors / Awards

Best Lawyer in America: One to Watch, *Best Lawyers*®, 2021-2023; Best Lawyer in Southern California: One to Watch, *Best Lawyers*®, 2021; J.D., *Magna Cum Laude*, University of San Diego School of Law, 2015; B.S., *Cum Laude*, San Diego State University, 2009

Lucas F. Olts | Partner

Luke Olts is a partner in the Firm's San Diego office, where his practice focuses on securities litigation on behalf of individual and institutional investors. Olts recently served as lead counsel in *In re Facebook Biometric Info. Privacy Litig.*, a cutting-edge class action concerning Facebook's alleged privacy violations through its collection of users' biometric identifiers without informed consent that resulted in a \$650 million settlement. Olts has focused on litigation related to residential mortgage-backed securities, and has served as lead counsel or co-lead counsel in some of the largest recoveries arising from the collapse of the mortgage market. For example, he was a member of the team that recovered \$388 million for investors in J.P. Morgan residential mortgage-backed securities in *Fort Worth Emps.' Ret. Fund v. J.P. Morgan Chase & Co.*, and a member of the litigation team responsible for securing a \$272 million settlement on behalf of mortgage-backed securities investors in *NECA-IBEW Health & Welfare Fund v. Goldman Sachs & Co.* Olts also served as co-lead counsel in *In re Wachovia Preferred Sec. & Bond/Notes Litig.*, which recovered \$627 million under the Securities Act of 1933. He also served as lead counsel in *Siracusano v. Matrixx Initiatives, Inc.*, in which the U.S. Supreme Court unanimously affirmed the decision of the Ninth Circuit that plaintiffs stated a claim for securities fraud under §10(b) of the Securities Exchange Act of 1934 and SEC Rule 10b-5. Olts also served on the litigation team in *In re Deutsche Bank AG Sec. Litig.*, in which the Firm obtained a \$18.5 million settlement in a case against Deutsche Bank and certain of its officers alleging violations of the Securities Act of 1933. Before joining the Firm, Olts served as a Deputy District Attorney for the County of Sacramento, where he tried numerous cases to verdict, including crimes of domestic violence, child abuse, and sexual assault.

Education

B.A., University of California, Santa Barbara, 2001; J.D., University of San Diego School of Law, 2004

Honors / Awards

Future Star, *Benchmark Litigation*, 2018-2020; Next Generation Lawyer, *The Legal 500*, 2017; Top Litigator Under 40, *Benchmark Litigation*, 2017; Under 40 Hotlist, *Benchmark Litigation*, 2016

Steven W. Pepich | Partner

Steve Pepich is a partner in the Firm's San Diego office. His practice has focused primarily on securities class action litigation, but has also included a wide variety of complex civil cases, including representing plaintiffs in mass tort, royalty, civil rights, human rights, ERISA, and employment law actions. Pepich has participated in the successful prosecution of numerous securities class actions, including: *Carpenters Health & Welfare Fund v. Coca-Cola Co.* (\$137.5 million recovery); *In re Fleming Cos. Inc. Sec. & Derivative Litig.* (\$95 million recovered); *In re Boeing Sec. Litig.* (\$92 million recovery); *In re Louisiana-Pacific Corp. Sec. Litig.* (\$65 million recovery); *Haw. Structural Ironworkers Pension Trust Fund v. Calpine Corp.* (\$43 million recovery); *In re Advanced Micro Devices Sec. Litig.* (\$34 million recovery); and *Gohler v. Wood*, (\$17.2 million recovery). Pepich was a member of the plaintiffs' trial team in *Mynaf v. Taco Bell Corp.*, which settled after two months of trial on terms favorable to two plaintiff classes of restaurant workers for recovery of unpaid wages. He was also a member of the plaintiffs' trial team in *Newman v. Stringfellow* where, after a nine-month trial in Riverside, California, all claims for exposure to toxic chemicals were ultimately resolved for \$109 million.

Education

B.S., Utah State University, 1980; J.D., DePaul University, 1983

Daniel J. Pfefferbaum | Partner

Daniel Pfefferbaum is a partner in the Firm's San Francisco office, where his practice focuses on complex securities litigation. He has been a member of litigation teams that have recovered more than \$250 million for investors, including: *City of Westland Police & Fire Ret. Sys. v. Metlife Inc.* (\$84 million recovery); *Garden City Emps.' Ret. Sys. v. Psychiatric Sols., Inc.* (\$65 million recovery); *In re PMI Grp., Inc. Sec. Litig.* (\$31.25 million recovery); *Xiang v. Inovalon Holdings, Inc.* (\$17 million recovery); *Cunha v. Hansen Natural Corp.* (\$16.25 million recovery); *In re Accuray Inc. Sec. Litig.* (\$13.5 million recovery); *Twinde v. Threshold Pharms., Inc.* (\$10 million recovery); *In re Impax Labs. Inc. Sec. Litig.* (\$9 million recovery); and *In re Ubiquiti Networks, Inc.* (\$6.8 million recovery). Pfefferbaum was a member of the litigation team that secured a historic recovery on behalf of Trump University students in two class actions against President Donald J. Trump. The settlement provides \$25 million to approximately 7,000 consumers. This result means individual class members are eligible for upwards of \$35,000 in restitution. He represented the class on a *pro bono* basis.

Education

B.A., Pomona College, 2002; J.D., University of San Francisco School of Law, 2006; LL.M. in Taxation, New York University School of Law, 2007

Honors / Awards

40 & Under Hot List, *Benchmark Litigation*, 2016-2020; Future Star, *Benchmark Litigation*, 2018-2020; Top 40 Under 40, *Daily Journal*, 2017; Rising Star, *Super Lawyers Magazine*, 2013-2017

Theodore J. Pintar | Partner

Ted Pintar is a partner in the Firm's San Diego office. Pintar has over 20 years of experience prosecuting securities fraud actions and derivative actions and over 15 years of experience prosecuting insurance-related consumer class actions, with recoveries in excess of \$1 billion. He was part of the litigation team in the AOL Time Warner state and federal court securities opt-out actions, which arose from the 2001 merger of America Online and Time Warner. These cases resulted in a global settlement of \$618 million. Pintar was also on the trial team in *Knapp v. Gomez*, which resulted in a plaintiff's verdict. Pintar has successfully prosecuted several RICO cases involving the deceptive sale of deferred annuities, including cases against Allianz Life Insurance Company of North America (\$250 million), American Equity Investment Life Insurance Company (\$129 million), Midland National Life Insurance Company (\$80 million), and Fidelity & Guarantee Life Insurance Company (\$53 million). He has participated in the successful prosecution of numerous other insurance and consumer class actions, including: (i) actions against major life insurance companies such as Manufacturer's Life (\$555 million initial estimated settlement value) and Principal Mutual Life Insurance Company (\$380+ million), involving the deceptive sale of life insurance; (ii) actions against major homeowners insurance companies such as Allstate (\$50 million) and Prudential Property and Casualty Co. (\$7 million); (iii) actions against automobile insurance companies such as the Auto Club and GEICO; and (iv) actions against Columbia House (\$55 million) and BMG Direct, direct marketers of CDs and cassettes. Pintar and co-counsel recently settled a securities class action for \$32.8 million against Snap, Inc. in *Snap Inc. Securities Cases*, a case alleging violations of the Securities Act of 1933. Additionally, Pintar has served as a panelist for numerous Continuing Legal Education seminars on federal and state court practice and procedure.

Education

B.A., University of California, Berkeley, 1984; J.D., University of Utah College of Law, 1987

Honors / Awards

Rated AV Preeminent by Martindale-Hubbell; Top Lawyer in San Diego, *San Diego Magazine*, 2013-2021; Super Lawyer, *Super Lawyers Magazine*, 2014-2017; CAOC Consumer Attorney of the Year Award Finalist, 2015; Note and Comment Editor, *Journal of Contemporary Law*, University of Utah College of Law; Note and Comment Editor, *Journal of Energy Law and Policy*, University of Utah College of Law

Ashley M. Price | Partner

Ashley Price is a partner in the Firm's San Diego office. Her practice focuses on complex securities litigation. Price served as lead counsel in *In re Am. Realty Cap. Proprs., Inc. Litig.*, a case arising out of ARCP's manipulative accounting practices, and obtained a \$1.025 billion recovery. For five years, she and the litigation team prosecuted nine different claims for violations of the Securities Exchange Act of 1934 and the Securities Act of 1933, involving seven different stock or debt offerings and two mergers. The recovery represents the highest percentage of damages of any major PSLRA case prior to trial and includes the largest personal contributions by individual defendants in history.

Most recently, Price was a key member of the Robbins Geller litigation team in *Monroe County Employees' Retirement System v. The Southern Company* in which an \$87.5 settlement was reached after three years of litigation. The settlement resolved claims for violations of the Securities Exchange Act of 1934 stemming from defendants' issuance of materially misleading statements and omissions regarding the status of construction of a first-of-its-kind "clean coal" power plant that was designed to transform coal into synthetic gas that could then be used to fuel the power plant.

Education

B.A., Duke University, 2006; J.D., Washington University in St. Louis, School of Law, 2011

Honors / Awards

Best Lawyer in America: One to Watch, *Best Lawyers*®, 2023; 40 & Under Hot List, *Benchmark Litigation*, 2021; Rising Star, *Super Lawyers Magazine*, 2016-2021

Willow E. Radcliffe | Partner

Willow Radcliffe is a partner in the Firm's San Francisco office, where she concentrates her practice in securities class action litigation in federal court. She has been significantly involved in the prosecution of numerous securities fraud claims, including actions filed against Pfizer, Inc. (\$400 million recovery), CoreCivic (*Grae v. Corrections Corporation of America*) (\$56 million recovery), Flowserve Corp. (\$55 million recovery), Santander Consumer USA Holdings Inc. (\$47 million), NorthWestern Corp. (\$40 million recovery), Ashworth, Inc. (\$15.25 million recovery), and Allscripts Healthcare Solutions, Inc. (\$9.75 million recovery). Additionally, Radcliffe has represented plaintiffs in other complex actions, including a class action against a major bank regarding the adequacy of disclosures made to consumers in California related to access checks. Before joining the Firm, she clerked for the Honorable Maria-Elena James, Magistrate Judge for the United States District Court for the Northern District of California.

Education

B.A., University of California, Los Angeles 1994; J.D., Seton Hall University School of Law, 1998

Honors / Awards

Best Lawyer in America: One to Watch, *Best Lawyers*®, 2021-2023; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Best Lawyer in Northern California: One to Watch, *Best Lawyers*®, 2021; Plaintiffs' Lawyer Trailblazer, *The National Law Journal*, 2020; J.D., *Cum Laude*, Seton Hall University School of Law, 1998; Most Outstanding Clinician Award; Constitutional Law Scholar Award

Frank A. Richter | Partner

Frank Richter is a partner in the Firm's Chicago office, where he focuses on shareholder, antitrust, and class action litigation.

Richter was an integral member of the Robbins Geller team that secured a \$1.21 billion settlement in *In re Valeant Pharms. Int'l, Inc. Sec. Litig.* (D.N.J.), which is the ninth-largest securities class action settlement in history and the largest ever against a pharmaceutical manufacturer. In addition to *Valeant*, Richter has been a member of litigation teams that have secured hundreds of millions of dollars in securities class action settlements throughout the country, including in *HCA* (\$215 million, E.D. Tenn.), *Sprint* (\$131 million, D. Kan.), *Orbital ATK* (\$108 million, E.D. Va.), *Dana Corp.* (\$64 million, N.D. Ohio), *Diplomat* (\$15.5 million, N.D. Ill.), *LJM Funds* (\$12.85 million, N.D. Ill.), and *Camping World* (\$12.5 million, N.D. Ill.).

Richter also works on antitrust matters, including serving on the Plaintiffs' Steering Committee in *In re Dealer Mgmt. Sys. Antitrust Litig.* (N.D. Ill.), and he represents plaintiffs as local counsel in class action and derivative shareholder litigation in Illinois state and federal courts.

Education

B.A., Truman State University, 2007; M.M., DePaul University School of Music, 2009; J.D., DePaul University College of Law, 2012

Honors / Awards

Rising Star, *Super Lawyers Magazine*, 2017-2022; 40 & Under Hot List, *Benchmark Litigation*, 2021; J.D., *Summa Cum Laude*, Order of the Coif, CALI Award for highest grade in seven courses, DePaul University College of Law, 2012

Darren J. Robbins | Partner

Darren Robbins is a founding partner of Robbins Geller Rudman & Dowd LLP. Over the last two decades, Robbins has served as lead counsel in more than 100 securities class actions and has recovered billions of dollars for investors. Robbins recently served as lead counsel in *In re Am. Realty Cap. Proprs., Inc. Litig.*, a securities class action arising out of improper accounting practices, recovering more than \$1 billion for class members. The *American Realty* settlement represents the largest recovery as a percentage of damages of any major class action brought pursuant to the Private Securities Litigation Reform Act of 1995 and resolved prior to trial. The \$1+ billion settlement included the largest personal contributions (\$237.5 million) ever made by individual defendants to a securities class action settlement.

Robbins also led Robbins Geller's prosecution of wrongdoing related to the sale of residential mortgage-backed securities (RMBS) prior to the global financial crisis, including an RMBS securities class action against Goldman Sachs that yielded a \$272 million recovery for investors. Robbins served as co-lead counsel in connection with a \$627 million recovery for investors in *In re Wachovia Preferred Securities & Bond/Notes Litig.*, one of the largest securities class action settlements ever involving claims brought solely under the Securities Act of 1933.

One of the hallmarks of Robbins' practice has been his focus on corporate governance reform. In *UnitedHealth*, a securities fraud class action arising out of an options backdating scandal, Robbins represented lead plaintiff CalPERS and obtained the cancellation of more than 3.6 million stock options held by the company's former CEO and secured a record \$925 million cash recovery for shareholders. He also negotiated sweeping corporate governance reforms, including the election of a shareholder-nominated director to the company's board of directors, a mandatory holding period for shares acquired via option exercise, and compensation reforms that tied executive pay to performance. Recently, Robbins led a shareholder derivative action brought by several pension funds on behalf of Community Health Systems, Inc. that yielded a \$60 million payment to Community Health as well as corporate governance reforms that included two shareholder-nominated directors, the creation and appointment of a Healthcare Law Compliance Coordinator, the implementation of an executive compensation clawback in the event of a restatement, the establishment of an insider trading controls committee, and the adoption of a political expenditure disclosure policy.

