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11 *Lead Counsel for Plaintiffs*

12  
13 UNITED STATES DISTRICT COURT  
14 NORTHERN DISTRICT OF CALIFORNIA  
15 OAKLAND DIVISION

16 LOGAN HESSEFORT, Individually and on  
17 Behalf of All Others Similarly Situated,

18 Plaintiff,

19 vs.

20  
21 SUPER MICRO COMPUTER, INC., et al.,

22 Defendants.

) Lead Case No.  
) 4:18-cv-00838-JST

) CLASS ACTION

) DECLARATION OF CHRISTINE M. FOX  
) FILED ON BEHALF OF LABATON  
) SUCHAROW LLP IN SUPPORT OF  
) APPLICATION FOR AWARD OF  
) ATTORNEYS' FEES AND EXPENSES

) JUDGE: Hon. Jon S. Tigar  
) DATE: March 2, 2023  
) TIME: 2:00 p.m. (via videoconference)

1 I, CHRISTINE M. FOX, declare as follows:

2 1. I am a member of the law firm of Labaton Sucharow LLP (“Labaton Sucharow” or the  
3 “Firm”). I submit this declaration in support of Lead Counsel’s application for an award of attorneys’  
4 fees in connection with services rendered in the above-captioned class action (the “Action”), as well  
5 as for payment of expenses incurred by my Firm in connection with the Action. I have personal  
6 knowledge of the matters set forth herein and, if called upon, could and would testify thereto.<sup>1</sup>

7 **Introduction**

8 2. My Firm served as additional counsel in the Action and performed services on behalf  
9 of Lead Plaintiff and the Class at the request and direction of Lead Counsel. In this capacity, my  
10 Firm performed the following work, among other things: assisted with the investigation of the claims;  
11 assisted with Lead Plaintiff’s motion for class certification; assisted with discovery, including  
12 document discovery review and analysis; and participated in the mediation process.

13 3. The information in this declaration regarding the Firm’s time and expenses is taken  
14 from time and expense reports and supporting documentation prepared and/or maintained by the Firm  
15 in the ordinary course of business. I am the partner who oversaw the Firm’s work in the Action and  
16 I, together with others assisting me, reviewed these reports in connection with the preparation of this  
17 declaration. The purpose of this review was to confirm both the accuracy of the time entries and  
18 expenses, as well as the necessity for, and reasonableness of, the time and expenses committed to the  
19 Action. As a result of this review, reductions were made to both time and expenses in the exercise of  
20 billing judgment. In addition, (a) all time expended in preparing this application for fees and expenses  
21 and (b) the time of any timekeeper who spent fewer than ten hours working on the Action has been  
22 excluded. As a result of this review and the adjustments made, I believe that the time reflected in the  
23 Firm’s lodestar calculation and the expenses for which payment is sought are reasonable and were  
24 necessary for the effective and efficient prosecution and resolution of the Action.

25 4. The hourly rates for the attorneys and professional support staff of my Firm included  
26 in the exhibits to this declaration are their usual and customary rates in contingent class actions set by

27 \_\_\_\_\_  
28 <sup>1</sup> Unless otherwise defined herein, capitalized terms have the meanings ascribed to them in the  
Stipulation of Settlement, dated April 8, 2022 (ECF No. 154).

1 the Firm. These hourly rates are the same as, or comparable to, the rates accepted by courts in other  
2 securities class action litigation, including within in this Circuit. My Firm's rates are set based on  
3 periodic analysis of rates used by firms performing comparable work and that have been approved by  
4 courts. Different timekeepers within the same employment category (*e.g.*, partners, staff attorneys,  
5 associates, etc.) may have different rates based on a variety of factors, including years of practice,  
6 years at the Firm, relevant experience, and the rates of similarly experienced peers at our Firm or  
7 other firms. For personnel who are no longer employed by my Firm, the "current rate" used for the  
8 lodestar calculation is based upon the rate for that person in his or her final year of employment with  
9 my Firm.

10 5. None of the timekeepers listed in the exhibits to this declaration and included in my  
11 Firm's lodestar for the Action were "contract attorneys." All of the timekeepers listed were either  
12 partners of the Firm or employees of the Firm who were eligible for medical and other benefits once  
13 certain conditions were met.

14 **Hours and Lodestar Information**

15 6. Attached as Exhibit 1 is a summary lodestar chart that lists (1) the name of each  
16 timekeeper of my Firm; (2) their title or position (*e.g.*, partner, staff attorney, associate); (3) the  
17 number of hours they worked on the Action; (4) their current 2022 hourly rate;<sup>2</sup> (5) their lodestar (at  
18 both current and historical rates); and (6) a brief description of the primary work they performed in  
19 connection with this case.

20 7. As reflected in Exhibit 1, and after the reductions mentioned above, the number of  
21 hours expended on this Action by my Firm is 2,659.80. The lodestar for my Firm is \$1,251,663.00  
22 based on current rates and \$1,193,253.50 based on historical rates.

23 8. Attached as Exhibit 2 are summary descriptions of the principal tasks undertaken by  
24 the attorneys and support staff of my Firm.

25 9. Exhibit 3 sets forth brief biographical summaries for each timekeeper listed in Exhibit  
26 1, including information about their position, education, and relevant experience.

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27 <sup>2</sup> All references to "current" rates are to the timekeeper's most recent rate (for those who are no  
28 longer employed by Labaton) or their 2022 hourly rate, the last calendar year for which time is  
presented.

1           10.     Exhibit 4 is a chart listing (1) the name and position of each timekeeper; (2) the hours  
2 worked by that timekeeper by month in each of 11 different categories; (3) the historical hourly rate  
3 for each timekeeper during each month; (4) his or her lodestar at that historical rate; (5) the current  
4 rate for each timekeeper (or most recent rate for former employees); and (6) his or her lodestar at the  
5 current rate.

6           11.     Exhibit 5 summarizes certain of the information contained in Exhibit 4. Specifically,  
7 Exhibit 5 (the “Category Lodestar Chart by Month”) reflects the total hours spent by my Firm in each  
8 of the 11 categories during each month. Exhibit 5 also shows the total lodestar for each month at both  
9 historical and current rates.

10          12.     Exhibit 6 also summarizes certain of the information contained in Exhibit 4.  
11 Specifically, Exhibit 6 (the “Category Lodestar Chart by Timekeeper”) reflects the hours spent during  
12 the case by each timekeeper in each of the 11 categories, and also reflects each timekeeper’s  
13 individual hours and lodestar at their historical rates and current rates (or most recent rate for former  
14 employees).

15                   **Expense Information**

16          13.     My Firm’s lodestar figures are based upon the Firm’s hourly rates, which do not  
17 include any expense items. Expense items are recorded separately, and such expenses are not  
18 duplicated in my Firm’s hourly rates.

19          14.     My Firm seeks payment of \$12,697.36 for expenses incurred in connection with the  
20 prosecution of the Action. Exhibit 7 is a table summarizing these expenses by category. Exhibit 8 is  
21 a detailed listing of each of my Firm’s individual expenses organized by category.

22          15.     My Firm incurred expenses related to one consulting expert and is not seeking  
23 reimbursement of work-related transportation or meals. The invoice from the expert is attached as  
24 Exhibit 9. Other than the expert fees, the Firm is not seeking reimbursement for any individual  
25 expenses that exceed \$500.



# **EXHIBIT 1**

## EXHIBIT 1

*Hessefort v. Super Micro Computer, Inc., et al.*, No. 4:18-cv-00838-JSTLABATON SUCHAROW LLP  
Summary Lodestar Chart

Name	Position	Total Hours	Current Rate*	Historical Lodestar	Current Lodestar*	Brief Summary of Work Performed
Gardner, J.	Partner	29.80	\$1,250	\$32,930.00	\$37,250.00	Coordinated work assignments with Lead Counsel and supervised matters handled by the firm.
Fox, C.	Partner	130.70	\$1,050	\$127,822.50	\$137,235.00	Provided leadership and direction to attorneys and professional staff who worked on matters handled by the firm.
Cividini, D.	Of Counsel	30.90	\$725	\$20,937.50	\$22,402.50	Coordinated communications from the firm's document discovery team to Lead Counsel, and monitored and delegated assignments for the team.
Saldamando, D.	Associate	21.10	\$450	\$8,440.00	\$9,495.00	Assisted with discovery responses.
Davis, O.	Staff Attorney	1,055.10	\$405	\$416,172.00	\$427,315.50	Member of document review team, reviewed and analyzed documents produced by defendants and third parties.
Sczesnik, G.	Staff Attorney	1,024.50	\$425	\$424,569.00	\$435,412.50	Member of document review team, reviewed and analyzed documents produced by defendants and third parties.
Greenbaum, A.	Investigator	136.70	\$575	\$64,545.00	\$78,602.50	Assisted with the investigation of the claims.
Clark, J.	Investigator	31.50	\$450	\$13,050.00	\$14,175.00	Assisted with the investigation of the claims.
Wroblewski, R.	Investigator	199.50	\$450	\$84,787.50	\$89,775.00	Assisted with the investigation of the claims.
<b>TOTALS:</b>		<b>2,659.80</b>		<b>\$1,193,253.50</b>	<b>\$1,251,663.00</b>	

\* All references to "current" rates are to the timekeeper's most recent rate (for those who are no longer employed by Labaton) or their 2022 hourly rate.

# **EXHIBIT 2**

**EXHIBIT 2**

***Hessefort v. Super Micro Computer, Inc., et al., No. 4:18-cv-00838-JST***

**LABATON SUCHAROW LLP**

**ATTORNEYS AND PROFESSIONAL STAFF**

*Member Attorneys*

**Christine M. Fox** (130.7 hours): Ms. Fox coordinated assignments with Lead Counsel and supervised matters handled by Labaton. These matters included, among other things: assisting with the investigation of the claims; assisting with Lead Plaintiff's motion for class certification; and assisting with discovery, including document discovery review and analysis and participation in the mediation efforts. Ms. Fox also provided leadership and direction to Mr. Cividini and the staff attorneys employed by Labaton who reviewed documents produced by the Defendants and third parties.

**Jonathan Gardner** (29.8 hours): Mr. Gardner coordinated with Lead Counsel and supervised matters handled by Labaton Sucharow LLP ("Labaton"). Mr. Gardner also was actively involved in the mediation efforts.

*Of Counsel*

**Derick Cividini** (30.9 hours): Mr. Cividini supervised Labaton's document discovery team and monitored and delegated assignments for Labaton's document discovery team.

*Associate*

**David Saldamando** (21.1 hours): Mr. Saldamando prepared the discovery responses for additional plaintiff Bristol County.

*Staff Attorneys*

**Obadele Davis** (1,055.1 hours) and **Gregory Sczesnik** (1,024.5 hours): These individuals were part of the document discovery team for the Action, which focused on reviewing documents produced by the Defendants and third parties for content relevant to the claims asserted. They conducted specific searches of the productions for documents. These document discovery team members provided periodic updates to the litigation team regarding important documents, maintained a master spreadsheet of notable material located, prepared background summaries that identified potential deposition exhibits for upcoming deponents, prepared memoranda summarizing defendant depositions, identified deficiencies in productions, and helped locate and select documents for citation in Plaintiffs' mediation submission.

Investigators

**Amy Greenbaum** (136.7 hours); **Joseph Clark** (31.5 hours); and **Rian Wroblewski** (199.5 hours): These individuals performed investigative work to assist with Lead Counsel's investigation of the claims and defenses. This investigative work included locating and interviewing potential witnesses formerly employed by Super Micro.

# **EXHIBIT 3**

EXHIBIT 3

*Hessefort v. Super Micro Computer, Inc., et al., No. 4:18-cv-00838-JST*

LABATON SUCHAROW LLP

ATTORNEY BIOGRAPHICAL INFORMATION

Member Attorneys

**Jonathan Gardner:** Jonathan serves as the Managing Partner of Labaton Sucharow LLP, as a member of its Executive Committee, and as Head of Litigation. He is based in the Firm's New York office. Jonathan helps direct the growth and management of the Firm. With more than 30 years of experience, Jonathan oversees all of the Firm's litigation matters, including prosecuting complex securities fraud cases on behalf of institutional investors.

A *Benchmark Litigation* "Star" acknowledged by his peers as "engaged and strategic," Jonathan has also been named an MVP by *Law360* for securing hard-earned successes in high-stakes litigation and complex global matters. He is ranked by *Chambers & Partners USA* describing him as "an outstanding lawyer who knows how to get results" and recommended by *The Legal 500*, whose sources remarked on Jonathan's ability to "understand the unique nature of complex securities litigation and strive for practical yet results-driven outcomes" and his "considerable expertise and litigation skill and practical experience that helps achieve terrific results for clients." Jonathan is also recognized by *Lawdragon* as one of the "500 Leading Lawyers in America" and one of the country's top "Plaintiff Financial Lawyers."

Jonathan has played an integral role in securing some of the largest class action recoveries against corporate offenders since the global financial crisis. He led the Firm's team in the investigation and prosecution of *In re Barrick Gold Securities Litigation*, which resulted in a \$140 million recovery. He has also served as the lead attorney in several cases resulting in significant recoveries for injured class members, including *In re Hewlett-Packard Company Securities Litigation* (\$57 million recovery); *Public Employees' Retirement System of Mississippi v. Endo International PLC* (\$50 million recovery); *Medoff v. CVS Caremark Corporation* (\$48 million recovery); *In re Nu Skin Enterprises, Inc., Securities Litigation*, (\$47 million recovery); *In re Intuitive Surgical Securities Litigation* (\$42.5 million recovery); *In re Carter's Inc. Securities Litigation* (\$23.3 million recovery against Carter's and certain officers, as well as its auditing firm PricewaterhouseCoopers); *In re Aeropostale Inc. Securities Litigation* (\$15 million recovery); *In re Lender Processing Services Inc.* (\$13.1 million recovery); and *In re K-12, Inc. Securities Litigation* (\$6.75 million recovery).

Jonathan has led the Firm's representation of investors in many high-profile cases including *Rubin v. MF Global Ltd.*, which involved allegations of material misstatements and omissions in a Registration Statement and Prospectus issued in connection with MF Global's IPO. The case resulted in a recovery of \$90 million for investors. Jonathan also represented lead plaintiff City of Edinburgh Council as Administering Authority of the Lothian Pension Fund in *In re Lehman Brothers Equity/Debt Securities Litigation*, which resulted in settlements exceeding \$600 million against Lehman Brothers' former officers and directors, Lehman's former public accounting firm, as well the banks that underwrote Lehman Brothers' offerings. In representing lead plaintiff Massachusetts Bricklayers and Masons Trust Funds in an action against Deutsche Bank, Jonathan secured a \$32.5 million recovery for a class of investors injured by the bank's conduct in connection with certain residential mortgage-backed securities.

Jonathan is a member of the Federal Bar Council, New York State Bar Association, and the Association of the Bar of the City of New York.

Jonathan earned his Juris Doctor from St. John's University School of Law. He received his bachelor's degree from American University. He is admitted to practice in New York.

**Christine M. Fox:** Christine is a Partner in the New York office of Labaton Sucharow LLP and currently co-leads a team of attorneys focused on litigating securities claims. She is based in the Firm's New York office. With more than 25 years of securities litigation experience, Christine prosecutes complex securities fraud cases on behalf of institutional investors. In addition to her litigation responsibilities, Christine serves as the Chair of the Firm's Diversity Equity & Inclusion Committee and its Pro Bono Committee.

Christine is recognized by *Lawdragon* as one of the "500 Leading Plaintiff Financial Lawyers in America."

Christine is actively involved in litigating matters against FirstCash Holdings, Hain Celestial, Barclays, Unity Software, and Oak Street Health, among others. She has played a pivotal role in securing favorable settlements for investors in class actions against Barrick Gold Corporation, one of the largest gold mining companies in the world (\$140 million recovery); Nielsen, a data analytics company that provides clients with information about consumer preferences (\$73 million recovery); CVS Caremark, the nation's largest pharmacy retail chain (\$48 million recovery); Nu Skin Enterprises, a multilevel marketing company (\$47 million recovery); World Wrestling Entertainment, a media and entertainment company (\$39 million recovery), and Uniti Group, a telecommunications company (\$38.875 million recovery).

She is a member of the American Bar Association, New York State Bar Association, and Puerto Rican Bar Association.

Christine earned her Juris Doctor from the University of Michigan Law School and received her bachelor's degree from Cornell University. Christine is conversant in Spanish.

*Of Counsel*

**Derick Cividini:** Derick is Of Counsel in the New York office of Labaton Sucharow LLP and serves as the Firm's Director of E-Discovery. Derick focuses on prosecuting complex securities fraud cases on behalf of institutional investors, including class actions, corporate governance matters, and derivative litigation. As the Director of E-discovery, he is responsible for managing the Firm's discovery efforts, particularly with regard to the implementation of e-discovery best practices for ESI (electronically stored information) and other relevant sources.

Derick was part of the team that represented lead plaintiff City of Edinburgh Council as Administering Authority of the Lothian Pension Fund in *In re Lehman Brothers Equity/Debt Securities Litigation*, which resulted in settlements totaling \$516 million against Lehman Brothers' former officers and directors as well as most of the banks that underwrote Lehman Brothers' offerings.

Prior to joining Labaton Sucharow, Derick was a litigation attorney at Kirkland & Ellis LLP, where he practiced complex civil litigation. Earlier in his litigation career, he worked on product liability class actions with Hughes Hubbard & Reed LLP.

Derick earned his Juris Doctor and Master of Business Administration from Rutgers University and received his bachelor's degree in Finance from Boston College. He is admitted to practice in New York.

Associates

**David Saldamando:** David is an Associate in the New York office of Labaton Sucharow LLP and focuses his practice on litigating securities fraud class actions on behalf of institutional investors, as well as representing individuals in consumer data privacy class action litigation.

David is actively involved in litigating cases against Rocket Companies; Meta Platforms, Inc. (f/k/a Facebook, Inc); Playtika Holding Corp; and Alta Mesa Resources (de-SPAC transaction), among other companies. He also assisted the teams that recovered millions of dollars for investors in multiple securities fraud actions, including *In re Resideo Technologies, Inc. Securities Litigation* (\$55 million recovery); *City of Warren Police and Fire Retirement System v. World Wrestling Entertainment* (\$39 million recovery); *In re Uniti Group Inc. Securities Litigation* (\$38.875 million recovery); and *In re Mindbody, Inc. Securities Litigation* (\$9.75 million recovery).

He is currently an active member of the Federal Bar Council and New York City Bar Association, where he serves on the Securities Litigation Committee.

David earned his Juris Doctor, *cum laude*, from St. John's University School of Law, where he was a Senior Articles Editor of the St. John's Law Review. During law school, David also externed with the Honorable Joseph F. Bianco in the Eastern District of New York and interned with the United States Attorney's Office in Long Island, where he helped prosecute RICO cases against MS-13. He earned his bachelor's degree, *cum laude*, from the University of New Mexico, where he played college rugby. He is fluent in Spanish.

Staff Attorneys

**Obadele Davis** earned his Juris Doctor from Fordham University School of Law in 2000, where he was an Associate Editor of the *Fordham Intellectual Property, Entertainment and Media Law Journal*. He previously worked as a Staff Attorney at such firms as Weil Gotschal & Manges, Morgan Lewis & Bockius, and Kirkland & Ellis. He joined Labaton Sucharow in 2009 where he has worked on various securities class actions including those brought against Intuitive Surgical, AbbVie, CVS Caremark, and Countrywide Financial.

**Gregory Sczesnik** earned his Juris Doctor from Fordham University School of Law in 1999, where he was a member of the Moot Court Board. He previously worked as a Staff Attorney at such firms as Cadwalader, Wickersham & Taft LLP, Bernstein Litowitz Berger & Grossmann LLP, and Spector, Roseman & Kodroff, P.C. He joined Labaton Sucharow in 2011 where he has worked on various securities class actions including those brought against Acuity, AbbVie, BP, and Countrywide Financial.

# **EXHIBIT 4**

EXHIBIT 4

Hessefort v. Super Micro Computer, Inc., et al., No. 4:18-cv-00838-JST

LABATON SUCHAROW LLP  
Category Lodestar Chart by Timekeeper and Month

Category Codes:

- |   |  |
|---|--|
| <ul style="list-style-type: none"> <li>1. Factual Investigation</li> <li>2. Discovery</li> <li>3. Briefs, Pretrial Motions &amp; Legal Research</li> <li>4. Court Appearances</li> <li>5. Initial or Amended Complaint</li> <li>6. Client / Shareholder Communications</li> </ul> | <ul style="list-style-type: none"> <li>7. Litigation Strategy &amp; Analysis</li> <li>8. Settlement Negotiations, Stipulation, Plan of Allocation</li> <li>9. Appeal</li> <li>10. Trial Preparation</li> <li>11. Case Management</li> </ul> <p>* All references to "current" rates are to the timekeeper's most recent rate (for those who are no longer employed by Labaton) or their 2022 hourly rate.</p> |
|---|--|

TIMEKEEPER	1	2	3	4	5	6	7	8	9	10	11	TOTAL SUM OF HOURS	HISTORICAL RATE	LODESTAR AT HISTORICAL RATE	CURRENT RATE*	LODESTAR AT CURRENT RATE*
<b>Gardner, J. (P)</b>												<b>29.8</b>		<b>\$32,930.00</b>		<b>\$37,250.00</b>
April 2021	0.2	0.3					0.2					0.7	\$1,100	\$770.00	\$1,250	\$875.00
May 2021												0	\$1,100	\$0.00	\$1,250	\$0.00
June 2021												0	\$1,100	\$0.00	\$1,250	\$0.00
July 2021												0	\$1,100	\$0.00	\$1,250	\$0.00
August 2021												0	\$1,100	\$0.00	\$1,250	\$0.00
September 2021												0	\$1,100	\$0.00	\$1,250	\$0.00
October 2021							0.3					0.3	\$1,100	\$330.00	\$1,250	\$375.00
November 2021								14.7				14.7	\$1,100	\$16,170.00	\$1,250	\$18,375.00
December 2021								13.1				13.1	\$1,100	\$14,410.00	\$1,250	\$16,375.00
January 2022							0.5	0.3				0.8	\$1,250	\$1,000.00	\$1,250	\$1,000.00
February 2022											0.2	0.2	\$1,250	\$250.00	\$1,250	\$250.00
March 2022												0	\$1,250	\$0.00	\$1,250	\$0.00
April 2022												0	\$1,250	\$0.00	\$1,250	\$0.00
May 2022												0	\$1,250	\$0.00	\$1,250	\$0.00
June 2022												0	\$1,250	\$0.00	\$1,250	\$0.00
July 2022												0	\$1,250	\$0.00	\$1,250	\$0.00
August 2022												0	\$1,250	\$0.00	\$1,250	\$0.00
September 2022												0	\$1,250	\$0.00	\$1,250	\$0.00
October 2022												0	\$1,250	\$0.00	\$1,250	\$0.00
<b>Fox, C. (P)</b>												<b>130.7</b>		<b>\$127,822.50</b>		<b>\$137,235.00</b>
March 2021					1.2							1.2	\$975	\$1,170.00	\$1,050	\$1,260.00
April 2021		5.0	1.7									6.7	\$975	\$6,532.50	\$1,050	\$7,035.00
May 2021		16.8										16.8	\$975	\$16,380.00	\$1,050	\$17,640.00
June 2021		8.5										8.5	\$975	\$8,287.50	\$1,050	\$8,925.00
July 2021		35.5										35.5	\$975	\$34,612.50	\$1,050	\$37,275.00
August 2021		16.2	4.8									21.0	\$975	\$20,475.00	\$1,050	\$22,050.00
September 2021		7.9	1.3					0.4				9.6	\$975	\$9,360.00	\$1,050	\$10,080.00
October 2021		10.3									0.1	10.4	\$975	\$10,140.00	\$1,050	\$10,920.00
November 2021		0.5						4.8				5.3	\$975	\$5,167.50	\$1,050	\$5,565.00
December 2021		0.4						10.1				10.5	\$975	\$10,237.50	\$1,050	\$11,025.00
January 2022		1.0						0.2				1.2	\$1,050	\$1,260.00	\$1,050	\$1,260.00
February 2022		1.2						0.7			0.4	2.3	\$1,050	\$2,415.00	\$1,050	\$2,415.00
March 2022								1.4				1.4	\$1,050	\$1,470.00	\$1,050	\$1,470.00
April 2022												0	\$1,050	\$0.00	\$1,050	\$0.00
May 2022												0	\$1,050	\$0.00	\$1,050	\$0.00
June 2022												0	\$1,050	\$0.00	\$1,050	\$0.00
July 2022								0.1				0.1	\$1,050	\$105.00	\$1,050	\$105.00
August 2022												0	\$1,050	\$0.00	\$1,050	\$0.00
September 2022												0	\$1,050	\$0.00	\$1,050	\$0.00
October 2022								0.2				0.2	\$1,050	\$210.00	\$1,050	\$210.00
<b>Cividini, D. (OC)</b>												<b>30.9</b>		<b>\$20,937.50</b>		<b>\$22,402.50</b>

EXHIBIT 4

Hessefort v. Super Micro Computer, Inc., et al., No. 4:18-cv-00838-JST

LABATON SUCHAROW LLP  
 Category Lodestar Chart by Timekeeper and Month

Category Codes:

1. Factual Investigation
2. Discovery
3. Briefs, Pretrial Motions & Legal Research
4. Court Appearances
5. Initial or Amended Complaint
6. Client / Shareholder Communications

7. Litigation Strategy & Analysis
8. Settlement Negotiations, Stipulation, Plan of Allocation
9. Appeal
10. Trial Preparation
11. Case Management

\* All references to "current" rates are to the timekeeper's most recent rate (for those who are no longer employed by Labaton) or their 2022 hourly rate.

TIMEKEEPER	1	2	3	4	5	6	7	8	9	10	11	TOTAL SUM OF HOURS	HISTORICAL RATE	LODESTAR AT HISTORICAL RATE	CURRENT RATE*	LODESTAR AT CURRENT RATE*
June 2021		1.0										1.0	\$675	\$675.00	\$725	\$725.00
July 2021		6.9										6.9	\$675	\$4,657.50	\$725	\$5,002.50
August 2021		14.2										14.2	\$675	\$9,585.00	\$725	\$10,295.00
September 2021		6.7										6.7	\$675	\$4,522.50	\$725	\$4,857.50
October 2021		0.5										0.5	\$675	\$337.50	\$725	\$362.50
November 2021												0	\$675	\$0.00	\$725	\$0.00
December 2021												0	\$675	\$0.00	\$725	\$0.00
January 2022												0	\$725	\$0.00	\$725	\$0.00
February 2022		0.8										0.8	\$725	\$580.00	\$725	\$580.00
March 2022		0.8										0.8	\$725	\$580.00	\$725	\$580.00
April 2022												0	\$725	\$0.00	\$725	\$0.00
May 2022												0	\$725	\$0.00	\$725	\$0.00
June 2022												0	\$725	\$0.00	\$725	\$0.00
July 2022												0	\$725	\$0.00	\$725	\$0.00
August 2022												0	\$725	\$0.00	\$725	\$0.00
September 2022												0	\$725	\$0.00	\$725	\$0.00
October 2022												0	\$725	\$0.00	\$725	\$0.00
<b>Saldamando, D. (A)</b>												<b>21.1</b>		<b>\$8,440.00</b>		<b>\$9,495.00</b>
April 2021		1.9										1.9	\$400	\$760.00	\$450	\$855.00
May 2021		5.0					1.8					6.8	\$400	\$2,720.00	\$450	\$3,060.00
June 2021												0	\$400	\$0.00	\$450	\$0.00
July 2021		0.6									1.3	1.9	\$400	\$760.00	\$450	\$855.00
August 2021		0.6										0.6	\$400	\$240.00	\$450	\$270.00
September 2021		1.8										1.8	\$400	\$720.00	\$450	\$810.00
October 2021		8.1										8.1	\$400	\$3,240.00	\$450	\$3,645.00
November 2021												0	\$400	\$0.00	\$450	\$0.00
December 2021												0	\$400	\$0.00	\$450	\$0.00
January 2022												0.0	\$450	\$0.00	\$450	\$0.00
February 2022												0	\$450	\$0.00	\$450	\$0.00
March 2022												0	\$450	\$0.00	\$450	\$0.00
April 2022												0	\$450	\$0.00	\$450	\$0.00
May 2022												0	\$450	\$0.00	\$450	\$0.00
June 2022												0	\$450	\$0.00	\$450	\$0.00
July 2022												0	\$450	\$0.00	\$450	\$0.00
August 2022												0	\$450	\$0.00	\$450	\$0.00
September 2022												0	\$450	\$0.00	\$450	\$0.00
October 2022												0.0	\$450	\$0.00	\$450	\$0.00
<b>Davis, O. (SA)</b>												<b>1055.1</b>		<b>\$416,172.00</b>		<b>\$427,315.50</b>
July 2021		81.4										81.4	\$390	\$31,746.00	\$405	\$32,967.00
August 2021		162.3										162.3	\$390	\$63,297.00	\$405	\$65,731.50
September 2021		135.5										135.5	\$390	\$52,845.00	\$405	\$54,877.50
October 2021		124.8										124.8	\$390	\$48,672.00	\$405	\$50,544.00

EXHIBIT 4

Hessefort v. Super Micro Computer, Inc., et al., No. 4:18-cv-00838-JST

LABATON SUCHAROW LLP  
Category Lodestar Chart by Timekeeper and Month

Category Codes:

1. Factual Investigation
2. Discovery
3. Briefs, Pretrial Motions & Legal Research
4. Court Appearances
5. Initial or Amended Complaint
6. Client / Shareholder Communications

7. Litigation Strategy & Analysis
8. Settlement Negotiations, Stipulation, Plan of Allocation
9. Appeal
10. Trial Preparation
11. Case Management

\* All references to "current" rates are to the timekeeper's most recent rate (for those who are no longer employed by Labaton) or their 2022 hourly rate.

TIMEKEEPER	1	2	3	4	5	6	7	8	9	10	11	TOTAL SUM OF HOURS	HISTORICAL RATE	LODESTAR AT HISTORICAL RATE	CURRENT RATE*	LODESTAR AT CURRENT RATE*
November 2021		140.1										140.1	\$390	\$54,639.00	\$405	\$56,740.50
December 2021		98.8										98.8	\$390	\$38,532.00	\$405	\$40,014.00
January 2022		175.7										175.7	\$405	\$71,158.50	\$405	\$71,158.50
February 2022		133.5						3.0				136.5	\$405	\$55,282.50	\$405	\$55,282.50
March 2022												0	\$405	\$0.00	\$405	\$0.00
April 2022												0	\$405	\$0.00	\$405	\$0.00
May 2022												0	\$405	\$0.00	\$405	\$0.00
June 2022												0	\$405	\$0.00	\$405	\$0.00
July 2022												0	\$405	\$0.00	\$405	\$0.00
August 2022												0	\$405	\$0.00	\$405	\$0.00
September 2022												0	\$405	\$0.00	\$405	\$0.00
October 2022												0	\$405	\$0.00	\$405	\$0.00
<b>Sczesnik, G. (SA)</b>												<b>1024.5</b>		<b>\$424,569.00</b>		<b>\$435,412.50</b>
July 2021		114.6										114.6	\$410	\$46,986.00	\$425	\$48,705.00
August 2021		121.9										121.9	\$410	\$49,979.00	\$425	\$51,807.50
September 2021		138.7										138.7	\$410	\$56,867.00	\$425	\$58,947.50
October 2021		141.9										141.9	\$410	\$58,179.00	\$425	\$60,307.50
November 2021		114.6										114.6	\$410	\$46,986.00	\$425	\$48,705.00
December 2021		91.2										91.2	\$410	\$37,392.00	\$425	\$38,760.00
January 2022		155.8										155.8	\$425	\$66,215.00	\$425	\$66,215.00
February 2022		145.8										145.8	\$425	\$61,965.00	\$425	\$61,965.00
March 2022												0	\$425	\$0.00	\$425	\$0.00
April 2022												0	\$425	\$0.00	\$425	\$0.00
May 2022												0	\$425	\$0.00	\$425	\$0.00
June 2022												0	\$425	\$0.00	\$425	\$0.00
July 2022												0	\$425	\$0.00	\$425	\$0.00
August 2022												0	\$425	\$0.00	\$425	\$0.00
September 2022												0	\$425	\$0.00	\$425	\$0.00
October 2022												0	\$425	\$0.00	\$425	\$0.00
<b>Greenbaum, A. (I)</b>												<b>136.7</b>		<b>\$64,545.00</b>		<b>\$78,602.50</b>
June 2018	16.7											16.7	\$455	\$7,598.50	\$575	\$9,602.50
July 2018	31.6											31.6	\$455	\$14,378.00	\$575	\$18,170.00
August 2018	13.5											13.5	\$455	\$6,142.50	\$575	\$7,762.50
September 2018	7.4											7.4	\$455	\$3,367.00	\$575	\$4,255.00
October 2018	3.3											3.3	\$455	\$1,501.50	\$575	\$1,897.50
November 2018	14.2											14.2	\$455	\$6,461.00	\$575	\$8,165.00
December 2018	14.1											14.1	\$455	\$6,415.50	\$575	\$8,107.50
January 2019	9.3											9.3	\$455	\$4,231.50	\$575	\$5,347.50
February 2019												0	\$455	\$0.00	\$575	\$0.00
March 2019	0.6											0.6	\$455	\$273.00	\$575	\$345.00
April 2019												0	\$455	\$0.00	\$575	\$0.00
May 2019	1.3											1.3	\$455	\$591.50	\$575	\$747.50

EXHIBIT 4

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LABATON SUCHAROW LLP  
 Category Lodestar Chart by Timekeeper and Month

Category Codes:

1. Factual Investigation
2. Discovery
3. Briefs, Pretrial Motions & Legal Research
4. Court Appearances
5. Initial or Amended Complaint
6. Client / Shareholder Communications

7. Litigation Strategy & Analysis
8. Settlement Negotiations, Stipulation, Plan of Allocation
9. Appeal
10. Trial Preparation
11. Case Management

\* All references to "current" rates are to the timekeeper's most recent rate (for those who are no longer employed by Labaton) or their 2022 hourly rate.

TIMEKEEPER	1	2	3	4	5	6	7	8	9	10	11	TOTAL SUM OF HOURS	HISTORICAL RATE	LODESTAR AT HISTORICAL RATE	CURRENT RATE*	LODESTAR AT CURRENT RATE*
June 2019												0	\$455	\$0.00	\$575	\$0.00
July 2019												0	\$455	\$0.00	\$575	\$0.00
August 2019												0	\$455	\$0.00	\$575	\$0.00
September 2019												0	\$455	\$0.00	\$575	\$0.00
October 2019												0	\$455	\$0.00	\$575	\$0.00
November 2019												0	\$455	\$0.00	\$575	\$0.00
December 2019												0	\$455	\$0.00	\$575	\$0.00
January 2020												0	\$550	\$0.00	\$575	\$0.00
February 2020												0	\$550	\$0.00	\$575	\$0.00
March 2020	3.8											3.8	\$550	\$2,090.00	\$575	\$2,185.00
April 2020	18.1											18.1	\$550	\$9,955.00	\$575	\$10,407.50
May 2020												0	\$550	\$0.00	\$575	\$0.00
June 2020												0	\$550	\$0.00	\$575	\$0.00
July 2020												0	\$550	\$0.00	\$575	\$0.00
August 2020												0	\$550	\$0.00	\$575	\$0.00
September 2020												0	\$550	\$0.00	\$575	\$0.00
October 2020												0	\$550	\$0.00	\$575	\$0.00
November 2020												0	\$550	\$0.00	\$575	\$0.00
December 2020												0	\$550	\$0.00	\$575	\$0.00
January 2021												0	\$550	\$0.00	\$575	\$0.00
February 2021												0	\$550	\$0.00	\$575	\$0.00
March 2021												0	\$550	\$0.00	\$575	\$0.00
April 2021			0.5									0.5	\$550	\$275.00	\$575	\$287.50
May 2021	1.3	1.0										2.3	\$550	\$1,265.00	\$575	\$1,322.50
June 2021												0	\$550	\$0.00	\$575	\$0.00
July 2021												0	\$550	\$0.00	\$575	\$0.00
August 2021												0	\$550	\$0.00	\$575	\$0.00
September 2021												0	\$550	\$0.00	\$575	\$0.00
October 2021												0	\$550	\$0.00	\$575	\$0.00
November 2021												0	\$550	\$0.00	\$575	\$0.00
December 2021												0	\$550	\$0.00	\$575	\$0.00
January 2022												0	\$575	\$0.00	\$575	\$0.00
February 2022												0	\$575	\$0.00	\$575	\$0.00
March 2022												0	\$575	\$0.00	\$575	\$0.00
April 2022												0	\$575	\$0.00	\$575	\$0.00
May 2022												0	\$575	\$0.00	\$575	\$0.00
June 2022												0	\$575	\$0.00	\$575	\$0.00
July 2022												0	\$575	\$0.00	\$575	\$0.00
August 2022												0	\$575	\$0.00	\$575	\$0.00
September 2022												0	\$575	\$0.00	\$575	\$0.00
October 2022												0	\$575	\$0.00	\$575	\$0.00
<b>Wroblewski, R. (I)</b>												<b>199.5</b>		<b>\$84,787.50</b>		<b>\$89,775.00</b>

EXHIBIT 4

Hessefort v. Super Micro Computer, Inc., et al., No. 4:18-cv-00838-JST

LABATON SUCHAROW LLP  
 Category Lodestar Chart by Timekeeper and Month

Category Codes:

1. Factual Investigation
2. Discovery
3. Briefs, Pretrial Motions & Legal Research
4. Court Appearances
5. Initial or Amended Complaint
6. Client / Shareholder Communications

7. Litigation Strategy & Analysis
8. Settlement Negotiations, Stipulation, Plan of Allocation
9. Appeal
10. Trial Preparation
11. Case Management

\* All references to "current" rates are to the timekeeper's most recent rate (for those who are no longer employed by Labaton) or their 2022 hourly rate.

TIMEKEEPER	1	2	3	4	5	6	7	8	9	10	11	TOTAL SUM OF HOURS	HISTORICAL RATE	LODESTAR AT HISTORICAL RATE	CURRENT RATE*	LODESTAR AT CURRENT RATE*
June 2018	22.5											22.5	\$425	\$9,562.50	\$450	\$10,125.00
July 2018												0	\$425	\$0.00	\$450	\$0.00
August 2018	69.0											69	\$425	\$29,325.00	\$450	\$31,050.00
September 2018	51.0											51	\$425	\$21,675.00	\$450	\$22,950.00
October 2018												0	\$425	\$0.00	\$450	\$0.00
November 2018	30.0											30	\$425	\$12,750.00	\$450	\$13,500.00
December 2018												0	\$425	\$0.00	\$450	\$0.00
January 2019												0	\$425	\$0.00	\$450	\$0.00
February 2019												0	\$425	\$0.00	\$450	\$0.00
March 2019	27.0											27	\$425	\$11,475.00	\$450	\$12,150.00
April 2019												0	\$425	\$0.00	\$450	\$0.00
May 2019												0	\$425	\$0.00	\$450	\$0.00
June 2019												0	\$425	\$0.00	\$450	\$0.00
July 2019												0	\$425	\$0.00	\$450	\$0.00
August 2019												0	\$425	\$0.00	\$450	\$0.00
September 2019												0	\$425	\$0.00	\$450	\$0.00
October 2019												0	\$425	\$0.00	\$450	\$0.00
November 2019												0	\$425	\$0.00	\$450	\$0.00
December 2019												0	\$425	\$0.00	\$450	\$0.00
January 2020												0	\$450	\$0.00	\$450	\$0.00
<b>Clark, J. (I)</b>												<b>31.5</b>		<b>\$13,050.00</b>		<b>\$14,175.00</b>
June 2018	9.5											9.5	\$400	\$3,800.00	\$450	\$4,275.00
July 2018	4.0											4	\$400	\$1,600.00	\$450	\$1,800.00
August 2018												0	\$400	\$0.00	\$450	\$0.00
September 2018												0	\$400	\$0.00	\$450	\$0.00
October 2018												0	\$400	\$0.00	\$450	\$0.00
November 2018	0.1											0.1	\$400	\$40.00	\$450	\$45.00
December 2018												0	\$400	\$0.00	\$450	\$0.00
January 2019	0.1											0.1	\$400	\$40.00	\$450	\$45.00
February 2019												0	\$400	\$0.00	\$450	\$0.00
March 2019												0	\$400	\$0.00	\$450	\$0.00
April 2019												0	\$400	\$0.00	\$450	\$0.00
May 2019												0	\$400	\$0.00	\$450	\$0.00
June 2019												0	\$400	\$0.00	\$450	\$0.00
July 2019												0	\$400	\$0.00	\$450	\$0.00
August 2019												0	\$400	\$0.00	\$450	\$0.00
September 2019												0	\$400	\$0.00	\$450	\$0.00
October 2019												0	\$400	\$0.00	\$450	\$0.00
November 2019												0.0	\$400	\$0.00	\$450	\$0.00
December 2019												0	\$400	\$0.00	\$450	\$0.00
January 2020												0	\$425	\$0.00	\$450	\$0.00
February 2020												0	\$425	\$0.00	\$450	\$0.00

EXHIBIT 4

Hessefort v. Super Micro Computer, Inc., et al., No. 4:18-cv-00838-JST

LABATON SUCHAROW LLP  
 Category Lodestar Chart by Timekeeper and Month

Category Codes:

- |   |  |
|---|--|
| <ul style="list-style-type: none"> <li>1. Factual Investigation</li> <li>2. Discovery</li> <li>3. Briefs, Pretrial Motions &amp; Legal Research</li> <li>4. Court Appearances</li> <li>5. Initial or Amended Complaint</li> <li>6. Client / Shareholder Communications</li> </ul> | <ul style="list-style-type: none"> <li>7. Litigation Strategy &amp; Analysis</li> <li>8. Settlement Negotiations, Stipulation, Plan of Allocation</li> <li>9. Appeal</li> <li>10. Trial Preparation</li> <li>11. Case Management</li> </ul> <p>* All references to "current" rates are to the timekeeper's most recent rate (for those who are no longer employed by Labaton) or their 2022 hourly rate.</p> |
|---|--|

TIMEKEEPER	1	2	3	4	5	6	7	8	9	10	11	TOTAL SUM OF HOURS	HISTORICAL RATE	LODESTAR AT HISTORICAL RATE	CURRENT RATE*	LODESTAR AT CURRENT RATE*
March 2020	1.0											1	\$425	\$425.00	\$450	\$450.00
April 2020												0	\$425	\$0.00	\$450	\$0.00
May 2020	0.1											0.1	\$425	\$42.50	\$450	\$45.00
June 2020												0	\$425	\$0.00	\$450	\$0.00
July 2020												0	\$425	\$0.00	\$450	\$0.00
August 2020												0	\$425	\$0.00	\$450	\$0.00
September 2020												0	\$425	\$0.00	\$450	\$0.00
October 2020												0	\$425	\$0.00	\$450	\$0.00
November 2020												0	\$425	\$0.00	\$450	\$0.00
December 2020												0	\$425	\$0.00	\$450	\$0.00
January 2021												0	\$425	\$0.00	\$450	\$0.00
February 2021												0	\$425	\$0.00	\$450	\$0.00
March 2021												0	\$425	\$0.00	\$450	\$0.00
April 2021												0	\$425	\$0.00	\$450	\$0.00
May 2021	16.5											16.5	\$425	\$7,012.50	\$450	\$7,425.00
June 2021												0	\$425	\$0.00	\$450	\$0.00
July 2021												0	\$425	\$0.00	\$450	\$0.00
August 2021												0	\$425	\$0.00	\$450	\$0.00
September 2021												0	\$425	\$0.00	\$450	\$0.00
October 2021												0	\$425	\$0.00	\$450	\$0.00
November 2021												0	\$425	\$0.00	\$450	\$0.00
December 2021												0	\$425	\$0.00	\$450	\$0.00
January 2022	0.2											0.2	\$450	\$90.00	\$450	\$90.00
February 2022												0	\$450	\$0.00	\$450	\$0.00
March 2022												0	\$450	\$0.00	\$450	\$0.00
April 2022												0	\$450	\$0.00	\$450	\$0.00
May 2022												0	\$450	\$0.00	\$450	\$0.00
June 2022												0	\$450	\$0.00	\$450	\$0.00
July 2022												0	\$450	\$0.00	\$450	\$0.00
August 2022												0	\$450	\$0.00	\$450	\$0.00
September 2022												0	\$450	\$0.00	\$450	\$0.00

EXHIBIT 4

*Hessefort v. Super Micro Computer, Inc., et al.*, No. 4:18-cv-00838-JST

LABATON SUCHAROW LLP  
 Category Lodestar Chart by Timekeeper and Month

Category Codes:

- |   |  |
|---|--|
| <ul style="list-style-type: none"> <li>1. Factual Investigation</li> <li>2. Discovery</li> <li>3. Briefs, Pretrial Motions &amp; Legal Research</li> <li>4. Court Appearances</li> <li>5. Initial or Amended Complaint</li> <li>6. Client / Shareholder Communications</li> </ul> | <ul style="list-style-type: none"> <li>7. Litigation Strategy &amp; Analysis</li> <li>8. Settlement Negotiations, Stipulation, Plan of Allocation</li> <li>9. Appeal</li> <li>10. Trial Preparation</li> <li>11. Case Management</li> </ul> <p>* All references to "current" rates are to the timekeeper's most recent rate (for those who are no longer employed by Labaton) or their 2022 hourly rate.</p> |
|---|--|

TIMEKEEPER	1	2	3	4	5	6	7	8	9	10	11	TOTAL SUM OF HOURS	HISTORICAL RATE	LODESTAR AT HISTORICAL RATE	CURRENT RATE*	LODESTAR AT CURRENT RATE*
October 2022												0	\$450	\$0.00	\$450	\$0.00
<b>GRAND TOTAL</b>	<b>366.4</b>	<b>2230.6</b>	<b>7.8</b>	<b>0</b>	<b>1.2</b>	<b>0</b>	<b>2.8</b>	<b>49.0</b>	<b>0</b>	<b>0</b>	<b>2.0</b>	<b>2659.8</b>		<b>\$1,193,253.50</b>		<b>\$1,251,663.00</b>
<b>LODESTAR AT HISTORIC RATE</b>	\$161,777.50	\$969,701.00	\$7,605.00		\$1,170.00		\$1,895.00	\$49,817.50			\$1,287.50			<b>\$1,193,253.50</b>		
<b>LODESTAR AT CURRENT RATE*</b>	\$181,940.00	\$1,001,718.00	\$8,190.00		\$1,260.00		\$2,060.00	\$55,135.00			\$1,360.00					<b>\$1,251,663.00</b>

- (P) Partner
- (OC) Of Counsel
- (A) Associate
- (SA) Staff Attorney
- (I) Investigator

# **EXHIBIT 5**

EXHIBIT 5

*Hessefort v. Super Micro Computer, Inc., et al.*, No. 4:18-cv-00838-JST

LABATON SUCHAROW LLP  
 Category Lodestar Chart by Month

**Category Codes:**

- 1. Factual Investigation
- 2. Discovery
- 3. Briefs, Pretrial Motions & Legal Research
- 4. Court Appearances
- 5. Initial or Amended Complaint
- 6. Client / Shareholder Communications

- 7. Litigation Strategy & Analysis
- 8. Settlement Negotiations, Stipulation, Plan of Allocation
- 9. Appeal
- 10. Trial Preparation
- 11. Case Management

\* All references to “current” rates are to the timekeeper’s most recent rate (for those who are no longer employed by Labaton) or their 2022 hourly rate.

TIME PERIOD	1	2	3	4	5	6	7	8	9	10	11	TOTAL SUM OF HOURS	LODESTAR AT HISTORICAL RATE	LODESTAR AT CURRENT RATE*
June 2018	48.7											48.7	\$20,961.00	\$24,002.50
July 2018	35.6											35.6	\$15,978.00	\$19,970.00
August 2018	82.5											82.5	\$35,467.50	\$38,812.50
September 2018	58.4											58.4	\$25,042.00	\$27,205.00
October 2018	3.3											3.3	\$1,501.50	\$1,897.50
November 2018	44.3											44.3	\$19,251.00	\$21,710.00
December 2018	14.1											14.1	\$6,415.50	\$8,107.50
January 2019	9.4											9.4	\$4,271.50	\$5,392.50
February 2019												0.0		
March 2019	27.6											27.6	\$11,748.00	\$12,495.00
April 2019												0.0		
May 2019	1.3											1.3	\$591.50	\$747.50
June 2019												0.0		
July 2019												0.0		
August 2019												0.0		
September 2019												0.0		
October 2019												0.0		
November 2019												0.0		
December 2019												0.0		
January 2020												0.0		
February 2020												0.0		
March 2020	4.8											4.8	\$2,515.00	\$2,635.00
April 2020	18.1											18.1	\$9,955.00	\$10,407.50
May 2020	0.1											0.1	\$42.50	\$45.00
June 2020												0.0		
July 2020												0.0		
August 2020												0.0		

## EXHIBIT 5

*Hessefort v. Super Micro Computer, Inc., et al.*, No. 4:18-cv-00838-JSTLABATON SUCHAROW LLP  
Category Lodestar Chart by Month

## Category Codes:

1. Factual Investigation
2. Discovery
3. Briefs, Pretrial Motions & Legal Research
4. Court Appearances
5. Initial or Amended Complaint
6. Client / Shareholder Communications

7. Litigation Strategy & Analysis
8. Settlement Negotiations, Stipulation, Plan of Allocation
9. Appeal
10. Trial Preparation
11. Case Management

\* All references to "current" rates are to the timekeeper's most recent rate (for those who are no longer employed by Labaton) or their 2022 hourly rate.

TIME PERIOD	1	2	3	4	5	6	7	8	9	10	11	TOTAL SUM OF HOURS	LODESTAR AT HISTORICAL RATE	LODESTAR AT CURRENT RATE*
September 2020												0.0		
October 2020												0.0		
November 2020												0.0		
December 2020												0.0		
January 2021												0.0		
February 2021												0.0		
March 2021					1.2							1.2	\$1,170.00	\$1,260.00
April 2021	0.2	7.7	1.7				0.2					9.8	\$8,337.50	\$9,052.50
May 2021	17.8	22.8					1.8					42.4	\$27,377.50	\$29,447.50
June 2021		9.5										9.5	\$8,962.50	\$9,650.00
July 2021		239.0									1.3	240.3	\$118,762.00	\$124,804.50
August 2021		315.2	4.8									320.0	\$143,576.00	\$150,154.00
September 2021		290.6	1.3					0.4				292.3	\$124,314.50	\$129,572.50
October 2021		285.6					0.3				0.1	286.0	\$120,898.50	\$126,154.00
November 2021		255.2						19.5				274.7	\$122,962.50	\$129,385.50
December 2021		190.4						23.2				213.6	\$100,571.50	\$106,174.00
January 2022	0.2	332.5					0.5	0.5				333.7	\$139,723.50	\$139,723.50
February 2022		281.3						3.7			0.6	285.6	\$120,492.50	\$120,492.50
March 2022		0.8						1.4				2.2	\$2,050.00	\$2,050.00
April 2022												0.0		
May 2022												0.0		
June 2022												0.0		
July 2022								0.1				0.1	\$105.00	\$105.00
August 2022												0.0		
September 2022												0.0		

EXHIBIT 5

*Hessefort v. Super Micro Computer, Inc., et al.*, No. 4:18-cv-00838-JST

LABATON SUCHAROW LLP  
 Category Lodestar Chart by Month

**Category Codes:**

- 1. Factual Investigation
- 2. Discovery
- 3. Briefs, Pretrial Motions & Legal Research
- 4. Court Appearances
- 5. Initial or Amended Complaint
  
- 6. Client / Shareholder Communications

- 7. Litigation Strategy & Analysis
- 8. Settlement Negotiations, Stipulation, Plan of Allocation
- 9. Appeal
- 10. Trial Preparation
- 11. Case Management

\* All references to “current” rates are to the timekeeper’s most recent rate (for those who are no longer employed by Labaton) or their 2022 hourly rate.

TIME PERIOD	1	2	3	4	5	6	7	8	9	10	11	TOTAL SUM OF HOURS	LODESTAR AT HISTORICAL RATE	LODESTAR AT CURRENT RATE*
October 2022								0.2				0.2	\$210.00	\$210.00
<b>GRAND TOTAL</b>	<b>366.4</b>	<b>2230.6</b>	<b>7.8</b>	<b>0.0</b>	<b>1.2</b>	<b>0.0</b>	<b>2.8</b>	<b>49.0</b>	<b>0.0</b>	<b>0.0</b>	<b>2.0</b>	<b>2659.8</b>	<b>\$1,193,253.50</b>	<b>\$1,251,663.00</b>

\* All references to “current” rates are to the timekeeper’s most recent rate (for those who are no longer employed by Labaton) or their 2022 hourly rate.

# **EXHIBIT 6**

EXHIBIT 6

*Hessefort v. Super Micro Computer, Inc., et al.*, No. 4:18-cv-00838-JST

LABATON SUCHAROW LLP  
Category Lodestar Chart by Timekeeper

Category Codes:

- 1. Factual Investigation
- 2. Discovery
- 3. Briefs, Pretrial Motions & Legal Research
- 4. Court Appearances
- 5. Initial or Amended Complaint
- 6. Client / Shareholder Communications

- 7. Litigation Strategy & Analysis
- 8. Settlement Negotiations, Stipulation, Plan of Allocation
- 9. Appeal
- 10. Trial Preparation
- 11. Case Management

\* All references to “current” rates are to the timekeeper’s most recent rate (for those who are no longer employed by Labaton) or their 2022 hourly rate.