Education

B.S., University of Southern California, 1990; M.A., University of Southern California, 1990; J.D., Vanderbilt Law School, 1993

Honors / Awards

Lawyer of the Year: Litigation – Securities, *Best Lawyers*®, 2023; Best Lawyer in America, *Best Lawyers*®, 2010-2023; Leading Lawyer, *The Legal 500*, 2020-2022; Leading Lawyer, *Chambers USA*, 2014-2022; California Lawyer of the Year, *Daily Journal*, 2022; Top 50 Lawyers in San Diego, *Super Lawyers Magazine*, 2015, 2021; Litigator of the Week, *The American Lawyer*, 2021; Southern California Best Lawyer, *Best Lawyers*®, 2012-2021; Local Litigation Star, *Benchmark Litigation*, 2013-2018, 2020; Recommended Lawyer, *The Legal 500*, 2011, 2017, 2019; Benchmark California Star, *Benchmark Litigation*, 2019; State Litigation Star, *Benchmark Litigation*, 2019; Lawyer of the Year, *Best Lawyers*®, 2017; Influential Business Leader, *San Diego Business Journal*, 2017; Litigator of the Year, *Our City San Diego*, 2017; One of the Top 100 Lawyers Shaping the Future, *Daily Journal*; One of the “Young Litigators 45 and Under,” *The American Lawyer*; Attorney of the Year, *California Lawyer*; Managing Editor, *Vanderbilt Journal of Transnational Law*, Vanderbilt Law School

Robert J. Robbins | Partner

Robert Robbins is a partner in the Firm's Boca Raton office. He focuses his practice on investigating securities fraud, initiating securities class actions, and helping institutional and individual shareholders litigate their claims to recover investment losses caused by fraud. Representing shareholders in all aspects of class actions brought pursuant to the federal securities laws, Robbins provides counsel in numerous securities fraud class actions across the country, helping secure significant recoveries for investors. Most recently, Robbins and a team of Robbins Geller attorneys obtained a \$1.21 billion settlement in *In re Valeant Pharms. Int'l, Inc. Sec. Litig.*, a case that *Vanity Fair* reported as "the corporate scandal of its era" that had raised "fundamental questions about the functioning of our health-care system, the nature of modern markets, and the slippery slope of ethical rationalizations." This is the largest securities class action settlement against a pharmaceutical manufacturer and the ninth largest ever. Robbins has also been a key member of litigation teams responsible for the successful prosecution of many other securities class actions, including: *Hospira* (\$60 million recovery); *3D Systems* (\$50 million); *CVS Caremark* (\$48 million recovery); *Baxter International* (\$42.5 million recovery); *R.H. Donnelley* (\$25 million recovery); *Spiegel* (\$17.5 million recovery); *TECO Energy* (\$17.35 million recovery); *AFC Enterprises* (\$17.2 million recovery); *Accretive Health* (\$14 million recovery); *Lender Processing Services* (\$14 million recovery); *Imperial Holdings* (\$12 million recovery); *Mannatech* (\$11.5 million recovery); *Newpark Resources* (\$9.24 million recovery); *Gilead Sciences* (\$8.25 million recovery); *TCP International* (\$7.175 million recovery); *Cryo Cell International* (\$7 million recovery); *Gainsco* (\$4 million recovery); and *Body Central* (\$3.425 million recovery).

Education

B.S., University of Florida, 1999; J.D., University of Florida College of Law, 2002

Honors / Awards

Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Rising Star, *Super Lawyers Magazine*, 2015-2017; J.D., High Honors, University of Florida College of Law, 2002; Member, *Journal of Law and Public Policy*, University of Florida College of Law; Member, *Phi Delta Phi*, University of Florida College of Law; *Pro bono* certificate, Circuit Court of the Eighth Judicial Circuit of Florida; Order of the Coif

Caroline M. Robert | Partner

Caroline Robert is a partner in the Firm's San Diego office, where her practice focuses on complex securities litigation. Robert has maintained an active role in litigation at the heart of the worldwide financial crisis. She was part of the litigation teams that secured settlements for institutional investors against Wall Street banks for their role in structuring residential mortgage-backed securities and their subsequent collapse. Currently, she is litigating *China Development Industrial Bank v. Morgan Stanley & Co. Inc.*

Robert also serves as liaison to some the Firm's institutional investor clients abroad. She is currently representing investors damaged by Volkswagen's defeat device scandal in representative actions in Germany against Volkswagen and Porsche SE under the Kapitalanlegermusterverfahrensgesetz (KapMuG), the Capital Market Investors' Model Proceeding Act.

Education

B.A., University of San Diego, 2004; J.D., University of San Diego School of Law, 2007

Honors / Awards

B.A., *Magna Cum Laude*, University of San Diego, 2004

David A. Rosenfeld | Partner

David Rosenfeld, a partner in the Firm's Melville office, has focused his legal practice for more than 20 years in the area of securities litigation. He has argued in courts throughout the country, has been appointed lead counsel in dozens of securities fraud lawsuits, and has successfully recovered hundreds of millions of dollars for defrauded shareholders.

Rosenfeld works on all stages of litigation, including drafting pleadings, arguing motions, and negotiating settlements. Most recently, he led the teams of Robbins Geller attorneys in recovering \$95 million for shareholders of Tableau Software, Inc., \$90 million for shareholders of Altria Group, Inc., \$40 million for shareholders of BRF S.A, \$20 million for shareholders of Grana y Montero (where shareholders recovered more than 90% of their losses), and \$34.5 million for shareholders of L-3 Communications Holdings, Inc.

Rosenfeld also led the Robbins Geller team in recovering in excess of \$34 million for investors in Overseas Shipholding Group, which represented an outsized recovery of 93% of bond purchasers' damages and 28% of stock purchasers' damages. The creatively structured settlement included more than \$15 million paid by a bankrupt entity. Rosenfeld also led the effort that resulted in the recovery of nearly 90% of losses for investors in Austin Capital, a sub-feeder fund of Bernard Madoff. In connection with this lawsuit, Rosenfeld met with and interviewed Madoff in federal prison in Butner, North Carolina.

Rosenfeld has also achieved remarkable recoveries against companies in the financial industry. In addition to being appointed lead counsel in the securities fraud lawsuit against First BanCorp (\$74.25 million recovery), he recovered \$70 million for investors in Credit Suisse Group and \$14 million for Barclays investors.

Education

B.S., Yeshiva University, 1996; J.D., Benjamin N. Cardozo School of Law, 1999

Honors / Awards

Super Lawyer, *Super Lawyers Magazine*, 2014-2022; Future Star, *Benchmark Litigation*, 2016-2020; Recommended Lawyer, *The Legal 500*, 2018; Rising Star, *Super Lawyers Magazine*, 2011-2013

Robert M. Rothman | Partner

Robert Rothman is a partner in the Firm's Melville office and a member of the Firm's Management Committee. He has recovered well in excess of \$1 billion on behalf of victims of investment fraud, consumer fraud, and antitrust violations.

Recently, Rothman served as lead counsel in *In re Am. Realty Cap. Props., Inc. Litig.* where he obtained a \$1.025 billion cash recovery on behalf of investors. Rothman and the litigation team prosecuted nine different claims for violations of the Securities Exchange Act of 1934 and the Securities Act of 1933, involving seven different stock or debt offerings and two mergers. The recovery represents the highest percentage of damages ever obtained in a major PSLRA case before trial and includes the largest personal contributions by individual defendants in history. Additionally, Rothman has recovered hundreds of millions of dollars for investors in cases against First Bancorp, Doral Financial, Popular, iStar, Autoliv, CVS Caremark, Fresh Pet, The Great Atlantic & Pacific Tea Company (A&P), NBTY, Spiegel, American Superconductor, Iconix Brand Group, Black Box, OSI Pharmaceuticals, Gravity, Caminus, Central European Distribution Corp., OneMain Holdings, The Children's Place, CNinsure, Covisint, FleetBoston Financial, Interstate Bakeries, Hibernia Foods, Jakks Pacific, Jarden, Portal Software, Ply Gem Holdings, Orion Energy, Tommy Hilfiger, TD Banknorth, Teletech, Unitek, Vicuron, Xerium, W Holding, and dozens of others.

Rothman also represents shareholders in connection with going-private transactions and tender offers. For example, in connection with a tender offer made by Citigroup, Rothman secured an increase of more than \$38 million over what was originally offered to shareholders. He also actively litigates consumer fraud cases, including a case alleging false advertising where the defendant agreed to a settlement valued in excess of \$67 million.

Education

B.A., State University of New York at Binghamton, 1990; J.D., Hofstra University School of Law, 1993

Honors / Awards

Leading Plaintiff Financial Lawyer, *Lawdragon*, 2022; Northeast Trailblazer, *The American Lawyer*, 2022; Super Lawyer, *Super Lawyers Magazine*, 2011, 2013-2022; New York Trailblazer, *New York Law Journal*, 2020; Dean's Academic Scholarship Award, Hofstra University School of Law; J.D., with Distinction, Hofstra University School of Law, 1993; Member, *Hofstra Law Review*, Hofstra University School of Law

Samuel H. Rudman | Partner

Sam Rudman is a founding member of the Firm, a member of the Firm's Executive and Management Committees, and manages the Firm's New York offices. His 26-year securities practice focuses on recognizing and investigating securities fraud, and initiating securities and shareholder class actions to vindicate shareholder rights and recover shareholder losses. Rudman is also part of the Firm's SPAC Task Force, which is dedicated to rooting out and prosecuting fraud on behalf of injured investors in special purpose acquisition companies. A former attorney with the SEC, Rudman has recovered hundreds of millions of dollars for shareholders, including a \$200 million recovery in *Motorola*, a \$129 million recovery in *Doral Financial*, an \$85 million recovery in *Blackstone*, a \$74 million recovery in *First BanCorp*, a \$65 million recovery in *Forest Labs*, a \$62.5 million recovery in *SQM*, a \$50 million recovery in *TD Banknorth*, a \$48 million recovery in *CVS Caremark*, a \$34.5 million recovery in *L-3 Communications Holdings*, a \$32.8 million recovery in *Snap, Inc.*, and a \$18.5 million recovery in *Deutsche Bank*.

Education

B.A., Binghamton University, 1989; J.D., Brooklyn Law School, 1992

Honors / Awards

Super Lawyer, *Super Lawyers Magazine*, 2007-2022; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Leading Lawyer, *Chambers USA*, 2014-2022; Leading Lawyer in America, *Lawdragon*, 2016-2022; New York Trailblazer, *New York Law Journal*, 2020; Plaintiffs' Lawyer Trailblazer, *The National Law Journal*, 2020; National Practice Area Star, *Benchmark Litigation*, 2019-2020; Local Litigation Star, *Benchmark Litigation*, 2013-2020; Recommended Lawyer, *The Legal 500*, 2018-2019; Litigation Star, *Benchmark Litigation*, 2013, 2017-2019; Dean's Merit Scholar, Brooklyn Law School; Moot Court Honor Society, Brooklyn Law School; Member, *Brooklyn Journal of International Law*, Brooklyn Law School

Joseph Russello | Partner

Joseph Russello is a partner in the Firm's Melville office. He began his career as a defense lawyer and now represents investors in securities class actions at the trial and appellate levels.

Rusello spearheaded the team that recovered \$85 million in litigation against The Blackstone Group, LLC, a case that yielded a landmark decision from the Second Circuit Court of Appeals on "materiality" in securities actions. *Litwin v. Blackstone Grp., L.P.*, 634 F.3d 706 (2d Cir. 2011). He also led the team responsible for partially defeating dismissal and achieving a \$50 million settlement in litigation against BHP Billiton, an Australia-based mining company accused of concealing safety issues at a Brazilian iron-ore dam. *In re BHP Billiton Ltd. Sec. Litig.*, 276 F. Supp. 3d 65 (S.D.N.Y. 2017).

Recently, Rusello was co-counsel in a lawsuit against Allied Nevada Gold Corporation, recovering \$14.5 million for investors after the Ninth Circuit Court of Appeals reversed two dismissal decisions. *In re Allied Nev. Gold Corp. Sec. Litig.*, 743 F. App'x 887 (9th Cir. 2018). He was also instrumental in obtaining a settlement and favorable appellate decision in litigation against SAIC, Inc., a defense contractor embroiled in a decade-long overbilling fraud against the City of New York. *Ind. Pub. Ret. Sys. v. SAIC, Inc.*, 818 F.3d 85 (2d Cir. 2016). Other notable recent decisions include: *In re Qudian Sec. Litig.*, 189 A.D. 3d 449 (N.Y. App. Div., 1st Dep't 2020); *Kazi v. XP Inc.*, 2020 WL 4581569 (N.Y. Sup. Ct. Aug. 5, 2020); *In re Dentsply Sirona, Inc. S'holders Litig.*, 2019 WL 3526142 (N.Y. Sup. Ct. Aug. 2, 2019); and *Matter of PPD AI Grp. Sec. Litig.*, 64 Misc. 3d 1208(A), 2019 WL 2751278 (N.Y. Sup. Ct. 2019). Other notable settlements include: *NBTY, Inc.* (\$16 million); *LaBranche & Co., Inc.* (\$13 million); *The Children's Place Retail Stores, Inc.* (\$12 million); and *Prestige Brands Holdings, Inc.* (\$11 million).

Education

B.A., Gettysburg College, 1998; J.D., Hofstra University School of Law, 2001

Honors / Awards

Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Super Lawyer, *Super Lawyers Magazine*, 2014-2020; *Law360* Securities Editorial Advisory Board, 2017

Scott H. Saham | Partner

Scott Saham is a partner in the Firm's San Diego office, where his practice focuses on complex securities litigation. He is licensed to practice law in both California and Michigan. Most recently, Saham was a member of the litigation team that obtained a \$125 million settlement in *In re LendingClub Sec. Litig.*, a settlement that ranked among the top ten largest securities recoveries ever in the Northern District of California. He was also part of the litigation teams in *Schuh v. HCA Holdings, Inc.*, which resulted in a \$215 million recovery for shareholders, the largest securities class action recovery ever in Tennessee, and *Luna v. Marvell Tech. Grp., Ltd.*, which resulted in a \$72.5 million settlement that represents approximately 24% to 50% of the best estimate of classwide damages suffered by investors. He also served as lead counsel prosecuting the *Pharmacia* securities litigation in the District of New Jersey, which resulted in a \$164 million recovery. Additionally, Saham was lead counsel in the *In re Coca-Cola Sec. Litig.* in the Northern District of Georgia, which resulted in a \$137.5 million recovery after nearly eight years of litigation. He also obtained reversal from the California Court of Appeal of the trial court's initial dismissal of the landmark *Countrywide* mortgage-backed securities action. This decision is reported as *Luther v. Countrywide Fin. Corp.*, 195 Cal. App. 4th 789 (2011), and following this ruling that revived the action the case settled for \$500 million.

Education

B.A., University of Michigan, 1992; J.D., University of Michigan Law School, 1995

Honors / Awards

Distinguished Pro Bono Attorney of the Year, *Casa Cornelia Law Center*, 2022; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022

Juan Carlos Sanchez | Partner

Juan Carlos Sanchez is a partner in the Firm's San Diego office, where his practice focuses on complex securities litigation. Sanchez was a member of the litigation team that secured a \$60 million settlement – the largest shareholder derivative recovery ever in Tennessee and the Sixth Circuit – and unprecedented corporate governance reforms in *In re Community Health Sys., Inc. S'holder Derivative Litig.* More recently, Sanchez's representation of California passengers in a landmark consumer and civil rights case against Greyhound Lines, Inc. led to a ruling recognizing that transit passengers do not check their rights and dignity at the bus door.