TIMEKEEPER	1	2	3	4	5	6	7	8	9	10	11	TOTAL SUM OF HOURS	LODESTAR AT HISTORICAL RATE	LODESTAR AT CURRENT RATE*
Gardner, J. (P)	0.2	0.3					1.0	28.1			0.2	29.8	\$32,930.00	\$37,250.00
Fox, C. (P)		103.3	7.8		1.2			17.9			0.5	130.7	\$127,822.50	\$137,235.00
Cividini, D. (OC)		30.9										30.9	\$20,937.50	\$22,402.50
Saldamando, D. (A)		18.0					1.8				1.3	21.1	\$8,440.00	\$9,495.00
Davis, O. (SA)		1052.1						3.0				1055.1	\$416,172.00	\$427,315.50
Szczesnik, G. (SA)		1024.5										1024.5	\$424,569.00	\$435,412.50
Greenbaum, A (I)	135.2	1.5										136.7	\$64,545.00	\$78,602.50
Clark, J. (I)	31.5											31.5	\$13,050.00	\$14,175.00
Wroblewski, R. (I)	199.5											199.5	\$84,787.50	\$89,775.00
<b>TOTAL</b>	<b>366.4</b>	<b>2230.6</b>	<b>7.8</b>	<b>0.0</b>	<b>1.2</b>	<b>0.0</b>	<b>2.8</b>	<b>49.0</b>	<b>0.0</b>	<b>0.0</b>	<b>2.0</b>	<b>2659.8</b>	<b>\$1,193,253.50</b>	<b>\$1,251,663.00</b>

- (P) Partner
- (OC) Of Counsel
- (A) Associate
- (SA) Staff Attorney
- (I) Investigator

# **EXHIBIT 7**

**EXHIBIT 7***Hessefort v. Super Micro Computer, Inc., et al.*, No. 4:18-cv-00838-JST**LABATON SUCHAROW LLP****SUMMARY OF EXPENSES**

<b>CATEGORY</b>		<b>AMOUNT</b>
Expert Fees – Damages/Loss Causation		\$4,000.00
Long Distance Telephone & Conference Calling		\$117.27
On-Line Legal & Factual Research		\$6,917.89
Outside Duplicating		\$300.00
Photocopying & Printing		\$1,362.20
In-House Black and White Pages: (3,799 pages at \$0.20 per page)	\$759.80	
In-House Color Pages: (1,506 pages at \$0.40 per page)	\$602.40	
<b>TOTAL:</b>		<b>\$12,697.36</b>

# **EXHIBIT 8**

**EXHIBIT 8***Hessefort v. Super Micro Computer, Inc., et al.*, No. 4:18-cv-00838-JST**LABATON SUCHAROW LLP****EXPENSE DETAIL**

<b>DATE</b>	<b>DESCRIPTION</b>	<b>TOTAL</b>	<b>CATEGORY</b>
02/28/20	FINANCIAL MARKETS ANALYSIS, LLC: SUPER MICRO COMP (017329.0001) - FINANCIAL MARKETS ANALYSIS, LLC INV# 200013, 2/11/20, DAMAGES EVALUATION	4,000.00	Expert Work
06/07/18	PRINTING - IN HOUSE	3.60	In-House Black and White Pages: \$0.20 per page
06/08/18	PRINTING - IN HOUSE	4.00	In-House Black and White Pages: \$0.20 per page
06/11/18	PRINTING - IN HOUSE	2.20	In-House Black and White Pages: \$0.20 per page
06/11/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
06/15/18	PRINTING - IN HOUSE	3.60	In-House Black and White Pages: \$0.20 per page
06/15/18	PRINTING - IN HOUSE	1.20	In-House Black and White Pages: \$0.20 per page
06/19/18	PRINTING - IN HOUSE	2.40	In-House Black and White Pages: \$0.20 per page
06/19/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
06/20/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
06/21/18	PRINTING - IN HOUSE	1.20	In-House Black and White Pages: \$0.20 per page
06/21/18	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page
06/22/18	PRINTING - IN HOUSE	1.60	In-House Black and White Pages: \$0.20 per page
06/25/18	PRINTING - IN HOUSE	4.60	In-House Black and White Pages: \$0.20 per page
06/25/18	PRINTING - IN HOUSE	1.20	In-House Black and White Pages: \$0.20 per page
06/28/18	PRINTING - IN HOUSE	3.00	In-House Black and White Pages: \$0.20 per page
07/05/18	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
07/05/18	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
07/05/18	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page

DATE	DESCRIPTION	TOTAL	CATEGORY
07/06/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
07/06/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
07/06/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
07/06/18	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
07/09/18	PRINTING - IN HOUSE	1.20	In-House Black and White Pages: \$0.20 per page
07/09/18	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
07/09/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
07/09/18	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
07/11/18	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page
07/11/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
07/13/18	PRINTING - IN HOUSE	3.60	In-House Black and White Pages: \$0.20 per page
07/18/18	PRINTING - IN HOUSE	1.60	In-House Black and White Pages: \$0.20 per page
07/18/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
07/27/18	PRINTING - IN HOUSE	2.80	In-House Black and White Pages: \$0.20 per page
07/30/18	PRINTING - IN HOUSE	11.00	In-House Black and White Pages: \$0.20 per page
07/30/18	PRINTING - IN HOUSE	9.80	In-House Black and White Pages: \$0.20 per page
07/30/18	PRINTING - IN HOUSE	8.40	In-House Black and White Pages: \$0.20 per page
07/30/18	PRINTING - IN HOUSE	6.40	In-House Black and White Pages: \$0.20 per page
07/30/18	PRINTING - IN HOUSE	5.00	In-House Black and White Pages: \$0.20 per page
07/30/18	PRINTING - IN HOUSE	3.00	In-House Black and White Pages: \$0.20 per page
07/31/18	PRINTING - IN HOUSE	5.60	In-House Black and White Pages: \$0.20 per page
07/31/18	PRINTING - IN HOUSE	4.80	In-House Black and White Pages: \$0.20 per page
07/31/18	PRINTING - IN HOUSE	3.80	In-House Black and White Pages: \$0.20 per page
07/31/18	PRINTING - IN HOUSE	3.40	In-House Black and White Pages: \$0.20 per page
07/31/18	PRINTING - IN HOUSE	3.40	In-House Black and White Pages: \$0.20 per page
07/31/18	PRINTING - IN HOUSE	3.20	In-House Black and White Pages: \$0.20 per page
07/31/18	PRINTING - IN HOUSE	3.20	In-House Black and White Pages: \$0.20 per page
07/31/18	PRINTING - IN HOUSE	2.60	In-House Black and White Pages: \$0.20 per page
07/31/18	PRINTING - IN HOUSE	2.00	In-House Black and White Pages: \$0.20 per page

DATE	DESCRIPTION	TOTAL	CATEGORY
08/03/18	PRINTING - IN HOUSE	1.60	In-House Black and White Pages: \$0.20 per page
08/09/18	PRINTING - IN HOUSE	1.20	In-House Black and White Pages: \$0.20 per page
08/09/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
08/09/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
08/13/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
08/14/18	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page
08/14/18	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
08/14/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
08/14/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
08/14/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
08/14/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
08/14/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
08/14/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
08/14/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
08/14/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
08/15/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
08/22/18	PRINTING - IN HOUSE	47.40	In-House Black and White Pages: \$0.20 per page
08/22/18	PRINTING - IN HOUSE	1.40	In-House Black and White Pages: \$0.20 per page
08/22/18	PRINTING - IN HOUSE	1.20	In-House Black and White Pages: \$0.20 per page
08/22/18	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
08/22/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
08/22/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
08/22/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
08/22/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
08/24/18	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page
08/24/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
08/24/18	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
08/24/18	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
08/27/18	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page

DATE	DESCRIPTION	TOTAL	CATEGORY
08/27/18	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page
08/27/18	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page
08/27/18	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
08/27/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
08/27/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
09/06/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
09/06/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
09/07/18	PRINTING - IN HOUSE	3.20	In-House Black and White Pages: \$0.20 per page
09/07/18	PRINTING - IN HOUSE	1.60	In-House Black and White Pages: \$0.20 per page
09/07/18	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page
09/07/18	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page
09/07/18	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
09/11/18	PRINTING - IN HOUSE	2.60	In-House Black and White Pages: \$0.20 per page
09/11/18	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
09/11/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
09/11/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
09/11/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
09/11/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
09/11/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
09/11/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
09/11/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
09/11/18	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
09/11/18	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
09/14/18	PRINTING - IN HOUSE	2.20	In-House Black and White Pages: \$0.20 per page
09/16/18	PRINTING - IN HOUSE	10.20	In-House Black and White Pages: \$0.20 per page
09/17/18	PRINTING - IN HOUSE	10.20	In-House Black and White Pages: \$0.20 per page
09/17/18	PRINTING - IN HOUSE	10.20	In-House Black and White Pages: \$0.20 per page
09/18/18	PRINTING - IN HOUSE	4.40	In-House Black and White Pages: \$0.20 per page

DATE	DESCRIPTION	TOTAL	CATEGORY
09/18/18	PRINTING - IN HOUSE	1.60	In-House Black and White Pages: \$0.20 per page
09/18/18	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page
09/18/18	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
09/18/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
09/18/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
09/24/18	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page
09/25/18	PRINTING - IN HOUSE	11.00	In-House Black and White Pages: \$0.20 per page
09/25/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
09/25/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
09/26/18	PRINTING - IN HOUSE	4.20	In-House Black and White Pages: \$0.20 per page
09/27/18	PRINTING - IN HOUSE	5.00	In-House Black and White Pages: \$0.20 per page
09/28/18	PRINTING - IN HOUSE	1.60	In-House Black and White Pages: \$0.20 per page
10/01/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
10/01/18	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
10/05/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
10/09/18	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
10/10/18	DUPLICATING IN-HOUSE	3.60	In-House Black and White Pages: \$0.20 per page
10/10/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
10/11/18	PRINTING - IN HOUSE	10.20	In-House Black and White Pages: \$0.20 per page
10/11/18	PRINTING - IN HOUSE	3.40	In-House Black and White Pages: \$0.20 per page
10/11/18	PRINTING - IN HOUSE	1.60	In-House Black and White Pages: \$0.20 per page
10/11/18	PRINTING - IN HOUSE	1.40	In-House Black and White Pages: \$0.20 per page
10/11/18	PRINTING - IN HOUSE	1.40	In-House Black and White Pages: \$0.20 per page
10/11/18	PRINTING - IN HOUSE	1.20	In-House Black and White Pages: \$0.20 per page
10/11/18	PRINTING - IN HOUSE	1.20	In-House Black and White Pages: \$0.20 per page
10/11/18	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
10/15/18	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
11/05/18	PRINTING - IN HOUSE	1.20	In-House Black and White Pages: \$0.20 per page
11/05/18	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page

DATE	DESCRIPTION	TOTAL	CATEGORY
11/15/18	PRINTING - IN HOUSE	1.20	In-House Black and White Pages: \$0.20 per page
11/15/18	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
11/15/18	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
11/15/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
11/15/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
11/15/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
11/15/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
11/15/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
11/15/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
11/15/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
11/15/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
11/15/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
11/15/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
11/16/18	PRINTING - IN HOUSE	5.20	In-House Black and White Pages: \$0.20 per page
11/16/18	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page
11/27/18	PRINTING - IN HOUSE	11.00	In-House Black and White Pages: \$0.20 per page
12/04/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
12/05/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
12/05/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
12/06/18	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page
12/06/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
12/06/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
12/10/18	PRINTING - IN HOUSE	1.20	In-House Black and White Pages: \$0.20 per page
12/10/18	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page
12/10/18	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
12/11/18	PRINTING - IN HOUSE	7.00	In-House Black and White Pages: \$0.20 per page
12/11/18	PRINTING - IN HOUSE	1.60	In-House Black and White Pages: \$0.20 per page
12/11/18	PRINTING - IN HOUSE	1.20	In-House Black and White Pages: \$0.20 per page
12/11/18	PRINTING - IN HOUSE	1.20	In-House Black and White Pages: \$0.20 per page

DATE	DESCRIPTION	TOTAL	CATEGORY
12/11/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
12/11/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
12/11/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
12/11/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
12/11/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
12/11/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
12/11/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
12/13/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
12/13/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
12/17/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
12/18/18	PRINTING - IN HOUSE	1.20	In-House Black and White Pages: \$0.20 per page
12/18/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
12/18/18	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
12/18/18	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
01/02/19	PRINTING - IN HOUSE	1.60	In-House Black and White Pages: \$0.20 per page
01/02/19	PRINTING - IN HOUSE	1.20	In-House Black and White Pages: \$0.20 per page
01/02/19	PRINTING - IN HOUSE	1.20	In-House Black and White Pages: \$0.20 per page
01/02/19	PRINTING - IN HOUSE	1.20	In-House Black and White Pages: \$0.20 per page
01/02/19	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page
01/02/19	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page
01/02/19	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page
01/02/19	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page
01/02/19	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page
01/02/19	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
01/02/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
01/02/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
01/02/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
01/02/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
01/02/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page





DATE	DESCRIPTION	TOTAL	CATEGORY
01/03/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/03/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/03/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/03/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/03/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/03/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/03/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/07/19	PRINTING - IN HOUSE	2.60	In-House Black and White Pages: \$0.20 per page
01/07/19	PRINTING - IN HOUSE	1.20	In-House Black and White Pages: \$0.20 per page
01/07/19	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page
01/07/19	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page
01/07/19	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
01/07/19	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
01/07/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
01/07/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
01/07/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/07/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/07/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/07/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/07/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/07/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/07/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/07/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/07/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/08/19	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	1.80	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	1.40	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page

DATE	DESCRIPTION	TOTAL	CATEGORY
01/09/19	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/09/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/15/19	PRINTING - IN HOUSE	13.80	In-House Black and White Pages: \$0.20 per page
01/16/19	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page

DATE	DESCRIPTION	TOTAL	CATEGORY
01/16/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
01/16/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
01/16/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
01/16/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
01/16/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
01/17/19	PRINTING - IN HOUSE	12.60	In-House Black and White Pages: \$0.20 per page
01/17/19	PRINTING - IN HOUSE	7.20	In-House Black and White Pages: \$0.20 per page
01/17/19	PRINTING - IN HOUSE	2.40	In-House Black and White Pages: \$0.20 per page
01/17/19	PRINTING - IN HOUSE	1.40	In-House Black and White Pages: \$0.20 per page
01/17/19	PRINTING - IN HOUSE	1.20	In-House Black and White Pages: \$0.20 per page
01/17/19	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page
01/17/19	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
01/17/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
01/17/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
01/17/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
01/17/19	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
01/17/19	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
01/17/19	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
01/18/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
01/22/19	PRINTING - IN HOUSE	14.60	In-House Black and White Pages: \$0.20 per page
01/23/19	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page
01/23/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
03/11/19	PRINTING - IN HOUSE	6.80	In-House Black and White Pages: \$0.20 per page
03/11/19	PRINTING - IN HOUSE	6.20	In-House Black and White Pages: \$0.20 per page
03/11/19	PRINTING - IN HOUSE	5.40	In-House Black and White Pages: \$0.20 per page
03/11/19	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
03/12/19	PRINTING - IN HOUSE	6.80	In-House Black and White Pages: \$0.20 per page
03/12/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page

DATE	DESCRIPTION	TOTAL	CATEGORY
04/03/19	PRINTING - IN HOUSE	14.00	In-House Black and White Pages: \$0.20 per page
04/03/19	PRINTING - IN HOUSE	6.80	In-House Black and White Pages: \$0.20 per page
04/04/19	PRINTING - IN HOUSE	1.40	In-House Black and White Pages: \$0.20 per page
04/04/19	PRINTING - IN HOUSE	1.40	In-House Black and White Pages: \$0.20 per page
04/04/19	PRINTING - IN HOUSE	1.20	In-House Black and White Pages: \$0.20 per page
04/04/19	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
04/04/19	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
04/04/19	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
04/04/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
04/04/19	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
04/04/19	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
04/04/19	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
04/05/19	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
04/09/19	PRINTING - IN HOUSE	3.00	In-House Black and White Pages: \$0.20 per page
04/09/19	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
04/12/19	PRINTING - IN HOUSE	5.60	In-House Black and White Pages: \$0.20 per page
05/17/19	PRINTING - IN HOUSE	56.20	In-House Black and White Pages: \$0.20 per page
05/17/19	PRINTING - IN HOUSE	5.60	In-House Black and White Pages: \$0.20 per page
05/17/19	PRINTING - IN HOUSE	5.20	In-House Black and White Pages: \$0.20 per page
05/17/19	PRINTING - IN HOUSE	1.60	In-House Black and White Pages: \$0.20 per page
05/17/19	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page
05/17/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
05/17/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
05/17/19	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
05/17/19	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
05/17/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
05/17/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
05/20/19	PRINTING - IN HOUSE	6.00	In-House Black and White Pages: \$0.20 per page
05/20/19	PRINTING - IN HOUSE	3.20	In-House Black and White Pages: \$0.20 per page

DATE	DESCRIPTION	TOTAL	CATEGORY
05/20/19	PRINTING - IN HOUSE	2.80	In-House Black and White Pages: \$0.20 per page
05/20/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
05/20/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
05/20/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
05/20/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
05/20/19	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
05/20/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
05/20/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
05/21/19	PRINTING - IN HOUSE	1.00	In-House Black and White Pages: \$0.20 per page
05/21/19	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
05/21/19	PRINTING - IN HOUSE	0.60	In-House Black and White Pages: \$0.20 per page
05/21/19	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
05/22/19	PRINTING - IN HOUSE	3.00	In-House Black and White Pages: \$0.20 per page
05/22/19	PRINTING - IN HOUSE	1.60	In-House Black and White Pages: \$0.20 per page
05/22/19	PRINTING - IN HOUSE	0.40	In-House Black and White Pages: \$0.20 per page
05/22/19	PRINTING - IN HOUSE	0.20	In-House Black and White Pages: \$0.20 per page
08/05/19	PRINTING - IN HOUSE	6.80	In-House Black and White Pages: \$0.20 per page
08/16/19	PRINTING - IN HOUSE	20.00	In-House Black and White Pages: \$0.20 per page
08/16/19	PRINTING - IN HOUSE	8.60	In-House Black and White Pages: \$0.20 per page
08/16/19	PRINTING - IN HOUSE	6.80	In-House Black and White Pages: \$0.20 per page
08/19/19	PRINTING - IN HOUSE	20.00	In-House Black and White Pages: \$0.20 per page
08/19/19	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
08/19/19	PRINTING - IN HOUSE	0.80	In-House Black and White Pages: \$0.20 per page
08/21/19	PRINTING - IN HOUSE	1.20	In-House Black and White Pages: \$0.20 per page
08/21/19	PRINTING - IN HOUSE	1.20	In-House Black and White Pages: \$0.20 per page
08/27/19	PRINTING - IN HOUSE	8.20	In-House Black and White Pages: \$0.20 per page
08/28/19	PRINTING - IN HOUSE	8.00	In-House Black and White Pages: \$0.20 per page
08/28/19	PRINTING - IN HOUSE	3.80	In-House Black and White Pages: \$0.20 per page
08/28/19	PRINTING - IN HOUSE	2.20	In-House Black and White Pages: \$0.20 per page



DATE	DESCRIPTION	TOTAL	CATEGORY
07/06/18	PRINTING - IN HOUSE (COLOR)	2.80	In-House Color Pages: \$0.40 per page
07/06/18	PRINTING - IN HOUSE (COLOR)	2.80	In-House Color Pages: \$0.40 per page
07/06/18	PRINTING - IN HOUSE (COLOR)	1.60	In-House Color Pages: \$0.40 per page
08/22/18	PRINTING - IN HOUSE (COLOR)	4.80	In-House Color Pages: \$0.40 per page
08/22/18	PRINTING - IN HOUSE (COLOR)	0.80	In-House Color Pages: \$0.40 per page
08/22/18	PRINTING - IN HOUSE (COLOR)	0.40	In-House Color Pages: \$0.40 per page
08/24/18	PRINTING - IN HOUSE (COLOR)	5.20	In-House Color Pages: \$0.40 per page
09/07/18	PRINTING - IN HOUSE (COLOR)	6.40	In-House Color Pages: \$0.40 per page
09/07/18	PRINTING - IN HOUSE (COLOR)	1.20	In-House Color Pages: \$0.40 per page
09/11/18	PRINTING - IN HOUSE (COLOR)	13.20	In-House Color Pages: \$0.40 per page
09/17/18	PRINTING - IN HOUSE (COLOR)	20.40	In-House Color Pages: \$0.40 per page
10/10/18	PRINTING - IN HOUSE (COLOR)	13.60	In-House Color Pages: \$0.40 per page
10/12/18	PRINTING - IN HOUSE (COLOR)	25.20	In-House Color Pages: \$0.40 per page
10/12/18	PRINTING - IN HOUSE (COLOR)	1.20	In-House Color Pages: \$0.40 per page
11/16/18	PRINTING - IN HOUSE (COLOR)	5.20	In-House Color Pages: \$0.40 per page
01/15/19	PRINTING - IN HOUSE (COLOR)	28.40	In-House Color Pages: \$0.40 per page
01/15/19	PRINTING - IN HOUSE (COLOR)	28.40	In-House Color Pages: \$0.40 per page
01/23/19	PRINTING - IN HOUSE (COLOR)	28.00	In-House Color Pages: \$0.40 per page
04/09/19	PRINTING - IN HOUSE (COLOR)	7.60	In-House Color Pages: \$0.40 per page
04/16/19	PRINTING - IN HOUSE (COLOR)	208.80	In-House Color Pages: \$0.40 per page
04/16/19	PRINTING - IN HOUSE (COLOR)	75.60	In-House Color Pages: \$0.40 per page
04/16/19	PRINTING - IN HOUSE (COLOR)	14.00	In-House Color Pages: \$0.40 per page
05/17/19	PRINTING - IN HOUSE (COLOR)	6.80	In-House Color Pages: \$0.40 per page
05/17/19	PRINTING - IN HOUSE (COLOR)	5.60	In-House Color Pages: \$0.40 per page
05/17/19	PRINTING - IN HOUSE (COLOR)	5.60	In-House Color Pages: \$0.40 per page
05/17/19	PRINTING - IN HOUSE (COLOR)	5.60	In-House Color Pages: \$0.40 per page
05/20/19	PRINTING - IN HOUSE (COLOR)	5.60	In-House Color Pages: \$0.40 per page
05/20/19	PRINTING - IN HOUSE (COLOR)	5.20	In-House Color Pages: \$0.40 per page
06/18/18	14152884545 San FranCA TELEPHONE	0.30	Long Distance Telephone & Conference Calling

DATE	DESCRIPTION	TOTAL	CATEGORY
06/20/18	15129703721 Austin TX TELEPHONE	0.10	Long Distance Telephone & Conference Calling
06/20/18	17706559593 Atlanta GA TELEPHONE	0.10	Long Distance Telephone & Conference Calling
06/21/18	15129703721 Austin TX TELEPHONE	0.10	Long Distance Telephone & Conference Calling
07/05/18	19194428992 Chapel HNC TELEPHONE	0.20	Long Distance Telephone & Conference Calling
07/05/18	14089310260 San JoseCA TELEPHONE	0.10	Long Distance Telephone & Conference Calling
07/11/18	19194428992 Chapel HNC TELEPHONE	0.10	Long Distance Telephone & Conference Calling
07/12/18	19194428992 Chapel HNC TELEPHONE	0.10	Long Distance Telephone & Conference Calling
07/13/18	19257887920 Walnut CCA TELEPHONE	0.50	Long Distance Telephone & Conference Calling
07/13/18	19257887920 Walnut CCA TELEPHONE	0.10	Long Distance Telephone & Conference Calling
07/16/18	12133755601 Los AngeCA TELEPHONE	0.10	Long Distance Telephone & Conference Calling
07/17/18	17604481887 CarlsbadCA TELEPHONE	0.90	Long Distance Telephone & Conference Calling
07/19/18	19092724471 ArrowheaCA TELEPHONE	0.20	Long Distance Telephone & Conference Calling
07/23/18	01185225263071 Hong Kon TELEPHONE	10.64	Long Distance Telephone & Conference Calling
07/30/18	14152884545 San FranCA TELEPHONE	0.30	Long Distance Telephone & Conference Calling
07/30/18	14086818929 San MartCA TELEPHONE	0.20	Long Distance Telephone & Conference Calling
07/30/18	14086818929 San MartCA TELEPHONE	0.10	Long Distance Telephone & Conference Calling
07/30/18	14086818929 San MartCA TELEPHONE	0.10	Long Distance Telephone & Conference Calling
08/09/18	14084996945 San JoseCA TELEPHONE	1.10	Long Distance Telephone & Conference Calling
08/14/18	14083068134 SunnyvalCA TELEPHONE	0.10	Long Distance Telephone & Conference Calling
09/04/18	16509229704 San BrunCA TELEPHONE	0.60	Long Distance Telephone & Conference Calling
09/04/18	19255499398 San RamoCA TELEPHONE	0.20	Long Distance Telephone & Conference Calling
09/04/18	19089791402 HackettsNJ TELEPHONE	0.10	Long Distance Telephone & Conference Calling
09/04/18	14086818929 San MartCA TELEPHONE	0.10	Long Distance Telephone & Conference Calling
09/06/18	16046383920 VancouveBC TELEPHONE	0.30	Long Distance Telephone & Conference Calling
09/06/18	16262037241 Arcadia CA TELEPHONE	0.10	Long Distance Telephone & Conference Calling
09/06/18	19255499398 San RamoCA TELEPHONE	0.10	Long Distance Telephone & Conference Calling
09/06/18	16263218439 Arcadia CA TELEPHONE	0.10	Long Distance Telephone & Conference Calling
09/06/18	19255499398 San RamoCA TELEPHONE	0.10	Long Distance Telephone & Conference Calling

DATE	DESCRIPTION	TOTAL	CATEGORY
09/26/18	SOUNDPATH CONFERENCING: SOUNDPATH INV# 2129070700-081218, 08/12/2018, 17329.0001 - CONFERENCE CALL HOSTED BY Amy Greenbaum ON 08/09/2018 ; 4 PARTICIPANTS	6.79	Long Distance Telephone & Conference Calling
10/02/18	SOUNDPATH CONFERENCING: SOUNDPATH INV# 2029070700-0171218, 07/12/2018, 17329.0001 - CONFERENCE CALL HOSTED BY Theodore Hawkins ON 06/21/2018 ; 2 PARTICIPANTS	6.28	Long Distance Telephone & Conference Calling
11/05/18	14152884545 San FranCA TELEPHONE	1.70	Long Distance Telephone & Conference Calling
11/19/18	14152884545 San FranCA TELEPHONE	1.20	Long Distance Telephone & Conference Calling
11/27/18	12069201294 Seattle WA TELEPHONE	0.20	Long Distance Telephone & Conference Calling
11/27/18	14152884545 San FranCA TELEPHONE	0.20	Long Distance Telephone & Conference Calling
11/28/18	12063537599 Seattle WA TELEPHONE	2.80	Long Distance Telephone & Conference Calling
12/04/18	14087176000 San JoseCA TELEPHONE	0.10	Long Distance Telephone & Conference Calling
12/11/18	19255233435 PleasantCA TELEPHONE	0.10	Long Distance Telephone & Conference Calling
12/20/18	SOUNDPATH CONFERENCING: SOUNDPATH INV# 2129070700-091218, 09/12/2018, 17329.0001 - CONFERENCE CALL HOSTED BY Christine Fox ON 09/07/2018 ; 5 PARTICIPANTS	16.26	Long Distance Telephone & Conference Calling
12/20/18	SOUNDPATH CONFERENCING: SOUNDPATH INV# 2129070700-101218, 10/12/2018, 17329.0001 - CONFERENCE CALL HOSTED BY Christine Fox ON 10/11/2018 ; 4 PARTICIPANTS	13.82	Long Distance Telephone & Conference Calling
12/20/18	SOUNDPATH CONFERENCING: SOUNDPATH INV# 2129070700-091218, 09/12/2018, 17329.0001 - CONFERENCE CALL HOSTED BY Theodore Hawkins ON 08/22/2018 ; 3 PARTICIPANTS	8.85	Long Distance Telephone & Conference Calling
12/20/18	SOUNDPATH CONFERENCING: SOUNDPATH INV# 2129070700-111218, 11/12/2018, 17329.0001 - CONFERENCE CALL HOSTED BY Theodore Hawkins ON 10/17/2018 ; 5 PARTICIPANTS	5.86	Long Distance Telephone & Conference Calling
12/20/18	SOUNDPATH CONFERENCING: SOUNDPATH INV# 2129070700-111218, 11/12/2018, 17329.0001 - CONFERENCE CALL HOSTED BY Amy Greenbaum ON 10/15/2018 ; 2 PARTICIPANTS	5.43	Long Distance Telephone & Conference Calling
12/20/18	SOUNDPATH CONFERENCING: SOUNDPATH INV# 2129070700-111218, 11/12/2018, 17329.0001 - CONFERENCE CALL HOSTED BY Theodore Hawkins ON 10/17/2018 ; 3 PARTICIPANTS	2.71	Long Distance Telephone & Conference Calling

DATE	DESCRIPTION	TOTAL	CATEGORY
12/20/18	SOUNDPATH CONFERENCING: SOUNDPATH INV# 2129070700-111218, 11/12/2018, 17329.0001 - CONFERENCE CALL HOSTED BY Theodore Hawkins ON 10/17/2018 ; 2 PARTICIPANTS	1.05	Long Distance Telephone & Conference Calling
01/11/19	14152884545 San FranCA TELEPHONE	0.20	Long Distance Telephone & Conference Calling
02/19/19	SOUNDPATH CONFERENCING: SOUNDPATH INV# 2129070700-121218, 12/12/2018, 17329.0001 - CONFERENCE CALL HOSTED BY Theodore Hawkins ON 11/27/2018 ; 4 PARTICIPANTS	15.60	Long Distance Telephone & Conference Calling
03/26/19	SOUNDPATH CONFERENCING: SOUNDPATH INV# 2129070700-021219, 02/12/2019, 17329.0001 - CONFERENCE CALL HOSTED BY Theodore Hawkins ON 01/15/2019 ; 3 PARTICIPANTS	8.78	Long Distance Telephone & Conference Calling
03/27/19	13038613003 Denver CO TELEPHONE	0.40	Long Distance Telephone & Conference Calling
04/03/19	14152884545 San FranCA TELEPHONE	1.00	Long Distance Telephone & Conference Calling
04/03/19	14152884545 San FranCA TELEPHONE	0.40	Long Distance Telephone & Conference Calling
08/08/19	14152884545 San FranCA TELEPHONE	0.40	Long Distance Telephone & Conference Calling
07/18/18	LEXISNEXIS RISK SOLUTIONS: LEXISNEXIS RISK SOLUTIONS INV# 5501031-20180630, 06/30/18, FOR BILLING PERIOD 06/01/18 - 6/30/18	63.96	On-Line Legal & Factual Research
08/14/18	PACER SERVICE CENTER: Inv# 4368844-Q12018- from 04/01/2018 - 06/30/18	8.10	On-Line Legal & Factual Research
08/14/18	PACER SERVICE CENTER: Inv# 4368844-Q12018- from 04/01/2018 - 06/30/18	4.10	On-Line Legal & Factual Research
08/14/18	PACER SERVICE CENTER: Inv# 4368844-Q12018- from 04/01/2018 - 06/30/18	0.60	On-Line Legal & Factual Research
08/29/18	BLOOMBERG BNA: BLOOMBERG BNA INV# 51167819, 7/3/18, JUNE 2018 DOCKET CHARGES	1.63	On-Line Legal & Factual Research
09/04/18	LEXISNEXIS RISK SOLUTIONS: LEXISNEXIS RISK SOLUTIONS INV# 5501031-20180731, 07/31/18, FOR BILLING PERIOD 07/01/18 - 7/31/18	500.00	On-Line Legal & Factual Research
09/17/18	LEXISNEXIS RISK SOLUTIONS: LEXISNEXIS RISK SOLUTIONS INV# 5501031-20180831, 08/31/18, FOR BILLING PERIOD 08/01/18 - 8/31/18	152.20	On-Line Legal & Factual Research