In addition to actively litigating cases, Sanchez is also a member of the Firm's Lead Plaintiff Advisory Team, which evaluates clients' exposure to securities fraud, advises them on lead plaintiff motions, and helps them secure appointment as lead plaintiff. Sanchez's efforts have assisted institutional and retail clients secure lead plaintiff appointments in more than 40 securities class actions.

Sanchez is also part of Robbins Geller's SPAC Task Force, which is dedicated to rooting out and prosecuting fraud on behalf of injured investors in special purpose acquisition companies. The rise in "blank check" financing poses unique risks to investors, and this group – comprised of experienced litigators, investigators, and forensic accountants – represents the vanguard of ensuring integrity, honesty, and justice in this rapidly developing investment arena.

Education

B.S., University of California, Davis, 2005; J.D., University of California, Berkeley School of Law (Boalt Hall), 2014

Vincent M. Serra | Partner

Vincent Serra is a partner in the Firm's Melville office and focuses his practice on complex securities, antitrust, consumer, and employment litigation. His efforts have contributed to the recovery of over a billion dollars on behalf of aggrieved plaintiffs and class members. Notably, Serra has contributed to several significant recoveries, including *Dahl v. Bain Cap. Partners, LLC* (\$590.5 million recovery), an antitrust action against the world's largest private equity firms alleging collusive practices in multi-billion dollar leveraged buyouts, and *Samit v. CBS Corp.* (\$14.75 million recovery, pending final approval), a securities action alleging that defendants made false and misleading statements about their knowledge of former CEO Leslie Moonves's exposure to the #MeToo movement.

Additionally, Serra was a member of the litigation team that obtained a \$22.75 million settlement fund on behalf of route drivers in an action asserting violations of federal and state overtime laws against Cintas Corp. He was also part of the successful trial team in *Lebrilla v. Farmers Grp., Inc.*, which involved Farmers' practice of using inferior imitation parts when repairing insureds' vehicles. Other notable cases include *Alaska Elec. Pension Fund v. Pharmacia Corp.* (\$164 million recovery), *In re Priceline.com Sec. Litig.* (\$80 million recovery), and *In re DouYu Int'l Holdings Ltd. Sec. Litig* (\$15 million recovery pending final approval). Serra is currently litigating several actions against manufacturers and retailers for the improper marketing and sale of purportedly "flushable" wipes products. In *Commissioners of Public Works of the City of Charleston (d.b.a. Charleston Water System) v. Costco Wholesale Corp.*, Serra serves as court-appointed class counsel in connection with a settlement that secured an unprecedented commitment of Kimberly-Clark to meet the national municipal wastewater standard for flushability.

Education

B.A., University of Delaware, 2001; J.D., California Western School of Law, 2005

Honors / Awards

Wiley W. Manuel Award for Pro Bono Legal Services, State Bar of California

Jessica T. Shinnfield | Partner

Jessica Shinnfield is a partner in the Firm's San Diego office. Currently, her practice focuses on initiating, investigating, and prosecuting securities fraud class actions. Shinnfield served as lead counsel in *In re Am. Realty Cap. Props., Inc. Litig.*, a case arising out of ARCP's manipulative accounting practices, and obtained a \$1.025 billion recovery. For five years, she and the litigation team prosecuted nine different claims for violations of the Securities Exchange Act of 1934 and the Securities Act of 1933, involving seven different stock or debt offerings and two mergers. The recovery represents the highest percentage of damages of any major PSLRA case prior to trial and includes the largest personal contributions by individual defendants in history. Shinnfield also served as lead counsel in *Smilovits v. First Solar, Inc.*, and obtained a \$350 million settlement on the eve of trial. The settlement is fifth-largest PSLRA settlement ever recovered in the Ninth Circuit.

Shinnfield was also a member of the litigation team prosecuting actions against investment banks and leading national credit rating agencies for their roles in structuring and rating structured investment vehicles backed by toxic assets in *Abu Dhabi Commercial Bank v. Morgan Stanley & Co. Incorporated* and *King County, Washington v. IKB Deutsche Industriebank AG*. These cases were among the first to successfully allege fraud against the rating agencies, whose ratings have traditionally been protected by the First Amendment. Shinnfield also litigated individual opt-out actions against AOL Time Warner – *Regents of the Univ. of Cal. v. Parsons* and *Ohio Pub. Emps. Ret. Sys. v. Parsons* (recovery more than \$600 million). Additionally, she litigated an action against Omnicare, in which she helped obtain a favorable ruling for plaintiffs from the United States Supreme Court. Shinnfield has also successfully appealed lower court decisions in the Second, Seventh, and Ninth Circuit Courts of Appeals.

Education

B.A., University of California at Santa Barbara, 2001; J.D., University of San Diego School of Law, 2004

Honors / Awards

Best Lawyer in America: One to Watch, *Best Lawyers®*, 2023; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Plaintiffs' Lawyers Trailblazer, *The National Law Journal*, 2021; Litigator of the Week, *The American Lawyer*, 2020; Rising Star, *Super Lawyers Magazine*, 2015-2019; 40 & Under Hot List, *Benchmark Litigation*, 2018-2019; B.A., *Phi Beta Kappa*, University of California at Santa Barbara, 2001

Elizabeth A. Shonson | Partner

Elizabeth Shonson is a partner in the Firm's Boca Raton office. She concentrates her practice on representing investors in class actions brought pursuant to the federal securities laws. Shonson has litigated numerous securities fraud class actions nationwide, helping achieve significant recoveries for aggrieved investors. She was a member of the litigation teams responsible for recouping millions of dollars for defrauded investors, including: *In re Massey Energy Co. Sec. Litig.* (S.D. W.Va.) (\$265 million); *Nieman v. Duke Energy Corp.* (W.D.N.C.) (\$146.25 million recovery); *In re ADT Inc. S'holder Litig.* (Fla. Cir. Ct., 15th Jud. Cir.) (\$30 million settlement); *Eshe Fund v. Fifth Third Bancorp* (S.D. Ohio) (\$16 million); *City of St. Clair Shores Gen. Emps. Ret. Sys. v. Lender Processing Servs., Inc.* (M.D. Fla.) (\$14 million); and *In re Synovus Fin. Corp.* (N.D. Ga.) (\$11.75 million).

Education

B.A., Syracuse University, 2001; J.D., University of Florida Levin College of Law, 2005

Honors / Awards

Rising Star, *Super Lawyers Magazine*, 2016-2019; J.D., *Cum Laude*, University of Florida Levin College of Law, 2005; Editor-in-Chief, *Journal of Technology Law & Policy*; Phi Delta Phi; B.A., with Honors, *Summa Cum Laude*, Syracuse University, 2001; Phi Beta Kappa

Trig Smith | Partner

Trig Smith is a partner in the Firm's San Diego office where he focuses his practice on complex securities litigation. He has been involved in the prosecution of numerous securities class actions that have resulted in over a billion dollars in recoveries for investors. His cases have included: *In re Cardinal Health, Inc. Sec. Litig.* (\$600 million recovery); *Jones v. Pfizer Inc.* (\$400 million recovery); *Silverman v. Motorola, Inc.* (\$200 million recovery); and *City of Livonia Emps.' Ret. Sys. v. Wyeth* (\$67.5 million). Most recently, he was a member of the Firm's trial team in *Hsu v. Puma Biotechnology, Inc.*, a securities fraud class action that resulted in a verdict in favor of investors after a two-week jury trial.

Education

B.S., University of Colorado, Denver, 1995; M.S., University of Colorado, Denver, 1997; J.D., Brooklyn Law School, 2000

Honors / Awards

Member, *Brooklyn Journal of International Law*, Brooklyn Law School; CALI Excellence Award in Legal Writing, Brooklyn Law School

Mark Solomon | Partner

Mark Solomon is a founding and managing partner of the Firm and leads its international litigation practice. Over the last 29 years, he has regularly represented United States and United Kingdom-based pension funds and asset managers in class and non-class securities litigation in federal and state courts throughout the United States. He was first admitted to the Bar of England and Wales as a Barrister (he is non-active) and is an active member of the Bars of Ohio, California, and various United States federal district and appellate courts.

Since 1993, Solomon has spearheaded the prosecution of many significant securities fraud cases. He has obtained multi-hundred million-dollar recoveries for plaintiffs in pre-trial settlements and significant corporate governance reforms designed to limit recidivism and promote appropriate standards. Prior to the most recent financial crisis, he was instrumental in obtaining some of the first mega-recoveries in the field in California and Texas, serving in the late 1990s and early 2000s as class counsel in *In re Informix Corp. Sec. Litig.* in the federal district court for the Northern District of California, and recovering \$131 million for Informix investors; and serving as class counsel in *Schwartz v. TXU Corp.* in the federal district court for the Northern District of Texas, where he helped obtain a recovery of over \$149 million for a class of purchasers of TXU securities as well as securing important governance reforms. He litigated and tried the securities class action *In re Helionetics, Inc. Sec. Litig.*, where he won a \$15.4 million federal jury verdict in the federal district court for the Central District of California.

Solomon is currently counsel to a number of pension funds serving as lead plaintiffs in cases throughout the United States. He represents the UK's Norfolk Pension Fund in *Hsu v. Puma Biotechnology, Inc.* where, in the federal district court for the Central District of California, after three weeks of trial, the Fund obtained a jury verdict valued at over \$54 million in favor of the class against the company and its CEO. Solomon also represents Norfolk Pension Fund in separate class actions currently pending against Apple Inc. and Apple executives in the federal district court for the Northern District of California and against Anadarko Petroleum Corporation and former Anadarko executives in the federal district court for the Southern District of Texas. He represented the British Coal Staff Superannuation Scheme and the Mineworkers' Pension Scheme in *Smilovits v. First Solar, Inc.* in the federal district court for the District of Arizona, in which the class recently recovered \$350 million on the eve of trial. That settlement is the fifth-largest recovered in the Ninth Circuit since the advent in 1995 of statutory reforms to securities litigation that established the current legal regime. Solomon also represents the same coal industry funds in the recently filed class action against Citrix Inc. and Citrix executives in the federal district court for the Southern District of Florida, and he represents North East Scotland Pension Fund in a class action pending against Under Armour and Under Armour executives in the federal district court for the District of Maryland. In addition, he is currently representing Los Angeles County Employees Retirement Association in a class action pending against FirstEnergy and FirstEnergy executives in the federal district court for the Southern District of Ohio and he is representing Strathclyde Pension Fund in a class action pending against Bank OZK and its CEO in the federal district court for the Eastern District of Arkansas.

Education

B.A., Trinity College, Cambridge University, England, 1985; L.L.M., Harvard Law School, 1986; Inns of Court School of Law, Degree of Utter Barrister, England, 1987

Honors / Awards

Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Super Lawyer, *Super Lawyers Magazine*, 2017-2018; Recommended Lawyer, *The Legal 500*, 2016-2017; Lizette Bentwich Law Prize, Trinity College, 1983 and 1984; Hollond Travelling Studentship, 1985; Harvard Law School Fellowship, 1985-1986; Member and Hardwicke Scholar of the Honourable Society of Lincoln's Inn

Hillary B. Stakem | Partner

Hillary Stakem is a partner in the Firm's San Diego office, where her practice focuses on complex securities litigation. Stakem was a member of the litigation team in *Jaffe v. Household Int'l, Inc.*, a securities class action that obtained a record-breaking \$1.575 billion settlement after 14 years of litigation, including a six-week jury trial in 2009 that resulted in a verdict for plaintiffs. She was also part of the litigation teams that secured a \$388 million recovery for investors in J.P. Morgan residential mortgage-backed securities in *Fort Worth Employees' Retirement Fund v. J.P. Morgan Chase & Co.* and a \$131 million recovery in favor of plaintiffs in *Bennett v. Sprint Nextel Corp.* Additionally, Stakem helped to obtain a landmark settlement, on the eve of trial, from the major credit rating agencies and Morgan Stanley arising out of the fraudulent ratings of bonds issued by the structured investment vehicles in *Abu Dhabi Commercial Bank v. Morgan Stanley & Co. Inc.* Stakem also obtained a \$350 million settlement on the eve of trial in *Smilovits v. First Solar, Inc.*, the fifth-largest PSLRA settlement ever recovered in the Ninth Circuit, and was on the team of Robbins Geller attorneys who obtained a \$97.5 million recovery in *Marcus v. J.C. Penney Company, Inc.*

Most recently, Stakem was a member of the Robbins Geller litigation team in *Monroe County Employees' Retirement System v. The Southern Company* in which an \$87.5 settlement was reached after three years of litigation. The settlement resolved claims for violations of the Securities Exchange Act of 1934 stemming from defendants' issuance of materially misleading statements and omissions regarding the status of construction of a first-of-its-kind "clean coal" power plant that was designed to transform coal into synthetic gas that could then be used to fuel the power plant.

Education

B.A., College of William and Mary, 2009; J.D., UCLA School of Law, 2012

Honors / Awards

40 & Under Hot List, *Benchmark Litigation*, 2021; Rising Star, *Super Lawyers Magazine*, 2021; B.A., *Magna Cum Laude*, College of William and Mary, 2009

Jeffrey J. Stein | Partner

Jeffrey Stein is a partner in the Firm's San Diego office, where he practices securities fraud litigation and other complex matters. He was a member of the litigation team that secured a historic recovery on behalf of Trump University students in two class actions against President Donald J. Trump. The settlement provides \$25 million to approximately 7,000 consumers. This result means individual class members are eligible for upwards of \$35,000 in restitution. Stein represented the class on a *pro bono* basis.

Before joining the Firm, Stein focused on civil rights litigation, with special emphasis on the First, Fourth, and Eighth Amendments. In this capacity, he helped his clients secure successful outcomes before the United States Supreme Court and the Ninth Circuit Court of Appeals.

Education

B.S., University of Washington, 2005; J.D., University of San Diego School of Law, 2009

Christopher D. Stewart | Partner

Christopher Stewart is a partner in the Firm's San Diego office. His practice focuses on complex securities and shareholder derivative litigation. Stewart served as lead counsel in *In re Am. Realty Cap. Props., Inc. Litig.*, a case arising out of ARCP's manipulative accounting practices, and obtained a \$1.025 billion recovery. For five years, he and the litigation team prosecuted nine different claims for violations of the Securities Exchange Act of 1934 and the Securities Act of 1933, involving seven different stock or debt offerings and two mergers. The recovery represents the highest percentage of damages of any major PSLRA case prior to trial and includes the largest personal contributions by individual defendants in history. Most recently, Stewart served as lead counsel in *Smilovits v. First Solar, Inc.*, and obtained a \$350 million settlement on the eve of trial. The settlement is fifth-largest PSLRA settlement ever recovered in the Ninth Circuit.

He was also part of the litigation team that obtained a \$67 million settlement in *City of Westland Police & Fire Ret. Sys. v. Stumpf*, a shareholder derivative action alleging that Wells Fargo participated in the mass-processing of home foreclosure documents by engaging in widespread robo-signing. Stewart also served on the litigation team in *In re Deutsche Bank AG Sec. Litig.*, in which the Firm obtained a \$18.5 million settlement in a case against Deutsche Bank and certain of its officers alleging violations of the Securities Act of 1933.