DATE	DESCRIPTION	TOTAL	CATEGORY
09/18/18	AMERICAN EXPRESS: Amy Greenbaum AMEX REP#010027548395-07/30/18 Search Fees/Computer Research: Data research software. - DATAMINER SUBSCRIPTI SEATTLE WA - Inv# CF@A8A6-0007	99.00	On-Line Legal & Factual Research
09/18/18	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 838524749; 07/01/2018; USAGE FOR MONTH OF JUNE; CLIENT/MATTER 17329.0001 BY CARPIO,ALEXANDRA IS \$281.75	281.75	On-Line Legal & Factual Research
09/18/18	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 838524749; 07/01/2018; USAGE FOR MONTH OF JUNE; CLIENT/MATTER 17329.0001 BY GUTIERREZ,KRISTEN IS \$31.81	31.81	On-Line Legal & Factual Research
09/18/18	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 838524749; 07/01/2018; USAGE FOR MONTH OF JUNE; CLIENT/MATTER 17329.0001 BY MALONZO,FRANCISCO IS \$31.28	31.28	On-Line Legal & Factual Research
09/20/18	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 838707772; 8/01/2018; USAGE FOR MONTH OF JULY; CLIENT/MATTER 17329.0001 BY CARPIO,ALEXANDRA IS \$34.2	34.20	On-Line Legal & Factual Research
09/20/18	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 838707772; 8/01/2018; USAGE FOR MONTH OF JULY; CLIENT/MATTER 17329.0001 BY CLARK,JOSEPH J IS \$438.75	438.75	On-Line Legal & Factual Research
09/20/18	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 838707772; 8/01/2018; USAGE FOR MONTH OF JULY; CLIENT/MATTER 17329.0001 BY GUTIERREZ,KRISTEN IS \$72.96	72.96	On-Line Legal & Factual Research
09/20/18	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 838707772; 8/01/2018; USAGE FOR MONTH OF JULY; CLIENT/MATTER 17329.0001 BY MENDELSON,JORDAN IS \$254.21	254.21	On-Line Legal & Factual Research
10/31/18	LEXISNEXIS RISK SOLUTIONS: LEXISNEXIS RISK SOLUTIONS INV# 5501031-20180930, 09/30/18, FOR BILLING PERIOD 09/01/18 - 9/30/18	44.81	On-Line Legal & Factual Research
10/31/18	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 838880354; 09/01/2018; USAGE FOR MONTH OF AUGUST; CLIENT/MATTER 17329.0001 BY CARPIO,ALEXANDRA IS \$22.52	22.52	On-Line Legal & Factual Research

DATE	DESCRIPTION	TOTAL	CATEGORY
10/31/18	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 838880354; 09/01/2018; USAGE FOR MONTH OF AUGUST; CLIENT/MATTER 17329.0001 BY GUTIERREZ,KRISTEN IS \$70.17	70.17	On-Line Legal & Factual Research
10/31/18	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 838880354; 09/01/2018; USAGE FOR MONTH OF AUGUST; CLIENT/MATTER 17329.0001 BY MENDELSON,JORDAN IS \$25.93	25.93	On-Line Legal & Factual Research
10/31/18	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 839060661; 10/01/2018; USAGE FOR MONTH OF SEPTEMBER; CLIENT/MATTER 17329.0001 BY CARPIO,ALEXANDRA IS \$18.43	18.43	On-Line Legal & Factual Research
10/31/18	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 839060661; 10/01/2018; USAGE FOR MONTH OF SEPTEMBER; CLIENT/MATTER 17329.0001 BY GUTIERREZ,KRISTEN IS \$118.77	118.77	On-Line Legal & Factual Research
11/19/18	PACER SERVICE CENTER: Inv# 4368844-Q32018- from 07/01/2018 - 09/30/18	29.50	On-Line Legal & Factual Research
11/19/18	PACER SERVICE CENTER: Inv# 4368844-Q32018- from 07/01/2018 - 09/30/18	2.10	On-Line Legal & Factual Research
11/19/18	PACER SERVICE CENTER: Inv# 4368844-Q32018- from 07/01/2018 - 09/30/18	1.30	On-Line Legal & Factual Research
11/19/18	PACER SERVICE CENTER: Inv# 4368844-Q32018- from 07/01/2018 - 09/30/18	0.50	On-Line Legal & Factual Research
12/19/18	LEXISNEXIS RISK SOLUTIONS: LEXISNEXIS RISK SOLUTIONS INV# 5501031-20181130, 11/30/18, FOR BILLING PERIOD 11/01/18 - 11/30/18	70.32	On-Line Legal & Factual Research
12/19/18	NEW YORK STATE SALES TAX: TAX ON AMEX (GREENBAUM), DATAMINER SUBSCRIPTION 09/18/18	8.79	On-Line Legal & Factual Research
01/11/19	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 839229437; 11/01/2018; USAGE FOR MONTH OF OCTOBER; CLIENT/MATTER 17329.0001 BY CARPIO,ALEXANDRA IS \$41.4	41.40	On-Line Legal & Factual Research
01/11/19	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 839229437; 11/01/2018; USAGE FOR MONTH OF OCTOBER; CLIENT/MATTER 17329.0001 BY GUTIERREZ,KRISTEN IS \$90.89	90.89	On-Line Legal & Factual Research

DATE	DESCRIPTION	TOTAL	CATEGORY
01/11/19	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 839399525; 12/01/2018; USAGE FOR MONTH OF NOVEMBER; CLIENT/MATTER 17329.0001 BY CARPIO,ALEXANDRA IS \$10.95	10.95	On-Line Legal & Factual Research
01/11/19	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 839399525; 12/01/2018; USAGE FOR MONTH OF NOVEMBER; CLIENT/MATTER 17329.0001 BY GUTIERREZ,KRISTEN IS \$71.39	71.39	On-Line Legal & Factual Research
01/11/19	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 839399525; 12/01/2018; USAGE FOR MONTH OF NOVEMBER; CLIENT/MATTER 17329.0001 BY MALONZO,FRANCISCO IS \$10.67	10.67	On-Line Legal & Factual Research
01/17/19	LEXISNEXIS RISK SOLUTIONS: LEXISNEXIS RISK SOLUTIONS INV# 5501031-20181231, 12/31/18, FOR BILLING PERIOD 12/01/18 - 12/31/18	205.41	On-Line Legal & Factual Research
02/19/19	PACER SERVICE CENTER: Inv# 4368844-Q42018- from 10/01/2018 - 12/31/18	14.50	On-Line Legal & Factual Research
02/19/19	PACER SERVICE CENTER: Inv# 4368844-Q42018- from 10/01/2018 - 12/31/18	1.00	On-Line Legal & Factual Research
02/19/19	PACER SERVICE CENTER: Inv# 4368844-Q42018- from 10/01/2018 - 12/31/18	0.70	On-Line Legal & Factual Research
02/26/19	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 839579742; 01/01/2019; USAGE FOR MONTH OF DECEMBER; CLIENT/MATTER 17329.0001 BY GUTIERREZ,KRISTEN IS \$124.99	124.99	On-Line Legal & Factual Research
03/20/19	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 839756884; 02/01/2019; USAGE FOR MONTH OF JANUARY; CLIENT/MATTER 17329.0001 BY GUTIERREZ,KRISTEN IS \$65.84	65.84	On-Line Legal & Factual Research
03/20/19	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 839756884; 02/01/2019; USAGE FOR MONTH OF JANUARY; CLIENT/MATTER 17329.0001 BY MALONZO,FRANCISCO IS \$18.32	18.32	On-Line Legal & Factual Research
03/28/19	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 839927012; 03/01/2019; USAGE FOR MONTH OF	67.44	On-Line Legal & Factual Research

DATE	DESCRIPTION	TOTAL	CATEGORY
	FEBRUARY; CLIENT/MATTER 17329.0001 BY GUTIERREZ,KRISTEN IS \$67.44		
05/10/19	PACER SERVICE CENTER: Inv# 4368844-Q12019- from 01/01/2019 - 03/31/2019	2.80	On-Line Legal & Factual Research
05/10/19	PACER SERVICE CENTER: Inv# 4368844-Q12019- from 01/01/2019 - 03/31/2019	0.80	On-Line Legal & Factual Research
05/17/19	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 840090423; 04/01/2019; USAGE FOR MONTH OF APRIL; CLIENT/MATTER 17329.0001 BY GUTIERREZ,KRISTEN IS \$76.9	76.90	On-Line Legal & Factual Research
05/17/19	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 840090423; 04/01/2019; USAGE FOR MONTH OF APRIL; CLIENT/MATTER 17329.0001 BY HAWKINS,THEODORE IS \$10	10.00	On-Line Legal & Factual Research
06/27/19	RELX INC. DBA LEXIS-NEXIS: LEXISNEXIS INV# 3091800289, 12/31/18, CHARGES FOR BILLING PERIOD 12/1/18 - 12/31/18	39.85	On-Line Legal & Factual Research
07/08/19	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 840259116; 05/01/2019; USAGE FOR MONTH OF APRIL; CLIENT/MATTER 17329.0001 BY GUTIERREZ,KRISTEN IS \$45.81	45.81	On-Line Legal & Factual Research
07/08/19	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 840259116; 05/01/2019; USAGE FOR MONTH OF APRIL; CLIENT/MATTER 17329.0001 BY HAWKINS,THEODORE IS \$62.51	62.51	On-Line Legal & Factual Research
08/06/19	PACER SERVICE CENTER: Inv# 4368844-Q22019- from 04/01/2019 - 06/30/2019	1.70	On-Line Legal & Factual Research
10/03/19	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 840922599; 09/01/2019; USAGE FOR MONTH OF AUGUST; CLIENT/MATTER 17329.0001 BY ACCORDINO,WILLIAM IS \$321.17	321.17	On-Line Legal & Factual Research
10/03/19	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 840922599; 09/01/2019; USAGE FOR MONTH OF AUGUST; CLIENT/MATTER 17329.0001 BY HAWKINS,THEODORE IS \$83.03	83.03	On-Line Legal & Factual Research
11/08/19	PACER SERVICE CENTER: Inv# 4368844-Q32019- from 07/01/2019 - 09/30/2019	26.10	On-Line Legal & Factual Research
11/08/19	PACER SERVICE CENTER: Inv# 4368844-Q32019- from 07/01/2019 - 09/30/2019	2.40	On-Line Legal & Factual Research

DATE	DESCRIPTION	TOTAL	CATEGORY
11/08/19	PACER SERVICE CENTER: Inv# 4368844-Q32019- from 07/01/2019 - 09/30/2019	1.10	On-Line Legal & Factual Research
12/18/19	RELX INC. DBA LEXIS-NEXIS: LEXISNEXIS INV# 3092283323, 10/31/19, CHARGES FOR BILLING PERIOD 10/1/19 - 10/31/19	37.97	On-Line Legal & Factual Research
01/17/20	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 841272209; 11/01/2019; USAGE FOR MONTH OF OCTOBER; CLIENT/MATTER 17329.0001 BY DONLON,NATHAN IS \$48.2	48.20	On-Line Legal & Factual Research
01/17/20	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 841272209; 11/01/2019; USAGE FOR MONTH OF OCTOBER; CLIENT/MATTER 17329.0001 BY MALONZO,FRANCISCO IS \$31.55	31.55	On-Line Legal & Factual Research
02/04/20	PACER SERVICE CENTER: Inv# 4368844-Q42019- from 10/01/2019 - 12/31/2019	13.40	On-Line Legal & Factual Research
02/04/20	PACER SERVICE CENTER: Inv# 4368844-Q42019- from 10/01/2019 - 12/31/2019	9.10	On-Line Legal & Factual Research
02/04/20	PACER SERVICE CENTER: Inv# 4368844-Q42019- from 10/01/2019 - 12/31/2019	1.50	On-Line Legal & Factual Research
05/01/20	LEXISNEXIS RISK SOLUTIONS: LEXISNEXIS RISK SOLUTIONS INV# 5501031-20200331, 3/31/20, FOR BILLING PERIOD 03/01/20 - 03/31/20; PAID WITH R. POLITANO AMEX ON 4/29/20	111.54	On-Line Legal & Factual Research
05/08/20	PACER SERVICE CENTER: Inv# 4368844-Q12020- from 01/01/2020- 03/31/2020	2.40	On-Line Legal & Factual Research
05/08/20	PACER SERVICE CENTER: Inv# 4368844-Q12020- from 01/01/2020- 03/31/2020	0.10	On-Line Legal & Factual Research
05/14/20	LEXISNEXIS RISK SOLUTIONS: LEXISNEXIS RISK SOLUTIONS INV# 5501031-20200430, 4/30/20, FOR BILLING PERIOD 04/01/20 - 04/30/20	325.22	On-Line Legal & Factual Research
05/22/20	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 841972647; 03/01/2020; USAGE FOR MONTH OF FEBRUARY; CLIENT/MATTER 17329.0001 BY FARRELL,CHARLES IS \$57.72	57.72	On-Line Legal & Factual Research
07/10/20	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 842132272; 04/01/2020; USAGE FOR MONTH OF MARCH; CLIENT/MATTER 17329.0001 BY STROOCK,AARON IS \$359.57	359.57	On-Line Legal & Factual Research

DATE	DESCRIPTION	TOTAL	CATEGORY
07/10/20	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 842296808; 05/01/2020; USAGE FOR MONTH OF APRIL; CLIENT/MATTER 17329.0001 BY GREENBAUM,AMY N IS \$152.19	152.19	On-Line Legal & Factual Research
08/13/20	RELX INC. DBA LEXIS-NEXIS: LEXISNEXIS INV# 3092764809, 7/31/20, CHARGES FOR BILLING PERIOD 7/1/20 - 7/31/20	37.43	On-Line Legal & Factual Research
09/17/20	PACER SERVICE CENTER: Inv# 4368844-Q22020- from 04/01/2020- 06/30/2020	1.30	On-Line Legal & Factual Research
09/17/20	PACER SERVICE CENTER: Inv# 4368844-Q22020- from 04/01/2020- 06/30/2020	0.30	On-Line Legal & Factual Research
09/17/20	PACER SERVICE CENTER: Inv# 4368844-Q22020- from 04/01/2020- 06/30/2020	0.10	On-Line Legal & Factual Research
09/17/20	PACER SERVICE CENTER: Inv# 4368844-Q22020- from 04/01/2020- 06/30/2020; TIMEKEEPER INACTIVE 0751	0.30	On-Line Legal & Factual Research
09/24/20	REFINITIV US LLC: REFINITIV US LLC INV# 50330408, 8/11/20, INVESTEXT ON DEMAND 7/1/20 - 7/31/20	87.09	On-Line Legal & Factual Research
10/08/20	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 842793368; 08/01/2020; USAGE FOR MONTH OF JULY; CLIENT/MATTER 17329.0001 BY DONLON,NATHAN IS \$23.3	23.30	On-Line Legal & Factual Research
10/08/20	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 842793368; 08/01/2020; USAGE FOR MONTH OF JULY; CLIENT/MATTER 17329.0001 BY HAWKINS,THEODORE IS \$152.15	152.15	On-Line Legal & Factual Research
10/15/20	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 842964661; 09/01/2020; USAGE FOR MONTH OF AUGUST; CLIENT/MATTER 17329.0001 BY MALONZO,FRANCISCO IS \$10.2	10.20	On-Line Legal & Factual Research
12/17/20	PACER SERVICE CENTER: Inv# 4368844-Q32020- from 07/01/2020- 9/30/2020	1.50	On-Line Legal & Factual Research
12/17/20	PACER SERVICE CENTER: Inv# 4368844-Q32020- from 07/01/2020- 9/30/2020; TIMEKEEPER INACTIVE 1660	6.40	On-Line Legal & Factual Research
03/18/21	PACER SERVICE CENTER: Inv# 4368844-Q42020- from 10/01/2020- 12/31/2020; timekeeper inactive 1660	1.50	On-Line Legal & Factual Research
04/29/21	PACER SERVICE CENTER: Inv# 4368844-Q12021- from 01/01/2020- 03/31/2021; timekeeper inactive 1660	0.10	On-Line Legal & Factual Research

DATE	DESCRIPTION	TOTAL	CATEGORY
05/07/21	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 844334965; 05/01/2021; USAGE FOR MONTH OF APRIL; CLIENT/MATTER 17329.0001 BY FOX,CHRISTINE IS \$37.72	37.72	On-Line Legal & Factual Research
07/12/21	LEXISNEXIS RISK SOLUTIONS: LEXISNEXIS RISK SOLUTIONS INV# 5501031-20210531, 05/31/21, FOR BILLING PERIOD 5/01/21 - 5/31/21	289.81	On-Line Legal & Factual Research
08/05/21	PACER SERVICE CENTER: Inv# 4368844-Q22021- from 04/01/2020- 06/30/2021 BY cmfox140 Christine Fox (4128938)	5.60	On-Line Legal & Factual Research
08/05/21	PACER SERVICE CENTER: Inv# 4368844-Q22021- from 04/01/2020- 06/30/2021 BY ndonlon140 Nathan Donlon (6706178)	8.00	On-Line Legal & Factual Research
08/05/21	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 844497829; 06/01/2021; USAGE FOR MONTH OF MAY; CLIENT/MATTER 17329.0001 BY CLARK,JOSEPH J IS \$503.84	500.00	On-Line Legal & Factual Research
08/05/21	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 844497829; 06/01/2021; USAGE FOR MONTH OF MAY; CLIENT/MATTER 17329.0001 BY FOX,CHRISTINE IS \$204.63	204.63	On-Line Legal & Factual Research
08/05/21	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 844497829; 06/01/2021; USAGE FOR MONTH OF MAY; CLIENT/MATTER 17329.0001 BY SALDAMANDO,DAVID IS \$18.64	18.64	On-Line Legal & Factual Research
10/26/21	AMERICAN EXPRESS: Amy Greenbaum AMEX REP#010047382946-09/13/21 Search Fees/Computer Research: Research reports - PROBESREPOR 7635950900 MN - Inv# 1955	400.00	On-Line Legal & Factual Research
11/04/21	PACER SERVICE CENTER: Inv# 4368844-Q32021- from 07/01/2020- 09/30/2021	9.80	On-Line Legal & Factual Research
12/09/21	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 845323437; 11/01/2021; USAGE FOR MONTH OF OCTOBER; CLIENT/MATTER 17329.0001 BY MALONZO,FRANCISCO IS \$7.87	7.87	On-Line Legal & Factual Research
12/09/21	THOMSON WEST (THOMSON REUTERS BUSINESS): WESTLAW INV# 845323437; 11/01/2021; USAGE FOR MONTH OF OCTOBER; CLIENT/MATTER 17329.0001 BY SALDAMANDO,DAVID IS \$47.23	47.23	On-Line Legal & Factual Research

<b>DATE</b>	<b>DESCRIPTION</b>	<b>TOTAL</b>	<b>CATEGORY</b>
02/10/22	PACER SERVICE CENTER: Inv# 4368844-Q42021- from 10/01/2020- 12/31/2021	21.80	On-Line Legal & Factual Research
02/10/22	PACER SERVICE CENTER: Inv# 4368844-Q42021- from 10/01/2020- 12/31/2021	7.60	On-Line Legal & Factual Research
06/02/22	PACER SERVICE CENTER: Inv# 4368844-Q12022- from 01/01/22 - 03/31/22	17.40	On-Line Legal & Factual Research
08/12/22	PACER SERVICE CENTER: Inv# 4368844-Q22022- from 04/01/22 - 06/30/22	55.90	On-Line Legal & Factual Research
08/12/22	PACER SERVICE CENTER: Inv# 4368844-Q22022- from 04/01/22 - 06/30/22	5.50	On-Line Legal & Factual Research
12/15/22	PACER SERVICE CENTER: Inv# 4368844-Q32022- from 07/01/22 - 09/30/22	20.70	On-Line Legal & Factual Research
12/15/22	PACER SERVICE CENTER: Inv# 4368844-Q32022- from 07/01/22 - 09/30/22	2.20	On-Line Legal & Factual Research
10/20/20	AMERICAN EXPRESS: Amy Greenbaum AMEX REP#010042959339-09/13/20 Split Copies: Research Reports. – DISCLOSURE INSIGHT FORMERLY PROBESREPOR 7635950900 MN - Inv# Invoice 1920	300.00	Outside Duplicating
<b>TOTAL</b>		<b>\$ 12,697.36</b>	

# **EXHIBIT 9**

# Invoice

**Financial Markets Analysis, LLC**

100 North State Street  
 Newtown, PA 18940

Date	Invoice #
2/11/2020	200013



Bill To:

Labaton Sucharow LLP  
 140 Broadway  
 New York, NY 10005

Project  
 Super Micro Computer, Inc. Sec. Lit.

Date	Rate	Quantity	Description	Amount
9/7/2018	400.00	5	Damages Evaluation [REDACTED]	2,000.00
9/10/2018	400.00	5	Damages Evaluation; [REDACTED]	2,000.00
<b>Total</b>				<b>\$4,000.00</b>

# **EXHIBIT 10**

**Labaton  
Sucharow**

# Labaton Sucharow Credentials

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2023



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## ABOUT THE FIRM

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*Labaton Sucharow has recovered billions of dollars for investors, businesses, and consumers*

Founded in 1963, Labaton Sucharow LLP has earned a reputation as one of the leading plaintiffs' firms in the United States. For more than half a century, Labaton Sucharow has successfully exposed corporate misconduct and recovered billions of dollars in the United States and around the globe on behalf of investors and consumers. Our mission is to continue this legacy and to continue to advance market fairness and transparency in the areas of securities, corporate governance and shareholder rights, and data privacy and cybersecurity litigation, as well as whistleblower representation. Our Firm has recovered significant losses for investors and secured corporate governance reforms on behalf of the nation's largest institutional investors, including public pension, Taft-Hartley, and hedge funds, investment banks, and other financial institutions.

Along with securing newsworthy recoveries, the Firm has a track record for successfully prosecuting complex cases from discovery to trial to verdict. As *Chambers and Partners* has noted, the Firm is "*considered one of the greatest plaintiffs' firms,*" and *The National Law Journal* "Elite Trial Lawyers" recently recognized our attorneys for their "*cutting-edge work on behalf of plaintiffs.*" Our appellate experience includes winning appeals that increased settlement values for clients and securing a landmark U.S. Supreme Court victory in 2013 that benefited all investors by reducing barriers to the certification of securities class action cases.

Our Firm provides global securities portfolio monitoring and advisory services to more than 250 institutional investors, including public pension funds, asset managers, hedge funds, mutual funds, banks, sovereign wealth funds, and multi-employer plans—with collective assets under management (AUM) in excess of \$2.5 trillion. We are equipped to deliver results due to our robust infrastructure of more than 70 full-time attorneys, a dynamic professional staff, and innovative technological resources. Labaton Sucharow attorneys are skilled in every stage of business litigation and have challenged corporations from every sector of the financial market. Our professional staff includes financial analysts, paralegals, e-discovery specialists, certified public accountants, certified fraud examiners, and a forensic accountant. We have one of the largest in-house investigative teams in the securities bar.





**SECURITIES LITIGATION:** As a leader in the securities litigation field, the Firm is a trusted advisor to more than 250 institutional investors with collective assets under management in excess of \$2.5 trillion. Our practice focuses on portfolio monitoring and domestic and international securities litigation for sophisticated institutional investors. Since the passage of the Private Securities Litigation Reform Act of 1995, we have recovered more than \$18 billion in the aggregate. Our success is driven by the Firm's robust infrastructure, which includes one of the largest in-house investigative teams in the plaintiffs' bar.

**CORPORATE GOVERNANCE AND SHAREHOLDER RIGHTS LITIGATION:** Our breadth of experience in shareholder advocacy has also taken us to Delaware, where we press for corporate reform through our Wilmington office. These efforts have already earned us a string of enviable successes, including one of the largest derivative settlements ever achieved in the Court of Chancery, a \$153.75 million settlement on behalf of shareholders in *In re Freeport-McMoRan Copper & Gold Inc. Derivative Litigation*.

**CONSUMER, CYBERSECURITY, AND DATA PRIVACY PRACTICE:** Labaton Sucharow is dedicated to putting our expertise to work on behalf of consumers who have been wronged by fraud in the marketplace. Built on our world-class litigation skills, deep understanding of federal and state rules and regulations, and an unwavering commitment to fairness, our Consumer, Cybersecurity, and Data Privacy Practice focuses on protecting consumers and improving the standards of business conduct through litigation and reform. Our team achieved a historic \$650 million settlement in the *In re Facebook Biometric Information Privacy Litigation* matter—the largest consumer data privacy settlement ever, and one of the first cases asserting biometric privacy rights of consumers under Illinois' Biometric Information Privacy Act (BIPA).

**WHISTLEBLOWER LITIGATION:** Our Whistleblower Representation Practice leverages the Firm's securities litigation expertise to protect and advocate for individuals who report violations of the federal securities laws.

*"Labaton Sucharow is 'superb' and 'at the top of its game.' The Firm's team of 'hard-working lawyers...push themselves to thoroughly investigate the facts' and conduct 'very diligent research.'"*

*– The Legal 500*



## SECURITIES CLASS ACTION LITIGATION

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Labaton Sucharow is a leader in securities litigation and a trusted advisor to more than 250 institutional investors. Since the passage of the Private Securities Litigation Reform Act of 1995 (PSLRA), the Firm has recovered more than \$18 billion in the aggregate for injured investors through securities class actions prosecuted throughout the United States and against numerous public corporations and other corporate wrongdoers.

These notable recoveries would not be possible without our exhaustive case evaluation process. The Firm has developed a proprietary system for portfolio monitoring and reporting on domestic and international securities litigation, and currently provides these services to more than 250 institutional investors, which manage collective assets of more than \$2.5 trillion. The Firm's in-house investigators also gather crucial details to support our cases, whereas other firms rely on outside vendors or fail to conduct any confidential investigation at all.

As a result of our thorough case evaluation process, our securities litigators can focus solely on cases with strong merits. The benefits of our selective approach are reflected in the low dismissal rate of the securities cases we pursue, a rate well below the industry average. Over the past decade, we have successfully prosecuted headline-making class actions against AIG, Bear Stearns, Massey Energy, Schering-Plough, Fannie Mae, Amgen, Facebook, and SCANA, among others.

### NOTABLE SUCCESSES

Labaton Sucharow has achieved notable successes in financial and securities class actions on behalf of investors, including the following:

#### *In re American International Group, Inc. Securities Litigation, No. 04-cv- 8141 (S.D.N.Y.)*

In one of the most complex and challenging securities cases in history, Labaton Sucharow secured more than **\$1 billion** in recoveries on behalf of co-lead plaintiffs Ohio Public Employees Retirement System, State Teachers Retirement System of Ohio, and Ohio Police and Fire Pension Fund in a case arising from allegations of bid rigging and accounting fraud. To achieve this remarkable recovery, the Firm took over 100 depositions and briefed 22 motions to dismiss. The full settlement entailed a \$725 million settlement with American International Group (AIG), \$97.5 million settlement with AIG's auditors, \$115 million settlement with former AIG officers and related defendants, and an additional \$72 million settlement with General Reinsurance Corporation, which was approved by the Second Circuit on September 11, 2013.