Education

B.S., Santa Clara University, 2004; M.B.A., University of San Diego School of Business Administration, 2009; J.D., University of San Diego School of Law, 2009

Honors / Awards

Rising Star, *Super Lawyers Magazine*, 2015-2020; J.D., *Magna Cum Laude*, Order of the Coif, University of San Diego School of Law, 2009; Member, *San Diego Law Review*

Sabrina E. Tirabassi | Partner

Sabrina Tirabassi is a partner in the Firm's Boca Raton office, where her practice focuses on complex securities litigation, including the Firm's lead plaintiff motion practice. In this role, Tirabassi remains at the forefront of litigation trends and issues arising under the Private Securities Litigation Reform Act of 1995. Further, Tirabassi has been an integral member of the litigation teams responsible for securing significant monetary recoveries on behalf of shareholders, including: *Villella v. Chemical and Mining Company of Chile Inc.*, No. 1:15-cv-02106 (S.D.N.Y.); *In re ADT Inc. S'holder Litig.*, No. 502018CA003494XXXXMB-AG (Fla. Cir. Ct., 15th Jud. Cir.); *KBC Asset Mgmt. NV v. Aegerion Pharms., Inc.*, No. 1:14-cv-10105-MLW (D. Mass.); *Sohal v. Yan*, No. 1:15-cv-00393-DAP (N.D. Ohio); *McGee v. Constant Contact, Inc.*, No. 1:15-cv-13114-MLW (D. Mass.); and *Schwartz v. Urban Outfitters, Inc.*, No. 2:13-cv-05978-MAK (E.D. Pa.).

Education

B.A., University of Florida, 2000; J.D., Nova Southeastern University Shepard Broad College of Law, 2006, *Magna Cum Laude*

Honors / Awards

Rising Star, *Super Lawyers Magazine*, 2010, 2015-2018; J.D., *Magna Cum Laude*, Nova Southeastern University Shepard Broad College of Law, 2006

Douglas Wilens | Partner

Douglas Wilens is a partner in the Firm's Boca Raton office. Wilens is a member of the Firm's Appellate Practice Group, participating in numerous appeals in federal and state courts across the country. Most notably, Wilens handled successful and precedent-setting appeals in *Ind. Pub. Ret. Sys. v. SAIC, Inc.*, 818 F.3d 85 (2d Cir. 2016) (addressing duty to disclose under SEC Regulation Item 303 in §10(b) case), *Mass. Ret. Sys. v. CVS Caremark Corp.*, 716 F.3d 229 (1st Cir. 2013) (addressing pleading of loss causation in §10(b) case), and *Lormand v. US Unwired, Inc.*, 565 F.3d 228 (5th Cir. 2009) (addressing pleading of falsity, scienter, and loss causation in §10(b) case).

Before joining the Firm, Wilens was an associate at a nationally recognized firm, where he litigated complex actions on behalf of numerous professional sports leagues, including the National Basketball Association, the National Hockey League, and Major League Soccer. He has also served as an adjunct professor at Florida Atlantic University and Nova Southeastern University, where he taught undergraduate and graduate-level business law classes.

Education

B.S., University of Florida, 1992; J.D., University of Florida College of Law, 1995

Honors / Awards

Book Award for Legal Drafting, University of Florida College of Law; J.D., with Honors, University of Florida College of Law, 1995

Shawn A. Williams | Partner

Shawn Williams, a founding partner of the Firm, is the managing partner of the Firm's San Francisco office and a member of the Firm's Management Committee. Williams specializes in complex commercial litigation focusing on securities litigation, and has served as lead counsel in a range of actions resulting in more than a billion dollars in recoveries. For example, Williams was among lead counsel in *In re Facebook Biometric Info. Privacy Litig.*, charging Facebook with violations of the Illinois Biometric Information Privacy Act, resulting in a \$650 million recovery for injured Facebook users, the largest ever privacy class action.

Williams led the team of Robbins Geller attorneys in the investigation and drafting of comprehensive securities fraud claims in *Hefler v. Wells Fargo & Co.*, alleging widespread opening of unauthorized and undisclosed customer accounts. The *Hefler* action resulted in the recovery of \$480 million for Wells Fargo investors. In *City of Westland Police & Fire Ret. Sys. v. MetLife, Inc.*, Williams led the Firm's team of lawyers alleging MetLife's failure to disclose and account for the scope of its use and non-use of the Social Security Administration Death Master File and its impact on MetLife's financial statements. The *MetLife* action resulted in a recovery of \$84 million. Williams also served as lead counsel in the following actions resulting in significant recoveries: *Chicago Laborers Pension Fund v. Alibaba Grp. Holding Ltd.* (\$75 million recovery); *In re Krispy Kreme Doughnuts, Inc. Sec. Litig.* (\$75 million recovery); *In re Medtronic, Inc. Sec. Litig.* (\$43 million recovery); *In re Cadence Design Sys., Inc. Sec. Litig.* (\$38 million recovery); and *City of Sterling Heights Gen. Emps' Ret. Sys. v. Prudential Fin., Inc.* (\$33 million recovery).

Williams is also a member of the Firm's Shareholder Derivative Practice Group which has secured tens of millions of dollars in cash recoveries and comprehensive corporate governance reforms in a number of high-profile cases including: *In re McAfee, Inc. Derivative Litig.*; *In re Marvell Tech. Grp. Ltd. Derivative Litig.*; *In re KLA-Tencor Corp. S'holder Derivative Litig.*; *The Home Depot, Inc. Derivative Litig.*; and *City of Westland Police & Fire Ret. Sys. v. Stumpf (Wells Fargo & Co.)*.

Williams led multiple shareholder actions in which the Firm obtained favorable appellate rulings, including: *W. Va. Pipe Trades Health & Welfare Fund v. Medtronic, Inc.*, 845 F.3d 384 (8th Cir. 2016); *Knollenberg v. Harmonic, Inc.*, 152 F. App'x 674 (9th Cir. 2005); *Nursing Home Pension Fund, Local 144 v. Oracle Corp.*, 380 F.3d 1226 (9th Cir. 2004); *Lynch v. Rawls*, 429 F. App'x 641 (9th Cir. 2011); and *Barrie v. Intervoice-Brite, Inc.*, 409 F.3d 653 (5th Cir. 2005).

Before joining the Firm in 2000, Williams served for 5 years as an Assistant District Attorney in the Manhattan District Attorney's Office, where he tried over 20 cases to New York City juries.

Education

B.A., The State of University of New York at Albany, 1991; J.D., University of Illinois, 1995

Honors / Awards

Leading Lawyer in America, *Lawdragon*, 2018-2023; Best Lawyer in America, *Best Lawyers®*, 2022-2023; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Top Plaintiff Lawyer, *Daily Journal*, 2022; Most Influential Black Lawyers, *Savoy*, 2022; Top 100 Lawyer, *Daily Journal*, 2019, 2021; Super Lawyer, *Super Lawyers Magazine*, 2014-2017, 2020-2021; California Trailblazer, *The Recorder*, 2019; Titan of the Plaintiffs Bar, *Law360*, 2019; Plaintiffs' Lawyer Trailblazer, *The National Law Journal*, 2019; Board Member, California Bar Foundation, 2012-2014

Christopher M. Wood | Partner

Christopher Wood is the partner in charge of Robbins Geller Rudman & Dowd LLP's Nashville office, where his practice focuses on complex securities litigation. He has been a member of the litigation teams responsible for recovering hundreds of millions of dollars for investors, including: *In re Massey Energy Co. Sec. Litig.* (\$265 million recovery); *In re VeriFone Holdings, Inc. Sec. Litig.* (\$95 million recovery); *Garden City Emps.' Ret. Sys. v. Psychiatric Solutions, Inc.* (\$65 million recovery); *Grae v. Corrections Corporation of America* (\$56 million recovery); *In re Micron Tech., Inc. Sec. Litig.* (\$42 million recovery); *Jackson Cnty. Emps.' Ret. Sys. v. Ghosn* (\$36 million recovery); and *Winslow v. BancorpSouth, Inc.* (\$29.5 million recovery).

Working together with the ACLU of Tennessee and Public Funds Public Schools (a national campaign founded by the Southern Poverty Law Center and Education Law Center), Wood is litigating an action challenging Tennessee's school voucher program, which diverts critically needed funds from public school students in Nashville and Memphis. Wood has also provided *pro bono* legal services through Tennessee Justice for Our Neighbors, Volunteer Lawyers & Professionals for the Arts, the Ninth Circuit's Pro Bono Program, and the San Francisco Bar Association's Volunteer Legal Services Program.

Education

B.A., Vanderbilt University, 2003; J.D., University of San Francisco School of Law, 2006

Honors / Awards

Best Lawyer in America: One to Watch, *Best Lawyers*®, 2023; 40 & Under Hot List, *Benchmark Litigation*, 2021; Rising Star, *Super Lawyers Magazine*, 2011-2013, 2015-2020

Debra J. Wyman | Partner

Debra Wyman is a partner in the Firm's San Diego office. She specializes in securities litigation and has litigated numerous cases against public companies in state and federal courts that have resulted in over \$2 billion in securities fraud recoveries. Wyman served as lead counsel in *In re Am. Realty Cap. Props., Inc. Litig.*, a case arising out of ARCP's manipulative accounting practices, and obtained a \$1.025 billion recovery. For five years, she and the litigation team prosecuted nine different claims for violations of the Securities Exchange Act of 1934 and the Securities Act of 1933, involving seven different stock or debt offerings and two mergers. The recovery represents the highest percentage of damages of any major PSLRA case prior to trial and includes the largest personal contributions by individual defendants in history. Most recently, Wyman was part of the litigation team in *Monroe County Employees' Retirement System v. The Southern Company* in which an \$87.5 settlement was reached after three years of litigation. The settlement resolved claims for violations of the Securities Exchange Act of 1934 stemming from defendants' issuance of materially misleading statements and omissions regarding the status of construction of a first-of-its-kind "clean coal" power plant that was designed to transform coal into synthetic gas that could then be used to fuel the power plant.

Wyman was also a member of the trial team in *Schuh v. HCA Holdings, Inc.*, which resulted in a \$215 million recovery for shareholders, the largest securities class action recovery ever in Tennessee. The recovery achieved represents more than 30% of the aggregate classwide damages, far exceeding the typical recovery in a securities class action. Wyman prosecuted the complex securities and accounting fraud case *In re HealthSouth Corp. Sec. Litig.*, one of the largest and longest-running corporate frauds in history, in which \$671 million was recovered for defrauded HealthSouth investors. She was also part of the trial team that litigated *In re AT&T Corp. Sec. Litig.*, which was tried in the United States District Court, District of New Jersey, and settled after only two weeks of trial for \$100 million. Wyman was also part of the litigation team that secured a \$64 million recovery for Dana Corp. shareholders in *Plumbers & Pipefitters National Pension Fund v. Burns*, in which the Firm's Appellate Practice Group successfully appealed to the Sixth Circuit Court of Appeals twice, reversing the district court's dismissal of the action.

Education

B.A., University of California Irvine, 1990; J.D., University of San Diego School of Law, 1997

Honors / Awards

Leading Lawyer in America, *Lawdragon*, 2020-2023; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Top 250 Women in Litigation, *Benchmark Litigation*, 2021; San Diego Litigator of the Year, *Benchmark Litigation*, 2021; Plaintiff Litigator of the Year, *Benchmark Litigation*, 2021; Top Woman Lawyer, *Daily Journal*, 2017, 2020; MVP, *Law360*, 2020; Litigator of the Week, *The American Lawyer*, 2020; Litigator of the Year, *Our City San Diego*, 2017; Super Lawyer, *Super Lawyers Magazine*, 2016-2017

Jonathan Zweig | Partner

Jonathan Zweig is a partner with the Firm and is based in the Manhattan office. Zweig's practice focuses primarily on complex securities litigation, corporate control cases, and breach of fiduciary duty actions on behalf of investors.

Before joining Robbins Geller, Zweig served for over six years as an Assistant Attorney General with the New York State Office of the Attorney General's Investor Protection Bureau, where he prosecuted civil securities fraud actions and tried two major cases on behalf of the State. In *New York v. Exxon Mobil Corporation*, a high-profile securities fraud case concerning climate risk disclosures, Zweig examined numerous witnesses and delivered the State's closing argument at trial. In *New York v. Laurence Allen et al.*, Zweig and his colleagues achieved a total victory at trial for defrauded investors in a private equity fund, and established for the first time the retroactive application of the Martin Act's expanded statute of limitations. Zweig also conducted data-intensive investigations of Credit Suisse concerning its alternative trading system and its wholesale market making business, resulting in joint settlements with the SEC totaling \$70 million from Credit Suisse. On three occasions, Zweig was awarded the Louis J. Lefkowitz Award for Exceptional Service.

Zweig was previously a litigator at Davis Polk & Wardwell LLP, where he represented clients in securities litigation, mass tort, and other matters. Zweig also clerked for Judge Jacques L. Wiener, Jr. of the U.S. Court of Appeals for the Fifth Circuit, and Judge Sarah S. Vance of the U.S. District Court for the Eastern District of Louisiana.

Education

B.A., Yale University, 2007; J.D., Harvard Law School, 2010

Honors / Awards

Louis J. Lefkowitz Award for Exceptional Service, New York State Office of the Attorney General, 2015, 2020, 2021; J.D., *Magna Cum Laude*, Harvard Law School, 2010; B.A., *Summa Cum Laude*, Yale University, 2007

Susan K. Alexander | Of Counsel

Susan Alexander is Of Counsel to the Firm and is based in the San Francisco office. Alexander's practice specializes in federal appeals of securities fraud class actions on behalf of investors. With nearly 30 years of federal appellate experience, she has argued on behalf of defrauded investors in circuit courts throughout the United States. Among her most notable cases are *Mineworkers' Pension Scheme v. First Solar Inc.* (\$350 million recovery), *In re VeriFone Holdings, Inc. Sec. Litig.* (\$95 million recovery), and the successful appellate ruling in *Alaska Elec. Pension Fund v. Flowserve Corp.* (\$55 million recovery). Other representative results include: *Stoyas v. Toshiba Corp.*, 896 F.3d 933 (9th Cir. 2018) (reversing dismissal of securities fraud action and holding that the Exchange Act applies to unsponsored American Depositary Shares); *W. Va. Pipe Trades Health & Welfare Fund v. Medtronic, Inc.*, 845 F.3d 384 (8th Cir. 2016) (reversing summary judgment of securities fraud action on statute of limitations grounds); *In re Ubiquiti Networks, Inc. Sec. Litig.*, 669 F. App'x 878 (9th Cir. 2016) (reversing dismissal of §11 claim); *Carpenters Pension Tr. Fund of St. Louis v. Barclays PLC*, 750 F.3d 227 (2d Cir. 2014) (reversing dismissal of securities fraud complaint, focused on loss causation); *Panther Partners Inc. v. Ikanos Commc'ns, Inc.*, 681 F.3d 114 (2d Cir. 2012) (reversing dismissal of §11 claim); *City of Pontiac Gen. Emps.' Ret. Sys. v. MBIA, Inc.*, 637 F.3d 169 (2d Cir. 2011) (reversing dismissal of securities fraud complaint, focused on statute of limitations); *In re Gilead Scis. Sec. Litig.*, 536 F.3d 1049 (9th Cir. 2008) (reversing dismissal of securities fraud complaint, focused on loss causation); *Barrie v. Intervoice-Brite, Inc.*, 397 F.3d 249 (5th Cir.) (reversing dismissal of securities fraud complaint, focused on scienter), *reh'g denied and op. modified*, 409 F.3d 653 (5th Cir. 2005); and *Pirraglia v. Novell, Inc.*, 339 F.3d 1182 (10th Cir. 2003) (reversing dismissal of securities fraud complaint, focused on scienter). Alexander's prior appellate work was with the California Appellate Project ("CAP"), where she prepared appeals and petitions for writs of *habeas corpus* on behalf of individuals sentenced to death. At CAP, and subsequently in private practice, she litigated and consulted on death penalty direct and collateral appeals for ten years.