#### *In re Countrywide Financial Corp. Securities Litigation, No. 07-cv-05295 (C.D. Cal.)*

Labaton Sucharow, as lead counsel for the New York State Common Retirement Fund and the five New York City public pension funds, sued one of the nation's largest issuers of mortgage loans for credit risk misrepresentations. The Firm's focused investigation and discovery efforts uncovered incriminating evidence that led to a \$624 million settlement for investors. On February 25, 2011,



the court granted final approval to the settlement, which is one of the top 20 securities class action settlements in the history of the PSLRA.

***In re HealthSouth Corp. Securities Litigation, No. 03-cv-01500 (N.D. Ala.)***

Labaton Sucharow served as co-lead counsel to New Mexico State Investment Council in a case stemming from one of the largest frauds ever perpetrated in the healthcare industry. Recovering \$671 million for the class, the settlement is one of the top 15 securities class action settlements of all time. In early 2006, lead plaintiffs negotiated a settlement of \$445 million with defendant HealthSouth. On June 12, 2009, the court also granted final approval to a \$109 million settlement with defendant Ernst & Young LLP. In addition, on July 26, 2010, the court granted final approval to a \$117 million partial settlement with the remaining principal defendants in the case—UBS AG, UBS Warburg LLC, Howard Capek, Benjamin Lorello, and William McGahan.

***In re Schering-Plough/ENHANCE Securities Litigation, No. 08-cv-00397 (D. N.J.)***

As co-lead counsel, Labaton Sucharow obtained a \$473 million settlement on behalf of co-lead plaintiff Massachusetts Pension Reserves Investment Management Board. After five years of litigation, and three weeks before trial, the settlement was approved on October 1, 2013. This recovery is one of the largest securities fraud class action settlements against a pharmaceutical company. The Special Masters' Report noted, "The outstanding result achieved for the class is the direct product of outstanding skill and perseverance by Co-Lead Counsel . . . no one else . . . could have produced the result here—no government agency or corporate litigant to lead the charge and the Settlement Fund is the product solely of the efforts of Plaintiffs' Counsel."

***In re Waste Management, Inc. Securities Litigation, No. H-99-2183 (S.D. Tex.)***

In 2002, the court approved an extraordinary settlement that provided for the recovery of \$457 million in cash, plus an array of far-reaching corporate governance measures. Labaton Sucharow represented lead plaintiff Connecticut Retirement Plans and Trust Funds. At that time, this settlement was the largest common fund settlement of a securities action achieved in any court within the Fifth Circuit and the third largest achieved in any federal court in the nation. Judge Harmon noted, among other things, that Labaton Sucharow "obtained an outstanding result by virtue of the quality of the work and vigorous representation of the class."

***In re General Motors Corp. Securities Litigation, No. 06-cv-1749 (E.D. Mich.)***

As co-lead counsel in a case against automotive giant General Motors (GM) and its auditor Deloitte & Touche LLP (Deloitte), Labaton Sucharow obtained a settlement of \$303 million—one of the largest settlements ever secured in the early stages of a securities fraud case. Lead plaintiff Deka Investment GmbH alleged that GM, its officers, and its outside auditor overstated GM's income by billions of dollars and GM's operating cash flows by tens of billions of dollars, through a series of accounting manipulations. The final settlement, approved on July 21, 2008, consisted of a cash payment of \$277 million by GM and \$26 million in cash from Deloitte.

***Wyatt v. El Paso Corp., No. H-02-2717 (S.D. Tex.)***

Labaton Sucharow secured a \$285 million class action settlement against the El Paso Corporation on behalf of the co-lead plaintiff, an individual. The case involved a securities fraud stemming from



the company's inflated earnings statements, which cost shareholders hundreds of millions of dollars during a four-year span. On March 6, 2007, the court approved the settlement and also commended the efficiency with which the case had been prosecuted, particularly in light of the complexity of the allegations and the legal issues.

***In re Bear Stearns Cos., Inc. Securities, Derivative & ERISA Litigation, No. 08-cv-2793 (S.D.N.Y.)***

Labaton Sucharow served as co-lead counsel, representing lead plaintiff State of Michigan Retirement Systems and the class. The action alleged that Bear Stearns and certain officers and directors made misstatements and omissions in connection with Bear Stearns' financial condition, including losses in the value of its mortgage-backed assets and Bear Stearns' risk profile and liquidity. The action further claimed that Bear Stearns' outside auditor, Deloitte & Touche LLP, made misstatements and omissions in connection with its audits of Bear Stearns' financial statements for fiscal years 2006 and 2007. Our prosecution of this action required us to develop a detailed understanding of the arcane world of packaging and selling subprime mortgages. Our complaint has been called a "tutorial" for plaintiffs and defendants alike in this fast-evolving area. After surviving motions to dismiss, on November 9, 2012, the court granted final approval to settlements with the defendant Bear Stearns for \$275 million and with Deloitte for \$19.9 million.

***In re Massey Energy Co. Securities Litigation, No. 10-CV-00689 (S.D. W.Va.)***

As co-lead counsel representing the Commonwealth of Massachusetts Pension Reserves Investment Trust, Labaton Sucharow achieved a \$265 million all-cash settlement in a case arising from one of the most notorious mining disasters in US history. On June 4, 2014, the settlement was reached with Alpha Natural Resources, Massey's parent company. Investors alleged that Massey falsely told investors it had embarked on safety improvement initiatives and presented a new corporate image following a deadly fire at one of its coalmines in 2006. After another devastating explosion, which killed 29 miners in 2010, Massey's market capitalization dropped by more than \$3 billion. Judge Irene C. Berger noted, "Class counsel has done an expert job of representing all of the class members to reach an excellent resolution and maximize recovery for the class."

***Eastwood Enterprises, LLC v. Farha (WellCare Securities Litigation), No. 07-cv-1940 (M.D. Fla.)***

On behalf of the New Mexico State Investment Council and the Public Employees Retirement Association of New Mexico, Labaton Sucharow served as co-lead counsel and negotiated a \$200 million settlement over allegations that WellCare Health Plans, Inc., a Florida-based healthcare service provider, disguised its profitability by overcharging state Medicaid programs. Further, under the terms of the settlement approved by the court on May 4, 2011, WellCare agreed to pay an additional \$25 million in cash if, at any time in the next three years, WellCare was acquired or otherwise experienced a change in control at a share price of \$30 or more after adjustments for dilution or stock splits.

***In re SCANA Corporation Securities Litigation, No. 17-cv-2616 (D.S.C.)***

Labaton Sucharow served as co-lead counsel in this matter against a regulated electric and natural gas public utility, representing the class and co-lead plaintiff West Virginia Investment Management



Board. The action alleges that for a period of two years, the company and certain of its executives made a series of misstatements and omissions regarding the progress, schedule, costs, and oversight of a key nuclear reactor project in South Carolina. Labaton Sucharow conducted an extensive investigation into the alleged fraud, including by interviewing 69 former SCANA employees and other individuals who worked on the nuclear project. In addition, Labaton Sucharow obtained more than 1,500 documents from South Carolina regulatory agencies, SCANA's state-owned junior partner on the nuclear project, and a South Carolina newspaper, among others, pursuant to the South Carolina Freedom of Information Act (FOIA). This information ultimately provided the foundation for our amended complaint and was relied upon by the Court extensively in its opinion denying defendants' motion to dismiss. In late 2019, we secured a \$192.5 million recovery for investors—the largest securities fraud settlement in the history of the District of South Carolina.

### ***In re Bristol-Myers Squibb Securities Litigation, No. 00-cv-1990 (D.N.J.)***

Labaton Sucharow served as lead counsel representing the lead plaintiff, union-owned LongView Collective Investment Fund of the Amalgamated Bank (LongView), against drug company Bristol-Myers Squibb (BMS). LongView claimed that the company's press release touting its new blood pressure medication, Vanlev, left out critical information—that undisclosed results from the clinical trials indicated that Vanlev appeared to have life-threatening side effects. The FDA expressed serious concerns about these side effects and BMS released a statement that it was withdrawing the drug's FDA application, resulting in the company's stock price falling and losing nearly 30 percent of its value in a single day. After a five-year battle, we won relief on two critical fronts. First, we secured a \$185 million recovery for shareholders, and second, we negotiated major reforms to the company's drug development process that will have a significant impact on consumers and medical professionals across the globe. Due to our advocacy, BMS must now disclose the results of clinical studies on all of its drugs marketed in any country.

### ***In re Fannie Mae 2008 Securities Litigation, No. 08-cv-7831 (S.D.N.Y.)***

As co-lead counsel representing co-lead plaintiff Boston Retirement System, Labaton Sucharow secured a \$170 million settlement on March 3, 2015, with Fannie Mae. The lead plaintiffs alleged that Fannie Mae and certain of its current and former senior officers violated federal securities laws, by making false and misleading statements concerning the company's internal controls and risk management with respect to Alt-A and subprime mortgages. The lead plaintiffs also alleged that defendants made misstatements with respect to Fannie Mae's core capital, deferred tax assets, other-than-temporary losses, and loss reserves. Labaton Sucharow successfully argued that investors' losses were caused by Fannie Mae's misrepresentations and poor risk management, rather than by the financial crisis. This settlement is a significant feat, particularly following the unfavorable result in a similar case involving investors in Fannie Mae's sibling company, Freddie Mac.

### ***In re Broadcom Corp. Class Action Litigation, No. 06-cv-05036 (C.D. Cal.)***

Labaton Sucharow served as lead counsel on behalf of lead plaintiff New Mexico State Investment Council in a case stemming from Broadcom Corp.'s \$2.2 billion restatement of its historic financial statements for 1998-2005. In August 2010, the court granted final approval of a \$160.5 million settlement with Broadcom and two individual defendants to resolve this matter. It is the second largest up-front cash settlement ever recovered from a company accused of options backdating.



Following a Ninth Circuit ruling confirming that outside auditors are subject to the same pleading standards as all other defendants, the district court denied the motion by Broadcom’s auditor, Ernst & Young, to dismiss on the ground of loss causation. This ruling is a major victory for the class and a landmark decision by the court—the first of its kind in a case arising from stock-options backdating. In October 2012, the court approved a \$13 million settlement with Ernst & Young.

***In re Satyam Computer Services Ltd. Securities Litigation, No. 09-md-2027 (S.D.N.Y.)***

Satyam Computer Services Ltd. (Satyam), referred to as “India’s Enron,” engaged in one of the most egregious frauds on record. In a case that rivals the Enron and Bernie Madoff scandals, the Firm represented lead plaintiff UK-based Mineworkers’ Pension Scheme, which alleged that Satyam, related entities, Satyam’s auditors, and certain directors and officers made materially false and misleading statements to the investing public about the company’s earnings and assets, artificially inflating the price of Satyam securities. On September 13, 2011, the court granted final approval to a settlement with Satyam of \$125 million and a settlement with the company’s auditor, PricewaterhouseCoopers, in the amount of \$25.5 million. Judge Barbara S. Jones commended lead counsel during the final approval hearing, noting the “quality of representation[,] which I found to be very high.”

***In re Mercury Interactive Corp. Securities Litigation, No. 05-cv-3395 (N.D. Cal.)***

Labaton Sucharow served as co-lead counsel on behalf of co-lead plaintiff Steamship Trade Association/International Longshoremen’s Association Pension Fund, which alleged that Mercury Interactive Corp. (Mercury) backdated option grants used to compensate employees and officers of the company. Mercury’s former CEO, CFO, and General Counsel actively participated in and benefited from the options backdating scheme, which came at the expense of the company’s shareholders and the investing public. On September 25, 2008, the court granted final approval of the \$117.5 million settlement.

***In Re: CannTrust Holdings Inc. Securities Litigation, No. 1:19-cv-06396-JPO (S.D.N.Y.)***

As U.S. lead counsel, Labaton Sucharow represents lead plaintiffs Granite Point Master Fund, LP; Granite Point Capital; and Scorpion Focused Ideas Fund in this action against CannTrust Holdings Inc., a cannabis company primarily traded on the Toronto Stock Exchange and the New York Stock Exchange. Class actions against the company were commenced in both the U.S. and Canada. The U.S. class action asserts CannTrust made materially false and misleading statements and omissions concerning its compliance with relevant cannabis regulations and an alleged scheme to increase its cannabis production. The parties reached a landmark settlement totaling CA\$129.5 million to resolve claims in both countries. The U.S. settlement was approved on December 2, 2021.

***In re Oppenheimer Champion Fund Securities Fraud Class Actions, No. 09- cv-525 (D. Colo.) and In re Core Bond Fund, No. 09-cv-1186 (D. Colo.)***

Labaton Sucharow served as lead counsel and represented individuals and the proposed class in two related securities class actions brought against Oppenheimer Funds, Inc., among others, and certain officers and trustees of two funds—Oppenheimer Core Bond Fund and Oppenheimer



Champion Income Fund. The lawsuits alleged that the investment policies followed by the funds resulted in investor losses when the funds suffered drops in net asset value although they were presented as safe and conservative investments to consumers. In May 2011, the Firm achieved settlements amounting to \$100 million: \$52.5 million in *In re Oppenheimer Champion Fund Securities Fraud Class Actions* and a \$47.5 million settlement in *In re Core Bond Fund*.

***In re Computer Sciences Corporation Securities Litigation, No. 11-cv-610 (E.D. Va.)***

As lead counsel representing Ontario Teachers' Pension Plan Board, Labaton Sucharow secured a \$97.5 million settlement in this "rocket docket" case involving accounting fraud. The settlement was the third largest all-cash recovery in a securities class action in the Fourth Circuit and the second largest all-cash recovery in such a case in the Eastern District of Virginia. The plaintiffs alleged that IT consulting and outsourcing company, Computer Sciences Corporation (CSC), fraudulently inflated its stock price by misrepresenting and omitting the truth about the state of its most visible contract and the state of its internal controls. In particular, the plaintiffs alleged that CSC assured the market that it was performing on a \$5.4 billion contract with the UK National Health Service when CSC internally knew that it could not deliver on the contract, departed from the terms of the contract, and as a result, was not properly accounting for the contract. Judge T.S. Ellis III stated, "I have no doubt—that the work product I saw was always of the highest quality for both sides."

***In re Nielsen Holdings PLC Securities Litigation, No. 18-7143 (S.D.N.Y.)***

As lead counsel representing Public Employees' Retirement System of Mississippi, Labaton Sucharow achieved a \$73 million settlement (pending court approval) in a securities class action against the data analytics company Nielsen Holdings PLC over allegations the company misrepresented the strength and resiliency of its business and the impact of the European Union's General Data Protection Regulation (GDPR). On January 4, 2021, the Firm overcame defendants' motion to dismiss, and the case advanced into discovery. We mediated and ultimately reached an agreement to settle the matter for \$73 million in February 2022. The settlement was preliminarily approved by the court on April 4, 2022.

***In re Resideo Technologies Inc. Securities Litigation, No. 19-cv-2863 (D. Minn.)***

The Firm serves as co-lead counsel representing Naya Capital Management in an action alleging Resideo failed to disclose the negative effects of a spin-off on the company's product sales, supply chain, and gross margins, and misrepresented the strength of its financial forecasts. On March 30, 2021, the Firm overcame defendants' motion to dismiss in its entirety, and discovery in the action commenced promptly. Discussion of resolving the claims began in January 2021, resulting in an agreement in principle to settle the action for \$55 million July 2021. The \$55 million settlement was granted final approval on March 24, 2022.

***Public Employees' Retirement System of Mississippi v. Endo Int'l plc, et al., No. 2017-02081-MJ (Pa. Ct. of C.P. Montgomery Cty.)***

Labaton Sucharow served as lead counsel in a securities class action against Endo Pharmaceuticals. The case settled for \$50 million, the largest class settlement obtained in any court pursuant to the Securities Act of 1933 in connection with a secondary public offering. The action alleged that Endo



failed to disclose adverse trends facing its generic drugs division in advance of a secondary public offering that raised \$2 billion to finance the acquisition of Par Pharmaceuticals in 2015. The Firm overcame several procedural hurdles to reach this historic settlement, including successfully opposing defendants' attempts to remove the case to federal court and to dismiss the class complaint in state court. The court approved the settlement on December 5, 2019.

***In re JELD-WEN Holding, Inc. Securities Litigation, No. 3:20-cv-00112-JAG (E.D. Va.)***

Representing Public Employees' Retirement System of Mississippi, Labaton Sucharow is court-appointed co-lead counsel in a securities class action lawsuit against JELD-WEN Holding, Inc. and certain of its executives related to allegedly false and misleading statements and omissions concerning JELD-WEN's allegedly anticompetitive conduct and financial results in the doorskins and interior molded door markets and the merit of a lawsuit filed against JELD-WEN by an interior door manufacturer. The parties reached an agreement to settle the action for \$40 million in April 2021. The court granted final approval of the settlement on November 22, 2021.

***City of Warren Police and Fire Retirement System v. World Wrestling Entertainment, Inc. et al., No. 20-cv-02031 (S.D.N.Y.)***

Labaton Sucharow served as court-appointed lead counsel in a securities class action against World Wrestling Entertainment, Inc. (WWE). The Firm represented Firefighters Pension System of the City of Kansas City Missouri Trust in the action alleging WWE defrauded investors by making false and misleading statements in connection with certain of its key overseas businesses in the Middle East North Africa region (MENA) from February 7, 2019, through February 5, 2020. The lead plaintiff further alleged that the price of WWE publicly traded common stock was artificially inflated as a result of the company's allegedly false and misleading statements and omissions, and that the price declined when the truth was allegedly revealed through a series of partial revelations. The parties reached an agreement to settle the action for in November 2020, and on June 30, 2021, the court granted final approval of the \$39 million settlement.

***Pension Trust Fund for Operating Engineers v. DeVry Education Group, Inc., No. 16-cv-05198 (N.D. Ill.)***

In a case that underscores the skill of our in-house investigative team, Labaton Sucharow secured a \$27.5 million recovery in an action alleging that DeVry Education Group, Inc. issued false statements to investors about employment and salary statistics for DeVry University graduates. The Firm took over as lead counsel after a consolidated class action complaint and an amended complaint were both dismissed. Labaton Sucharow filed a third amended complaint on January 29, 2018, which included additional allegations based on internal documents obtained from government entities through the Freedom of Information Act and allegations from 13 new confidential witnesses who worked for DeVry. In denying defendants' motion to dismiss, the court concluded that the "additional allegations . . . alter[ed] the alleged picture with respect to scienter" and showed "with a degree of particularity . . . that the problems with DeVry's [representations] . . . were broad in scope and magnitude."

### ***Vancouver Alumni Asset Holdings Inc. v. Daimler A.G., et al., No. 16-cv-2942 (C.D. Cal)***

Serving as lead counsel on behalf of Public School Retirement System of Kansas City, Missouri, Labaton Sucharow secured a \$19 million settlement in a class action against automaker Daimler AG. The action arose out of Daimler’s misstatements and omissions touting its Mercedes-Benz diesel vehicles as “green” when independent tests showed that under normal driving conditions the vehicles exceeded the nitrous oxide emissions levels set by U.S. and E.U. regulators. Defendants lodged two motions to dismiss the case. However, the *Daimler* litigation team was able to overcome both challenges, and on May 31, 2017, the court granted in part and denied in part Defendants’ motions and allowed the case to proceed to discovery. The court then stayed the action after the U.S. Department of Justice intervened. The *Daimler* litigation team worked with the DOJ and defendants to partially lift the stay in order to allow lead plaintiffs to seek limited discovery. Thereafter, in December 2019, the parties agreed to settle the action for \$19 million.

### ***Avila v. LifeLock, Inc., No. 15-cv-1398 (D. Ariz.)***

As co-lead counsel representing Oklahoma Police Pension and Retirement System and Oklahoma Firefighters Pension and Retirement System, the Firm secured a \$20 million settlement in a securities class action against LifeLock. The action alleged that LifeLock misrepresented the capabilities of its identity theft alerts to investors. While LifeLock repeatedly touted the “proactive,” “near real-time” nature of its alerts, in reality the timeliness of such alerts to customers did not resemble a near real-time basis. The LifeLock litigation team played a critical role in securing the \$20 million settlement. After being dismissed by the District Court twice, the LifeLock team was able to successfully appeal the case to the Ninth Circuit and secured a reversal of the District Court’s dismissals. The case settled shortly after being remanded to the District Court. On July 22, 2020, the court issued an order granting final approval of the settlement.

### ***In re Prothena Corporation PLC Securities Litigation, No. 18-cv-6425 (S.D.N.Y)***

Labaton Sucharow, as co-lead counsel, secured a \$15.75 million recovery in a securities class action against development-stage biotechnology company, Prothena Corp. The action alleged that Prothena and certain of its senior executives misleadingly cited the results of an ongoing clinical study of NEOD001—a drug designed to treat amyloid light chain amyloidosis and one of Prothena’s principal assets. Despite telling investors that early phases of testing were successful, Defendants later revealed that the drug was “substantially less effective than a placebo.” Upon this news, Prothena’s stock price dropped nearly 70 percent. On August 26, 2019, the parties executed a Stipulation and Agreement of Settlement for \$15.75 million. Final Judgment was entered on December 4, 2019.

### ***In re Acuity Brands, Inc. Securities Litigation, No. 18-cv-02140 (N.D. Ga.)***

Labaton Sucharow serves as co-lead counsel representing Public Employees' Retirement System of Mississippi in a securities class action lawsuit against Acuity Brands, Inc., a leading provider of lighting solutions for commercial, institutional industrial, infrastructure, and residential applications throughout North America and select international markets. The suit alleges that Acuity misled investors about the impact of increased competition on its business, including its relationship with its largest retail customer, Home Depot. Despite defendants’ efforts, the court denied their motion



to dismiss in significant part in August 2019 and granted class certification in August 2020, rejecting their arguments in full. Defendants appealed the class certification order to the Eleventh Circuit Court of Appeals, which the Firm vigorously opposed. Subsequently, the parties mediated and agreed on a \$15.75 million settlement-in-principle in October 2021. In light of the settlement-in-principle, the Eleventh Circuit stayed the appeal and removed the case from the docket. The court preliminarily approved the settlement on December 23, 2021.

## **LEAD COUNSEL APPOINTMENTS IN ONGOING LITIGATION**

Labaton Sucharow's institutional investor clients are regularly chosen by federal judges to serve as lead plaintiffs in prominent securities litigations brought under the PSLRA. Dozens of public pension funds and union funds have selected Labaton Sucharow to represent them in federal securities class actions and advise them as securities litigation/investigation counsel.

### ***In re PG&E Corporation Securities Litigation, No. 18-cv-03509 (N.D. Cal.)***

Labaton Sucharow represents the Public Employees Retirement Association of New Mexico in a securities class action lawsuit against PG&E related to wildfires that devastated Northern California in 2017.

### ***Murphy v. Precision Castparts Corp., No. 16-cv-00521 (D. Or.)***

Labaton Sucharow represents Oklahoma Firefighters Pension and Retirement System in a securities class action against Precision Castparts Corp., an aviation parts manufacturing conglomerate that produces complex metal parts primarily marketed to industrial and aerospace customers.

### ***In re Goldman Sachs Group, Inc. Securities Litigation, No. 10-cv-03461 (S.D.N.Y.)***

Labaton Sucharow represents Arkansas Teacher Retirement System in a high-profile litigation based on the scandals involving Goldman Sachs' sales of the Abacus CDO.

### ***Meitav Dash Provident Funds and Pension Ltd., et al. v. Spirit AeroSystems Holdings, Inc. et al., No. 20-cv-00054 (N.D. Okla.)***

Labaton Sucharow represents Meitav Dash Provident Funds and Pension Ltd. in a securities class action against Spirit AeroSystems Holdings alleging misrepresentation of production rates and the effectiveness of its internal controls over financial reporting relating to production of Boeing planes.

### ***Boston Retirement System v. Uber Technologies, Inc., et al., No. 19-cv-6361-RS (N.D. Cal.)***

Labaton Sucharow serves as lead counsel in a securities class action against Uber Technologies, Inc., arising in connection with the company's more than \$8 billion IPO. The action alleges that Uber's IPO registration statement and prospectus made material misstatements and omissions in violation of Sections 11, 12(a)(2), and 15 of the Securities Act of 1933.



***Hill v. Silver Lake Group, L.L.C. (Intelsat S.A.), No. 20-CV-2341 (N.D. Cal.)***

The court appointed Labaton Sucharow as lead counsel in the *Intelsat* securities litigation, noting that the Firm “has strong experience prosecuting securities class actions and has served as lead counsel in many high-profile securities actions.

***In re Allstate Corporation Securities Litigation, No. 16-cv-10510 (N.D. Ill.)***

Labaton Sucharow serves as lead counsel representing the Carpenters Pension Trust Fund for Northern California, the Carpenters Annuity Trust Fund for Northern California, and the City of Providence Employee Retirement System in a securities case against The Allstate Corporation, the company’s CEO Thomas J. Wilson, and its former President of Allstate Protection Lines Matthew E. Winter.



## AWARDS AND ACCOLADES

### CONSISTENTLY RANKED AS A LEADING FIRM:



The *National Law Journal* "2022 Elite Trial Lawyers" recognized Labaton Sucharow as the **2022 Securities Law Firm of the Year** and **2022 Shareholder Rights Litigation Firm of the Year**. The Firm was also recognized as a finalist for **2022 Class Action Litigation Firm of the Year**. Over the last three years, Labaton Sucharow has received five Elite Trial Lawyers Law Firm of the Year recognitions, including Class Action, Securities, Shareholder Rights Litigation, and Immigration.



*Benchmark Litigation* recognized Labaton Sucharow both nationally and regionally, in **New York** and **Delaware**, in its 2023 edition and named 8 Partners as **Litigation Stars** and **Future Stars** across the U.S. The Firm received top rankings in the **Securities** and **Dispute Resolution** categories. The publication also named the Firm a "**Top Plaintiffs Firm**" in the nation and was shortlisted for Plaintiff Firm of the Year.



Labaton Sucharow is recognized by *Chambers USA 2022* among the leading plaintiffs' firms in the nation, receiving a total of three practice group rankings and eight partners ranked or recognized. *Chambers* notes that the Firm is "**top flight all-round,**" a "**very high-quality practice,**" with "**good, sensible lawyers.**" Labaton Sucharow was also recognized as a finalist for **Chambers' D&I Awards: North America 2022** in the category of Outstanding Firm.



Labaton Sucharow has been recognized as one of the **Nation's Best Plaintiffs' Firms** by *The Legal 500*. In 2022, the Firm earned a **Tier 1 ranking in Securities Litigation** and was also ranked for its excellence in **M&A Litigation**. 8 Labaton Sucharow attorneys were ranked or recommended in the guide noting the Firm's "**very deep bench of strong litigators.**"



*Lawdragon* recognized 16 Labaton Sucharow attorneys among the **500 Leading Plaintiff Financial Lawyers** in the country in their 2022 guide. The guide recognizes attorneys that are "the best in the nation – many would say the world – at representing plaintiffs." *Lawdragon* also included one of our Partners in their **Hall of Fame**.



Labaton Sucharow was named a **2021 Securities Group of the Year** by *Law360*. The award recognizes the attorneys behind significant litigation wins and major deals that resonated throughout the legal industry.



Labaton Sucharow was named **Diverse Women Lawyers – North America Firm of the Year** by *Euromoney's* 2022 Women in Business Law Americas Awards. The Firm was also named a finalist in the Americas Firm of the Year, Women in Business Law, Career Development, Gender Diversity, and United States – North East categories. *Euromoney's* WIBL Awards recognizes firms advancing diversity in the profession.



## PRO BONO AND COMMUNITY INVOLVEMENT

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It is not enough to achieve the highest accolades from the bench and bar, and demand the very best of our people. At Labaton Sucharow, we believe that community service is a crucial aspect of practicing law and that pursuing justice is at the heart of our commitment to our profession and the community at large. As a result, we shine in pro bono legal representation and as public and community volunteers.