Education

B.A., Stanford University, 1983; J.D., University of California, Los Angeles, 1986

Honors / Awards

Super Lawyer, *Super Lawyers Magazine*, 2015-2021; American Academy of Appellate Lawyers; California Academy of Appellate Lawyers; Ninth Circuit Advisory Rules Committee; Appellate Delegate, Ninth Circuit Judicial Conference; ABA Council of Appellate Lawyers

Laura M. Andracchio | Of Counsel

Laura Andracchio is Of Counsel in the Firm's San Diego office. Having first joined the Firm in 1997, she was a Robbins Geller partner for ten years before her role as Of Counsel. As a partner with the Firm, Andracchio led dozens of securities fraud cases against public companies throughout the country, recovering hundreds of millions of dollars for injured investors. Her current focus remains securities fraud litigation under the federal securities laws.

Most recently, Andracchio was a member of the litigation team in *In re American Realty Cap. Proprs., Inc. Litig.* (S.D.N.Y.), in which a \$1.025 billion recovery was approved in 2020. She was also on the litigation team for *City of Pontiac Gen. Emps.' Ret. Sys. v. Walmart Stores, Inc.* (W.D. Ark.), in which a \$160 million recovery for Walmart investors was approved in 2019. She also assisted in litigating a case brought against J.P. Morgan Chase & Co., *Fort Worth Emps.' Ret. Fund v. J.P. Morgan Chase & Co.* (S.D.N.Y.), on behalf of investors in residential mortgage-backed securities, which resulted in a recovery of \$388 million in 2017.

Andracchio was also a lead member of the trial team in *In re AT&T Corp. Sec. Litig.*, recovering \$100 million for the class after two weeks of trial in district court in New Jersey. Before trial, she managed and litigated the case, which was pending for four years. She also led the trial team in *Brody v. Hellman*, a case against Qwest and former directors of U.S. West seeking an unpaid dividend, recovering \$50 million for the class, which was largely comprised of U.S. West retirees. Other cases Andracchio has litigated include: *City of Hialeah Emps.' Ret. Sys. v. Toll Brothers, Inc.*; *Ross v. Abercrombie & Fitch Co.*; *In re GMH Cmty. Tr. Sec. Litig.*; *In re Vicuron Pharms., Inc. Sec. Litig.*; and *In re Navarre Corp. Sec. Litig.*

Education

B.A., Bucknell University, 1986; J.D., Duquesne University School of Law, 1989

Honors / Awards

Order of the Barristers, J.D., with honors, Duquesne University School of Law, 1989

Matthew J. Balotta | Of Counsel

Matt Balotta is Of Counsel in the Firm's San Diego office, where his practice focuses on securities fraud litigation. Balotta earned his Bachelor of Arts degree in History, *summa cum laude*, from the University of Pittsburgh and his Juris Doctor degree from Harvard Law School. During law school, Balotta was a summer associate with the Firm and interned at the National Consumer Law Center. He also participated in the Employment Law and Delivery of Legal Services Clinics and served on the General Board of the Harvard Civil Rights-Civil Liberties Law Review.

Education

B.A., University of Pittsburgh, 2005; J.D., Harvard Law School, 2015

Honors / Awards

B.A., *Summa Cum Laude*, University of Pittsburgh, 2005

Randi D. Bandman | Of Counsel

Randi Bandman is Of Counsel in the Firm's San Diego office. Throughout her career, she has represented and advised hundreds of clients, including pension funds, managers, banks, and hedge funds, such as the Directors Guild of America, Screen Actors Guild, Writers Guild of America, and Teamster funds. Bandman's cases have yielded billions of dollars of recoveries. Notable cases include the AOL Time Warner, Inc. merger (\$629 million), *In re Enron Corp. Sec. Litig.* (\$7.2 billion), Private Equity litigation (*Dahl v. Bain Cap. Partners, LLC*) (\$590.5 million), *In re WorldCom Sec. Litig.* (\$657 million), and *In re Facebook Biometric Info. Privacy Litig.* (\$650 million).

Bandman is currently representing plaintiffs in the Foreign Exchange Litigation pending in the Southern District of New York which alleges collusive conduct by the world's largest banks to fix prices in the \$5.3 trillion a day foreign exchange market and in which billions of dollars have been recovered to date for injured plaintiffs. Bandman is part of the Robbins Geller Co-Lead Counsel team representing the class in the "High Frequency Trading" case, which accuses stock exchanges of giving unfair advantages to high-speed traders versus all other investors, resulting in billions of dollars being diverted. Bandman was instrumental in the landmark state settlement with the tobacco companies for \$12.5 billion. Bandman also led an investigation with congressional representatives on behalf of artists into allegations of "pay for play" tactics, represented Emmy winning writers with respect to their claims involving a long-running television series, represented a Hall of Fame sports figure, and negotiated agreements in connection with a major motion picture. Recently, Bandman was chosen to serve on the Law Firm Advisory Board of the Association of Media & Entertainment Counsel, an organization made up of thousands of attorneys from studios, networks, guilds, talent agencies, and top media companies, dealing with protecting content distributed through a variety of formats worldwide.

Education

B.A., University of California, Los Angeles; J.D., University of Southern California

Mary K. Blasy | Of Counsel

Mary Blasy is Of Counsel to the Firm and is based in the Firm's Melville and Washington, D.C. offices. Her practice focuses on the investigation, commencement, and prosecution of securities fraud class actions and shareholder derivative suits. Blasy has recovered hundreds of millions of dollars for investors in securities fraud class actions against Reliance Acceptance Corp. (\$66 million); Sprint Corp. (\$50 million); Titan Corporation (\$15+ million); Martha Stewart Omni-Media, Inc. (\$30 million); and Coca-Cola Co. (\$137.5 million). Blasy has also been responsible for prosecuting numerous complex shareholder derivative actions against corporate malefactors to address violations of the nation's securities, environmental, and labor laws, obtaining corporate governance enhancements valued by the market in the billions of dollars.

In 2014, the Presiding Justice of the Appellate Division of the Second Department of the Supreme Court of the State of New York appointed Blasy to serve as a member of the Independent Judicial Election Qualification Commission, which until December 2018 reviewed the qualifications of candidates seeking public election to New York State Supreme Courts in the 10th Judicial District. She also served on the *Law360* Securities Editorial Advisory Board from 2015 to 2016.

Education

B.A., California State University, Sacramento, 1996; J.D., UCLA School of Law, 2000

Honors / Awards

Super Lawyer, *Super Lawyers Magazine*, 2016-2020; *Law360* Securities Editorial Advisory Board, 2015-2016; Member, Independent Judicial Election Qualification Commission, 2014-2018

William K. Cavanagh, Jr. | Of Counsel

Bill Cavanagh is Of Counsel in the Firm's Washington, D.C. office. Cavanagh concentrates his practice in employee benefits law and works with the Firm's Institutional Outreach Team. Prior to joining Robbins Geller, Cavanagh was employed by Ullico for the past nine years, most recently as President of Ullico Casualty Group. The Ullico Casualty Group is the leading provider of fiduciary liability insurance for trustees in both the private as well as the public sector. Prior to that he was President of the Ullico Investment Company.

Preceding Cavanagh's time at Ullico, he was a partner at the labor and employee benefits firm Cavanagh and O'Hara in Springfield, Illinois for 28 years. In that capacity, Cavanagh represented public pension funds, jointly trustee Taft-Hartley, health, welfare, pension, and joint apprenticeship funds advising on fiduciary and compliance issues both at the Board level as well as in administrative hearings, federal district courts, and the United States Courts of Appeals. During the course of his practice, Cavanagh had extensive trial experience in state and the relevant federal district courts. Additionally, Cavanagh served as co-counsel on a number of cases representing trustees seeking to recover plan assets lost as a result of fraud in the marketplace.

Education

B.A., Georgetown University, 1974; J.D., John Marshall Law School, 1978

Honors / Awards

Rated AV Preeminent by Martindale-Hubbell

Christopher Collins | Of Counsel

Christopher Collins is Of Counsel in the Firm's San Diego office and his practice focuses on antitrust and consumer protection. Collins served as co-lead counsel in *Wholesale Elec. Antitrust Cases I & II*, charging an antitrust conspiracy by wholesale electricity suppliers and traders of electricity in California's newly deregulated wholesale electricity market wherein plaintiffs secured a global settlement for California consumers, businesses, and local governments valued at more than \$1.1 billion. He was also involved in California's tobacco litigation, which resulted in the \$25.5 billion recovery for California and its local entities. Collins is currently counsel on the California Energy Manipulation antitrust litigation, the Memberworks upsell litigation, as well as a number of consumer actions alleging false and misleading advertising and unfair business practices against major corporations. He formerly served as a Deputy District Attorney for Imperial County where he was in charge of the Domestic Violence Unit.

Education

B.A., Sonoma State University, 1988; J.D., Thomas Jefferson School of Law, 1995

Patrick J. Coughlin | Of Counsel

Patrick Coughlin is Of Counsel to the Firm and is based in the San Diego office. He has been lead counsel for several major securities matters, including one of the earliest and largest class action securities cases to go to trial, *In re Apple Computer Sec. Litig.*, No. C-84-20148 (N.D. Cal.). Coughlin was a member of the Firm's trial team in *Hsu v. Puma Biotechnology, Inc.*, No. SACV15-0865 (C.D. Cal.), a securities fraud class action that resulted in a verdict in favor of investors after a two-week jury trial. He also served as lead counsel in *In re Facebook Biometric Info. Privacy Litig.*, No. 3:15-cv-03747-JD (N.D. Cal.), a cutting-edge class action concerning Facebook's alleged privacy violations through its collection of users' biometric identifiers without informed consent that resulted in a \$650 million settlement. Coughlin currently serves as co-lead counsel in *In re Payment Card Interchange Fee and Merchant Discount Antitrust Litig.*, in which a settlement of \$5.5 billion was approved in the Eastern District of New York. This case was brought on behalf of millions of U.S. merchants against Visa and MasterCard and various card-issuing banks, challenging the way these companies set and collect tens of billions of dollars annually in merchant fees. The settlement is believed to be the largest antitrust class action settlement of all time.

Coughlin was one of the lead attorneys who secured a historic \$25 million recovery on behalf of approximately 7,000 Trump University students in two class actions against President Donald J. Trump, which means individual class members are eligible for upwards of \$35,000 in restitution. He represented the class on a *pro bono* basis. Additional prominent securities class actions prosecuted by Coughlin include: the *Enron* litigation, in which \$7.2 billion was recovered; the *Qwest* litigation, in which a \$445 million recovery was obtained; and the *HealthSouth* litigation, in which a \$671 million recovery was obtained.

Education

B.S., Santa Clara University, 1977; J.D., Golden Gate University, 1983

Honors / Awards

Rated AV Preeminent by Martindale-Hubbell; Lawyer of the Year: Litigation – Antitrust, *Best Lawyers*®, 2023; Best Lawyer in America, *Best Lawyers*®, 2006-2023; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Top Lawyer in San Diego, *San Diego Magazine*, 2013-2021; Super Lawyer, *Super Lawyers Magazine*, 2004-2021; Southern California Best Lawyer, *Best Lawyers*®, 2012-2021; Hall of Fame, *Lawdragon*, 2020; Plaintiffs' Lawyer Trailblazer, *The National Law Journal*, 2019; Outstanding Antitrust Litigation Achievement in Private Law Practice, American Antitrust Institute, 2018; Senior Statesman, *Chambers USA*, 2014-2018; Antitrust Trailblazer, *The National Law Journal*, 2015; Top 100 Lawyers, *Daily Journal*, 2008; Leading Lawyer in America, *Lawdragon*, 2006, 2008-2009

Vicki Multer Diamond | Of Counsel

Vicki Multer Diamond is Of Counsel to the Firm and is based in the Firm's Melville office. She has over 25 years of experience as an investigator and attorney. Her practice at the Firm focuses on the initiation, investigation, and prosecution of securities fraud class actions. Diamond played a significant role in the factual investigations and successful oppositions to the defendants' motions to dismiss in a number of cases, including *Tableau*, *One Main*, *Valeant*, and *Orbital ATK*.

Diamond has served as an investigative consultant to several prominent law firms, corporations, and investment firms. Before joining the Firm, she was an Assistant District Attorney in Brooklyn, New York, where she served as a senior Trial Attorney in the Felony Trial Bureau, and was special counsel to the Special Commissioner of Investigations for the New York City schools, where she investigated and prosecuted crime and corruption within the New York City school system.

Education

B.A., State University of New York at Binghamton, 1990; J.D., Hofstra University School of Law, 1993

Honors / Awards

Member, *Hofstra Property Law Journal*, Hofstra University School of Law

Michael J. Dowd | Of Counsel

Mike Dowd was a founding partner of the Firm. He has practiced in the area of securities litigation for 20 years, prosecuting dozens of complex securities cases and obtaining significant recoveries for investors in cases such as *UnitedHealth* (\$925 million), *WorldCom* (\$657 million), *AOL Time Warner* (\$629 million), *Qwest* (\$445 million), and *Pfizer* (\$400 million).

Dowd served as lead trial counsel in *Jaffe v. Household International* in the Northern District of Illinois, a securities class action that obtained a record-breaking \$1.575 billion settlement after 14 years of litigation, including a six-week jury trial in 2009 that resulted in a verdict for plaintiffs. Dowd also served as the lead trial lawyer in *In re AT&T Corp. Sec. Litig.*, which was tried in the District of New Jersey and settled after only two weeks of trial for \$100 million. Dowd served as an Assistant United States Attorney in the Southern District of California from 1987-1991, and again from 1994-1998, where he handled dozens of jury trials and was awarded the Director's Award for Superior Performance.