Our Firm has devoted significant resources to pro bono legal work and public and community service. In fact, our Pro Bono practice is recognized by *The National Law Journal* as winner of the “**Law Firm of the Year**” in Immigration for 2019 and 2020. We support and encourage individual attorneys to volunteer and take on leadership positions in charitable organizations, which have resulted in such honors as the Alliance for Justice’s “**Champion of Justice**” award, a tenant advocacy organization’s “**Volunteer and Leadership Award,**” and board participation for the Ovarian Cancer Research Fund.

Our continued support of charitable and nonprofit organizations, such as the Legal Aid Society, City Bar Justice Center, Public Justice Foundation, Change for Kids, Sidney Hillman Foundation, and various food banks and other organizations, embodies our longstanding commitment to fairness, equality, and opportunity for everyone in our community, which is manifest in the many programs in which we participate.

### Immigration Justice Campaign

Our attorneys have scored numerous victories on behalf of asylum seekers around the world, particularly from Cuba and Uganda, as well as in reuniting children separated at the border. Our Firm also helped by providing housing, clothing, and financial assistance to those who literally came to the U.S. with only the clothes on their back.

### Advocacy for the Mentally Ill

Our attorneys have provided pro bono representation to mentally ill tenants facing eviction and worked with a tenants’ advocacy organization defending the rights of city residents.

### Federal Pro Se Legal Assistance Project

We represented pro se litigants who could not afford legal counsel through an Eastern District of New York clinic. We assisted those pursuing claims for racial and religious discrimination, helped navigate complex procedural issues involving allegations of a defamatory accusation made to undermine our client’s disability benefits, and assisted a small business owner allegedly sued for unpaid wages by a stranger.

### New York City Bar Association Thurgood Marshall Scholar

We are involved in the Thurgood Marshall Summer Law Internship Program, which places diverse New York City public high school students with legal employers for the summer. This program runs



annually, from April through August, and is part of the City Bar's continuing efforts to enhance the diversity of the legal profession.

### **Diversity Fellowship Program**

We provide a fellowship as a key component of the Firm's objective to recruit, retain, and advance diverse law students. Positions are offered to exceptional law students who can contribute to the diversity of our organization and the broader legal community.

### **Brooklyn Law School Securities Arbitration Clinic**

Our Firm partnered with Brooklyn Law School to establish a securities arbitration clinic. The program, which ran for five years, assisted defrauded individual investors who could not otherwise afford to pay for legal counsel and provided students with real-world experience in securities arbitration and litigation.

### **Change for Kids**

We support Change for Kids (CFK) as a strategic partner of P.S. 182 in East Harlem. One school at a time, CFK rallies communities to provide a broad range of essential educational opportunities at under-resourced public elementary schools, as well as enables students to discover their unique strengths and develop the requisite confidence to achieve.

### **Lawyers' Committee for Civil Rights Under Law**

We are long-time supporters of the Lawyers' Committee for Civil Rights Under Law, a nonpartisan, nonprofit organization formed in 1963 at the request of President John F. Kennedy. The Lawyers' Committee involves the private bar in providing legal services to address racial discrimination. We have been involved at the federal level on U.S. Supreme Court nominee analyses and national voters' rights initiatives. Edward Labaton is a member of the Board of Directors.

### **Sidney Hillman Foundation**

Our Firm supports the Sidney Hillman Foundation. Created in honor of the first president of the Amalgamated Clothing Workers of America, Sidney Hillman, the foundation supports investigative and progressive journalism by awarding monthly and yearly prizes.

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## COMMITMENT TO DIVERSITY, EQUITY, AND INCLUSION

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Labaton Sucharow

**DEI**  
**DIVERSITY**  
**EQUITY &**  
**INCLUSION**

“Now, more than ever, it is important to focus on our diverse talent and create opportunities for young lawyers to become our future leaders. We are proud that our DEI Committee provides a place for our diverse lawyers to expand their networks and spheres of influence, develop their skills, and find the sponsorship and mentorship necessary to rise and realize their full potential.” – *Carol C. Villegas, Partner*

Over half a century, Labaton Sucharow has earned global recognition for its success in securing historic recoveries and reforms for investors and consumers. We strive to attain the same level of achievement in promoting fairness and equality within our practice and throughout the legal profession and believe this can be realized by building and maintaining a team of professionals with a broad range of backgrounds, orientations, and interests. Partner Christine M. Fox serves as Chair of the Committee.

As a national law firm serving a global clientele, diversity is vital to reaching the right result and provides us with distinct points of view from which to address each client’s most pressing needs and complex legal challenges. Problem solving is at the core of what we do...and equity and inclusion serve as a catalyst for understanding and leveraging the myriad strengths of our diverse workforce.

Research demonstrates that diversity in background, gender, and ethnicity leads to smarter and more informed decision-making, as well as positive social impact that addresses the imbalance in business today—leading to generations of greater returns for all. We remain committed to developing initiatives that focus on tangible diversity, equity, and inclusion goals involving recruiting, professional development, retention, and advancement of diverse and minority candidates, while also raising awareness and supporting real change inside and outside our Firm.

In recognition of our efforts, we have been named Diverse Women Lawyers – North America Firm of the Year by *Euromoney* and have been consistently shortlisted for their Women in Business Law Awards, including in the Americas Firm of the Year, Gender Diversity Initiative, Women in Business Law, United States – North East, Career Development, and Talent Management categories. In addition, the Firm is the recipient of *The National Law Journal* “Elite Trial Lawyers” inaugural Diversity Initiative Award and has been selected as a finalist for *Chambers & Partners’* Diversity and Inclusion Awards in the Outstanding Firm and Inclusive Firm of the Year categories. Our Firm understands the importance of extending leadership positions to diverse lawyers and is committed to investing time and resources to develop the next generation of leaders and counselors. We actively recruit, mentor, and promote to partnership minority and female lawyers.





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## WOMEN'S INITIATIVE



### Women's Networking and Mentoring Initiative

Labaton Sucharow is the first securities litigation firm with a dedicated program to foster growth, leadership, and advancement of female attorneys. Established more than a decade ago, our Women's Initiative has hosted seminars, workshops, and networking events that encourage the advancement of female lawyers and staff, and bolster their participation as industry collaborators and celebrated thought innovators. We engage important women who inspire us by sharing their experience, wisdom, and lessons learned. We offer workshops on subject matter that ranges from professional development, negotiation, and public speaking, to business development and gender inequality in the law today.

### Institutional Investing in Women and Minority-Led Investment Firms

Our Women's Initiative hosts an annual event on institutional investing in women and minority-led investment firms that was shortlisted for a *Chambers & Partners' Diversity & Inclusion* award. By bringing pension funds, diverse managers, hedge funds, investment consultants, and legal counsel together and elevating the voices of diverse women, we address the importance and advancement of diversity investing. Our 2018 inaugural event was shortlisted among *Euromoney's Best Gender Diversity Initiative*.

## MINORITY SCHOLARSHIP AND INTERNSHIP

To take an active stance in introducing minority students to our practice and the legal profession, we established the Labaton Sucharow Minority Scholarship and Internship years ago. Annually, we present a grant and Summer Associate position to a first-year minority student from a metropolitan New York law school who has demonstrated academic excellence, community commitment, and unwavering personal integrity. Several past recipients are now full-time attorneys at the Firm. We also offer two annual summer internships to Hunter College students.

## WHAT THE BENCH SAYS ABOUT US

The Honorable Judge Lewis Liman of the Southern District of New York, upon appointing Labaton Sucharow as co-lead counsel, noted the following:

**"Historically, there has been a dearth of diversity within the legal profession. Although progress has been made...still just one tenth of lawyers are people of color and just over a third are women. A firm's commitment to diversity...demonstrate[s] that it shares with the courts a commitment to the values of equal justice under law...[and] is one that is able to attract, train, and retain lawyers with the most latent talent and commitment regardless of race, ethnicity, gender, or sexual orientation."**



## PROFESSIONAL PROFILES

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## Christopher J. Keller Chairman

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Christopher J. Keller is Chairman of Labaton Sucharow LLP and head of the Firm's Executive Committee. He is based in the Firm's New York office. Chris focuses on complex securities litigation cases and works with institutional investor clients, including some of the world's largest public and private pension funds with tens of billions of dollars under management.

In his role as Chairman, Chris is responsible for establishing and executing upon Labaton Sucharow's strategic priorities, including advancing business initiatives and promoting a culture of performance, collaboration, and collegiality. Commitment to these priorities has helped the Firm deepen its practice area expertise, extend its worldwide reach and earn industry recognition for workplace culture.

Chris's distinction in the plaintiffs' bar has earned him recognition from *Lawdragon* as an "Elite Lawyer in the Legal Profession," one of the "500 Leading Lawyers in America," and one of the country's top "Plaintiff Financial Lawyers." *Chambers & Partners USA* has recognized him as a "Noted Practitioner," and he has received recommendations from *The Legal 500* for excellence in the field of securities litigation.

Described by *The Legal 500* as a "sharp and tenacious advocate" who "has his pulse on the trends," Chris has been instrumental in the Firm's appointments as lead counsel in some of the largest securities matters arising out of the financial crisis, such as actions against Countrywide (\$624 million settlement), Bear Stearns (\$275 million settlement with Bear Stearns Companies and \$19.9 million settlement with Deloitte & Touche LLP, Bear Stearns' outside auditor), and Goldman Sachs.

Chris is a frequent commentator on legal issues and has been featured in the *Wall Street Journal*, *Financial Times*, *Law360*, and *National Law Journal*, among others. Educating institutional investors is a significant element of Chris's advocacy efforts for shareholder rights. He is regularly called upon for presentations on developing trends in the law and new case theories at annual meetings and seminars for institutional investors.

Chris has been integral in the prosecution of traditional fraud cases such as *In re Schering-Plough Corporation/ENHANCE Securities Litigation*; *In re Massey Energy Co. Securities Litigation*, where the Firm obtained a \$265 million all-cash settlement with Alpha Natural Resources, Massey's parent company; as well as *In re Satyam Computer Services, Ltd. Securities Litigation*, where the Firm obtained a settlement of more than \$150 million. Chris was also a principal litigator on the trial team of *In re Real Estate Associates Limited Partnership Litigation*. The six-week jury trial resulted in a \$185 million plaintiffs' verdict, one of the largest jury verdicts since the passage of the Private Securities Litigation Reform Act.

The logo for Labaton Sucharow, consisting of a dark blue square with the firm's name in white text.

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Educating institutional investors is a significant element of Chris's advocacy efforts for shareholder rights. He is regularly called upon for presentations on developing trends in the law and new case theories at annual meetings and seminars for institutional investors.

Chris is a member of several professional groups, including the New York State Bar Association and the New York County Lawyers' Association. He is a prior member of the Board of Directors of the City Bar Fund, the nonprofit 501(c)(3) arm of the New York City Bar Association aimed at engaging and supporting the legal profession in advancing social justice.


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## Eric J. Belfi Partner

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Eric J. Belfi is a Partner in the New York office of Labaton Sucharow LLP and a member of the Firm's Executive Committee. An accomplished litigator with a broad range of experience in commercial matters, Eric represents many of the world's leading pension funds and other institutional investors. Eric actively focuses on domestic and international securities and shareholder litigation, as well as direct actions on behalf of governmental entities. As an integral member of the Firm's Case Development Group, Eric has brought numerous high-profile domestic securities cases that resulted from the credit crisis, including the prosecution against Goldman Sachs. Along with his domestic securities litigation practice, Eric leads the Firm's Non-U.S. Securities Litigation Practice, which is dedicated exclusively to analyzing potential claims in non-U.S. jurisdictions and advising on the risks and benefits of litigation in those forums. Overseeing the Financial Products and Services Litigation Practice, Eric focuses on bringing individual actions against malfeasant investment bankers, including cases against custodial banks that allegedly committed deceptive practices relating to certain foreign currency transactions. Additionally, Eric advises his domestic and international clients on complex ESG issues.

Eric is recognized by *Chambers & Partners USA* and *Lawdragon* has recognized him as one of the country's "500 Leading Plaintiff Financial Lawyers" as the result of their research into top verdicts and settlements, and input from "lawyers nationwide about whom they admire and would hire to seek justice for a claim that strikes a loved one."

In his work with the Case Development Group, Eric was actively involved in securing a combined settlement of \$18.4 million in *In re Colonial BancGroup, Inc. Securities Litigation*, regarding material misstatements and omissions in SEC filings by Colonial BancGroup and certain underwriters. Eric's experience includes noteworthy M&A and derivative cases such as *In re Medco Health Solutions Inc. Shareholders Litigation* in which he was integrally involved in the negotiation of the settlement that included a significant reduction in the termination fee.

Under Eric's direction, the Firm's Non-U.S. Securities Litigation Practice—one of the first of its kind—also serves as liaison counsel to institutional investors in such cases, where appropriate. Eric represents nearly 30 institutional investors in over a dozen non-U.S. cases against companies including SNC-Lavalin Group Inc. in Canada, Vivendi Universal, S.A. in France, OZ Minerals Ltd. in Australia, Lloyds Banking Group in the U.K., and Olympus Corporation in Japan. Eric's international experience also includes securing settlements on behalf of non-U.S. clients including the U.K.-based Mineworkers' Pension Scheme in *In re Satyam Computer Securities Services Ltd. Securities Litigation*, an action related to one of the largest securities frauds in India, which resulted in \$150.5 million in collective settlements. While representing two of Europe's leading pension funds, Deka Investment GmbH and Deka International S.A., Luxembourg, in *In re General Motors Corp. Securities*



*Litigation*, Eric was integral in securing a \$303 million settlement in relation to multiple accounting manipulations and overstatements by General Motors.

As head of the Financial Products and Services Litigation Practice, Eric represented the Commonwealth of Virginia in its False Claims Act case against Bank of New York Mellon, Inc, among other matters.

Prior to joining Labaton Sucharow, Eric served as an Assistant Attorney General for the State of New York and as an Assistant District Attorney for the County of Westchester. As a prosecutor, Eric investigated and prosecuted white-collar criminal cases, including many securities law violations. He presented hundreds of cases to the grand jury and obtained numerous felony convictions after jury trials.

Eric is a member of the National Association of Public Pension Attorneys (NAPPA) Securities Litigation Working Group and the Cold Spring Harbor Laboratory Corporate Advisory Board. He has spoken publicly on the topics of shareholder litigation and U.S.-style class actions in European countries and has also discussed socially responsible investments for public pension funds.

Eric earned his Juris Doctor from St. John's University School of Law and received his bachelor's degree from Georgetown University.

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## Jake Bissell-Linsk Partner

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Jake Bissell-Linsk is a Partner in the New York office of Labaton Sucharow LLP. Jake focuses his practice on securities fraud class actions.

Jake has litigated federal securities cases in jurisdictions across the country at both the District Court and Appellate Court level. He is currently litigating cases against Lucid Motors and Lordstown Motors involving de-SPAC mergers in the automotive industry; against Intelsat alleging insiders sold \$246 million in stock shortly after learning the FTC would reject a bet-the-company deal; against AT&T, citing 58 former AT&T employees, regarding misleading reports of the success of its video streaming service DirecTV Now; and against Cronos alleging it improperly booked revenue from round-trip transactions for cannabis processing.

In addition to these varied securities fraud cases, Jake has litigated a number of cases involving take-private mergers, including several cases involving Chinese-based and Cayman-incorporated firms that were delisted from U.S. exchanges.

Jake has played a pivotal role in securing favorable settlements for investors in a variety of securities class actions, including recent cases against Nielsen (\$73 million settlement), in a suit that involved allegations of inflated goodwill and the effect of the EU's GDPR on the company, and Mindbody (\$9.75 million settlement), in a suit alleging false guidance and inadequate disclosures prior to a private equity buyout.

Jake's pro bono experience includes assisting pro se parties through the Federal Pro Se Legal Assistance Project.

Jake was previously a Litigation Associate at Davis Polk & Wardwell LLP, where he worked on complex commercial litigation including contract disputes, bankruptcies, derivative suits, and securities claims. He also assisted defendants in government investigations and provided litigation advice on M&A transactions.

Jake earned his Juris Doctor, *magna cum laude*, from the University of Pennsylvania Law School. He served as Senior Editor of the University of Pennsylvania Law Review and Associate Editor of the East Asia Law Review. While in law school, Jake interned for Judge Melvin L. Schweitzer at the New York Supreme Court (Commercial Division). He received his bachelor's degree, *magna cum laude*, from Hamline University.



## Michael P. Canty Partner

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Michael P. Canty is a Partner in the New York office of Labaton Sucharow LLP, where he serves on the Firm's Executive Committee and as its General Counsel. In addition, he leads one of the Firm's Securities Litigation Teams and serves as head of the Firm's Consumer Cybersecurity and Data Privacy Group.

Highly regarded as one of the country's elite litigators, Michael has been recognized by *The Legal 500* and *Benchmark Litigation* as a "litigation star." In addition, he has been named a Plaintiffs' Trailblazer and a NY Trailblazer by *The National Law Journal* and the *New York Law Journal*, respectively, for his impact on the practice and business of law, as well as one of the "500 Leading Plaintiff Financial Lawyers in America" and one of the country's "Leading Plaintiff Consumer Lawyers" by *Lawdragon*.

Michael has successfully prosecuted a number of high-profile securities matters on behalf of institutional investors. Recent notable settlements include *Hatamian v. Advanced Micro Devices, Inc.* (\$29.5 million settlement), *Ronge v. Camping World Holdings* (\$12.5 million settlement), and *Palm Tran, Inc. Amalgamated Transit Union Loc. 1577 Pension Plan v. Credit Acceptance Corp.* (\$12 million settlement).

In addition to his securities practice, Michael has extensive experience representing consumers in high-profile data privacy litigation. Most notably, one of Michael's most recent successes was the historic \$650 million settlement in the *In re Facebook Biometric Information Privacy Litigation* matter—the largest consumer data privacy settlement ever and one of the first cases asserting consumers' biometric privacy rights under Illinois' Biometric Information Privacy Act (BIPA). Michael currently serves as co-lead counsel in *Garner v. Amazon.com, Inc.* alleging Amazon's illegal wiretapping and surreptitious recording through its Alexa-enabled devices.

Prior to joining Labaton Sucharow, Michael served as an Assistant U.S. Attorney in the U.S. Attorney's Office for the Eastern District of New York, where he was the Deputy Chief of the Office's General Crimes Section. During his time as a federal prosecutor, Michael also served in the Office's National Security and Cybercrimes Section. Prior to this, he served as an Assistant District Attorney for the Nassau County District Attorney's Office, where he handled complex state criminal offenses and served in the Office's Homicide Unit.

Michael has extensive trial experience both from his days as a prosecutor in New York City for the U.S. Department of Justice and as a Nassau County Assistant District Attorney. Michael served as trial counsel in more than 35 matters, many of which related to violent crime, white-collar, and terrorism-related offenses. He played a pivotal role in *United States v. Abid Naseer*, where he prosecuted and convicted an al-Qaeda operative who conspired to carry out attacks in the United



States and Europe. Michael also led the investigation in *United States v. Marcos Alonso Zea*, a case in which he successfully prosecuted a citizen for attempting to join a terrorist organization in the Arabian Peninsula and for providing material support for planned attacks.

Before becoming a prosecutor, Michael worked as a Congressional Staff Member for the U.S. House of Representatives. He primarily served as a liaison between the Majority Leader's Office and the Government Reform and Oversight Committee. During his time with the House of Representatives, Michael managed congressional oversight of the United States Postal Service and reviewed and analyzed counter-narcotics legislation as it related to national security matters.

Michael is a frequent commentator on legal issues and has been featured in *The Washington Post*, *Law360*, and *The National Law Journal*, among others and has appeared on CBS and NPR.

He is a member of the Federal Bar Council American Inn of Court, which endeavors to create a community of lawyers and jurists and promotes the ideals of professionalism, mentoring, ethics, and legal skills. He is also a member of the National Association of Public Pension Attorneys.

Michael earned his Juris Doctor, *cum laude*, from St. John's University's School of Law. He received his Bachelor of Arts, *cum laude*, from Mary Washington College.

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## James T. Christie Partner

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James Christie is a Partner in the New York office of Labaton Sucharow LLP. James focuses on prosecuting complex securities fraud cases on behalf of institutional investors. He is currently involved in litigating cases against major U.S. and non-U.S. corporations, such as Alexion Pharmaceuticals, GoGo, 2U, Precision Castparts, Flex, CannTrust Holdings, iQIYI, and Weatherford International. James also serves as Assistant General Counsel of the Firm.

James has been recognized as a "Rising Star of the Plaintiffs Bar" by *The National Law Journal* Elite Trial Lawyers and *Benchmark Litigation* named him to their "40 & Under List."

James was an integral part of the Firm team that helped recover \$192.5 million for investors in a settlement for *In re SCANA Corporation Securities Litigation*. James also assisted in recovering \$20 million on behalf of investors in a securities class action against LifeLock Inc., where he played a significant role in obtaining a key appellate victory in the Ninth Circuit Court of Appeals reversing the district court's order dismissing the case with prejudice. In addition, James assisted in the \$14.75 million recovery secured for investors against PTC Therapeutics Inc., a pharmaceutical manufacturer of orphan drugs, in *In re PTC Therapeutics, Inc. Securities Litigation*. He was also part of the team that represented the lead plaintiff, the Public Employees' Retirement System of Mississippi, in *Public Employees' Retirement System of Mississippi v. Sprouts Farmers Market Inc.*, which resulted in a \$9.5 million settlement against Sprouts Farmers Market and several of its senior officers and directors.

James previously served as a Judicial Intern in the U.S. District Court for the Eastern District of New York under the Honorable Sandra J. Feuerstein.

He is a member of the American Bar Association and the Federal Bar Council.

James earned his Juris Doctor from St. John's University School of Law, where he was the Senior Articles Editor of the St. John's Law Review, and his Bachelor of Science, *cum laude*, from St. John's University Tobin College of Business.



## Thomas A. Dubbs Partner

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Thomas A. Dubbs is a Partner in the New York office of Labaton Sucharow LLP. Tom focuses on the representation of institutional investors in domestic and multinational securities cases. Tom serves or has served as lead or co-lead counsel in some of the most important federal securities class actions in recent years, including those against American International Group, Goldman Sachs, the Bear Stearns Companies, Facebook, Fannie Mae, Broadcom, and WellCare.

Tom is highly-regarded in his practice. He has been named a top litigator by *Chambers & Partners USA* for more than 10 consecutive years and has been consistently ranked as a Leading Lawyer in Securities Litigation by *The Legal 500*. *Law360* named him an MVP of the Year for distinction in class action litigation and he has been recognized by *The National Law Journal* and *Benchmark Litigation* for excellence in securities litigation. *Lawdragon* has recognized Tom as one of the country's "500 Leading Plaintiff Financial Lawyers" and named him to their Hall of Fame. Tom has also received a rating of AV Preeminent from the publishers of the Martindale-Hubbell directory. In addition, *The Legal 500* has inducted Tom into its Hall of Fame—an honor presented to only four plaintiffs' securities litigators "who have received constant praise by their clients for continued excellence."

Tom has played an integral role in securing significant settlements in several high-profile cases, including *In re American International Group, Inc. Securities Litigation* (settlements totaling more than \$1 billion); *In re Bear Stearns Companies, Inc. Securities Litigation* (\$275 million settlement with Bear Stearns Companies plus a \$19.9 million settlement with Deloitte & Touche LLP, Bear Stearns' outside auditor); *In re HealthSouth Securities Litigation* (\$671 million settlement); *Eastwood Enterprises LLC v. Farha et al. (WellCare Securities Litigation)* (over \$200 million settlement); *In re Fannie Mae 2008 Securities Litigation* (\$170 million settlement); *In re Broadcom Corp. Securities Litigation* (\$160.5 million settlement with Broadcom, plus \$13 million settlement with Ernst & Young LLP, Broadcom's outside auditor); *In re St. Paul Travelers Securities Litigation* (\$144.5 million settlement); *In re Amgen Inc. Securities Litigation* (\$95 million settlement); and *In re Vesta Insurance Group, Inc. Securities Litigation* (\$78 million settlement).

Representing an affiliate of the Amalgamated Bank, Tom successfully led a team that litigated a class action against Bristol-Myers Squibb, which resulted in a settlement of \$185 million as well as major corporate governance reforms. He has argued before the U.S. Supreme Court and has argued 10 appeals dealing with securities or commodities issues before the U.S. Courts of Appeals.

Due to his reputation in securities law, Tom frequently lectures to institutional investors and other groups, such as the Government Finance Officers Association, the National Conference on Public Employee Retirement Systems, and the Council of Institutional Investors. He is a prolific author of articles related to his field, including "Textualism and Transnational Securities Law: A Reappraisal of



Justice Scalia's Analysis in *Morrison v. National Australia Bank*," which he penned for the *Southwestern Journal of International Law*. He has also written several columns in U.K. publications regarding securities class actions and corporate governance.

Prior to joining Labaton Sucharow, Tom was Senior Vice President & Senior Litigation Counsel for Kidder, Peabody & Co. Incorporated, where he represented the company in many class actions, including the *First Executive* and *Orange County* litigation and was first chair in many securities trials. Before joining Kidder, Tom was head of the litigation department at Hall, McNicol, Hamilton & Clark, where he was the principal partner representing Thomson McKinnon Securities Inc. in many matters, including the *Petro Lewis* and *Baldwin-United* class actions.

Tom serves as a FINRA Arbitrator and is an Advisory Board Member for the Institute for Transnational Arbitration. He is a member of the New York State Bar Association and the Association of the Bar of the City of New York, as well as a patron of the American Society of International Law. Tom is an active member of the American Law Institute and is currently an adviser on the proposed Restatement of the Law Third, Conflict of Laws; he was also a member of the Consultative Groups for the Restatement of the Law Fourth, U.S. Foreign Relations Law, and the Principles of Law, Aggregate Litigation. Tom also serves on the Board of Directors for The Sidney Hillman Foundation.

Tom earned his Juris Doctor and his bachelor's degree from the University of Wisconsin-Madison. He received his master's degree from the Fletcher School of Law and Diplomacy, Tufts University.



## Alfred L. Fatale III Partner

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Alfred L. Fatale III is a Partner in the New York office of Labaton Sucharow LLP and currently leads a team of attorneys focused on litigating securities claims arising from initial public offerings, secondary offerings, and stock-for-stock mergers.

Alfred's success in moving the needle in the legal industry has earned him recognition from *Chambers & Partners USA*, the *National Law Journal* as a "Plaintiffs' Lawyer Trailblazer," and *The American Lawyer* as a "Northeast Trailblazer." *Lawdragon* has recognized him as one of the country's "500 Leading Plaintiff Financial Lawyers" and *Benchmark Litigation* also named him to their "40 & Under List."

Alfred represents individual and institutional investors in cases related to the protection of the financial markets and public securities offerings in trial and appellate courts throughout the country. In particular, he is leading the Firm's efforts to litigate securities claims against several companies in state courts following the U.S. Supreme Court's decision in *Cyan, Inc. v. Beaver County Employees Retirement Fund*.

Alfred is also overseeing the firm's efforts in litigating several cases in federal courts. This includes a securities class action against Uber Technologies Inc. arising from the company's \$8 billion IPO.

Since joining the Firm in 2016, Alfred has lead the investigation and prosecution of several successful cases, including *In re ADT Inc. Securities Litigation*, resulting in a \$30 million recovery; *In re CPI Card Group Inc. Securities Litigation*, resulting in a \$11 million recovery; *In re BrightView Holdings, Inc. Securities Litigation*, resulting in a \$11.5 million recovery; *Plymouth County Retirement Association v. Spectrum Brands Holdings Inc.*, resulting in a \$9 million recovery, *In re SciPlay Corp. Securities Litigation*, resulting in an \$8.275 million recovery; and *In re Livent Corp. Securities Litigation*, resulting in a \$7.4 million recovery.

Prior to joining Labaton Sucharow, Alfred was an Associate at Fried, Frank, Harris, Shriver & Jacobson LLP, where he advised and represented financial institutions, investors, officers, and directors in a broad range of complex disputes and litigations including cases involving violations of federal securities law and business torts.

Alfred is an active member of the American Bar Association and the New York City Bar Association.

Alfred earned his Juris Doctor from Cornell Law School, where he was a member of the *Cornell Law Review* as well as the Moot Court Board. He also served as a Judicial Extern under the Honorable Robert C. Mulvey. He received his bachelor's degree, *summa cum laude*, from Montclair State University.

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Christine M. Fox is a Partner in the New York office of Labaton Sucharow LLP. With more than 20 years of securities litigation experience, Christine prosecutes complex securities fraud cases on behalf of institutional investors. In addition to her litigation responsibilities, Christine serves as the Chair of the Firm's DEI Committee.

Christine is recognized by *Lawdragon* as one of the "500 Leading Plaintiff Financial Lawyers in America."

Christine is actively involved in litigating matters against FirstCash Holdings, Hain Celestial, Oak Street Health, Peabody Energy, Super Micro Computer, and Uniti Group. She has played a pivotal role in securing favorable settlements for investors in class actions against Barrick Gold Corporation, one of the largest gold mining companies in the world (\$140 million recovery); Nielsen, a data analytics company that provides clients with information about consumer preferences (\$73 million recovery); CVS Caremark, the nation's largest pharmacy retail chain (\$48 million recovery); Nu Skin Enterprises, a multilevel marketing company (\$47 million recovery); and Intuitive Surgical, a manufacturer of robotic-assisted technologies for surgery (\$42.5 million recovery); and World Wrestling Entertainment, a media and entertainment company (\$39 million recovery).

Christine is actively involved in the Firm's pro bono immigration program and reunited a father and child separated at the border. She is currently working on their asylum application.

Prior to joining the Firm, Christine worked at a national litigation firm focusing on securities, antitrust, and consumer litigation in state and federal courts. She played a significant role in securing class action recoveries in a number of high-profile securities cases, including *In re Merrill Lynch Co., Inc. Research Reports Securities Litigation* (\$475 million recovery); *In re Informix Corp. Securities Litigation* (\$136.5 million recovery); *In re Alcatel Alsthom Securities Litigation* (\$75 million recovery); and *In re Ambac Financial Group, Inc. Securities Litigation* (\$33 million recovery).

She is a member of the American Bar Association, New York State Bar Association, and Puerto Rican Bar Association.

Christine earned her Juris Doctor from the University of Michigan Law School and received her bachelor's degree from Cornell University.

Christine is conversant in Spanish.


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## Jonathan Gardner Partner

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Jonathan Gardner serves as the Managing Partner of Labaton Sucharow LLP and as a member of its Executive Committee. He is based in the Firm's New York office. Jonathan helps direct the growth and management of the Firm.

With more than 30 years of experience, Jonathan oversees all of the Firm's litigation matters, including prosecuting complex securities fraud cases on behalf of institutional investors. Jonathan has played an integral role in developing the Firm's groundbreaking ADR Practice in response to the use of mandatory arbitration clauses by companies in consumer contracts.

A *Benchmark Litigation* "Star" acknowledged by his peers as "engaged and strategic," Jonathan has also been named an MVP by *Law360* for securing hard-earned successes in high-stakes litigation and complex global matters. He is ranked by *Chambers & Partners USA* describing him as "an outstanding lawyer who knows how to get results" and recommended by *The Legal 500*, whose sources remarked on Jonathan's ability to "understand the unique nature of complex securities litigation and strive for practical yet results-driven outcomes" and his "considerable expertise and litigation skill and practical experience that helps achieve terrific results for clients." Jonathan is also recognized by *Lawdragon* as one of the "500 Leading Lawyers in America" and one of the country's top "Plaintiff Financial Lawyers."

Jonathan has played an integral role in securing some of the largest class action recoveries against corporate offenders since the global financial crisis. He led the Firm's team in the investigation and prosecution of *In re Barrick Gold Securities Litigation*, which resulted in a \$140 million recovery. He has also served as the lead attorney in several cases resulting in significant recoveries for injured class members, including *In re Hewlett-Packard Company Securities Litigation* (\$57 million recovery); *Public Employees' Retirement System of Mississippi v. Endo International PLC* (\$50 million recovery); *Medoff v. CVS Caremark Corporation* (\$48 million recovery); *In re Nu Skin Enterprises, Inc., Securities Litigation*, (\$47 million recovery); *In re Intuitive Surgical Securities Litigation* (\$42.5 million recovery); *In re Carter's Inc. Securities Litigation* (\$23.3 million recovery against Carter's and certain officers, as well as its auditing firm PricewaterhouseCoopers); *In re Aeropostale Inc. Securities Litigation* (\$15 million recovery); *In re Lender Processing Services Inc.* (\$13.1 million recovery); and *In re K-12, Inc. Securities Litigation* (\$6.75 million recovery).

Jonathan has led the Firm's representation of investors in many high-profile cases including *Rubin v. MF Global Ltd.*, which involved allegations of material misstatements and omissions in a Registration Statement and Prospectus issued in connection with MF Global's IPO. The case resulted in a recovery of \$90 million for investors. Jonathan also represented lead plaintiff City of Edinburgh Council as Administering Authority of the Lothian Pension Fund in *In re Lehman Brothers Equity/Debt Securities Litigation*, which resulted in settlements exceeding \$600 million against



Lehman Brothers' former officers and directors, Lehman's former public accounting firm, as well the banks that underwrote Lehman Brothers' offerings. In representing lead plaintiff Massachusetts Bricklayers and Masons Trust Funds in an action against Deutsche Bank, Jonathan secured a \$32.5 million recovery for a class of investors injured by the bank's conduct in connection with certain residential mortgage-backed securities.

Jonathan has also been responsible for prosecuting several of the Firm's options backdating cases, including *In re Monster Worldwide, Inc. Securities Litigation* (\$47.5 million settlement); *In re SafeNet, Inc. Securities Litigation* (\$25 million settlement); *In re Semtech Securities Litigation* (\$20 million settlement); and *In re MRV Communications, Inc. Securities Litigation* (\$10 million settlement). He also was instrumental in *In re Mercury Interactive Corp. Securities Litigation*, which settled for \$117.5 million, one of the largest settlements or judgments in a securities fraud litigation based on options backdating. Jonathan also represented the Successor Liquidating Trustee of Lipper Convertibles, a convertible bond hedge fund, in actions against the fund's former independent auditor and a member of the fund's general partner as well as numerous former limited partners who received excess distributions. He successfully recovered over \$5.2 million for the Successor Liquidating Trustee from the limited partners and \$29.9 million from the former auditor.

Jonathan is a member of the Federal Bar Council, New York State Bar Association, and the Association of the Bar of the City of New York.

Jonathan earned his Juris Doctor from St. John's University School of Law. He received his bachelor's degree from American University.

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Thomas G. Hoffman, Jr. is a partner in the New York office of Labaton Sucharow LLP. Thomas focuses on representing institutional investors in complex securities actions. He is currently prosecuting cases against BP and Allstate.

Thomas was instrumental in securing a \$1 billion recovery in the eight-year litigation against AIG and related defendants. He also was a key member of the Labaton Sucharow team that recovered \$170 million for investors in *In re 2008 Fannie Mae Securities Litigation*.

Thomas earned his Juris Doctor from UCLA School of Law, where he was Editor-in-Chief of the *UCLA Entertainment Law Review* and served as a Moot Court Executive Board Member. In addition, he served as a judicial extern to the Honorable William J. Rea, United States District Court for the Central District of California. Thomas received his bachelor's degree, with honors, from New York University.



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James W. Johnson is a Partner in the New York office of Labaton Sucharow LLP. Jim focuses on litigating complex securities fraud cases. In addition to his active caseload, Jim holds a variety of leadership positions within the Firm, including serving on the Firm’s Executive Committee.

Jim is “well respected in the field,” earning him recognition from *Chambers & Partners USA*, *The Legal 500*, *Benchmark Litigation*, and *Lawdragon*, who named him as one of the “500 Leading Lawyers in America” and one of the country’s top “Plaintiff Financial Lawyers.” He has also received a rating of AV Preeminent from the publishers of the *Martindale-Hubbell* directory.

In representing investors who have been victimized by securities fraud and breaches of fiduciary responsibility, Jim’s advocacy has resulted in record recoveries for wronged investors. Currently, he is prosecuting the high-profile case against financial industry leader Goldman Sachs—*In re Goldman Sachs Group, Inc. Securities Litigation*.

A recognized leader in his field, Jim has successfully litigated a number of complex securities and RICO class actions. These include *In re HealthSouth Corp. Securities Litigation* (\$671 million settlement); *Eastwood Enterprises LLC v. Farha et al. (WellCare Securities Litigation)* (\$200 million settlement); *In re Amgen Inc. Securities Litigation* (\$95 million settlement); *In re Vesta Insurance Group, Inc. Securities Litigation* (\$79 million settlement); and *In re SCANA Securities Litigation* (\$192.5 million settlement). Other notably successes include *In re National Health Laboratories, Inc. Securities Litigation*, which resulted in a recovery of \$80 million in the federal action and a related state court derivative action, and *In re Bristol Myers Squibb Co. Securities Litigation*, in which the court approved a \$185 million settlement including significant corporate governance reforms and recognized plaintiff’s counsel as “extremely skilled and efficient.”

Jim also represented lead plaintiffs in *In re Bear Stearns Companies, Inc. Securities Litigation*, securing a \$275 million settlement with Bear Stearns Companies, plus a \$19.9 million settlement with Deloitte & Touche LLP, Bear Stearns’ outside auditor. In *County of Suffolk v. Long Island Lighting Co.*, Jim represented the plaintiff in a RICO class action, securing a jury verdict after a two-month trial that resulted in a \$400 million settlement. The Second Circuit quoted the trial judge, the Honorable Jack B. Weinstein, as stating, “Counsel [has] done a superb job [and] tried this case as well as I have ever seen any case tried.” On behalf of the Chugach Native Americans, he also assisted in prosecuting environmental damage claims resulting from the Exxon Valdez oil spill.

Jim is a Member of the American Bar Association and the Association of the Bar of the City of New York, where he served on the Federal Courts Committee. He is also a Fellow in the Litigation Council of America and a Member of the Advisory Board of the Institute for Law and Economic Policy.



Jim earned his Juris Doctor from New York University School of Law and his bachelor's degree from Fairfield University.



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Francis P. McConville is a Partner in the New York office of Labaton Sucharow LLP. Francis focuses on prosecuting complex securities fraud cases on behalf of institutional investor clients. As a lead member of the Firm's Case Development Group, he focuses on the identification, investigation, and development of potential actions to recover investment losses resulting from violations of the federal securities laws and various actions to vindicate shareholder rights in response to corporate and fiduciary misconduct.

Francis has been named a "Rising Star" of securities litigation in *Law360's* list of attorneys under 40 whose legal accomplishments transcend their age. *Lawdragon* has recognized him as one of the country's "500 Leading Plaintiff Financial Lawyers" and *Benchmark Litigation* also named him to their "40 & Under List."

Francis has played a key role in filing several matters on behalf of the Firm, including *In re PG&E Corporation Securities Litigation*; *In re SCANA Securities Litigation* (\$192.5 million settlement); *Steamfitters Local 449 Pension Plan v. Skechers U.S.A., Inc.*; and *In re Nielsen Holdings PLC Securities Litigation*.

Prior to joining Labaton Sucharow, Francis was a Litigation Associate at a national law firm primarily focused on securities and consumer class action litigation. Francis has represented institutional and individual clients in federal and state court across the country in class action securities litigation and shareholder disputes, along with a variety of commercial litigation matters. He assisted in the prosecution of several matters, including *Kiken v. Lumber Liquidators Holdings, Inc.* (\$42 million recovery); *Hayes v. MagnaChip Semiconductor Corp.* (\$23.5 million recovery); and *In re Galena Biopharma, Inc. Securities Litigation* (\$20 million recovery).

Francis currently serves on *Law360's* Securities Editorial Advisory Board.

Francis received his Juris Doctor, *magna cum laude*, from New York Law School, where he was named a John Marshall Harlan Scholar, and received a Public Service Certificate. Francis served as Associate Managing Editor of the *New York Law School Law Review* and worked in the Urban Law Clinic. He earned his Bachelor of Arts degree from the University of Notre Dame.



## Domenico Minerva Partner

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Domenico “Nico” Minerva is a Partner in the New York office of Labaton Sucharow LLP. A former financial advisor, his work focuses on securities, antitrust, and consumer class actions and shareholder derivative litigation, representing Taft-Hartley and public pension funds across the country. Nico advises leading pension funds and other institutional investors on issues related to corporate fraud in the U.S. securities markets.

Nico is described by clients as “always there for us” and known to provide “an honest answer and describe all the parameters and/or pitfalls of each and every case.” As a result of his work, the Firm has received a Tier 2 ranking in Antitrust Civil Litigation and Class Actions from *Legal 500*. *Lawdragon* has recognized Nico as one of the country’s “500 Leading Plaintiff Financial Lawyers.”

Nico’s extensive securities litigation experience includes the case against global security systems company Tyco and co-defendant PricewaterhouseCoopers (*In re Tyco International Ltd., Securities Litigation*), which resulted in a \$3.2 billion settlement—the largest single-defendant settlement in post-PSLRA history. He also has counseled companies and institutional investors on corporate governance reform.

Nico has also done substantial work in antitrust class actions. These include pay-for-delay or “product hopping” cases in which pharmaceutical companies allegedly obstructed generic competitors in order to preserve monopoly profits on patented drugs, such as *Mylan Pharmaceuticals Inc. v. Warner Chilcott Public Limited Co.*, *In re Lidoderm Antitrust Litigation*, *In re Solodyn (MinocyclineHydrochloride) Antitrust Litigation*, *In re Niaspan Antitrust Litigation*, *In re Aggrenox Antitrust Litigation*, and *Sergeants Benevolent Association Health & Welfare Fund et al. v. Actavis PLC et al.* In the anticompetitive matter *The Infirmary LLC vs. National Football League Inc et al.*, Nico played an instrumental part in challenging an exclusivity agreement between the NFL and DirectTV over the service’s “NFL Sunday Ticket” package. He also litigated on behalf of indirect purchasers in a case alleging that growers conspired to control and suppress the nation’s potato supply, *In re Fresh and Process Potatoes Antitrust Litigation*.

On behalf of consumers, Nico represented a plaintiff in *In Re ConAgra Foods Inc.*, over misleading claims that Wesson-brand vegetable oils are 100% natural.

An accomplished speaker, Nico has given numerous presentations to investors on topics related to corporate fraud, wrongdoing, and waste. He is also an active member of the National Association of Public Pension Plan Attorneys.



Nico earned his Juris Doctor from Tulane University Law School, where he completed a two-year externship with the Honorable Kurt D. Engelhardt of the United States District Court for the Eastern District of Louisiana. He received his bachelor's degree from the University of Florida.



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Mark D. Richardson is a Partner in the Delaware office of Labaton Sucharow LLP. Mark focuses on representing shareholders in corporate governance and transactional matters, including class action and derivative litigation.

Mark is recommended by *The Legal 500* for the excellence of his work in the Delaware Court of Chancery. Clients highlighted his team's ability to “generate strong cases and take creative and innovative positions.” *Lawdragon* has recognized him as one of the country's “500 Leading Plaintiff Financial Lawyers” and *Benchmark Litigation* also named him to their “40 & Under List.”

Mark is actively prosecuting, among other matters, *In re Dell Technologies Inc. Class V Stockholders Litigation*; *In re Coty Inc. Stockholder Litigation*; *In re Columbia Pipeline Group, Inc. Merger Litigation*; and *In re Straight Path Communications Inc. Consol. Stockholder Litigation*. Mark has served as lead or co-lead counsel in prominent cases against Amtrust Financial Services (\$40 million settlement), AGNC (\$35.5 million settlement), Stamps.com (\$30 million settlement), Homefed (\$15 million settlement with Court approval pending), and CytoDyn (rescission of over \$50 million in director and officer stock awards).

Prior to joining Labaton Sucharow, Mark was an Associate at Schulte Roth & Zabel LLP, where he gained substantial experience in complex commercial litigation within the financial services industry and advised and represented clients in class action litigation, expedited bankruptcy proceedings and arbitrations, fraudulent transfer actions, proxy fights, internal investigations, employment disputes, breaches of contract, enforcement of non-competes, data theft, and misappropriation of trade secrets.

In addition to his active caseload, Mark has contributed to numerous publications and is the recipient of *The Burton Awards* Distinguished Legal Writing Award for his article published in the *New York Law Journal*, “Options When a Competitor Raids the Company.” Mark also serves on Law360's Delaware Editorial Advisory Board.

Mark earned his Juris Doctor from Emory University School of Law, where he served as the President of the Student Bar Association. He received his Bachelor of Science from Cornell University.

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Michael H. Rogers is a Partner in the New York office of Labaton Sucharow LLP. An experienced litigator, Mike focuses on prosecuting complex securities fraud cases on behalf of institutional investors.

He is actively involved in prosecuting *In re Goldman Sachs, Inc. Securities Litigation*; *Murphy v. Precision Castparts Corp.*; *In re Acuity Brands, Inc. Securities Litigation*; *In re CannTrust, Inc. Securities Litigation*; and *In re Jen-Weld Holding, Inc. Securities Litigation*.

Mike has been a member of the lead counsel teams in many successful class actions, including those against Countrywide Financial Corp. (\$624 million settlement), HealthSouth Corp. (\$671 million settlement), State Street (\$300 million settlement), SCANA Corp (\$192.5 million settlement), Mercury Interactive Corp. (\$117.5 million settlement), Computer Sciences Corp. (\$97.5 million settlement), and Virtus Investment Partners (\$20 million settlement).

Prior to joining Labaton Sucharow, Mike was an attorney at Kasowitz, Benson, Torres & Friedman LLP, where he practiced securities and antitrust litigation, representing international banking institutions bringing federal securities and other claims against major banks, auditing firms, ratings agencies and individuals in complex multidistrict litigation. He also represented an international chemical shipping firm in arbitration of antitrust and other claims against conspirator ship owners. Mike began his career as an attorney at Sullivan & Cromwell, where he was part of Microsoft's defense team in the remedies phase of the Department of Justice antitrust action against the company.

Mike earned his Juris Doctor, *magna cum laude*, from the Benjamin N. Cardozo School of Law, Yeshiva University, where he was a member of the *Cardozo Law Review*. He earned his bachelor's degree, *magna cum laude*, from Columbia University.

Mike is proficient in Spanish.



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Ira A. Schochet is a Partner in the New York office of Labaton Sucharow LLP. A seasoned litigator with three decades of experience, Ira focuses on class actions involving securities fraud. Ira has played a lead role in securing multimillion dollar recoveries in high-profile cases such as those against Countrywide Financial Corporation (\$624 million), Weatherford International Ltd (\$120 million), Massey Energy Company (\$265 million), Caterpillar Inc. (\$23 million), Autoliv Inc. (\$22.5 million), and Fifth Street Financial Corp. (\$14 million).

A highly regarded industry veteran, Ira has been recommended in securities litigation by *The Legal 500*, named a “Leading Plaintiff Financial Lawyer” by *Lawdragon* and been awarded an AV Preeminent rating, the highest distinction, from Martindale-Hubbell.

Ira is a longtime leader in the securities class action bar and represented one of the first institutional investors acting as a lead plaintiff in a post-Private Securities Litigation Reform Act case and ultimately obtained one of the first rulings interpreting the statute’s intent provision in a manner favorable to investors in *STI Classic Funds, et al. v. Bollinger Industries, Inc.* His efforts are regularly recognized by the courts, including in *Kamarasy v. Coopers & Lybrand*, where the court remarked on “the superior quality of the representation provided to the class.” In approving the settlement he achieved in *In re InterMune Securities Litigation*, the court complimented Ira’s ability to secure a significant recovery for the class in a very efficient manner, shielding the class from prolonged litigation and substantial risk.

Ira has also played a key role in groundbreaking cases in the field of merger and derivative litigation. In *In re Freeport-McMoRan Copper & Gold Inc. Derivative Litigation*, he achieved the second largest derivative settlement in the Delaware Court of Chancery history, a \$153.75 million settlement with an unprecedented provision of direct payments to stockholders by means of a special dividend. In another first-of-its-kind case, Ira was featured in *The AmLaw Litigation Daily* as Litigator of the Week for his work in *In re El Paso Corporation Shareholder Litigation*. The action alleged breach of fiduciary duties in connection with a merger transaction, including specific reference to wrongdoing by a conflicted financial advisory consultant, and resulted in a \$110 million recovery for a class of shareholders and a waiver by the consultant of its fee.

From 2009-2011, Ira served as President of the National Association of Shareholder and Consumer Attorneys (NASCAT), a membership organization of approximately 100 law firms that practice class action and complex civil litigation. During this time, he represented the plaintiffs’ securities bar in meetings with members of Congress, the Administration, and the SEC.

From 1996 through 2012, Ira served as Chairman of the Class Action Committee of the Commercial and Federal Litigation Section of the New York State Bar Association. During his tenure, he served

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on the Executive Committee of the Section and authored important papers on issues relating to class action procedure including revisions proposed by both houses of Congress and the Advisory Committee on Civil Procedure of the United States Judicial Conference. Examples include “Proposed Changes in Federal Class Action Procedure,” “Opting Out on Opting In,” and “The Interstate Class Action Jurisdiction Act of 1999.” Ira has also lectured extensively on securities litigation at seminars throughout the country.

Ira earned his Juris Doctor from Duke University School of Law and his bachelor’s degree, *summa cum laude*, from the State University of New York at Binghamton.



## David J. Schwartz Partner

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David J. Schwartz is a Partner in the New York office of Labaton Sucharow LLP, focusing on event-driven and special situation litigation using legal strategies to enhance clients' investment returns.

David has been named a "Future Star" by *Benchmark Litigation* and was also selected, three years in a row, to their "40 & Under Hot List," which recognized him as one of the nation's most accomplished attorneys. *Lawdragon* has recognized him as one of the country's "500 Leading Plaintiff Financial Lawyers" and he has also been featured in *Lawdragon's* Lawyer Limelight series.

Over the last several years, David has helped secure leadership roles on behalf of his clients in some of the largest pending securities class action and SPAC litigations, including cases against Lordstown, Nikola, Alta Mesa, Paypal, and others.

David's extensive experience includes prosecuting, as well as defending against, securities and corporate governance actions for an array of domestic and international clients, including retail investors, hedge funds, merger arbitrageurs, pension funds, mutual funds, and asset management companies. He has played a pivotal role in some of the largest securities class action cases in recent years—including a milestone CA\$129.5 million settlement in *In re CannTrust, Inc. Securities Litigation* and a \$55 million settlement in *In re Resideo Securities Litigation* (one of the three largest in the Eighth Circuit). David has also done substantial work in mergers and acquisitions appraisal litigation and direct action/opt-out litigation.

Among other cases, David is currently prosecuting *In re Silver Lake Group, L.L.C. Securities Litigation*; *In re Mindbody, Inc. Securities Litigation*; and several international appraisal actions.

David earned his Juris Doctor from Fordham University School of Law, where he served on the *Urban Law Journal*. He received his bachelor's degree in economics, graduating with honors, from The University of Chicago.



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Brendan W. Sullivan is a Partner in the Delaware office of Labaton Sucharow LLP. He focuses on representing investors in corporate governance and transactional matters, including class action litigation.

Prior to joining Labaton Sucharow, Brendan was an Associate at Paul, Weiss, Rifkind, Wharton & Garrison LLP where he gained substantial experience in class and derivative matters relating to mergers and acquisitions and corporate governance. During law school, he was a Summer Associate at Morris, Nichols and a Law Clerk for Honorable Judge Leonard P. Stark, U.S. District Court for the District of Delaware.

Brendan's pro bono experience includes representing a Delaware charter school in a mediation concerning a malpractice claim against its former auditor.

Brendan earned his Juris Doctor from Georgetown University Law Center where he was the Notes Editor on the *Georgetown Law Journal* and his Bachelor of Arts in English from the University of Delaware.

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## Irina Vasilchenko Partner

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Irina Vasilchenko is a Partner in the New York office of Labaton Sucharow LLP and head of the Firm's Associate Training Program. Irina focuses on prosecuting complex securities fraud cases on behalf of institutional investors and has over a decade of experience in such litigation.

Irina is recognized as an up-and-coming litigator whose legal accomplishments transcend her age. She has been named repeatedly to *Benchmark Litigation's* "40 & Under List" and also has been recognized as a "Future Star" by *Benchmark Litigation* and a "Rising Star" by *Law360*, one of only six securities attorneys in its 2020 list. Additionally, *Lawdragon* has named her one of the "500 Leading Plaintiff Financial Lawyers in America."

Currently, Irina is involved in prosecuting the high-profile case against financial industry leader Goldman Sachs, *In re Goldman Sachs Group, Inc. Securities Litigation*, arising from its Abacus and other subprime mortgage-backed CDOs during the Financial Crisis, including defending against an appeal of the class certification order to the U.S. Supreme Court and to the Second Circuit. She is also actively prosecuting *Weston v. DocuSign, Inc.*; *In re Teladoc Health, Inc. Securities Litigation*; and *Meitav Dash Provident Funds and Pension Ltd. v. Spirit AeroSystems Holdings, Inc.*

Recently, Irina played a pivotal role in securing a historic \$192.5 million settlement for investors in energy company SCANA Corp. over a failed nuclear reactor project in South Carolina, as well as a \$19 million settlement in a shareholders' suit against Daimler AG over its Mercedes Benz diesel emissions scandal. Since joining Labaton Sucharow, she also has been a key member of the Firm's teams that have obtained favorable settlements for investors in numerous securities cases, including *In re Massey Energy Co. Securities Litigation* (\$265 million settlement); *In re Fannie Mae 2008 Securities Litigation* (\$170 million settlement); *In re Amgen Inc. Securities Litigation* (\$95 million settlement); *In re Hewlett-Packard Company Securities Litigation* (\$57 million settlement); *Vancouver Alumni Asset Holdings Inc. v. Daimler A.G.* (\$19 million settlement); *Perrelouis v. Gogo Inc.* (\$17.3 million); *In re Acuity Brands, Inc. Securities Litigation* (\$15.75 million settlement); and *In re Extreme Networks, Inc. Securities Litigation* (\$7 million settlement).

Irina maintains a commitment to pro bono legal service, including representing an indigent defendant in a criminal appeal case before the New York First Appellate Division, in association with the Office of the Appellate Defender. As part of this representation, she argued the appeal before the First Department panel. Prior to joining Labaton Sucharow, Irina was an Associate in the general litigation practice group at Ropes & Gray LLP, where she focused on securities litigation.

She is a member of the New York State Bar Association and New York City Bar Association.

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Irina received her Juris Doctor, *magna cum laude*, from Boston University School of Law, where she was an editor of the *Boston University Law Review* and was the G. Joseph Tauro Distinguished Scholar, the Paul L. Liacos Distinguished Scholar, and the Edward F. Hennessey Scholar. Irina earned a Bachelor of Arts in Comparative Literature, *summa cum laude* and Phi Beta Kappa, from Yale University.

Irina is fluent in Russian and proficient in Spanish.



## Carol C. Villegas Partner

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Carol C. Villegas is a Partner in the New York office of Labaton Sucharow LLP. Carol focuses on prosecuting complex securities fraud and consumer cases on behalf of institutional investors and individuals. Leading one of the Firm's litigation teams, she is actively overseeing litigation against Lordstown, Playtika, Oak Street Health, Churchill Capital Corp/Lucid Motors, Danske Bank, Flo Health, Amazon, and Hain. In addition to her litigation responsibilities, Carol holds a variety of leadership positions within the Firm, including serving on the Firm's Executive Committee, as Chair of the Firm's Women's Networking and Mentoring Initiative, and as the Chief of Compliance.

Carol's development of innovative case theories in complex cases, her skillful handling of discovery work, and her adept ability during oral arguments has earned her accolades from *Chambers & Partners USA*, *The National Law Journal* as a Plaintiffs' Trailblazer, and the *New York Law Journal* as a Top Woman in Law and a New York Trailblazer. *The National Law Journal* "Elite Trial Lawyers" has repeatedly recognized Carol's superb ability to excel in high-stakes matters on behalf of plaintiffs and selected her to its class of Elite Women of the Plaintiffs Bar. She has also been recognized as a Future Star by *Benchmark Litigation* and a Next Generation Partner by *The Legal 500*, where clients praised her for helping them "better understand the process and how to value a case." *Lawdragon* has named her one of the 500 Leading Lawyers in America, one of the country's top Plaintiff Financial Lawyers, and Leading Plaintiff Consumer Lawyers and Crain's New York Business selected Carol to its list of Notable Women in Law. *Euromoney's* Women in Business Law Awards has also shortlisted Carol as Securities Litigator of the Year and *Chambers and Partners* named Carol a finalist for Diversity & Inclusion: Outstanding Contribution. She has also been named a Distinguished Leader honoree by the *New York Law Journal*.