Education

B.A., Fordham University, 1981; J.D., University of Michigan School of Law, 1984

Honors / Awards

Rated AV Preeminent by Martindale-Hubbell; Director's Award for Superior Performance, United States Attorney's Office; Best Lawyer in America, *Best Lawyers*®, 2015-2023; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; Top Lawyer in San Diego, *San Diego Magazine*, 2013-2021; Southern California Best Lawyer, *Best Lawyers*®, 2015-2021; Super Lawyer, *Super Lawyers Magazine*, 2010-2020; Lawyer of the Year, *Best Lawyers*®, 2020; Recommended Lawyer, *The Legal 500*, 2016-2019; Hall of Fame, *Lawdragon*, 2018; Litigator of the Year, *Our City San Diego*, 2017; Leading Lawyer in America, *Lawdragon*, 2014-2016; Litigator of the Week, *The American Lawyer*, 2015; Litigation Star, *Benchmark Litigation* 2013; Directorship 100, NACD Directorship, 2012; Attorney of the Year, *California Lawyer*, 2010; Top 100 Lawyers, *Daily Journal*, 2009; B.A., *Magna Cum Laude*, Fordham University, 1981

Christopher T. Gilroy | Of Counsel

Christopher Gilroy is Of Counsel in the Firm's Melville office. His practice focuses on complex securities litigation. Since joining the Firm, Gilroy has played a significant role in the following litigations: *Landmen Partners, Inc. v. The Blackstone Grp., L.P.* (\$85 million recovery on the eve of trial); *In re OSG Sec. Litig.* (\$34 million recovery, representing 87% of the maximum Section 11 damages); *City of Austin Police Ret. Sys. v. Kinross Gold Corp.* (\$33 million recovery); *Citiline Holdings, Inc. v. iStar Fin. Inc.* (\$29 million recovery); *City of Pontiac Gen. Emps. Ret. Sys. v. Lockheed Martin Corp.* (\$19.5 million recovery); *Carpenters Pension Tr. Fund of St. Louis v. Barclays PLC* (\$14 million recovery); *Beaver Cnty. Emps' Ret. Fund v. Tile Shop Holdings, Inc.* (\$9.5 million recovery); *IBEW Local 90 Pension Fund v. Deutsche Bank AG* (confidential settlement); *In re Ply Gem Holdings, Inc., Sec. Litig.* (\$25.9 million recovery); *In re BRF S.A. Sec. Litig.* (\$40 million recovery pending final approval); and *In re SandRidge Energy, Inc. Sec. Litig.* (successfully obtaining class certification in an ongoing litigation). Gilroy also performed an exhaustive factual investigation in *In re Satcon Tech. Corp.*, on behalf of Satcon's Chapter 7 Bankruptcy Trustee, resulting in a seven-figure settlement in an action alleging breaches of fiduciary duties against former Satcon directors and officers.

Education

B.A., City University of New York at Queens College, 2005; J.D., Brooklyn Law School, 2010

Honors / Awards

Rising Star, *Super Lawyers Magazine*, 2019-2021; B.A., *Cum Laude*, City University of New York at Queens College, 2005

Richard W. Gonnello | Of Counsel

Richard Gonnello is Of Counsel in the Firm's Manhattan office. He has two decades of experience litigating complex securities actions.

Gonnello has successfully represented institutional and individual investors. He has obtained substantial recoveries in numerous securities class actions, including *In re Royal Ahold Sec. Litig.* (D. Md.) (\$1.1 billion) and *In re Tremont Sec. Law, State Law & Ins. Litig.* (S.D.N.Y.) (\$100 million). Gonnello has also obtained favorable recoveries for institutional investors pursuing direct opt-out claims, including cases against Qwest Communications International, Inc. (\$175 million) and Tyco International Ltd (\$21 million).

Gonnello has co-authored the following articles appearing in the *New York Law Journal*: "Staehr Hikes Burden of Proof to Place Investor on Inquiry Notice" and "Potential Securities Fraud: 'Storm Warnings' Clarified."

Education

B.A., Rutgers University, 1995; J.D., UCLA School of Law, 1998

Honors / Awards

B.A., *Summa Cum Laude*, Rutgers University, 1995

Mitchell D. Gravo | Of Counsel

Mitchell Gravo is Of Counsel to the Firm and is a member of the Firm's institutional investor client services group. With more than 30 years of experience as a practicing attorney, he serves as liaison to the Firm's institutional investor clients throughout the United States and Canada, advising them on securities litigation matters.

Gravo's clients include Anchorage Economic Development Corporation, Anchorage Convention and Visitors Bureau, UST Public Affairs, Inc., International Brotherhood of Electrical Workers, Alaska Seafood International, Distilled Spirits Council of America, RIM Architects, Anchorage Police Department Employees Association, Fred Meyer, and the Automobile Manufacturer's Association. Prior to joining the Firm, he served as an intern with the Municipality of Anchorage, and then served as a law clerk to Superior Court Judge J. Justin Ripley.

Education

B.A., Ohio State University; J.D., University of San Diego School of Law

Dennis J. Herman | Of Counsel

Dennis Herman is Of Counsel in the Firm's San Francisco office where he focuses his practice on securities class actions. He has led or been significantly involved in the prosecution of numerous securities fraud claims that have resulted in substantial recoveries for investors, including settled actions against Massey Energy (\$265 million), Coca-Cola (\$137 million), VeriSign (\$78 million), Psychiatric Solutions, Inc. (\$65 million), St. Jude Medical, Inc. (\$50 million), NorthWestern (\$40 million), BancorpSouth (\$29.5 million), America Service Group (\$15 million), Specialty Laboratories (\$12 million), Stellant (\$12 million), and Threshold Pharmaceuticals (\$10 million).

Education

B.S., Syracuse University, 1982; J.D., Stanford Law School, 1992

Honors / Awards

Best Lawyer in America, *Best Lawyers*®, 2018-2023; Northern California Best Lawyer, *Best Lawyers*®, 2018-2021; Super Lawyer, *Super Lawyers Magazine*, 2017-2018; Order of the Coif, Stanford Law School; Urban A. Sontheimer Award (graduating second in his class), Stanford Law School; Award-winning Investigative Newspaper Reporter and Editor in California and Connecticut

Helen J. Hodges | Of Counsel

Helen Hodges is Of Counsel in the Firm's San Diego office. She specializes in securities fraud litigation. Hodges has been involved in numerous securities class actions, including: *Dynegy*, which was settled for \$474 million; *Thurber v. Mattel*, which was settled for \$122 million; *Nat'l Health Labs*, which was settled for \$64 million; and *Knapp v. Gomez*, Civ. No. 87-0067-H(M) (S.D. Cal.), in which a plaintiffs' verdict was returned in a Rule 10b-5 class action. Additionally, beginning in 2001, Hodges focused on the prosecution of *Enron*, where a record \$7.2 billion recovery was obtained for investors.

Education

B.S., Oklahoma State University, 1979; J.D., University of Oklahoma, 1983

Honors / Awards

Rated AV by Martindale-Hubbell; Hall of Fame, Oklahoma State University, 2022; served on the Oklahoma State University Foundation Board of Trustees, 2013-2021; Top Lawyer in San Diego, *San Diego Magazine*, 2013-2021; Philanthropist of the Year, Women for OSU at Oklahoma State University, 2020; Super Lawyer, *Super Lawyers Magazine*, 2007

David J. Hoffa | Of Counsel

David Hoffa is Of Counsel in the Firm's Washington D.C. office. He has served as a liaison to over 110 institutional investors in portfolio monitoring, securities litigation, and claims filing matters. His practice focuses on providing a variety of legal and consulting services to U.S. state and municipal employee retirement systems and single and multi-employer U.S. Taft-Hartley benefit funds. In addition to serving as a leader on the Firm's Israel Institutional Investor Outreach Team, Hoffa also serves as a member of the Firm's lead plaintiff advisory team, and advises public and multi-employer pension funds around the country on issues related to fiduciary responsibility, legislative and regulatory updates, and "best practices" in the corporate governance of publicly traded companies.

Early in his legal career, Hoffa worked for a law firm based in Birmingham, Michigan, where he appeared regularly in Michigan state court in litigation pertaining to business, construction, and employment related matters. Hoffa has also appeared before the Michigan Court of Appeals on several occasions.

Education

B.A., Michigan State University, 1993; J.D., Michigan State University College of Law, 2000

Andrew W. Hutton | Of Counsel

Drew Hutton is Of Counsel in the Firm's San Diego and New York offices. Hutton has prosecuted a variety of securities actions, achieving high-profile recoveries and results. Representative cases against corporations and their auditors include *In re AOL Time Warner Sec. Litig.* (\$2.5 billion) and *In re Williams Cos. Sec. Litig.* (\$311 million). Representative cases against corporations and their executives include *In re Broadcom Sec. Litig.* (\$150 million) and *In re Clarent Corp. Sec. Litig.* (class plaintiff's 10b-5 jury verdict against former CEO). Hutton is also active in shareholder derivative litigation, achieving monetary recoveries and governance changes, including *In re Affiliated Computer Servs. Derivative Litig.* (\$30 million), *In re KB Home S'holder Derivative Litig.* (\$30 million), and *In re KeyCorp Derivative Litig.* (modified CEO stock options and governance). Hutton has also litigated securities cases in bankruptcy court (*In re WorldCom, Inc.* – \$15 million for individual claimant) and a complex options case before FINRA (eight-figure settlement for individual investor). Hutton is also experienced in complex, multi-district consumer litigation. Representative nationwide insurance cases include *In re Prudential Sales Pracs. Litig.* (\$4 billion), *In re Metro. Life Ins. Co. Sales Pracs. Litig.* (\$2 billion), and *In re Conseco Life Ins. Co. Cost of Ins. Litig.* (\$200 million). Representative nationwide consumer lending cases include a \$30 million class settlement of Truth-in-Lending claims against American Express and a \$24 million class settlement of RICO and RESPA claims against Community Bank of Northern Virginia (now PNC Bank).

Hutton is the founder of Hutton Law Group, a plaintiffs' litigation practice currently representing retirees, individual investors, and businesses. Before founding Hutton Law and joining Robbins Geller, Hutton was a public company accountant, Certified Public Accountant, and broker of stocks, options, and insurance products. Hutton has also served as an expert litigation consultant in both financial and corporate governance capacities. Hutton is often responsible for working with experts retained by the Firm in litigation and has conducted dozens of depositions of financial professionals, including audit partners, CFOs, directors, bankers, actuaries, and opposing experts.

Education

B.A., University of California, Santa Barbara, 1983; J.D., Loyola Law School, 1994

Nancy M. Juda | Of Counsel

Nancy Juda is Of Counsel to the Firm and is based in the Firm's Washington, D.C. office. Her practice focuses on advising Taft-Hartley pension and welfare funds on issues related to corporate fraud in the United States securities markets. Juda's experience as an ERISA attorney provides her with unique insight into the challenges faced by pension fund trustees as they endeavor to protect and preserve their funds' assets.

Prior to joining Robbins Geller, Juda was employed by the United Mine Workers of America Health & Retirement Funds, where she began her practice in the area of employee benefits law. She was also associated with a union-side labor law firm in Washington, D.C., where she represented the trustees of Taft-Hartley pension and welfare funds on qualification, compliance, fiduciary, and transactional issues under ERISA and the Internal Revenue Code.

Using her extensive experience representing employee benefit funds, Juda advises trustees regarding their options for seeking redress for losses due to securities fraud. She currently advises trustees of funds providing benefits for members of unions affiliated with North America's Building Trades of the AFL-CIO. Juda also represents funds in ERISA class actions involving breach of fiduciary claims.

Education

B.A., St. Lawrence University, 1988; J.D., American University, 1992

Francis P. Karam | Of Counsel

Frank Karam is Of Counsel to the Firm and is based in the Firm's Melville office. Karam is a trial lawyer with 30 years of experience. His practice focuses on complex class action litigation involving shareholders' rights and securities fraud. He also represents a number of landowners and royalty owners in litigation against large energy companies. He has tried complex cases involving investment fraud and commercial fraud, both on the plaintiff and defense side, and has argued numerous appeals in state and federal courts. Throughout his career, Karam has tried more than 100 cases to verdict.

Karam has served as a partner at several prominent plaintiffs' securities firms. From 1984 to 1990, Karam was an Assistant District Attorney in the Bronx, New York, where he served as a senior Trial Attorney in the Homicide Bureau. He entered private practice in 1990, concentrating on trial and appellate work in state and federal courts.

Education

A.B., College of the Holy Cross; J.D., Tulane University School of Law

Honors / Awards

Super Lawyer, *Super Lawyers Magazine*, 2019-2022; "Who's Who" for Securities Lawyers, *Corporate Governance Magazine*, 2015

Arthur C. Leahy | Of Counsel

Art Leahy is a founding partner in the Firm's San Diego office and a member of the Firm's Executive and Management Committees. He has over 20 years of experience successfully litigating securities actions and derivative cases. Leahy has recovered well over two billion dollars for the Firm's clients and has negotiated comprehensive pro-investor corporate governance reforms at several large public companies. Most recently, Leahy helped secure a \$272 million recovery on behalf of mortgage-backed securities investors in *NECA-IBEW Health & Welfare Fund v. Goldman Sachs & Co.* In the *Goldman Sachs* case, he helped achieve favorable decisions in the Second Circuit Court of Appeals on behalf of investors of Goldman Sachs mortgage-backed securities and again in the Supreme Court, which denied Goldman Sachs' petition for certiorari, or review, of the Second Circuit's reinstatement of the plaintiff's case. He was also part of the Firm's trial team in the AT&T securities litigation, which AT&T and its former officers paid \$100 million to settle after two weeks of trial. Prior to joining the Firm, he served as a judicial extern for the Honorable J. Clifford Wallace of the United States Court of Appeals for the Ninth Circuit, and served as a judicial law clerk for the Honorable Alan C. Kay of the United States District Court for the District of Hawaii.

Education

B.A., Point Loma Nazarene University, 1987; J.D., University of San Diego School of Law, 1990

Honors / Awards

Rated AV Preeminent by Martindale-Hubbell; Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2021; Top Lawyer in San Diego, *San Diego Magazine*, 2013-2021; Super Lawyer, *Super Lawyers Magazine*, 2016-2017; J.D., *Cum Laude*, University of San Diego School of Law, 1990; Managing Editor, *San Diego Law Review*, University of San Diego School of Law

Avital O. Malina | Of Counsel

Avital Malina is Of Counsel in the Firm's Melville office, where her practice focuses on complex securities litigation.

Malina has been recognized as a Rising Star by *Super Lawyers Magazine* for the New York Metro area numerous times. Before joining the Firm, she was an associate in the New York office of a large international law firm, where her practice focused on complex commercial litigations.

Education

B.A., Barnard College, 2005, J.D., Fordham University School of Law, 2009

Honors / Awards

Rising Star, *Super Lawyers Magazine*, 2015-2021; B.A., *Magna Cum Laude*, Barnard College, 2005

Jerry E. Martin | Of Counsel

Jerry Martin is Of Counsel in the Firm's Nashville office. He specializes in representing individuals who wish to blow the whistle to expose fraud and abuse committed by federal contractors, health care providers, tax cheats, or those who violate the securities laws. Martin was a member of the litigation team that obtained a \$65 million recovery in *Garden City Emps.' Ret. Sys. v. Psychiatric Solutions, Inc.*, the fourth-largest securities recovery ever in the Middle District of Tennessee and one of the largest in more than a decade.

Before joining the Firm, Martin served as the presidentially appointed United States Attorney for the Middle District of Tennessee from May 2010 to April 2013. As U.S. Attorney, he made prosecuting financial, tax, and health care fraud a top priority. During his tenure, Martin co-chaired the Attorney General's Advisory Committee's Health Care Fraud Working Group. Martin has been recognized as a national leader in combatting fraud and has addressed numerous groups and associations, such as Taxpayers Against Fraud and the National Association of Attorneys General, and was a keynote speaker at the American Bar Association's Annual Health Care Fraud Conference.

Education

B.A., Dartmouth College, 1996; J.D., Stanford University, 1999

Honors / Awards

Super Lawyer, *Super Lawyers Magazine*, 2016-2019

Ruby Menon | Of Counsel

Ruby Menon is Of Counsel to the Firm and serves as a member of the Firm's legal, advisory, and business development group. She also serves as the liaison to the Firm's many institutional investor clients in the United States and abroad. For over 12 years, Menon served as Chief Legal Counsel to two large multi-employer retirement plans, developing her expertise in many areas of employee benefits and pension administration, including legislative initiatives and regulatory affairs, investments, tax, fiduciary compliance, and plan administration.

Education

B.A., Indiana University, 1985; J.D., Indiana University School of Law, 1988

Eugene Mikolajczyk | Of Counsel

Eugene Mikolajczyk is Of Counsel to the Firm and is based in the Firm's San Diego Office. Mikolajczyk has over 30 years' experience prosecuting shareholder and securities litigation cases as both individual and class actions. Among the cases are *Heckmann v. Ahmanson*, in which the court granted a preliminary injunction to prevent a corporate raider from exacting greenmail from a large domestic media/entertainment company.

Mikolajczyk was a primary litigation counsel in an international coalition of attorneys and human rights groups that won a historic settlement with major U.S. clothing retailers and manufacturers on behalf of a class of over 50,000 predominantly female Chinese garment workers, in an action seeking to hold the Saipan garment industry responsible for creating a system of indentured servitude and forced labor. The coalition obtained an unprecedented agreement for supervision of working conditions in the Saipan factories by an independent NGO, as well as a substantial multi-million dollar compensation award for the workers.

Education

B.S., Elizabethtown College, 1974; J.D., Dickinson School of Law, Penn State University, 1978

Roxana Pierce | Of Counsel

Roxana Pierce is Of Counsel in Robbins Geller Rudman & Dowd LLP's Washington D.C. office. She is an international lawyer whose practice focuses on protecting investor rights and the rights of victims of consumer fraud, waste, and abuse, including county pension funds, institutional investors, and state and city governmental entities. She zealously represents her clients with claims for consumer protection, securities, products liability, contracts, and other violations, whether through litigation, arbitration, mediation, or negotiation. She has represented clients in over 75 countries and 12 states, with extensive experience in the Middle East, Asia, Russia, the former Soviet Union, Germany, Belgium, the Caribbean, and India. Pierce's client base includes large institutional investors, state, county, and city retirement funds, pension funds, attorneys general, international banks, asset managers, foreign governments, multinational corporations, sovereign wealth funds, and high-net-worth individuals. She presently has over 20 class, private, and group actions on file, including cases against the largest pharmaceutical and automobile manufacturers in the world for securities fraud consumer rights violations.

Pierce has counseled international clients since 1994. She has spearheaded the contract negotiations for hundreds of projects, including several valued at over \$1 billion, and typically conducts her negotiations with the leadership of foreign governments and the leadership of Fortune 500 corporations, foreign and domestic. Pierce presently represents several European legacy banks in litigation concerning the 2008 financial crisis.

Pierce has been assisting the litigation team at Robbins Geller with the investigation of the opioids and e-cigarette issues facing many states, cities, and municipalities for more than four years. In particular, she has been working closely with doctors and other health care providers to obtain evidence relating to the opioid crisis facing Maryland, the District of Columbia, Pennsylvania, and Florida.

Education

B.A., Pepperdine University, 1988; J.D., Thomas Jefferson School of Law, 1994

Honors / Awards

Certificate of Accomplishment, Export-Import Bank of the United States; Humanitarian Spirit Award for Advocacy, The National Center for Children and Families, 2019

Sara B. Polychron | Of Counsel

Sara Polychron is Of Counsel in the Firm's San Diego office, where her practice focuses on complex securities litigation. She is part of the litigation team prosecuting actions against investment banks and the leading credit rating agencies for their role in the structuring and rating of residential mortgage-backed securities and their subsequent collapse.

Sara earned her Bachelor of Arts degree with honors from the University of Minnesota, where she studied Sociology with an emphasis in Criminology and Law. As an undergraduate she interned with the Hennepin County Attorney's Office, where she advocated for victims of domestic violence and assisted in sentencing negotiations in Juvenile Court. Sara received her Juris Doctor degree from the University of San Diego School of Law, where she was the recipient of two academic scholarships. While in law school, she interned with the Center for Public Interest Law and was a contributing author and assistant editor to the California Regulatory Law Reporter. She also worked as a legal research assistant at the law school and clerked for two San Diego law firms.

Education

B.A., University of Minnesota, 1999; J.D., University of San Diego School of Law, 2005

Svenna Prado | Of Counsel

Svenna Prado is Of Counsel in the Firm's San Diego office, where she focuses on various aspects of international securities and consumer litigation. She was part of the litigation teams that secured settlements against German defendant IKB, as well as Deutsche Bank and Deutsche Bank/West LB for their role in structuring residential mortgage-backed securities and their subsequent collapse. Before joining the Firm, Prado was Head of the Legal Department for a leading international staffing agency in Germany where she focused on all aspects of employment litigation and corporate governance. After she moved to the United States, Prado worked with an internationally oriented German law firm as Counsel to corporate clients establishing subsidiaries in the United States and Germany. As a law student, Prado worked directly for several years for one of the appointed Trustees winding up Eastern German operations under receivership in the aftermath of the German reunification. Utilizing her experience in this area of law, Prado later helped many clients secure successful outcomes in U.S. Bankruptcy Court.

Education

J.D., University of Erlangen-Nuremberg, Germany, 1996; Qualification for Judicial Office, Upper Regional Court Nuremberg, Germany, 1998; New York University, "U.S. Law and Methodologies," 2001

Andrew T. Rees | Of Counsel

Andrew Rees is Of Counsel in the Firm's Boca Raton office. His practice focuses on complex class actions, including securities, corporate governance and consumer fraud litigation. He was on the litigation team that successfully obtained a \$146.25 million recovery in *Nieman v. Duke Energy Corp.*, which is the largest recovery in North Carolina for a case involving securities fraud and one of the five largest recoveries in the Fourth Circuit.

Before joining the Firm, Rees worked as an associate in the Washington, D.C. office of Hogan & Hartson LLP, where he practiced in the area of commercial transactions, including financings, stock purchases, asset acquisitions and mergers.

Education

B.A., Pennsylvania State University, 1997; J.D., William and Mary School of Law, 2002

Jack Reise | Of Counsel

Jack Reise is Of Counsel in the Firm's Boca Raton office. Devoted to protecting the rights of those who have been harmed by corporate misconduct, his practice focuses on class action litigation (including securities fraud, shareholder derivative actions, consumer protection, antitrust, and unfair and deceptive insurance practices). Reise also dedicates a substantial portion of his practice to representing shareholders in actions brought under the federal securities laws. He is currently serving as lead counsel in more than a dozen cases nationwide. Most recently, Reise and a team of Robbins Geller attorneys obtained a \$1.21 billion settlement in *In re Valeant Pharms. Int'l, Inc. Sec. Litig.* (D.N.J.), a case that *Vanity Fair* reported as "the corporate scandal of its era" that had raised "fundamental questions about the functioning of our health-care system, the nature of modern markets, and the slippery slope of ethical rationalizations." This is the largest securities class action settlement against a pharmaceutical manufacturer and the ninth largest ever. As lead counsel, Reise has also represented investors in a series of cases involving mutual funds charged with improperly valuating their net assets, which settled for a total of more than \$50 million. Other notable actions include: *In re NewPower Holdings, Inc. Sec. Litig.* (S.D.N.Y.) (\$41 million settlement); *In re ADT Inc. S'holder Litig.* (Fla. Cir. Ct., 15th Jud. Cir.) (\$30 million settlement); *In re Red Hat, Inc. Sec. Litig.* (E.D.N.C.) (\$20 million settlement); and *In re AFC Enters., Inc. Sec. Litig.* (N.D. Ga.) (\$17.2 million settlement).

Education

B.A., Binghamton University, 1992; J.D., University of Miami School of Law, 1995

Honors / Awards

Leading Plaintiff Financial Lawyer, *Lawdragon*, 2019-2022; American Jurisprudence Book Award in Contracts; J.D., *Cum Laude*, University of Miami School of Law, 1995; *University of Miami Inter-American Law Review*, University of Miami School of Law

Henry Rosen | Of Counsel

Henry Rosen is Of Counsel in the Firm's San Diego office, where he is a member of the Hiring Committee and the Technology Committee, the latter of which focuses on applications to digitally manage documents produced during litigation and internally generate research files. He has significant experience prosecuting every aspect of securities fraud class actions and has obtained more than \$1 billion on behalf of defrauded investors. Prominent cases include *In re Cardinal Health, Inc. Sec. Litig.*, in which Rosen recovered \$600 million for defrauded shareholders. This \$600 million settlement is the largest recovery ever in a securities fraud class action in the Sixth Circuit, and remains one of the largest settlements in the history of securities fraud litigation. Additional recoveries include: *Jones v. Pfizer Inc.* (\$400 million); *In re First Energy* (\$89.5 million); *In re CIT Grp. Inc. Sec. Litig.* (\$75 million); *Stanley v. Safeskin Corp.* (\$55 million); *In re Storage Tech. Corp. Sec. Litig.* (\$55 million); and *Rasner v. Sturm* (FirstWorld Communications) (\$25.9 million).

Education

B.A., University of California, San Diego, 1984; J.D., University of Denver, 1988

Honors / Awards

Editor-in-Chief, *University of Denver Law Review*, University of Denver

Stephanie Schroder | Of Counsel

Stephanie Schroder is Of Counsel in the Firm's San Diego office. Schroder advises institutional investors, including public and multi-employer pension funds, on issues related to corporate fraud in the United States and worldwide financial markets. Schroder has been with the Firm since its formation in 2004, and has over 20 years of securities litigation experience.

Schroder has represented institutional investors in securities fraud litigation that has resulted in collective recoveries of over \$2 billion. Most recently, Schroder was part of the Robbins Geller team that obtained a \$1.21 billion settlement in *In re Valeant Pharms. Int'l, Inc. Sec. Litig.*, a case that *Vanity Fair* reported as "the corporate scandal of its era" that had raised "fundamental questions about the functioning of our health-care system, the nature of modern markets, and the slippery slope of ethical rationalizations." This is the largest securities class action settlement against a pharmaceutical manufacturer and the ninth largest securities class action settlement ever. Additional prominent cases include: *In re AT&T Corp. Sec. Litig.* (\$100 million recovery at trial); *In re FirstEnergy Corp. Sec. Litig.* (\$89.5 million recovery); *Rasner v. Sturm* (FirstWorld Communications); and *In re Advanced Lighting Sec. Litig.* Schroder also specializes in derivative litigation for breaches of fiduciary duties by corporate officers and directors. Significant litigation includes *In re OM Grp. S'holder Litig.* and *In re Chiquita S'holder Litig.* Schroder previously represented clients that suffered losses from the Madoff fraud in the *Austin Capital* and *Meridian Capital* litigations, which were also successfully resolved. In addition, Schroder is a frequent lecturer on securities fraud, shareholder litigation, and options for institutional investors seeking to recover losses caused by securities and accounting fraud.

Education

B.A., University of Kentucky, 1997; J.D., University of Kentucky College of Law, 2000

Kevin S. Sciarani | Of Counsel

Kevin Sciarani is Of Counsel to the Firm and is based in the San Diego office, where his practice focuses on complex securities litigation. Sciarani earned Bachelor of Science and Bachelor of Arts degrees from the University of California, San Diego. He graduated *magna cum laude* from the University of California, Hastings College of the Law with a Juris Doctor degree, where he served as a Senior Articles Editor on the *Hastings Law Journal*.

During law school, Sciarani interned for the U.S. Securities and Exchange Commission and the Antitrust Section of the California Department of Justice. In his final semester, he served as an extern to the Honorable Susan Illston of the United States District Court for the Northern District of California. Sciarani also received recognition for his *pro bono* assistance to tenants living in foreclosed properties due to the subprime mortgage crisis.

Education

B.S., B.A., University of California, San Diego, 2005; J.D., University of California, Hastings College of the Law, 2014

Honors / Awards

J.D., *Magna Cum Laude*, Order of the Coif, University of California, Hastings College of the Law, 2014; CALI Excellence Award, Senior Articles Editor, Hastings Law Journal, University of California, Hastings College of the Law

Christopher P. Seefer | Of Counsel

Christopher Seefer is Of Counsel in the Firm's San Francisco office. He concentrates his practice in securities class action litigation, including cases against Verisign, UTStarcom, VeriFone, Nash Finch, NextCard, Terayon, and America West. Seefer served as an Assistant Director and Deputy General Counsel for the Financial Crisis Inquiry Commission, which reported to Congress in January 2011 its conclusions as to the causes of the global financial crisis. Prior to joining the Firm, he was a Fraud Investigator with the Office of Thrift Supervision, Department of the Treasury (1990-1999), and a field examiner with the Office of Thrift Supervision (1986-1990).

Education

B.A., University of California Berkeley, 1984; M.B.A., University of California, Berkeley, 1990; J.D., Golden Gate University School of Law, 1998

Arthur L. Shingler III | Of Counsel

Arthur Shingler is Of Counsel in the Firm's San Diego office. Shingler has successfully represented both public and private sector clients in hundreds of complex, multi-party actions with billions of dollars in dispute. Throughout his career, he has obtained outstanding results for those he has represented in cases generally encompassing shareholder derivative and securities litigation, unfair business practices litigation, publicity rights and advertising litigation, ERISA litigation, and other insurance, health care, employment, and commercial disputes.

Representative matters in which Shingler served as lead litigation or settlement counsel include, among others: *In re Royal Dutch/Shell ERISA Litig.* (\$90 million settlement); *In re Priceline.com Sec. Litig.* (\$80 million settlement); *In re General Motors ERISA Litig.* (\$37.5 million settlement, in addition to significant revision of retirement plan administration); *Wood v. Ionatron, Inc.* (\$6.5 million settlement); *In re Lattice Semiconductor Corp. Derivative Litig.* (corporate governance settlement, including substantial revision of board policies and executive management); *In re 360networks Class Action Sec. Litig.* (\$7 million settlement); and *Rothschild v. Tyco Int'l (US), Inc.*, 83 Cal. App. 4th 488 (2000) (shaped scope of California's Unfair Practices Act as related to limits of State's False Claims Act).