Notable recent successes include *In re Nielsen Holdings PLC Securities Litigation* (\$73 million settlement) and *City of Warren Police and Fire Retirement System v. World Wrestling Entertainment, Inc.* (\$39 million settlement). Carol has also played a pivotal role in securing favorable settlements for investors, including in cases against DeVry, a for-profit university; AMD, a multi-national semiconductor company; Liquidity Services, an online auction marketplace; Aeropostale, a leader in the international retail apparel industry; Vocera, a healthcare communications provider; and Prothena, a biopharmaceutical company, among others. Carol has also helped revive a securities class action against LifeLock after arguing an appeal before the Ninth Circuit. The case settled shortly thereafter.

Prior to joining Labaton Sucharow, Carol served as the Assistant District Attorney in the Supreme Court Bureau for the Richmond County District Attorney's office, where she took several cases to trial. She began her career as an Associate at King & Spalding LLP, where she worked as a federal litigator.



Carol is an active member of the New York State Bar Association's Women in the Law Section and Chair of the Board of Directors of the City Bar Fund, the nonprofit 501(c)(3) arm of the New York City Bar Association. She is also a member of the National Association of Public Pension Attorneys, the National Association of Women Lawyers, and the Hispanic National Bar Association. In addition, Carol previously served on *Law360's* Securities Editorial Board.

Carol earned her Juris Doctor from New York University School of Law, where she was the recipient of The Irving H. Jurow Achievement Award for the Study of Law and received the Association of the Bar of the City of New York Diversity Fellowship. She received her bachelor's degree, with honors, from New York University.

She is fluent in Spanish.

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Ned Weinberger is a Partner in the Delaware office of Labaton Sucharow LLP and is Chair of the Firm's Corporate Governance and Shareholder Rights Litigation Practice. An experienced advocate of shareholder rights, Ned focuses on representing investors in corporate governance and transactional matters, including class action and derivative litigation.

Highly regarded in his practice, Ned has been recognized by *Chambers & Partners USA* in the Delaware Court of Chancery noting he is "a very good case strategist and strong oral advocate" and was named "Up and Coming" for three consecutive years—the by-product of his impressive range of practice areas. After being named a "Future Star" earlier in his career, Ned is now recognized by *Benchmark Litigation* as a "Litigation Star" and has been selected to *Benchmark's* "40 & Under List." He has also been named a "Leading Lawyer" by *The Legal 500*, whose sources remarked that he "is one of the best plaintiffs' lawyers in Delaware," who "commands respect and generates productive discussion where it is needed." *The National Law Journal* has also named Ned a "Plaintiffs' Trailblazer." *Lawdragon* has also recognized him as one of the country's "500 Leading Plaintiff Financial Lawyers." Notably, Ned was named a "Litigator of the Week" by *The American Lawyer Litigation Daily* for securing a historic \$1 billion cash settlement in a suit against Dell Technologies.

Ned is actively prosecuting, among other matters, *In re Straight Path Communications Inc. Consolidated Stockholder Litigation*, which alleges breaches of fiduciary duty by the controlling stockholder of Straight Path Communications, Howard Jonas, in connection with the company's sale to Verizon Communications Inc. He recently led a class and derivative action on behalf of stockholders of Providence Service Corporation—*Haverhill Retirement System v. Kerley*—that challenged an acquisition financing arrangement involving Providence's board chairman and his hedge fund. The case settled for \$10 million.

Ned was part of a team that achieved a \$12 million recovery on behalf of stockholders of ArthroCare Corporation in a case alleging breaches of fiduciary duty by the ArthroCare board of directors and other defendants in connection with Smith & Nephew, Inc.'s acquisition of ArthroCare. Other recent successes on behalf of stockholders include *In re Vaalco Energy Inc. Consolidated Stockholder Litigation*, which resulted in the invalidation of charter and bylaw provisions that interfered with stockholders' fundamental right to remove directors without cause.

Prior to joining Labaton Sucharow, Ned was a Litigation Associate at Grant & Eisenhofer P.A., where he gained substantial experience in all aspects of investor protection, including representing shareholders in matters relating to securities fraud, mergers and acquisitions, and alternative entities. Representative of Ned's experience in the Delaware Court of Chancery is *In re Barnes & Noble Stockholders Derivative Litigation*, in which Ned assisted in obtaining approximately \$29



million in settlements on behalf of Barnes & Noble investors. Ned was also part of the litigation team in *In re Clear Channel Outdoor Holdings, Inc. Shareholder Litigation*, the settlement of which provided numerous benefits for Clear Channel Outdoor Holdings and its shareholders, including, among other things, a \$200 million cash dividend to the company's shareholders.

Ned is a Member of the Advisory Board of the Institute for Law and Economic Policy (ILEP), a research and educational foundation dedicated to enhancing investor and consumer access to the civil justice system.

Ned earned his Juris Doctor from the Louis D. Brandeis School of Law at the University of Louisville, where he served on the Journal of Law and Education. He received his bachelor's degree, *cum laude*, from Miami University.



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Mark S. Willis is a Partner in the D.C. office of Labaton Sucharow LLP. With more than three decades of experience, Mark's practice focuses on domestic and international securities litigation. Mark advises leading pension funds, investment managers, and other institutional investors from around the world on their legal remedies when impacted by securities fraud and corporate governance breaches. Mark represents clients in U.S. litigation and maintains a significant practice advising clients on the pursuit of securities-related claims abroad.

Mark is recommended by *The Legal 500* for excellence in securities litigation and has been named one of *Lawdragon's* "500 Leading Plaintiff Financial Lawyer in America." Under his leadership, the Firm has been awarded *Law360* Practice Group of the Year Awards for Class Actions and Securities.

Mark represents institutions from the United Kingdom, Spain, the Netherlands, Denmark, Germany, Belgium, Canada, Japan, and the United States in a novel lawsuit in Texas against BP plc to salvage claims that were dismissed from the U.S. class action because the claimants' BP shares were purchased abroad (thus running afoul of the Supreme Court's *Morrison* rule that precludes a U.S. legal remedy for such shares). These previously dismissed claims have now been sustained and are being pursued under English law in a Texas federal court.

Mark also represents the Utah Retirement Systems in a shareholder action against the DeVry Education Group, and he represented the Arkansas Public Employees Retirement System in a shareholder action against The Bancorp (which settled for \$17.5 million), and Caisse de dépôt et placement du Québec, one of Canada's largest institutional investors, in a U.S. shareholder class action against Liquidity Services (which settled for \$17 million).

In the *Converium* class action, Mark represented a Greek institution in a nearly four-year battle that eventually became the first U.S. class action settled on two continents. This trans-Atlantic result saw part of the \$145 million recovery approved by a federal court in New York, and the rest by the Amsterdam Court of Appeal. The Dutch portion was resolved using the Netherlands then newly enacted Act on Collective Settlement of Mass Claims. In doing so, the Dutch Court issued a landmark decision that substantially broadened its jurisdictional reach, extending jurisdiction for the first time to a scenario in which the claims were not brought under Dutch law, the alleged wrongdoing took place outside the Netherlands, and none of the potentially liable parties were domiciled in the Netherlands.

In the corporate governance arena, Mark has represented both U.S. and overseas investors. In a shareholder derivative action against Abbott Laboratories' directors, he charged the defendants with mismanagement and fiduciary breaches for causing or allowing the company to engage in a 10-year off-label marketing scheme, which had resulted in a \$1.6 billion payment pursuant to a Justice



Department investigation—at the time the second largest in history for a pharmaceutical company. In the derivative action, the company agreed to implement sweeping corporate governance reforms, including an extensive compensation clawback provision going beyond the requirements under the Dodd-Frank Act, as well as the restructuring of a board committee and enhancing the role of the Lead Director. In the *Parmalat* case, known as the “Enron of Europe” due to the size and scope of the fraud, Mark represented a group of European institutions and eventually recovered nearly \$100 million and negotiated governance reforms with two large European banks who, as part of the settlement, agreed to endorse their future adherence to key corporate governance principles designed to advance investor protection and to minimize the likelihood of future deceptive transactions. Securing governance reforms from a defendant that was not an issuer was a first at that time in a shareholder fraud class action.

Mark has also represented clients in opt-out actions. In one, brought on behalf of the Utah Retirement Systems, Mark negotiated a settlement that was nearly four times more than what its client would have received had it participated in the class action.

On non-U.S. actions Mark has advised clients, and represented their interests as liaison counsel, in more than 30 cases against companies such as Volkswagen, Olympus, the Royal Bank of Scotland, the Lloyds Banking Group, and Petrobras, and in jurisdictions ranging from the UK to Japan to Australia to Brazil to Germany.

Mark has written on corporate, securities, and investor protection issues—often with an international focus—in industry publications such as *International Law News*, *Professional Investor*, *European Lawyer*, and *Investment & Pensions Europe*. He has also authored several chapters in international law treatises on European corporate law and on the listing and subsequent disclosure obligations for issuers listing on European stock exchanges. He also speaks at conferences and at client forums on investor protection through the U.S. federal securities laws, corporate governance measures, and the impact on shareholders of non-U.S. investor remedies.

Mark earned his Juris Doctor from the Pepperdine University School of Law and his master’s degree from Georgetown University Law Center.

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Nicole M. Zeiss is a Partner in the New York office of Labaton Sucharow. A litigator with two decades of experience, Nicole leads the Firm's Settlement Group, which analyzes the fairness and adequacy of the procedures used in class action settlements. Her practice focuses on negotiating and documenting complex class action settlements and obtaining the required court approval of the settlements, notice procedures, and payments of attorneys' fees.

Nicole was part of the Labaton Sucharow team that successfully litigated the \$185 million settlement in *In re Bristol-Myers Squibb Securities Litigation*. She played a significant role in *In re Monster Worldwide, Inc. Securities Litigation* (\$47.5 million settlement). Nicole also litigated on behalf of investors who have been damaged by fraud in the telecommunications, hedge fund, and banking industries. Over the past decade, Nicole has been actively involved in finalizing the Firm's securities class action settlements, including in cases against Massey Energy Company (\$265 million), SCANA (\$192.5 million), Fannie Mae (\$170 million), and Schering-Plough (\$473 million), among many others.

Prior to joining Labaton Sucharow, Nicole practiced poverty law at MFY Legal Services. She also worked at Gaynor & Bass practicing general complex civil litigation, particularly representing the rights of freelance writers seeking copyright enforcement.

Nicole is a member of the New York City Bar Association and the New York State Bar Association. Nicole also maintains a commitment to pro bono legal services.

She received a Juris Doctor from the Benjamin N. Cardozo School of Law, Yeshiva University, and earned a Bachelor of Arts in Philosophy from Barnard College.



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Mark Bogen is Of Counsel in the New York office of Labaton Sucharow LLP. Mark advises leading pension funds and other institutional investors on issues related to corporate fraud in domestic and international securities markets. His work focuses on securities, antitrust, and consumer class action litigation, representing Taft-Hartley and public pension funds across the country.

Among his many efforts to protect his clients' interests and maximize shareholder value, Mark recently helped bring claims against and secure a settlement with Abbott Laboratories' directors, whereby the company agreed to implement sweeping corporate governance reforms, including an extensive compensation clawback provision going beyond the requirements under the Dodd-Frank Act.

Mark has written weekly legal columns for the Sun-Sentinel, one of the largest daily newspapers circulated in Florida. He has been legal counsel to the American Association of Professional Athletes, an association of over 4,000 retired professional athletes. He has also served as an Assistant State Attorney and as a Special Assistant to the State Attorney's Office in the State of Florida.

Mark earned his Juris Doctor from Loyola University School of Law. He received his bachelor's degree from the University of Illinois.

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Garrett J. Bradley is Of Counsel to Labaton Sucharow LLP. Garrett has decades of experience helping institutional investors, public pension funds, and individual investors recover losses attributable to corporate fraud. A former state prosecutor, Garrett has been involved in hundreds of securities fraud class action lawsuits that have, in aggregate, recouped hundreds of millions of dollars for investors. Garrett's past and present clients include some of the country's largest public pension funds and institutional investors.

Garrett has been consistently named a "Super Lawyer" in securities litigation by Super Lawyers, a Thomson Reuters publication, and was previously named a "Rising Star." He was selected as one of "New England's 2020 Top Rated Lawyers" by ALM Media and Martindale-Hubbell. The American Trial Lawyers Association has named him one of the "Top 100 Trial Lawyers in Massachusetts." The Massachusetts Academy of Trial Attorneys gave him their "Legislator of the Year Award," and the Massachusetts Bar Association named him "Legislator of the Year."

Prior to joining the firm, Garrett worked as an Assistant District Attorney in the Plymouth County District Attorney's office. He also served in the Massachusetts House of Representatives, representing the Third Plymouth District, for sixteen years.

Garrett is a Fellow of the Litigation Counsel of America, an invitation-only society of trial lawyers comprised of less than 1/2 of 1% of American lawyers. He is also a member of the Public Justice Foundation and the Million Dollar Advocates Forum.

Garrett earned his Juris Doctor from Boston College Law School and his Bachelor of Arts from Boston College.



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Guillaume Buell is Of Counsel to Labaton Sucharow LLP. With over a decade of experience in securities law, Guillaume represents investors based in the United States and abroad in connection with domestic and international securities litigation, corporate governance matters, and shareholder rights disputes. His clients include public pension and Taft-Hartley funds, asset managers, high net worth individuals, and other sophisticated investors. As part of the Firm's Non-U.S. Securities Litigation Practice, which is one of the first of its kind, Guillaume serves as liaison counsel to institutional investors in select overseas matters. He also advises clients in connection with complex consumer matters.

Guillaume has represented investors and obtained significant recoveries in cases against CVS Caremark, Rent-A-Center, Castlight Health, Nu Skin Enterprises, and Genworth Financial, among others.

Prior to joining Labaton Sucharow, Guillaume was an attorney with Cahill Gordon & Reindel LLP in New York and Hicks Davis Wynn, P.C. in Houston, where he provided legal counsel to a wide range of Fortune 500 and other corporate clients in the aviation, construction, energy, financial, consumer, pharmaceutical, and insurance sectors in state and federal litigations, government investigations, and internal investigations.

Guillaume is an active member of the National Association of Public Pension Attorneys (NAPPA), where he serves as an appointed member of its Fiduciary & Governance Committee and Securities Litigation Committee. In addition, he is actively involved with the National Conference on Public Employee Retirement Systems, the Canadian Pension & Benefits Institute, the Michigan Association of Public Employee Retirement Systems, the National Association of Shareholder and Consumer Attorneys, the International Foundation of Employee Benefit Plans, and the Georgia Association of Public Pension Trustees.

Guillaume received his Juris Doctor from Boston College Law School and was the recipient of the Boston College Law School Award for outstanding contributions to the law school community. He was also a member of the National Environmental Law Moot Court Team, which advanced to the national quarterfinals and received best oralists recognition. While in law school, Guillaume was a Judicial Intern with the Honorable Loretta A. Preska, United States District Court for the Southern District of New York, and an Intern with the Government Bureau of the Attorney General of Massachusetts. He received his Bachelor of Arts, *cum laude* with departmental honors, from Brandeis University.

Guillaume is fluent in French and conversant in German. He is an Eagle Scout and actively involved in his hometown's local civic organizations.

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Hui Chang is Of Counsel in the New York office of Labaton Sucharow LLP and concentrates her practice in the area of shareholder litigation and client relations. As a co-manager of the Firm's Non-U.S. Securities Litigation Practice, Hui focuses on advising institutional investor clients regarding fraud-related losses on securities, and on the investigation and development of securities fraud class, group, and individual actions outside of the United States.

Hui previously served as a member of the Firm's Case Development Group, where she was involved in the identification, investigation, and development of potential actions to recover investment losses resulting from violations of the federal securities laws, and corporate and fiduciary misconduct, and assisted the Firm in securing a number of lead counsel appointments in several class actions.

Prior to joining Labaton Sucharow, Hui was a Litigation Associate at a national firm primarily focused on securities class action litigation, where she played a key role in prosecuting a number of high-profile securities fraud class actions, including *In re Petrobras Sec. Litigation* (\$3 billion recovery).

Hui earned her Juris Doctor from the University of California, Hastings College of Law, where she worked as a Graduate Research Assistant and a Moot Court Teaching Assistant. She received her bachelor's degree from the University of California, Berkeley.

Hui is fluent in Portuguese and proficient in Taiwanese.

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Derick I. Cividini is Of Counsel in the New York office of Labaton Sucharow LLP and serves as the Firm's Director of E-Discovery. Derick focuses on prosecuting complex securities fraud cases on behalf of institutional investors, including class actions, corporate governance matters, and derivative litigation. As the Director of E-discovery, he is responsible for managing the Firm's discovery efforts, particularly with regard to the implementation of e-discovery best practices for ESI (electronically stored information) and other relevant sources.

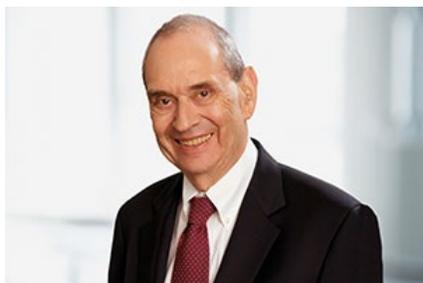
Derick was part of the team that represented lead plaintiff City of Edinburgh Council as Administering Authority of the Lothian Pension Fund in *In re Lehman Brothers Equity/Debt Securities Litigation*, which resulted in settlements totaling \$516 million against Lehman Brothers' former officers and directors as well as most of the banks that underwrote Lehman Brothers' offerings.

Prior to joining Labaton Sucharow, Derick was a litigation attorney at Kirkland & Ellis LLP, where he practiced complex civil litigation. Earlier in his litigation career, he worked on product liability class actions with Hughes Hubbard & Reed LLP.

Derick earned his Juris Doctor and Master of Business Administration from Rutgers University and received his bachelor's degree in Finance from Boston College.

He is admitted to practice in New York.

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Joseph H. Einstein is Of Counsel in the New York office of Labaton Sucharow LLP. A seasoned litigator, Joe represents clients in complex corporate disputes, employment matters, and general commercial litigation. He has litigated major cases in state and federal courts and has argued many appeals, including appearing before the U.S. Supreme Court.

Joe has an AV Preeminent rating, the highest distinction, from the publishers of the Martindale-Hubbell directory.

His experience encompasses extensive work in the computer software field including licensing and consulting agreements. Joe also counsels and advises business entities in a broad variety of transactions.

Joe serves as a Mediator for the U.S. District Court for the Southern District of New York. He has served as a Commercial Arbitrator for the American Arbitration Association and currently is a FINRA Arbitrator and Mediator. Joe is a former member of the New York State Bar Association Committee on Civil Practice Law and Rules, and the Council on Judicial Administration of the Association of the Bar of the City of New York. He also is a former member of the Arbitration Committee of the Association of the Bar of the City of New York.

Joe received his Bachelor of Laws and Master of Laws from New York University School of Law. During his time at NYU, Joe was a Pomeroy and Hirschman Foundation Scholar and served as an Associate Editor of the *New York University Law Review*.

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Derrick Farrell is Of Counsel in the Delaware office of Labaton Sucharow LLP. He focuses his practice on representing shareholders in appraisal, class, and derivative actions.

Derrick has substantial trial experience as both a petitioner and a respondent on a number of high-profile matters, including *In re Appraisal of Ancestry.com, Inc.*; *IQ Holdings, Inc. v. Am. Commercial Lines Inc.*; and *In re Cogent, Inc. Shareholder Litigation*. He has also argued before the Delaware Supreme Court on multiple occasions.

Prior to joining Labaton Sucharow, Derrick practiced with Latham & Watkins LLP, where he gained substantial insight into the inner workings of corporate boards and the role of investment bankers in a sale process. Derrick started his career as a Clerk for the Honorable Donald F. Parsons, Jr., Vice Chancellor, Court of Chancery of the State of Delaware.

He has guest lectured at Harvard University and co-authored numerous articles for publications including the *Harvard Law School Forum on Corporate Governance and Financial Regulation* and *PLI*.

Derrick received his Juris Doctor, *cum laude*, from the Georgetown University Law Center. At Georgetown, he served as an advocate and coach to the Barrister's Council (Moot Court Team) and was Magister of Phi Delta Phi. He received his Bachelor of Science in Biomedical Science from Texas A&M University.

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Lara Goldstone is Of Counsel in the New York office of Labaton Sucharow LLP. Lara advises leading pension funds and other institutional investors in the United States and Canada on issues related to corporate fraud in the U.S. securities markets. Her work focuses on monitoring the well-being of institutional investments and counseling clients on best practices in securities, antitrust, corporate governance and shareholder rights and consumer class action litigation.

Lara has achieved significant settlements on behalf of clients. She represented investors in high-profile cases against LifeLock, KBR, Fifth Street Finance Corp., NII Holdings, Rent-A-Center, and Castlight Health. Lara has also served as legal adviser to clients who have pursued claims in state court, derivative actions in the form of serving books and records demands, non-U.S. actions and antitrust class actions including pay-for-delay or “product hopping” cases in which pharmaceutical companies allegedly obstructed generic competitors in order to preserve monopoly profits on patented drugs, such as *In re Generic Pharmaceuticals Pricing Antitrust Litigation*.

Before joining Labaton Sucharow, Lara worked as a Legal Intern in the Larimer County District Attorney’s Office and the Jefferson County District Attorney’s Office. She also volunteered at Crossroads Safehouse, which provided legal representation to victims of domestic violence. Prior to her legal career, Lara worked at Industrial Labs where she worked closely with Federal Drug Administration standards and regulations. In addition, she was a teacher in Irvine, California.

She is a member of the Firm’s Women’s Initiative.

Lara earned her Juris Doctor from the University of Denver Sturm College of Law, where she was a judge of the Providence Foundation of Law & Leadership Mock Trial and a competitor of the Daniel S. Hoffman Trial Advocacy Competition. She received her bachelor’s degree from George Washington University, where she was a recipient of a Presidential Scholarship for academic excellence.

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James McGovern is Of Counsel in the New York office of Labaton Sucharow LLP. He advises leading pension funds and other institutional investors on issues related to corporate fraud in domestic and international securities markets. James' work focuses primarily on securities litigation and corporate governance, representing Taft-Hartley and public pension funds and other institutional investors in domestic securities actions. James also advises clients regarding potential claims tied to securities-related actions in foreign jurisdictions.

James has worked on a number of significant securities class actions, including *In re Worldcom, Inc. Securities Litigation* (\$6.1 billion recovery), the second-largest securities class action settlement since the passage of the PSLRA; *In re Parmalat Securities Litigation* (\$90 million recovery); *In re American Home Mortgage Securities Litigation* (opt-out client's recovery is confidential); *In re The Bancorp Inc. Securities Litigation* (\$17.5 million recovery); *In re Pozen Securities Litigation* (\$11.2 million recovery); *In re Cabletron Systems, Inc. Securities Litigation* (\$10.5 million settlement); *In re UICI Securities Litigation* (\$6.5 million recovery); and *In re SCANA Securities Litigation* (\$192.5 million recovery).

In the corporate governance arena, James helped bring claims against Abbott Laboratories' directors for mismanagement and breach of fiduciary duties in allowing the company to engage in a 10-year off-label marketing scheme. Upon settlement of this action, the company agreed to implement sweeping corporate governance reforms, including an extensive compensation clawback provision going beyond the requirements under the Dodd-Frank Act.

Following the unprecedented takeover of Fannie Mae and Freddie Mac by the federal government in 2008, James was retained by a group of individual and institutional investors to seek recovery of the massive losses they incurred when the value of their shares in these companies was essentially destroyed. He brought and continues to litigate a complex takings class action against the federal government for depriving Fannie Mae and Freddie Mac shareholders of their property interests in violation of the Fifth Amendment and for causing tens of billions of dollars in damages.

Prior to focusing his practice on plaintiffs' securities litigation, James was an attorney at Latham & Watkins where he worked on complex litigation and FIFRA arbitrations, as well as matters relating to corporate bankruptcy and project finance.

James is also an accomplished public speaker and has addressed members of several public pension associations, including the Texas Association of Public Employee Retirement Systems and the Michigan Association of Public Employee Retirement Systems, on how institutional investors can guard their assets against the risks of corporate fraud and poor corporate governance.

The logo for Labaton Sucharow, consisting of a dark blue square with the firm's name in white text.

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James earned his Juris Doctor, *magna cum laude*, from Georgetown University Law Center. He received his bachelor's and master's degrees from American University, where he was awarded a Presidential Scholarship and graduated with high honors.

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Elizabeth Rosenberg is Of Counsel in the New York office of Labaton Sucharow LLP. Elizabeth focuses on litigating complex securities fraud cases on behalf of institutional investors, with a focus on obtaining court approval of class action settlements, notice procedures and payment of attorneys' fees.

Prior to joining Labaton Sucharow, Elizabeth was an Associate at Whatley Drake & Kallas LLP, where she litigated securities and consumer fraud class actions. Elizabeth began her career as an Associate at Milberg LLP where she practiced securities litigation and was also involved in the pro bono representation of individuals seeking to obtain relief from the World Trade Center Victims' Compensation Fund.

Elizabeth earned her Juris Doctor from Brooklyn Law School. She received her bachelor's degree from the University of Michigan.

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William “Bill” Schervish is Of Counsel in the New York office of Labaton Sucharow LLP and serves as the Firm's Director of Financial Research. As a key member of the Firm's Case Development Group, Bill identifies, analyzes, and develops cases alleging securities fraud and other forms of corporate misconduct that expose the Firm's institutional clients to legally recoverable losses. Bill also evaluates and develops cases on behalf of confidential whistleblowers for the Securities and Exchange Commission.

Bill has been practicing securities law for more than 15 years. As a complement to his legal experience, Bill is a Certified Public Accountant (CPA), a CFA® Charterholder, and a Certified Fraud Examiner (CFE) with extensive work experience in accounting and finance.

Prior to joining the Firm, Bill worked as a finance attorney at Mayer Brown LLP, where he drafted and analyzed credit default swaps, indentures, and securities offering documents on behalf of large banking institutions. Bill's professional background also includes positions in controllership, securities analysis, and commodity trading. He began his career as an auditor at PricewaterhouseCoopers.

Bill earned a Juris Doctor, cum laude, from Loyola University and received a Bachelor of Science, cum laude, in Business Administration from Miami University, where he was a member of the Business and Accounting Honor Societies.

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John Vielandi is Of Counsel in the New York office of Labaton Sucharow LLP. John researches, analyzes and assesses potential new shareholder litigations with a focus on breaches of fiduciary duty and mergers and acquisitions.

John has successfully prosecuted cases against Versum Materials, Inc.; Stamps.com Inc.; and Expedia Group, Inc.

John joined the Firm from Bernstein Litowitz Berger & Grossmann, where he was a key member of the teams that litigated numerous high profile actions, including *City of Monroe Employees' Retirement System v. Rupert Murdoch et al.* and *In re Vaalco Energy, Inc. Consolidated Stockholder Litigation*. While in law school, John was a legal intern at the New York City Office of Administrative Trials and Hearings and a judicial intern for the Honorable Carolyn E. Demarest of the New York State Supreme Court.

John earned his Juris Doctor from Brooklyn Law School, where he was the Notes and Comments Editor for the *Journal of Corporate, Financial and Commercial Law*, and was awarded the CALI Excellence for the Future Award. He received his bachelor's degree from Georgetown University.

CERTIFICATE OF SERVICE

I hereby certify under penalty of perjury that on January 26, 2023, I authorized the electronic filing of the foregoing with the Clerk of the Court using the CM/ECF system which will send notification of such filing to the email addresses on the attached Electronic Mail Notice List, and I hereby certify that I caused the mailing of the foregoing via the United States Postal Service to the non-CM/ECF participants indicated on the attached Manual Notice List.

s/ Daniel J. Pfefferbaum

DANIEL J. PFEFFERBAUM

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**Mailing Information for a Case 4:18-cv-00838-JST Hessefort v. Super Micro Computer, Inc. et al****Electronic Mail Notice List**

The following are those who are currently on the list to receive e-mail notices for this case.

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