Education

B.A., Point Loma Nazarene College, 1989; J.D., Boston University School of Law, 1995

Honors / Awards

B.A., *Cum Laude*, Point Loma Nazarene College, 1989

Leonard B. Simon | Of Counsel

Leonard Simon is Of Counsel in the Firm's San Diego office. His practice has been devoted to litigation in the federal courts, including both the prosecution and the defense of major class actions and other complex litigation in the securities and antitrust fields. Simon has also handled a substantial number of complex appellate matters, arguing cases in the United States Supreme Court, several federal Courts of Appeals, and several California appellate courts. He has also represented large, publicly traded corporations. Simon served as plaintiffs' co-lead counsel in *In re Am. Cont'l Corp./Lincoln Sav. & Loan Sec. Litig.*, MDL No. 834 (D. Ariz.) (settled for \$240 million), and *In re NASDAQ Market-Makers Antitrust Litig.*, MDL No. 1023 (S.D.N.Y.) (settled for more than \$1 billion). He was also in a leadership role in several of the state court antitrust cases against Microsoft, and the state court antitrust cases challenging electric prices in California. He was centrally involved in the prosecution of *In re Washington Pub. Power Supply Sys. Sec. Litig.*, MDL No. 551 (D. Ariz.), the largest securities class action ever litigated.

Simon is an Adjunct Professor of Law at Duke University, the University of San Diego, and the University of Southern California Law Schools. He has lectured extensively on securities, antitrust, and complex litigation in programs sponsored by the American Bar Association Section of Litigation, the Practising Law Institute, and ALI-ABA, and at the UCLA Law School, the University of San Diego Law School, and the Stanford Business School. He is an Editor of *California Federal Court Practice* and has authored a law review article on the PSLRA.

Education

B.A., Union College, 1970; J.D., Duke University School of Law, 1973

Honors / Awards

Rated AV Preeminent by Martindale-Hubbell; Top Lawyer in San Diego, *San Diego Magazine*, 2016-2020; Super Lawyer, *Super Lawyers Magazine*, 2008-2016; J.D., Order of the Coif and with Distinction, Duke University School of Law, 1973

Laura S. Stein | Of Counsel

Laura Stein is Of Counsel in the Firm's Philadelphia office. Since 1995, she has practiced in the areas of securities class action litigation, complex litigation, and legislative law. Stein has served as one of the Firm's and the nation's top asset recovery experts with a focus on minimizing losses suffered by shareholders due to corporate fraud and breaches of fiduciary duty. She also seeks to deter future violations of federal and state securities laws by reinforcing the standards of good corporate governance. Stein works with over 500 institutional investors across the nation and abroad, and her clients have served as lead plaintiff in successful cases where billions of dollars were recovered for defrauded investors against such companies as: AOL Time Warner, TYCO, Cardinal Health, AT&T, Hanover Compressor, 1st Bancorp, Enron, Dynegy, Inc., Honeywell International, Bridgestone, LendingClub, Orbital ATK, and Walmart, to name a few. Many of the cases led by Stein's clients have accomplished groundbreaking corporate governance achievements, including obtaining shareholder-nominated directors. She is a frequent presenter and educator on securities fraud monitoring, litigation, and corporate governance.

Education

B.A., University of Pennsylvania, 1992; J.D., University of Pennsylvania Law School, 1995

John J. Stoia, Jr. | Of Counsel

John Stoia is Of Counsel to the Firm and is based in the Firm's San Diego office. He is one of the founding partners and former managing partner of the Firm. He focuses his practice on insurance fraud, consumer fraud, and securities fraud class actions. Stoia has been responsible for over \$10 billion in recoveries on behalf of victims of insurance fraud due to deceptive sales practices such as "vanishing premiums" and "churning." He has worked on dozens of nationwide complex securities class actions, including *In re Am. Cont'l Corp./Lincoln Sav. & Loan Sec. Litig.*, which arose out of the collapse of Lincoln Savings & Loan and Charles Keating's empire. Stoia was a member of the plaintiffs' trial team that obtained verdicts against Keating and his co-defendants in excess of \$3 billion and settlements of over \$240 million.

He also represented numerous large institutional investors who suffered hundreds of millions of dollars in losses as a result of major financial scandals, including AOL Time Warner and WorldCom. Currently, Stoia is lead counsel in numerous cases against online discount voucher companies for violations of both federal and state laws including violation of state gift card statutes.

Education

B.S., University of Tulsa, 1983; J.D., University of Tulsa, 1986; LL.M., Georgetown University Law Center, 1987

Honors / Awards

Rated AV Preeminent by Martindale-Hubbell; Top Lawyer in San Diego, *San Diego Magazine*, 2013-2020; Super Lawyer, *Super Lawyers Magazine*, 2007-2017; Litigator of the Month, *The National Law Journal*, July 2000; LL.M. Top of Class, Georgetown University Law Center

Christopher J. Supple | Of Counsel

Chris Supple is Senior Counsel to Robbins Geller, having joined the Firm after spending the past decade (2011-2021) as Deputy Executive Director and General Counsel at MassPRIM (the Massachusetts Pension Reserves Investment Management Board). While at MassPRIM, Supple also served for the last half-decade as Chair and Co-Chair of the Securities Litigation Committee of NAPPA (the National Association of Public Pension Attorneys). Supple is very familiar with, and experienced in, the role that institutional investors play in private securities litigation, having successfully directed MassPRIM's securities litigation activity in dozens of actions that recovered more than a billion dollars for investors, including *Schering-Plough* (\$473 million), *Massey Energy* (\$265 million), and *Fannie Mae* (\$170 million).

Supple's 30-plus years of experience in law and investments also includes over five years as a federal prosecutor, six years in senior leadership positions for two Massachusetts Governors, and over ten years in private law practice where his clients included MassPRIM and also its sibling Health Care Security/State Retiree Benefits Trust Fund. Supple began his career (after a federal court clerkship) as a litigating attorney assigned to securities cases at the Boston law firm of Hale and Dorr (now called WilmerHale). Supple has litigated in state and federal courts throughout the nation, and has successfully tried over 25 cases to jury verdict, tried dozens of cases to judges sitting without juries, argued hundreds of evidentiary and non-evidentiary motions, and settled dozens of cases by negotiated agreement. Supple holds the Investment Foundations™ Certificate awarded by the CFA (Chartered Financial Analyst) Institute, and for nearly a decade was an adjunct law professor teaching a course in Federal Criminal Prosecution.

Education

B.A., The College of the Holy Cross, 1985; J.D., Duke University School of Law, 1988

Honors / Awards

J.D., with Honors, Duke University School of Law, 1988

Michael A. Troncoso | Of Counsel

Michael Troncoso is Of Counsel to Robbins Geller Rudman & Dowd LLP. His practice focuses on securities fraud class action litigation and other affirmative litigation. Prior to joining the Firm, Troncoso served as a prosecutor, senior in-house counsel, and legal and policy advisor across numerous sectors. He served as chief counsel and chief of public policy to then-California Attorney General Kamala D. Harris, overseeing the office's priority litigation, enforcement, and legislative matters. In this role, he served as lead counsel for the State of California in securing the National Mortgage Settlement, the largest consumer financial protection settlement in state history that brought \$20 billion in loan relief and direct payments to California homeowners. He led the state's Mortgage Fraud Task Force and its investigations of securities law violations arising from the issuance of residential mortgage-backed securities. His team recovered nearly \$1 billion in RMBS-related losses for California public pension funds.

Earlier in his career, Troncoso served for nearly six years as a trial attorney and assistant chief attorney for policy in the San Francisco District Attorney's office, where he tried multiple criminal cases to jury verdict and led the office's mortgage and investment fraud team, where he was responsible for investigating and prosecuting complex financial crimes from initial report through charging and trial.

Troncoso most recently served as Vice President at the Chan Zuckerberg Initiative, a philanthropic organization, where he led bipartisan policy and advocacy efforts nationwide. He also served in the University of California's Office of General Counsel as managing counsel for health affairs and technology law and chief campus counsel, where he oversaw various litigation, regulatory, and data protection matters.

Education

B.A., University of California at Berkeley, 1999; J.D., Georgetown University Law Center, 2002

Honors / Awards

Top 40 Under 40, *Daily Journal*, 2012

David C. Walton | Of Counsel

David Walton was a founding partner of the Firm. For over 25 years, he has prosecuted class actions and private actions on behalf of defrauded investors, particularly in the area of accounting fraud. He has investigated and participated in the litigation of highly complex accounting scandals within some of America's largest corporations, including Enron (\$7.2 billion), HealthSouth (\$671 million), WorldCom (\$657 million), AOL Time Warner (\$629 million), Countrywide (\$500 million), and Dynegy (\$474 million), as well as numerous companies implicated in stock option backdating.

Walton is a member of the Bar of California, a Certified Public Accountant (California 1992), a Certified Fraud Examiner, and is fluent in Spanish. In 2003-2004, he served as a member of the California Board of Accountancy, which is responsible for regulating the accounting profession in California.

Education

B.A., University of Utah, 1988; J.D., University of Southern California Law Center, 1993

Honors / Awards

Recommended Lawyer, *The Legal 500*, 2019; Super Lawyer, *Super Lawyers Magazine*, 2015-2016; California Board of Accountancy, Member, 2003-2004; *Southern California Law Review*, Member, University of Southern California Law Center; Hale Moot Court Honors Program, University of Southern California Law Center

Bruce Gamble | Special Counsel

Bruce Gamble is Special Counsel to the Firm in the Firm's Washington D.C. office and is a member of the Firm's institutional investor client services group. He serves as liaison with the Firm's institutional investor clients in the United States and abroad, advising them on securities litigation matters. Gamble formerly served as Of Counsel to the Firm, providing a broad array of highly specialized legal and consulting services to public retirement plans. Before working with Robbins Geller, Gamble was General Counsel and Chief Compliance Officer for the District of Columbia Retirement Board, where he served as chief legal advisor to the Board of Trustees and staff. Gamble's experience also includes serving as Chief Executive Officer of two national trade associations and several senior level staff positions on Capitol Hill.

Education

B.S., University of Louisville, 1979; J.D., Georgetown University Law Center, 1989

Honors / Awards

Executive Board Member, National Association of Public Pension Attorneys, 2000-2006; American Banker selection as one of the most promising U.S. bank executives under 40 years of age, 1992

Tricia L. McCormick | Special Counsel

Tricia McCormick is Special Counsel to the Firm and focuses primarily on the prosecution of securities class actions. McCormick has litigated numerous cases against public companies in the state and federal courts which resulted in hundreds of millions of dollars in recoveries to investors. She is also a member of a team that is in constant contact with clients who wish to become actively involved in the litigation of securities fraud. In addition, McCormick is active in all phases of the Firm's lead plaintiff motion practice.

Education

B.A., University of Michigan, 1995; J.D., University of San Diego School of Law, 1998

Honors / Awards

J.D., *Cum Laude*, University of San Diego School of Law, 1998

R. Steven Aronica | Forensic Accountant

Steven Aronica is a Certified Public Accountant licensed in the States of New York and Georgia and is a member of the American Institute of Certified Public Accountants, the Institute of Internal Auditors, and the Association of Certified Fraud Examiners. Aronica has been instrumental in the prosecution of numerous financial and accounting fraud civil litigation claims against companies that include Lucent Technologies, Tyco, Oxford Health Plans, Computer Associates, Aetna, WorldCom, Vivendi, AOL Time Warner, Ikon, Doral Financial, First BanCorp, Acclaim Entertainment, Pall Corporation, iStar Financial, Hibernia Foods, NBTY, Tommy Hilfiger, Lockheed Martin, the Blackstone Group, and Motorola. In addition, he assisted in the prosecution of numerous civil claims against the major United States public accounting firms.

Aronica has been employed in the practice of financial accounting for more than 30 years, including public accounting, where he was responsible for providing clients with a wide range of accounting and auditing services; the investment bank Drexel Burnham Lambert, Inc., where he held positions with accounting and financial reporting responsibilities; and at the SEC, where he held various positions in the divisions of Corporation Finance and Enforcement and participated in the prosecution of both criminal and civil fraud claims.

Education

B.B.A., University of Georgia, 1979

Andrew J. Rudolph | Forensic Accountant

Andrew Rudolph is the Director of the Firm's Forensic Accounting Department, which provides in-house forensic accounting expertise in connection with securities fraud litigation against national and foreign companies. He has directed hundreds of financial statement fraud investigations, which were instrumental in recovering billions of dollars for defrauded investors. Prominent cases include *Qwest*, *HealthSouth*, *WorldCom*, *Boeing*, *Honeywell*, *Vivendi*, *Aurora Foods*, *Informix*, *Platinum Software*, *AOL Time Warner*, and *UnitedHealth*.

Rudolph is a Certified Fraud Examiner and a Certified Public Accountant licensed to practice in California. He is an active member of the American Institute of Certified Public Accountants, California's Society of Certified Public Accountants, and the Association of Certified Fraud Examiners. His 20 years of public accounting, consulting, and forensic accounting experience includes financial fraud investigation, auditor malpractice, auditing of public and private companies, business litigation consulting, due diligence investigations, and taxation.

Education

B.A., Central Connecticut State University, 1985

Christopher Yurcek | Forensic Accountant

Christopher Yurcek is the Assistant Director of the Firm's Forensic Accounting Department, which provides in-house forensic accounting and litigation expertise in connection with major securities fraud litigation. He has directed the Firm's forensic accounting efforts on numerous high-profile cases, including *In re Enron Corp. Sec. Litig.* and *Jaffe v. Household Int'l, Inc.*, which obtained a record-breaking \$1.575 billion settlement after 14 years of litigation, including a six-week jury trial in 2009 that resulted in a verdict for plaintiffs. Other prominent cases include *HealthSouth*, *UnitedHealth*, *Vesta*, *Informix*, *Mattel*, *Coca-Cola*, and *Media Vision*.

Yurcek has over 20 years of accounting, auditing, and consulting experience in areas including financial statement audit, forensic accounting and fraud investigation, auditor malpractice, turn-around consulting, business litigation, and business valuation. He is a Certified Public Accountant licensed in California, holds a Certified in Financial Forensics (CFF) Credential from the American Institute of Certified Public Accountants, and is a member of the California Society of CPAs and the Association of Certified Fraud Examiners.

Education

B.A., University of California, Santa Barbara, 1985

CERTIFICATE OF SERVICE

I hereby certify under penalty of perjury that on January 26, 2023, I authorized the electronic filing of the foregoing with the Clerk of the Court using the CM/ECF system which will send notification of such filing to the email addresses on the attached Electronic Mail Notice List, and I hereby certify that I caused the mailing of the foregoing via the United States Postal Service to the non-CM/ECF participants indicated on the attached Manual Notice List.

s/ Daniel J. Pfefferbaum

DANIEL J. PFEFFERBAUM

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Mailing Information for a Case 4:18-cv-00838-JST Hessefort v. Super Micro Computer, Inc. et al**Electronic Mail Notice List**

The following are those who are currently on the list to receive e-mail notices for this case.

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Manual Notice List

The following is the list of attorneys who are **not** on the list to receive e-mail notices for this case (who therefore require manual noticing). You may wish to use your mouse to select and copy this list into your word processing program in order to create notices or labels for these recipients.

- (No manual recipients)